

INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

Annual Report
2019/2020



**independent police
investigative directorate**

Department:
Independent Police Investigative Directorate
REPUBLIC OF SOUTH AFRICA



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INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

NATIONAL DEPARTMENT

VOTE NO. 20

ANNUAL REPORT

2019/2020 FINANCIAL YEAR

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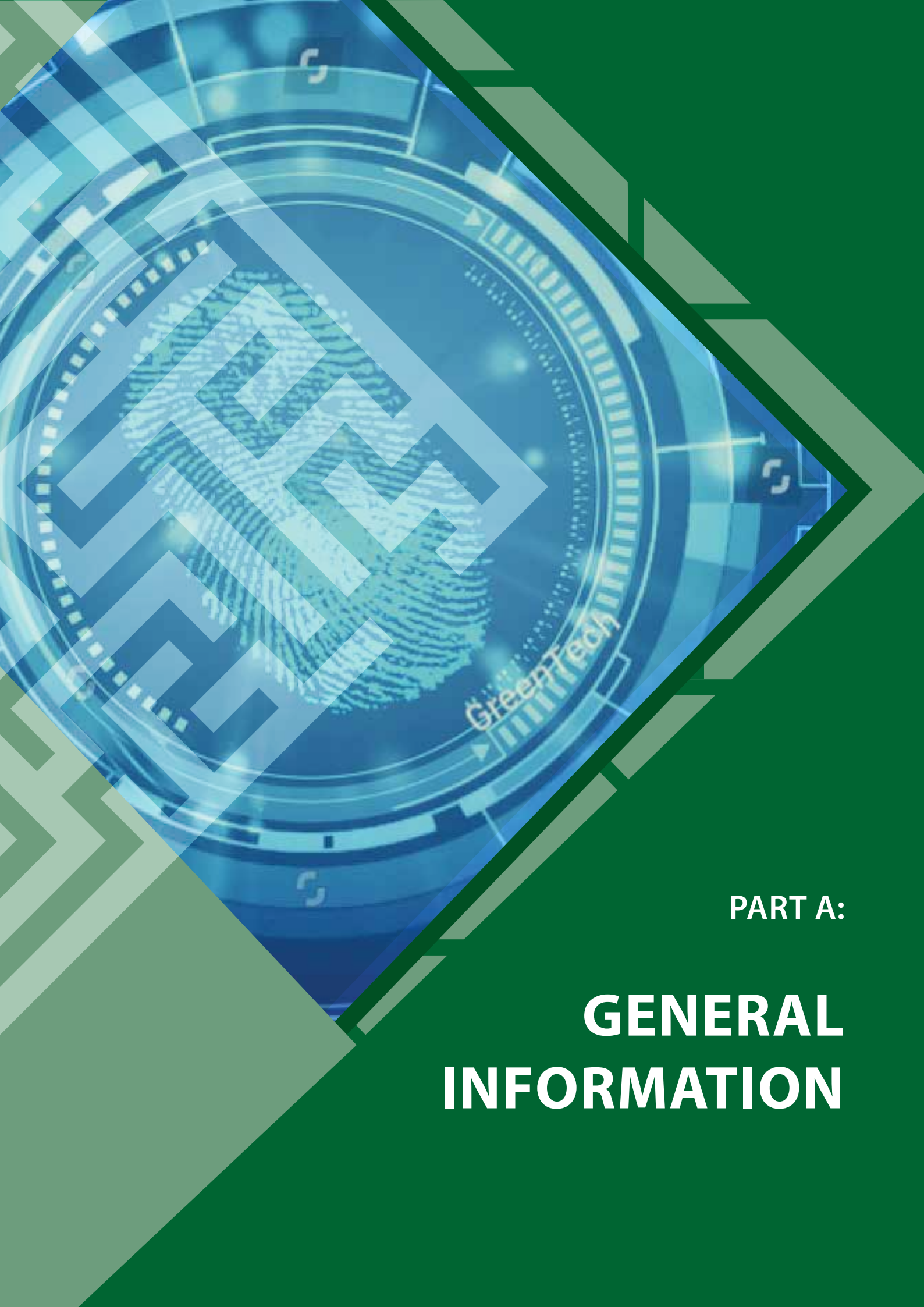
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PART A:

GENERAL INFORMATION



1. DEPARTMENT GENERAL INFORMATION

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2. LIST OF ABBREVIATIONS/ACRONYMS

AGSA	Auditor General of South Africa
APFCOF	African Policing Civilian Oversight Forum
AO	Accounting Officer
BBBEE	Broad Based Black Economic Empowerment
CFO	Chief Financial Officer
DPW	Department of Public Works
EAPA	Employee Assistance Professionals Association
EHW	Employee Health and Wellness
FMC	Financial Misconduct Committee
FSL	Forensic Science Laboratory
GBH	Grievous Bodily Harm
GBV	Gender-based Violence
GEPF	Government Employee Pension Fund
HOD	Head of Department
ICD	Independent Complaints Directorate
ICT	Information and Communication Technology
IPID	Independent Police Investigative Directorate
IYM	In-Year-Monitoring
MCS	Modified Cash Standard
MEC	Member of Executive Council
MPS	Municipal Police Services
MTEF	Medium Term Expenditure Framework
NSIT	National Specialised Investigation Team
NTC	National Training Committee
OHS	Occupational Health and Safety
PCP	Portfolio Committee on Police
PFMA	Public Finance Management Act
PPP	Public Private Partnerships
RTMC	Road Traffic Management Corporation
SAPS	South African Police Services
SCM	Supply Chain Management
SCOPA	Standing Committee on Public Accounts
SDIP	Service Delivery Improvement Plan
SITA	State Information Technology Agency
SMME	Small Medium and Micro Enterprises
TR	Treasury Regulations



3. FOREWORD BY THE MINISTER

The Independent Police Investigative Directorate (IPID) is charged with the oversight responsibility over the South African Police Services (SAPS) and Municipal Police Services (MPS). IPID discharges its mandate by conducting independent and impartial investigations of the identified criminal offenses allegedly committed by the SAPS and the MPS members, subsequent to the investigation they make appropriate recommendations for justice.

As the Ministry, we continue to support IPID in its constitutional mandate to ensure that this institution continues to execute its mandate and ensure professionalisation of police service to restore the public confidence in the police service.

The Directorate has been without a permanent head for more than a year, I would like to appreciate the Chief Financial Officer, Mr VO Senna and the Director Finance, Mr PM Setshedi for holding the forte during this period.

For the first time in the history of IPID a female head, Ms Dikeledi Jennifer Ntlatseng was appointed as the Executive Director to lead the Directorate. I remain confident that she will strategically continue to lead the Directorate to the right direction and bring the necessary stability that IPID needs.

Gender Based Violence and Femicide is the second pandemic that South Africa is faced with, I urge IPID to expedite and prioritise the investigations of rape and death by police officers within a reasonable time frame. The Ministry of Police continues to provide support to IPID in its efforts of rooting out any form of transgression of the law in the ranks of SAPS and MPS.

I took cognisance of some of the challenges encountered by IPID which affects the independency and operations of the organisation and I have since commenced with engagements to address such. The IPID amendment Act no 27 of 2019 was signed by the President into law in order to strengthen the independency of the Directorate, particularly on the appointment of the Executive Director. In the meantime, the amendment of the IPID Act Bill is continuing in order to address the identified shortcomings in the Act that prevent the attainment of the IPID mandate.

Therefore, IPID must continue to implement its legislative mandate of its investigation of any alleged police misconduct independently so, without fear, without favour nor prejudice.

A handwritten signature in black ink, appearing to be 'BH Cele', written over a horizontal line.

General BH Cele

Minister of the Police (MP)

Date: 09/11/2020



4. DEPUTY MINISTER STATEMENT

The Independent Police Investigative Directorate (IPID) as mandated by the IPID Act of 2011 plays a very central and significant role of investigative oversight which ensures policing that is committed to key principles of respect for the rule of law and human rights. Within the policing fraternity, trust and confidence of our society is imperative to ensure effective crime prevention and eradication of crime in this country.

This Ministry supports IPID in its responsibility of independently investigating alleged misconduct and crimes committed by South African Police Services and Municipal Police Services. Police officials made a commitment, through the code of ethics that they will abide and be law obedient in their service, serve the country with integrity and respect for people's diversity and the law by enhancing service excellence to the approval of the public.

IPID must continue to eradicate corruption and root out corrupt elements in the SAPS and MPS environment. The Directorate is also part of the Fusion Centre that has been established to strengthen the fight against corruption. Any police misconduct and criminality must be thoroughly investigated without prejudice to ensure protection of citizens and justice for the victims of police as a result of police action.

The Department through community outreach programmes continued to access the most vulnerable parts of the community which includes women, children and people living with disabilities. Through these programmes IPID was able to educate and create awareness in respect to its constitutional mandate.

Despite the challenges the Directorate has faced particularly on resource constraints, investigators continued to show commitment in executing their responsibilities in line with the IPID mandate.

In light of the above, I would like to encourage and support IPID in contributing towards the professionalisation of the police service in collaboration with other stakeholders within the Ministry.

A handwritten signature in black ink, appearing to read 'C Mathale'. The signature is written in a cursive style and is positioned above a horizontal line.

Mr C Mathale

Deputy Minister of Police (MP)

Date: 09/11/2020



5. REPORT OF THE ACCOUNTING OFFICER

Overview of the operations of the Department

The Independent Police Investigation Directorate (IPID) was left without a permanent head after the departure of the previous head in February 2019. The Chief Financial Officer and Director: Finance were subsequently appointed to act in the vacant position as head of the Directorate from March 2019 to February 2020 and March 2020 to July 2020 respectively. I therefore started with the Directorate as the Executive Director in August 2020 after the end of the financial year under review.

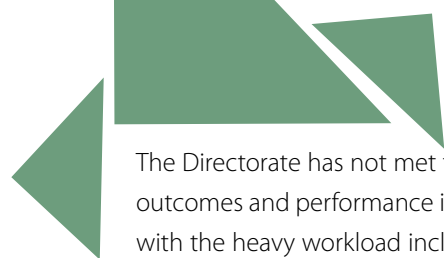
The IPID still faces challenges when it comes to accommodation for its National Office. The lease for the National Office was declared invalid by the North Gauteng High Court. As a result of the invalid lease contract, the Auditor-General of South Africa (AGSA) has declared the costs incurred on the monthly lease rental as irregular expenditure that was disclosed in our 2019/20 Annual Financial Statements. The Directorate has since engaged National Treasury and Department of Public Works (DPW) to address the decision as the contract arrangement was between the landlord and the DPW, not with IPID. In the meantime, the Directorate is expecting to relocate its National Office to the new office building as soon as the landlord is done with the partitioning of the offices.

Given the limited available resources, the Directorate continued to prioritise the investigation of high impact cases which includes; corruption, systemic corruption, death in custody, death as a result of police action, rape by police officer whether on or off duty and rape in police custody.

The reality of the constrained financial resources affected the performance of the core programme, Investigation and Information Management. Despite the challenges the Directorate is faced with, Investigators continued to show commitment in executing IPID's mandate. In 2019/2020 the Directorate managed to secure 175 disciplinary convictions which led to dismissal of 29 police officers from the South African Police Service (SAPS) for offences related to rape, death, corruption, assault and discharge of an official fire-arm.

A total number of 69 criminal convictions were secured across all Provinces; Gauteng with 19, Free State with 10 and Eastern Cape with 8 convictions. Some of the highest sentences secured during the period under review were three (3) life sentence imprisonments from Gauteng. In addition, the Directorate investigated and secured 30 and 20 years' imprisonment from KwaZulu-Natal (KZN) and Gauteng provinces respectively. A total number of eleven (11) for 15 years to 18 years' imprisonment in five (5) Provinces and six (6) of 10 years' imprisonment in two (2) Provinces, Gauteng and KwaZulu-Natal, were secured due to the improved quality of the investigation.

IPID has intensified its human resource development and training programme for investigators to focus on, among other things, specialised investigations. This has improved the overall quality and turnaround time for investigations. The efforts resulted in the Directorate exceeding its training and development target by achieving 12 against the 7 planned performance target.



The Directorate has not met the number of personnel, both in core and support services required by IPID to meet its policy outcomes and performance indicators. This reality puts considerable pressure on the few human resources who have to deal with the heavy workload including backlog cases. To implement its mandate of independence, the IPID should ideally have developed its own capacity to fully investigate cases, including the technical, forensic and ballistic side of its investigations.

The Directorate has finally managed to reprioritise its limited budget allocation to partially implement the long outstanding implementation of Section 23 of the IPID Act. This is the section on condition of services that requires IPID investigators to be paid on par with SAPS detectives. The matter has been pending since the implementation of the IPID Act in 2012 and resulted in legal battle between the Directorate and organised labour. The commencement of the implementation of this section particularly for active investigators, assisted the Directorate in boosting the morale of the Investigators.

However, it must be noted that, the implementation of Section 23 has added a spending pressure on a limited budget allocation since the funds that were set aside by the Directorate could not cover the required costs. The Court Order on Section 23 is still not fully complied with; as the backdated payments for exited investigators and other allowances for active members are still outstanding. The management will continue to reprioritise its budget allocation to accommodate the payment of Section 23 until all members, active and exited are paid.

Along with the Civilian Secretariat for Police Service, the Directorate is the primary oversight body of the police service. During the period under review, the Directorate met quarterly with the Civilian Secretariat for Police Service to discuss collective measures that should be taken by both departments to advance the professionalisation of the police service as envisaged in the National Development Plan. The Directorate also worked closely with the Civilian Secretariat for Police Service to ensure that there is regular and stringent monitoring of the implementation of disciplinary recommendations made to the South African Police Service.

Monthly meetings were held with the Civilian Secretariat for Police Service and the South African Police Service to review monthly statistics in relation to the implementation of disciplinary recommendations forwarded to the police service and cases referred to the National Prosecuting Authority for prosecution.

As a result of strengthening our stakeholder engagements, the Directorate was successfully able to achieve 143 against 136 planned performance target. IPID has also managed to conduct 47 community outreach events against the 40 planned performance target which were intended to create awareness to the citizens in respect of IPID mandate and services. The Department continued to access the most vulnerable parts of the communities, which include women, children and people living with disabilities.

Notwithstanding the challenging environment, the IPID worked very hard to address the issues raised by the AGSA in the previous financial year and I am pleased to say that the Directorate received an unqualified audit opinion in 2019/20 financial year. This outcome will encourage the team to continue to work towards achieving a clean audit.

Due to the dilapidated ICT infrastructure, a plan was developed and implemented to address the identified weaknesses. The activities such as Network Upgrade, Information Security Upgrade and procurement of End User equipment were implemented as part of the ICT Infrastructure Plan.

The amendment of IPID Act Bill process is continuing and once finalised, it will address all identified shortcomings in the Act that prevent attainment of its mandate due to number of factors such as independency, condition of services and structural operations. The IPID Act amendment Bill will among others ensure the expansion of IPID oversight role over the police services by including other law enforcement agencies such as Provincial Traffic, Road Traffic Management Corporation (RTMC), Western Cape Law enforcement and Regional/Local Municipal Police.

Overview of the financial results of the department:

Departmental Receipts

Departmental receipts	2019/2020			2018/2019		
	Estimate	Actual Amount Collected	(Over)/ Under Collection	Estimate	Actual Amount Collected	(Over)/ Under Collection
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	116	117	(1)	158	113	45
Interest, dividends and rent on land	10	12	(2)	12	13	(1)
Sale of capital assets	57	61	(4)	-	45	(45)
Financial transactions in assets and liabilities	190	123	67	121	114	7
Total	373	313	60	291	285	6

The Independent Police Investigative Directorate (IPID) is not one of the main revenue generating departments. Its core mandate is to ensure effective and independent oversight of the South African Police Services (SAPS) and Municipal Police Services (MPS). The policy mandate of the IPID is purely on investigation which is a free government service. The insignificant amount of revenue reported, is mainly on refunds and sales of tender documents.

Programme Expenditure

Programme Name	2019/20			2018/19		
	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/ Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	87 086	87 076	10	101 228	102 180	(952)
Investigation and Information Management	233 383	233 382	1	192 258	192 258	0
Legal and Investigation Advisory Services	5 348	5 320	28	8 961	7 867	1 094
Compliance Monitoring and Stakeholder Management	10 836	10 832	4	12 667	12 526	141
Total	336 653	336 610	43	315 114	314 831	283

As at 31 March 2020, the Department spent an overall actual expenditure of R 336 610 000 against the annual adjusted budget of R336 653 000. The reported actual expenditure translates to 99.99% against the target of 100% which resulted in 0.01% under-spending which amounts to R43 000.00.

Programme 1: Administration

The Programme reported overall actual expenditure of R87 076 311 against the allocated budget of R87 086 293, which translated to 99.99% of the allocated budget. The bulk of spending in this Programme was mainly on centralised support services such as office accommodation, head office cleaning and security services as well as fleet management services. The main cost drivers for the financial year were payments made on fleet services, property payments, audit costs, operating leases and legal costs. In addition, communication has also consumed the bulk of budget due to the centralised Information Communication Technology (ICT) related activities such as renewal of various licenses, data lines, mainframe services and internet services.

The delayed relocation of IPID National Office resulted in savings being realised within Goods and Services and most of these savings were mainly on Security Management and ICT.

Programme 2: Investigation and Information Management

The Programme reported an overall actual expenditure of R233 381 422 against the allocated budget of R233 382 725, which translated to 100% spending. The bulk of spending continued to be on compensation of employees and travel & accommodation incurred during consultations with witnesses, visiting crime scenes and attendance of court on various cases under investigation. Due to limited funding, continuous prioritisation of case is done to focus more on high impact cases such as corruption, death in police custody, death as result of police action, rape by police officer and rape while in police custody.

The Programme's expenditure was also impacted by the implementation of Section 23 of the IPID Act. The implementation of Section 23 was mainly funded through savings and reprioritisation of funds. As at 31 March 2020, the Department was able to settle pension liability for all active members with Government Employee Pension Fund (GEPF), processed backdated payments and adjustment of notches for all Investigators on salary level 7 and 8.

Programme 3: Legal and Investigation Advisory Services

The Programme reported an overall actual expenditure of R5 320 627 against the allocated budget of R5 348 122, which translated to 99.49% spending. The Programme underspent by 0.51% of its total allocated budget. Travel and Subsistence remained the cost driver for the Programmes. A virement amounting of R465 054 was applied from this Programme to Programme 2 to fund the implementation of Section 23.

Programme 4: Compliance Monitoring and Stakeholder Management

The Programme reported an overall actual expenditure of R10 831 501 against the allocated budget of R 10 835 860, which translated to 100%. Travel and Subsistence mainly on accommodation and air transport remained to be some of the main cost drivers for the Programme. This is mainly due to stakeholder engagements and community outreaches undertaken by this Programme.

Virements

The following virements were done to allow for implementation of Section 23

- An amount of R5 485 000 was moved from Programme 1: Corporate Services to Programme 2: Investigations and Information Management
- An amount of R465 054 was moved from Programme 3: Legal and Investigation Advisory Services to Programme 2: Investigations and Information Management.
- An amount of R942 140 was moved from Programme 4: Compliance Monitoring and Stakeholder Management to Programme 2: Investigations and Information Management.



Roll overs

The Department did not apply for roll over in the financial year under review.

Unauthorised Expenditure

The Department did not incur any unauthorised expenditure during the year under review. The reported amount in the 2019/20 financial statements is made of over expenditure incurred by the then Independent Complaint Directorate (ICD) in Programme 3 and 2 during the 2005/06 and 2008/09 financial years respectively. The submission with the supporting documents requesting the authorisation of the disclosed amount has since been submitted to Standing Committee on Public Accounts (SCOPA) through National Treasury for their consideration, however by end of the financial year, the approval for authorisation was not yet granted.

Irregular Expenditure

The Department registered an amount of R68. 8 million in respect of Irregular Expenditure incurred during the year under review.

Fruitless and Wasteful Expenditure

The Department registered an amount of R93 000.00 in respect of Fruitless and Wasteful Expenditure during the year under review.

Public Private Partnerships

The Department did not enter into any Public Private Partnership (PPP) transaction before and during the period under review.

Discontinued Activities / Activities to be Discontinued

The Department operated within its IPID Act No: 1 of 2011 mandate and did not discontinue any of its functions as outlined in the Act during the year under review.

New or Proposed Activities

The Department due to the Budgetary constraints, did not implement any new or proposed activities.

Supply Chain Management

During the period under review, the Department did not receive any unsolicited bid proposal.

The Department has established a Financial Misconduct Committee (FMC) to evaluate all unwanted expenditures and advise the Accounting Officer on appropriate actions to be taken. The Department also developed and implemented payment checklist that assisted end users to identify any non-compliance with the applicable prescripts.

Managers were in various management meetings encouraged to immediately report any suspected cases of unwanted expenditure to the established committee. The Department continued as part of its statutory financial reporting to compile and submit to National Treasury the In-Year Monitoring report (IYM).

Challenges experienced in SCM and how they were resolved

- Staff turnover in the Supply Chain Management Unit due to high operational demands caused by limited capacity had an impact on operations of the Unit since the internal control systems cannot be sustained.
- The current SCM structure is not adequate to deal with current workload, allow for segregation of duties and maintain internal control systems. SCM practitioners with the demand, acquisition, invoicing and contract management knowledge are still required in the Department to allow for segregation of duties as well as improving compliance to SCM processes.

Gifts, Donations and Sponsorships

The following donations and sponsorships were received during the period under review and were recorded on the Gift, Donations and Sponsorship Register and disclosed in the Annual Financial Statements:

- A donation for an Advance Driving (High Performance Driving) course amounting to R14 000.00 was registered as donations received in kind from Volkswagen South Africa.
- Sponsorship was received for attendance of annual Employee Assistance Professionals Association (EAPA) workshop by Employee Health and Wellness (EHW) from Employee Assistance Professionals Association South Africa (EAPA-SA) Board amounting to the value of R19 087.00
- Sponsorship was received from African Policing Civilian Oversight Forum (APCOF) for travel arrangement for the Acting Executive Director to attend a Round Table discussion in Nigeria to the value of R17 942.00

Exemptions and Deviations received from the National Treasury

- No deviations were received from National Treasury.

Events after the reporting date

- A claim amounting to R691 614.45 was received by the Department from the Civilian Secretariat of Police (CSP) which relates to costs incurred during the recruitment process of the post of Executive Director of IPID. The claim was only brought to the attention of the Department after the reporting date and therefore is considered a non-adjusting event in line with the Modified Cash Standard (MCS).
- In compliance to the Concourt McBride judgement the Parliament concluded the Committee Bill which was then assented by the President on 26 May 2020.
- Ms DJ Ntlatseng was appointed as IPID's Executive Director with effect from 01 August 2020.

Other

- The department is not aware of any material fact or circumstances not addressed for the year under review, which may have an effect on the understanding of the financial state of affairs.

Acknowledgement/s or Appreciation

I would like to take this opportunity to thank all our stakeholders for their continuing trust and support. I appreciate the commitment of IPID staff and management; and also thank Political Principals and Parliament for their support, leadership and oversight role. A special thank you to members of the community for their unwavering support and confidence.

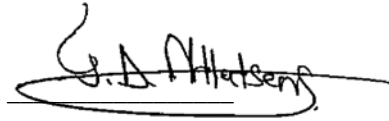
Conclusion

It is through seeing our actions that the members of public will have faith and trust in the criminal justice system. We must therefore continue to restore public trust by acting lawfully without fear, favour or prejudice.

I, hereby present the 2019/20 Annual Report of the Independent Police Investigative Directorate.

Approval and sign off

The Annual Financial Statements set out on pages 134 to 202 have been approved by the Accounting Officer.

A handwritten signature in black ink, appearing to read 'D.J. Ntlatseng', is written over a horizontal line. The signature is stylized and cursive.

Ms DJ Ntlatseng

Accounting Officer

Independent Police Investigative Directorate

Date: 06/11/2020

6. STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the annual report are consistent.

The annual report is complete, accurate and is free from any omissions.

The annual report has been prepared in accordance with the guidelines on the annual report as issued by National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

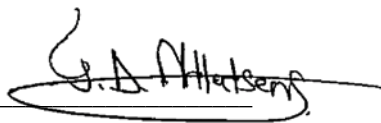
The Accounting Officer is responsible for the preparation of the annual financial statements and for the judgements made in this information.

The Accounting Officer is responsible for establishing and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the annual financial statements.

The external auditors are engaged to express an independent opinion on the annual financial statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the department for the financial year ended 31 March 2020.

Yours faithfully



Accounting Officer

Ms DJ Ntlatseng

Date 06/11/2020

7. STRATEGIC OVERVIEW

7.1. Vision

An effective independent investigative oversight body that ensures policing that is committed to promoting respect for the rule of law and human dignity.

7.2. Mission

To conduct independent, impartial and quality investigations of identified criminal offences allegedly committed by members of the South African Police Services (SAPS) and Municipal Police Services (MPS), and to make appropriate recommendations in line with the IPID Act, whilst maintaining the highest standard of integrity and excellence.

7.3. Values

The IPID adheres to the highest standards of ethical behaviour through the continuous application of our values. The following values are the core from which we operate and respond:

- a) Independence and impartiality
- b) Mutual respect and trust
- c) Integrity and honesty
- d) Transparency and openness
- e) Equity and fairness
- f) Courtesy and commitment

8. LEGISLATIVE AND OTHER MANDATES

Constitutional Mandate

Section 206(6) of the Constitution of the Republic of South Africa makes provision for the establishment of an independent police complaints body and stipulates that “On receipt of a complaint lodged by a provincial executive, an independent police complaints body established by national legislation must investigate any alleged misconduct of, or offence committed by, a member of the police services in the province.”

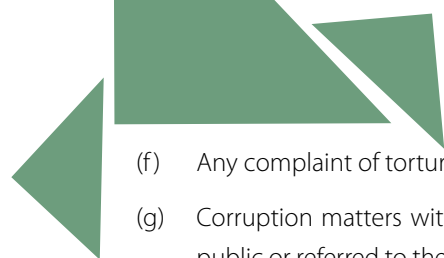
Legislative Mandates

The Independent Police Investigative Directorate (IPID) Act No. 1 of 2011 gives effect to the provision of Section 206(6) of the Constitution of the Republic of South Africa, ensuring independent oversight of the SAPS and MPS. The IPID resides under the Ministry of Police and functions independently of the SAPS.

The IPID Act empowers the Department to investigate offences listed hereunder, allegedly committed by the SAPS and the MPS members.

Section 28 (1) of the IPID Act:

- (a) Any death in police custody
- (b) Deaths as a result of police actions;
- (c) Complaints relating to the discharge of an official firearm by any police officer;
- (d) Rape by a police officer, whether the police officer is on or off duty;
- (e) Rape of any person in police custody;

- 
- (f) Any complaint of torture or assault against a police officer in the execution of his or her duties;
 - (g) Corruption matters within the police initiated by the Executive Director, or after a complaint from a member of the public or referred to the Department by the Minister, Member of Executive Council (MEC) or the Secretary for the Police Service;
 - (h) Any other matter referred to the IPID as a result of a decision by the Executive Director or if so requested by the Minister, an MEC or the Secretary for the Police Service as the case may be.

Section 28 (2) of the IPID Act, 2011:

“the Department may investigate matters relating to systemic corruption involving the police”.

Section 33(3) of the IPID Act of 2011:

“any police officer who fails to comply with Section 29 is guilty of an offence and liable on conviction to a fine or to imprisonment for a period not exceeding two years”.

Other Mandates

National Development Plan (NDP)

The core mandate of IPID contributes towards the realization of Chapter 12 of the NDP, through implementation of Outcome 3 which states that “All people in South Africa are and feel safe”.

9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

The table below indicates the entities that report to the Minister.

Name of Entity	Legislative Mandate	Financial Relationship	Nature of Operations
South African Police Service	South African Police Service Act, 1995 (Act No. 68 of 1995)	None	To create a safe and secure environment for all people in South Africa
Civilian Secretariat for Police	Civilian Secretariat for Police Act, 2011 (Act No. 2 of 2011)	None	To provide technical advisory services to the Minister
Private Security Industry Regulatory Authority	Private Security Industry Regulation Act, 2001 (Act No. 56 of 2001)	None	To provide for the regulation of the Private Security Industry



PART B:

**PERFORMANCE
INFORMATION**

1. AUDITOR GENERAL'S REPORT: PREDETERMINED OBJECTIVES

The AGSA currently performs certain audit procedures on the performance information to provide reasonable assurance in the form of an audit conclusion. The audit conclusion on the performance against predetermined objectives is included in the report to management, with material findings being reported under the Predetermined Objectives heading in the Report on other legal and regulatory requirements section of the auditor's report.

Refer to pages 130 to 133 of the Report of the Auditor General, published as Part E: Financial Information.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

2.1 Service Delivery Environment

During the period under review the Departmental structure consisted of a National Office, 09 Provincial Offices and four Satellite Offices. Satellite offices were reduced from 09 to 04 due to inability to sustain the operational costs. Some of the provinces experienced high intake from areas where the Satellite Offices were closed this led to an extensive travelling. Management has since commenced with the discussion and need analysis which will inform the re-opening of some of the closed satellite offices.

The Department's total establishment was reduced by 24 posts, from 415 to 391 to ensure that the Department's expenditure remains within its allocation after implementation of Section 23 of the IPID Act. This meant that posts that were created to address internal control deficiencies in key governance units could no longer be funded.

The upgrade of the dilapidated ICT infrastructure continued to be prioritised through implementation of the ICT Strategy. A three-year implementation plan was developed and implementation thereof is still in progress. A total of 45 laptops and 35 desktops were procured. 3 upgrade projects were completed mainly server and storage upgrade; microsoft software upgrade; network and telephone system updates.

A strategic procurement of investigation tools was done to enhance investigation resources. A total of 52 vehicles were procured for investigation purposes and by the end of the financial year the process of procuring 8 bakkies was underway. Protective gear comprising of bulletproof vests were distributed to provincial offices.

The reliance on other institutions such as Forensic Service Laboratory (FSL) and the Department of Health for specialised investigative skills remained a challenge. However, the office of Chief Director Investigation and Information Management coordinated engagements with these entities regarding the outstanding technical reports such as DNA results, toxicology, ballistic, post-mortem and histology reports. These engagements contributed in reducing the backlog of these technical reports.

The implementation of Section 23 of the IPID Act remained a priority during the reporting period. A number of key stakeholders were consulted and engaged to ensure that the process is finalised. Although no additional funding was allocated for implementation of Section 23, the Department was able to settle Pension liability for all active members with Government Employee Pension Fund (GEPF), processed backdated payments and adjustment of notches for all Investigators on salary level 7 and 8 through savings and reprioritisation of funds.

2.2 Service Delivery Improvement Plan

The Department has completed a service delivery improvement plan. The tables below highlight the service delivery plan and the achievements to date.

Main services and standards

Main services	Beneficiaries	Current/actual standard of service	Desired standard of service	Actual achievement
Investigation	Complaints/Victims	45% Completion of Assaults Cases	51%	29% (2504 /8722)

Batho Pele arrangements with beneficiaries (Consultation access etc.)

Current/actual arrangements	Desired arrangements	Actual achievements
Community awareness campaign	40 Community outreach campaigns to be conducted	47 community outreach campaign were conducted

Service delivery information tool

Current/actual information tools	Desired information tools	Actual achievements
E-mail	Live internet website	The website is activated
Internet web site	Introduction of social media e.g. Facebook (training required)	Facebook and Twitter introduced

Complaints mechanism

Current/actual complaints mechanism	Desired complaints mechanism	Actual achievements
Office of the Executive Director	None	Majority of the complaints received from external stakeholders are received through the Office of the Executive Director and the National Anti-corruption hotline

2.3 Organisational environment

- The position of the Executive Director was vacant for the entire financial year. Mr VO Senna, Chief Financial Officer was appointed to act from 01 March 2019 until 29 February 2020. Thereafter, Mr PM Setshedi, Director Finance was appointed to act in the month March 2020.
- 04 Senior Managers were suspended during the last quarter of the financial year. Other senior managers were appointed to act in these positions to ensure that service delivery is not disrupted. The disciplinary processes were not yet concluded by the end of the financial year.

2.4 Key policy developments and legislative changes

- By the end of the financial year, the IPID Act Amendment Bill was submitted to the Leader of Government Business (Deputy President) for enrolment in the Legislative Program 2020.

3. STRATEGIC OUTCOME ORIENTED GOALS

Strategic Outcome-Oriented Goals		Achievements
1	The IPID is an effective independent oversight body	<ul style="list-style-type: none"> The process of amending the IPID Act to strengthen structural and operational independence is continuing. The Committee Bill was adopted by the National Council of Provinces (NCOP). By the end of the financial year the Bill was yet to be adopted by the National Assembly.
2	The IPID investigates cases effectively and efficiently	<ul style="list-style-type: none"> A total of 16 investigators were trained on Investigation of Crime, Compilation of Case Docket and Conducting Investigative Interviews to enhance the quality of investigations. A Backlog Strategy was implemented to reduce backlog cases. An assessment was done to determine the outstanding activities and challenges that should be addressed to finalise the investigation. Investigation of 1 616 cases was completed. 175 disciplinary convictions and 69 criminal convictions were secured. By end of the financial year, a total of 701 cases were still on the court roll. Procurement of investigation tools was prioritised. A total of 52 vehicles were procured for investigators to enhance investigation resources.
3	The police service is responsive to IPID recommendations	<ul style="list-style-type: none"> Monthly and quarterly meetings were convened between IPID and SAPS at both National and Provincial Offices to discuss recommendations referred, disciplinary actions initiated and the outcomes of disciplinary actions. A total of 870 negative recommendations for disciplinary action were referred to SAPS during the period under review; disciplinary process was initiated for 274 recommendations, disciplinary process finalised for 365 recommendations and the department is awaiting feedback on 231 recommendations.
4	The IPID is accessible to the public	<ul style="list-style-type: none"> A total of 47 community outreach events were conducted across the country. These included Imbizos where over 300 people could be reached in one event. Radio interviews were also conducted to create awareness about IPID's mandate and the services. Satellite offices were reduced from 09 to 04 due to inability to sustain the operational costs. This had an impact on accessibility of IPID offices by complainants. An intervention was implemented by engaging other organs of state to share office space which will cost lesser than leasing independent office space. The engagements will still continue in the new financial year.

4. PERFORMANCE INFORMATION BY PROGRAMME

To effectively and efficiently deliver on our mandate, our activities and priorities are organised according to the following budget programmes:

- Programme 1: Administration
- Programme 2: Investigation and Information Management
- Programme 3: Legal and Investigation Advisory Services
- Programme 4: Compliance Monitoring and Stakeholder Management

4.1 Programme 1: Administration

Purpose: Provide strategic leadership, management and support services to the Department. The Programme's activities are guided by the following key legislative framework; Public Finance Management Act (PFMA), Preferential Procurement Policy Framework Act (PPPFA), Treasury Regulations, Public Service Act (PSA), Public Service Regulations (PSR), Labour Relations Act, Skills Development Act, Employment Equity Act, Basic Conditions of Employment Act, Government Immovable Asset Management Act (GIAMA), National Archives Act, Minimum Information Security Standards (MISS), Public Administration Management Act (PAMA), Promotion of Access to Information Act (PAIA) and etc.

The Programme consists of the following five sub-programmes:

- a) Departmental Management provides strategic leadership, overall management and strategic reporting of the Directorate and ensures overall compliance with all relevant prescripts through the following 06 components:

Components	Purpose
Executive Support	Provides strategic support to the Executive Director of the IPID. It also provides administrative, logistical and secretariat services and coordination of activities in the Office of the Executive Director.
Corporate Governance	Provides risk and ethics management services and ensures compliance with laws, regulations and other prescripts.
Strategy and Performance Monitoring	Is responsible for implementing effective organisational strategic planning, performance monitoring and reporting processes in line with relevant legislations. It also conducts evaluation to improve department's performance.
Vetting Services	Provides pre-employment screening as well as information gathering for existing employees in order to obtain security clearances in line with the IPID Act.
Labour Relations	Manages labour related issues by coordinating orderly collective bargaining and effective resolution of employee labour disputes.
Security Management	Provides security management services by developing and supporting the implementation of security policies, systems and procedures. It provides access security, information security and physical security and monitors the implementation of information technology policies based on Minimum Information Security Standards, Minimum Physical Security Standards and the Occupational Health and Safety Act.

- b) Internal Audit provides assurance and consulting services by conducting risk-based audit reviews and performing ad-hoc requests.
- c) Finance Services ensures the establishment and implementation of strategic finance pertaining to sound financial management, accounting, procurement, provisioning and related internal controls in compliance with relevant legislative requirements. The sub-programme consists of the following components:

Components	Purpose
Office of the Chief Financial Officer	Provides strategic support to the Executive Director and core service delivery Programmes, pertaining to finance services of the Department. The Component provides effective leadership and ensures the establishment and implementation of strategic finance, for the achievement of departmental objectives. This Component provides leadership to the Finance, Supply Chain Management (SCM) and Asset Management components. This component also provides strategic support in the implementation of relevant IPID Act financial management imperatives.
Finance	Provides for the establishment and implementation of sound financial management, expenditure and budgetary management, accounting services, cash-flow management, financial reporting and related internal control systems in compliance with relevant legislative requirements. It also assists the Executive Director in implementing the legislative imperatives as provided for in section 7(1)(a), section 7(1)(b); section 31(1)(a) and section 32(2)(a) of the IPID Act. It provides critical finance support to all service delivery units within the department for the achievement of departmental objectives.
Supply-Chain and Asset Management	Provides for the establishment and implementation of provisioning, procurement, asset management and related internal control systems, in compliance with relevant legislative requirements. It provides critical supply-chain and asset management services to the Department and renders efficient provisioning services which contribute towards the attainment of departmental objectives.

d) Corporate Services provides support services to the Department as a whole through the following components:

Components	Purpose
Human Resources Management and Development Services	Provides human resources management and development services through the development of human resource policies and strategies. It ensures the alignment of the organisational structure to the Strategic Plan. It is responsible for rendering efficient and effective human resource administration services. It promotes the optimal development and utilisation of human resources and co-ordinates the employee health and wellness programme.
Information Communication Technology	Provides communication services by developing, implementing and maintaining Information Communication Technology Strategy and advisory services. It is responsible for development and implementation of a Master System Plan and Strategy for Information System Security. It develops, manages and co-ordinates website, intranet and integrated ICT infrastructure. It also provides Business Continuity services.
Auxiliary Services	Provides record management services, manage fleet services, render switchboard services, render messenger services and oversee the rendering of cleaning services. It also provides overall services related to activities and costs of office accommodation for the Department as a whole. This includes managing Service Level Agreement with Department of Public Works regarding the renting of new property and maintenance of existing property.

e) Office Accommodation houses the devolved funds which are appropriated for office accommodation and related costs. The Auxiliary Services component performs the management of IPID facilities.

Strategic objectives, performance indicators, planned targets and actual achievements

Strategic objectives

Programme 1: Administration					
Strategic objectives	Actual Achievement 2018/2019	Planned Target 2018/19	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement for 2019/2020	Comment on deviations
A capable workforce	18	7	12	5	Target was exceeded due to additional training programmes that were reprioritised for the whole department.

Performance indicators

Programme 1: Administration							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on deviations
	2016/2017	2017/2018	2018/2019				
Percentage vacancy rate per year	11%	7%	13.49%	<10%	9.97% (39/391)	-	Target achieved
Percentage implementation of annual Internal Audit Plan	70%	74% (14)	92% (24)	80%	79% (23/29)	-1%	National Response Plan for Covid-19 impacted on the reporting and finalisation of some of the audit reports.
Percentage implementation of risk mitigation strategies	New indicator	New indicator	44% (8)	50%	21% (3/14)	-29%	Most of the mitigation plans were partially implemented due dependency on third party involvement/ external stakeholders.
Number of evaluations conducted per year	New indicator	New indicator	Approved Evaluation Plan	1	Draft Evaluation Report	-1	Draft evaluation report is in place. The project commenced during Q4 due to limited capacity as a result of vacant position of DD:M&E which was filled September 2019.
Obtain positive audit Outcome	New indicator	New indicator	New indicator	Unqualified audit opinion	Unqualified audit opinion	None	None
Percentage implementation of ICT Infrastructure Plan	New indicator	New indicator	New indicator	80%	50% (4/8)	-30%	Implementation of the ICT Plan was not adequately monitored.

Strategy to overcome areas of under performance

- Review the Technical Indicator Description (TID) to cover the audit process methodology in respect of late submission of management responses.
- Review mitigation plans in the new strategic risk register for 2020/21 financial to be realistic and within the department's control
- The evaluation project will be finalised in the new financial year and also cover 2019/2020 performance. The performance will be monitored at an operational level.

- Establish and ICT Steering Committee to play an oversight role at an operational level to assist the Department in addressing ICT operational challenges and monitor progress.

Changes to planned targets

There were no changes to planned targets.

Linking performance with budgets (CFO)

The Programme reported overall actual expenditure of R87 076 311 (99.99%) of the allocated budget. The main cost drivers for the financial year were payments made on fleet services, property payments, audit costs, operating leases and legal costs. In addition, bulk of budget was also spent on Information Communication Technology (ICT) related activities such as renewal of various licenses, data lines, mainframe services, internet services and upgrading of ICT infrastructure.

Sub-programme expenditure

Sub- Programme Name	2019/2020			2018/2019		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Department Management	13 637	13 636	1	14 738	14 644	94
Corporate Services	34 307	34 302	5	49 282	50 370	(1 088)
Office Accommodation	13 147	13 147	-	12 450	12 450	-
Internal Audit	5 399	5 398	1	4 323	4 309	14
Finance Services	20 596	20 593	3	20 435	20 407	28
Total	87 086	87 076	10	101 228	102 180	(952)

4.2 Programme 2: Investigation and Information Management

Purpose: Coordinate and facilitate the Department's investigation processes through the development of policy and strategic frameworks that guide and report on investigations.

The programme consists of the following three sub-programmes:

- Investigation Management develops and maintains investigation systems, procedures, norms, standards and policies in line with the IPID Act (2011) and other relevant prescripts.
- Investigation Services manages and conducts investigations in line with provisions in the IPID Act (2011)
- Information Management manages information and knowledge-management services through the development and maintenance of a Case Flow Management System and database, analyses and compiles statistical information.

Strategic objectives, performance indicators, planned targets and actual achievements

Strategic objectives:

Programme 2: Investigation and Information Management					
Strategic objectives	Actual Achievement 2018/2019	Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement for 2019/2020	Comment on deviations
Specialised investigative capacity established	104	75	16	-59	Target not achieved. The training intervention was deferred from Q3 to Q4 however, due to operational demands the Management Committee (MANCO) took a decision that the specialised training interventions be deferred to the new financial year.
Decision ready cases finalised	35% (4 171)	45% (4 893)	29% (3 885 / 13 255)	-16%	Target not achieved due to high intake; particularly in KwaZulu-Natal, Gauteng, Western Cape and Eastern Cape provinces. Furthermore, delays in obtaining technical reports (ballistic, histology, toxicology, DNA results).

Performance indicators

Programme 2: Investigation and Information Management							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Percentage of cases allocated within 72 hours of receipt of written notification per year	79% (5 543)	79% (4 445)	87% (5 048)	80% (5 100)	92% (5 201 / 5 640)	12%	Target exceeded due to effective monitoring processes.

Programme 2: Investigation and Information Management							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Number of statistical reports generated as per Sec 9(n) of IPID Act per year	6	6	2	2	2	None	Target achieved
Number of investigations of deaths in police custody cases that are decision ready per year	46% (140)	72% (145)	154	150	174	24	Target exceeded due to prioritisation of cases.
Number of investigations of deaths as a result of police action cases that are decision ready per year	29% (115)	30% (130)	157	130	141	11	Target exceeded due to prioritisation of cases.
Number of investigations of discharge of an official firearm cases by a police officer that are decision ready	49% (805)	21% (145)	337	150	257	107	Target exceeded due to prioritisation of cases.
Number of investigations of rape by a police officer that are decision ready per year	54% (61)	66% (69)	100	69	90	21	Target exceeded due to prioritisation of cases.
Number of investigations of rape while in police custody that are decision ready per year	25% (5)	100% (9)	12	10	8	-2	Target not achieved A total of 11 cases were registered during the period under review, 4 cases were received between December 2019 and March 2020. By the end of financial year, 3 cases were still under investigation.
Number of investigations of torture cases that are decision ready per year	36% (63)	18% (39)	81	45	62	17	Target exceeded due to prioritisation of cases.

Programme 2: Investigation and Information Management

Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Number of investigations of assault that are decision ready per year	53% (2 040)	31% (1 140)	1 794	2 000	1 461	-539	Target not achieved The challenge in completion of the cases is due to prioritisation of cases in terms of impact.
Number of investigations of corruption that are decision ready per year	41% (66)	45% (56)	60	60	35	-25	Target not achieved A total of 66 cases were registered during the period under review; with 14 cases received between December 2019 and March 2020. Other cases were still under investigation by end of financial year.
Number of investigations of other criminal and misconduct matters referred to in section 28(1)(h) of the IPID Act that are decision ready per year	35% (110)	22% (33)	57	40	10	-30	Target not achieved A total of 18 cases were received; lower than the set target. 5 cases were received between November 2019 and March 2020. The investigation could not be finalised on other cases due to outstanding statements of witnesses and challenges in tracing suspects to obtain warning statements.
Number of investigations of offences referred to in section 33 of the IPID Act that are decision ready	New indicator	New indicator	New indicator	10	31	21	Target exceeded due to prioritisation of cases.

Programme 2: Investigation and Information Management							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Number of approved systemic investigations that are decision ready per year	4	5	4	3	4	1	Target exceeded due to prioritisation of cases.
Number of all backlog decision ready cases completed per year	49% (1 214)	33% (1 128)	1 415	1 490	1 612	122	Target exceeded due to prioritisation of cases.
Percentage of dockets referred to the National Prosecuting Authority within 30 days of being signed off per year	75% (859)	92% (1 317)	96% (1 964)	90% (1 350)	95% (2 331 / 2 443)	5%	Target exceeded due to effective monitoring processes.
Percentage of disciplinary recommendation reports referred to the South African Police Service and/or Municipal Police Services within 30 days of being signed off per year	83% (1 026)	94% (1 732)	93% (2 198)	90% (1 450)	94% (2 552 / 2 722)	4%	Target exceeded due to effective monitoring processes.

Strategy to overcome areas of under performance

- The training interventions will be prioritised in the 2020/2021 financial year
- The investigation of outstanding cases is ongoing and will be finalised in the 2020/21 financial year.

Changes to planned targets

There were no changes to planned targets.

Linking performance with budgets

The Programme reported an overall actual expenditure of R233 381 422 (100%) spending. The bulk of spending was on compensation of employees and travel & accommodation incurred during consultations with witnesses, visiting crime scenes and attendance of court on various cases under investigation. Due to limited funding, continuous prioritisation of case was done to focus more on high impact cases, such as death in police custody, death as a result of police action, rape by police officer, rape while in police custody, torture and corruption. In addition backlog strategy was implemented to reduce backlog cases.

The Programme's expenditure was also impacted by the implementation of Section 23 of the IPID Act. As at 31 March 2020, the Department was able to settle Pension Liability for all active members with Government Employee Pension Fund (GEPF), processed backdated payments and adjustment of notches for all Investigators on salary level 7 and 8.

Sub-programme expenditure

Sub- Programme Name	2019/2020			2018/2019		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Investigation Management	16 802	16 801	1	18 472	18 472	0
Investigation Services	212 429	212 429	0	170 527	170 527	0
Information Management	4 152	4 152	0	3 259	3 259	0
Total	233 383	233 382	1	192 258	192 258	0

4.3 Programme 3: Legal and Investigation Advisory Services

Purpose: Manage and facilitate the provision of investigation advisory services. Provide legal, civil and labour litigation services.

The programme consists of the following three sub-programmes:

- Legal Support and Administration manages the Directorate's legal obligations by developing and maintaining systems, procedures and standards to assist, guide and direct legal support within the Directorate.
- Litigation Advisory Services coordinates civil and labour litigation. Other key activities and outputs include drafting and reviewing contracts and service level agreements.
- Investigation Advisory Services provides support during and after investigations, provides legal guidance to investigators and also facilitates the granting of policing powers.

Strategic objectives, performance indicators, planned targets and actual achievements

Strategic objectives:

Programme Name: Legal and Investigation Advisory Services					
Strategic objectives	Actual Achievement 2018/2019	Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement for 2019/2020	Comment on deviations
To provide investigation advisory services to investigators to ensure quality investigation	100% (7)	90% (6)	100% (5/5)	10%	Target exceeded due to effective monitoring processes

Performance indicators

Programme 3: Legal and Investigation Advisory Services							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Percentage of legal advice provided to the department on litigation matters within 12 working days of receipt of the action or application per year	New indicator	New indicator	New indicator	100% (6)	86% (6/7)	-14%	Target not achieved due to a mistaken computation of time and lack of supporting information by relevant stakeholder
Percentage of written legal advice provided to the Department within 30 working days of request per year	71%	10% (1)	88% (7 of 8)	70% (8)	100% (8/8)	30%	Target exceeded due to effective monitoring processes

Programme 3: Legal and Investigation Advisory Services

Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on Deviations
	2016/2017	2017/2018	2018/2019				
Percentage of contracts / service level agreement finalised within 30 working days of request per year	Merged with another indicator	71% (22)	94% (33)	70% (25)	96% (24/25)	26%	Target exceeded due to effective monitoring processes.
Percentage of oral legal advice provided to investigators within 24 hours of request per year	100% (3)	100% (2)	100% (5)	90% (2)	100% (4/4)	10%	Target exceeded due to effective monitoring processes.
Percentage of written legal advice provided to investigators within 2 working days of request per year	100% (3)	100% (5)	100% (2)	90% (5)	100% (1/1)	10%	Target exceeded due to effective monitoring processes.
Percentage of PAIA requests finalised within 30 days per year	91% (60)	96% (91)	95% (104)	100% (95)	98% (189/192)	-2%	Target not achieved due delays in retrieval of information.
Number of workshops conducted with investigators on practice notes produced per year	New indicator	New indicator	New indicator	3	3	None	None

Strategy to overcome areas of under performance

- Improve record keeping and tracking of activities
- Improved communication with Provinces has assisted to address delays.

Changes to planned targets

- There were no changes to planned targets.

Linking performance with budgets

The Programme reported an overall actual expenditure of R5 320 627 (99.49%) of its allocated budget. Travel and Subsistence remained the cost driver for the Programme.

Sub-programme expenditure

Sub- Programme Name	2019/2020			2018/2019		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000
Legal Support and Administration	1 918	1 918	-	1 721	1 720	1
Litigation Advisory Services	1 280	1 280	-	3 283	2 190	1 093
Investigation Advisory Services	2 150	2 122	28	3 957	3 957	-
Total	5 348	5 320	28	8 961	7 867	1 094

4.4 Programme 4: Compliance Monitoring and Stakeholder Management

Purpose: Monitor and evaluate the relevance and appropriateness of recommendations made to the South African Police Service and Municipal Police Services in terms of the Independent Police Investigative Directorate Act No. 1 of 2011.

The programme consists of the following two sub-programmes:

- Compliance Monitoring monitors and evaluates the quality of recommendations made and responses received on such recommendations from the South African Police Service, Municipal Police Services and National Prosecuting Authority in compliance with the reporting obligations in terms of the IPID Act, 2011.
- Stakeholder Management manages relations and liaises with the Directorate's key stakeholders such as the South African Police Service, Municipal Police Services, Civilian Secretariat for Police, National Prosecuting Authority, the Special Investigating Unit, the Public Protector of South Africa, the State Security Agency and Civil Society Organisations, in line with the requirements of the IPID Act.

Strategic objectives, performance indicators, planned targets and actual achievements

Strategic objectives:

Programme 4: Compliance Monitoring and Stakeholder Management					
Strategic objectives	Actual Achievement 2018/2019	Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement for 2019/2020	Comment on deviations
An Integrated Communication and Stakeholder Engagement Strategy	92% (12)	90%	92% (10/11)	2%	Target achieved

Performance indicators

Programme 4: Compliance Monitoring and Stakeholder Management							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on deviations
	2016/2017	2017/2018	2018/2019				
Number of community outreach events conducted per year	98	127	106	40	47	7	Target exceeded due to collaboration with other organisations in their events.
Number of formal engagements held with key stakeholders per year	134	186	138	136	143	7	Target exceeded due to emergent need to engage with stakeholders.
Number of disciplinary recommendations referred to SAPS and MPS that are analysed per year	New indicator	New indicator	2 366	1 100	2 722	1 622	Target exceeded due to high number of recommendations referred to SAPS than expected.

Programme 4: Compliance Monitoring and Stakeholder Management							
Performance Indicator	Actual Achievement			Planned Target 2019/2020	Actual Achievement 2019/2020	Deviation from planned target to Actual Achievement 2019/2020	Comment on deviations
	2016/2017	2017/2018	2018/2019				
Number of criminal referrals forwarded to NPA that are analysed per year	New indicator	New indicator	1 950	748	2 439	1 691	Target exceeded due to high number of recommendations referred to NPA than expected.
Percentage of responses from SAPS and MPS that are analysed per year	New indicator	New indicator	63% (386)	70% (1 260)	99% (596/599)	-29%	Target exceeded due to high number of recommendations submitted to SAPS than expected.
Percentage of responses from the NPA that are analysed per year	New indicator	New indicator	59% (326)	70% (840)	94% (1 082/ 1 148)	24%	Target exceeded due to high number of dockets referred to NPA than expected.
Number of case docket inspections conducted per year	New indicator	New indicator	New indicator	5	5	-	None

Strategy to overcome areas of under performance

- All targets were achieved.

Changes to planned targets

There were no changes to planned targets.

Linking performance with budgets

The Programme reported overall actual expenditure of R10 831 501 (99.95%) against its allocated budget. Travel and Subsistence mainly on accommodation and air transport remained to be some of the main cost driver for the Programme. This is mainly due to stakeholder engagements and community outreaches undertaken by this Programme.

Sub-programme expenditure

Sub- Programme Name	2019/2020			2018/2019		
	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure	Final Appropriation	Actual Expenditure	(Over)/Under Expenditure
		R'000	R'000	R'000	R'000	R'000
Compliance Monitoring	6 561	6 561	0	5 960	5 819	141
Stakeholder Management	4 275	4 271	4	6 707	6 707	0
Total	10 836	10 832	4	12 667	12 526	141



5. TRANSFER PAYMENTS

Not applicable to the Department.

6. CONDITIONAL GRANTS

Not applicable to the Department.

7. DONOR FUNDS

Not applicable to the Department.

8. CAPITAL INVESTMENT

Not applicable to the Department.



STATISTICAL REPORT ON INVESTIGATIONS

1. INTRODUCTION

The purpose of this report is to outline the investigative activities of the Independent Police Investigative Directorate (IPID) for the 2019/2020 financial year. The report details the number and type of cases investigated; the recommendations made as well as the outcome of those recommendations, in accordance with Section 9(n) of the IPID Act read with Section 28 thereof.

2. THE MANDATE OF THE IPID IN RESPECT OF INVESTIGATIONS AND RECOMMENDATIONS

In terms of Section 28(1) of the IPID Act 1 of 2011, the IPID is obligated to investigate the following matters:

- a. any deaths in police custody;
- b. deaths as a result of police actions;
- c. any complaint relating to the discharge of an official firearm by any police officer;
- d. rape by a police officer, whether the police officer is on or off duty;
- e. rape of any person while that person is in police custody;
- f. any complaint of torture or assault against a police officer in the execution of his or her duties;
- g. corruption matters within the police initiated by the Executive Director on his or her own, or after the receipt of a complaint from a member of the public, or referred to the Directorate by the Minister, an MEC or the Secretary, as the case may be; and
- h. any other matter referred to it as a result of a decision by the Executive Director, or if so requested by the Minister, an MEC or the Secretary as the case may be, in the prescribed manner.

In terms of Section 28(2) of the IPID Act 1 of 2011, the IPID may investigate matters relating to systemic corruption involving the police.

In addition to the above provision of the IPID Act 1 of 2011, Section 29 of the Act places an obligation on members of the South African Police Service (SAPS) and Municipal Police Service (MPS), to report all matters referred to in Section 28(1)(a) to (f) to the IPID immediately upon becoming aware of such a matter and within 24 hours, forward the said report in writing to the IPID. After investigation the IPID makes appropriate recommendations to the SAPS/MPS which in turn must initiate disciplinary proceedings in compliance with Section 30 of the Act. The SAPS is required in terms of Section 30 to report to the Minister of Police on the recommendations forwarded to it by the IPID. The IPID is further required in terms of Section 7 of the Act to refer recommendations to the Director of Public Prosecutions (DPP) and report to the Minister of Police on such recommendations and the outcome thereof.

3. SECTION A: CASE INTAKE IN TERMS OF THE IPID MANDATE

The following is a statistical breakdown of cases that were received during the period under review.

A total of 5 640 cases were reported to the IPID during the reporting period. The majority of the cases reported were within Section 28(1) (a) to (h). Of this figure, 3 820 were assault cases, 684 were cases of complaints of discharge of an official firearm(s), 392 were cases of deaths as a result of police action followed by 237 cases of death in police custody.

Table 1(a) below depicts the total number of the cases reported in the period under review:

Table 1(a): Annual intake for the period under review	Incident(s)
Section 28(1)(a)-deaths in police custody	237
Section 28(1)(b)-deaths as a result of police action	392
Section 28(1)(c)-complaints of discharge of an official firearm(s)	684
Section 28(1)(d)-rape by police officer	120
Section 28(1)(e)-rape in police custody	11
Section 28(1)(f)-torture	216
Section 28(1)(f)-assault	3 820
Section 28(1)(g)-corruption	84
Section 28(1)(h)-other criminal matter and misconduct	18
Section 28(2)-systemic corruption	1
Non-compliance with Section 29 of IPID Act	57
Total	5 640*

*This number refer to cases against both the SAPS and MPS

Table 1(b) shows a decrease in the number of cases reported when compared with the previous year 2018/2019. The IPID has experienced an overall decrease of 189 (3%) compared to the 2019/2020 financial year intake.

Table 1(b): Annual intake comparisons	2018-2019	2019-2020	Changes	
			Number	Percentage
Section 28(1)(a)-deaths in police custody	214	237	23	11%
Section 28(1)(b)-deaths as a result of police action	393	392	-1	0%
Section 28(1)(c)-complaint of the discharge of official firearm(s)	770	684	-86	-11%
Section 28(1)(d)-rape by police officer	124	120	-4	-3%
Section 28(1)(e)-rape in police custody	13	11	-2	-15%
Section 28(1)(f)-torture	270	216	-54	-20%
Section 28(1)(f)-assault	3 835	3 820	-15	0%
Section 28(1)(g)-corruption	108	84	-24	-22%
Section 28(1)(h)-other criminal matter	35	18	-17	-49%
Section 28(2)-systemic corruption	1	1	0	0%
Non-compliance with Section 29 of IPID Act	66	57	-9	-14%
Total	5 829	5 640	-189	-3%*

*Percentages rounded off to the nearest decimal

Table 1(c) shows that IPID received 5 524 cases which involved the SAPS members and 107 cases involving the MPS on various criminal offences. The remaining 9 cases involved the civilians.

Table 1(c): Annual intake for the period under review	SAPS	MPS	Inmate(s) / Civilian(s)
Section 28(1)(a)-deaths in police custody	237	-	-
Section 28(1)(b)-deaths as a result of police action	373	19	-
Section 28(1)(c)-complaint of the discharge of official firearm(s)	675	9	-
Section 28(1)(d)-rape by police officer	116	4	-
Section 28(1)(e)-rape in police custody	2	-	9
Section 28(1)(f)-torture	216	-	-
Section 28(1)(f)-assault	3 754	66	-
Section 28(1)(g)-corruption	75	9	-
Section 28(1)(h)-other criminal matter	18	-	-
Section 28(2)-systemic corruption	1	-	-
Non-compliance with Section 29 of IPID Act	57	-	-
Total	5 524	107	9*

*Inmate(s)/civilian(s) committed further crimes while in police cells

Figure 1 below is a diagrammatic representation of the SAPS and the MPS.

Figure 1

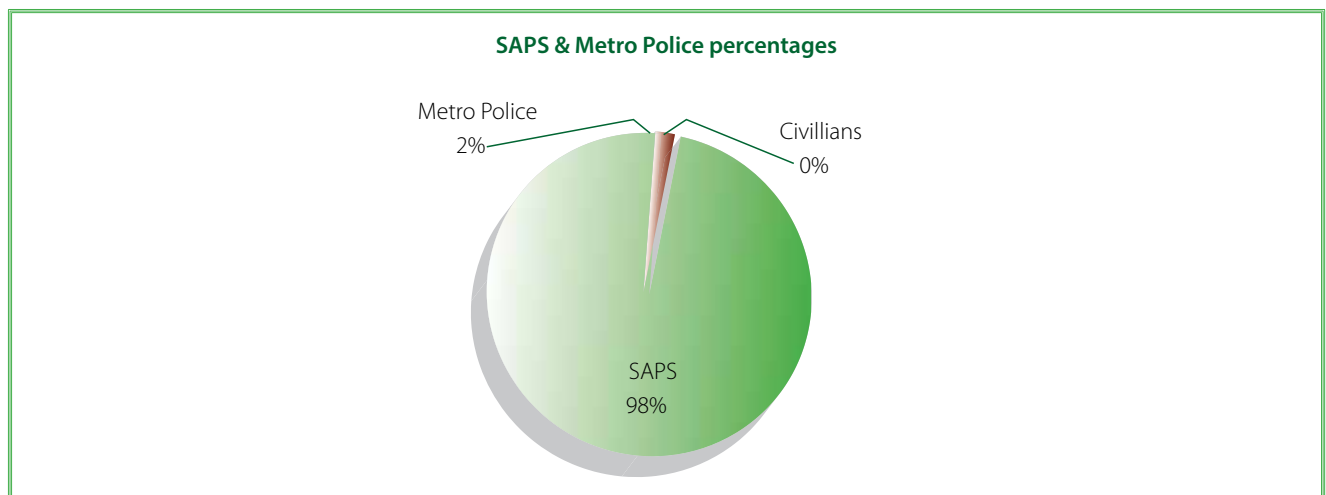


Figure 2 below shows the percentage of cases reported as per Section 28 and 33 of the IPID Act. Most cases reported were related to allegations of assault, followed by complaints of discharge of an official firearm, death as a result of police action and death in police custody. This means that the majority of resources were spent investigating assault cases.

Figure 2

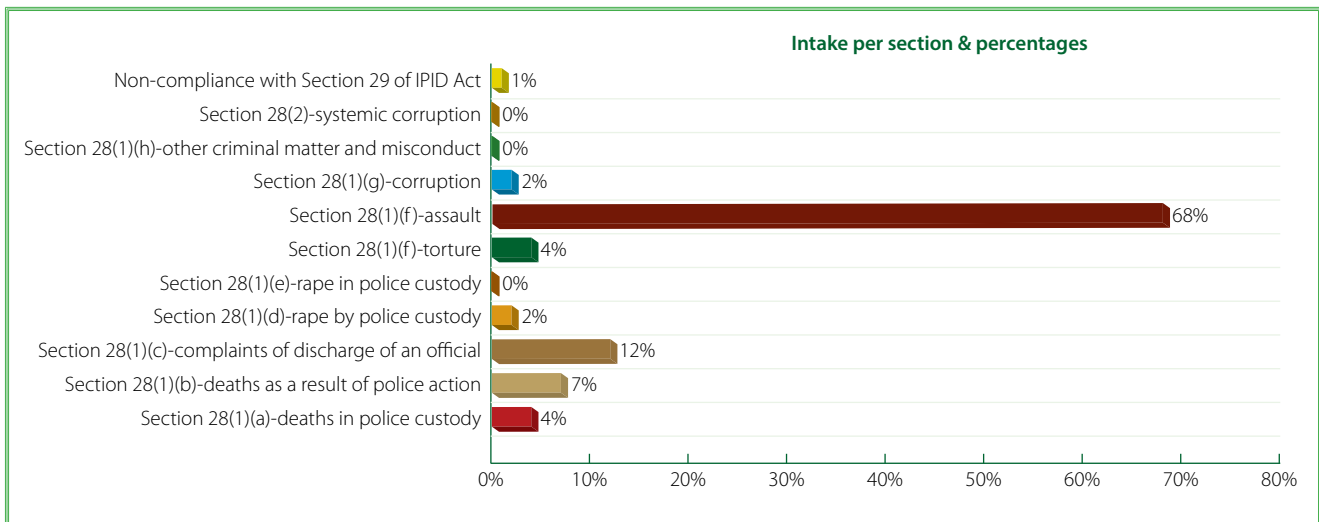


Table 2 below shows the total number of cases reported per province and per category for the period under review. The province that received the highest number of cases was the Western Cape with 1 197 cases, followed by Gauteng with 993 cases and KwaZulu-Natal with 729 cases.

Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	33	40	110	13	-	39	402	4	-	-	5	646
Free State	17	23	60	7	1	35	417	7	3	1	2	573
Gauteng	55	108	79	23	1	10	679	29	3	-	6	993
KwaZulu-Natal	24	95	102	22	-	92	379	12	1	-	2	729
Limpopo	30	21	88	3	2	2	235	4	1	-	4	390
Mpumalanga	27	29	93	6	-	17	276	5	5	-	25	483
North West	19	9	39	8	1	10	226	1	1	-	7	321
Northern Cape	11	3	14	14	-	2	235	4	1	-	3	287
National Specialised Investigation Team (NSIT)	-	-	-	-	-	-	1	18	2	-	-	21
Western Cape	21	64	99	24	6	9	970	-	1	-	3	1 197
Total	237	392	684	120	11	216	3 820	84	18	1	57	5 640

3.1. INTAKE AS PER SECTION 28(1)(a) AND 28(1)(b) – DEATHS IN POLICE CUSTODY AND DEATHS AS A RESULT OF POLICE ACTION

Table 3(a) below shows the number of incidents of death in police custody and death as a result of police action reported per province for the period under review as well as percentage contribution. It is evident that deaths as a result of police action have contributed more to the total amount of incidents of death. Gauteng has the largest number with 163 (26%) cases, followed by KwaZulu-Natal with 119 (19%) cases, Western Cape with 85 (14%) and Eastern Cape with 73 (12%) cases.

Table 3(a): Annual incidents of deaths in police custody and as a result of police action						
Province	Incidents of deaths in police custody		Incidents of deaths as a result of police action		Total incidents	
Eastern Cape	33	14%	40	10%	73	12%
Free State	17	7%	23	6%	40	6%
Gauteng	55	23%	108	28%	163	26%
KwaZulu-Natal	24	10%	95	24%	119	19%
Limpopo	30	13%	21	6%	51	8%
Mpumalanga	27	11%	29	7%	56	9%
North West	19	8%	9	2%	28	5%
Northern Cape	11	5%	3	1%	14	2%
Western Cape	21	9%	64	16%	85	14%
Total	237	100%	392	100%	629	100%

Table 3(b) below compares the number of incidents of death in police custody reported during the period under review to the same period in the previous financial year. Seven (7) provinces experienced an increase in cases related to death in police custody except KwaZulu-Natal and Western Cape.

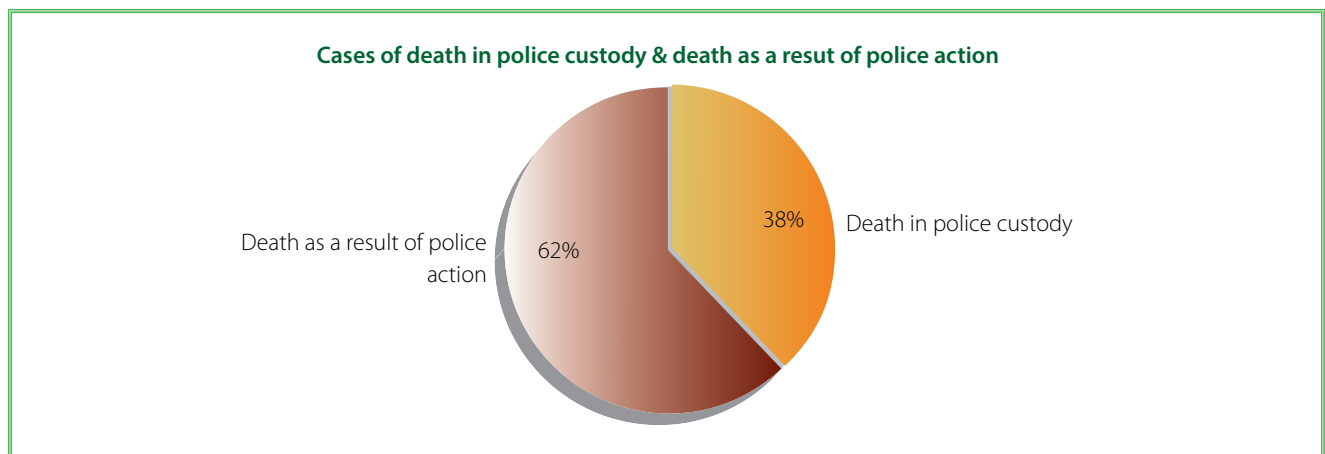
Table 3(b): Comparative Annual intake of Death in police custody			
Province	2018/2019	2019/2020	Percentage changes
Eastern Cape	30	33	10%
Free State	16	17	6%
Gauteng	51	55	8%
KwaZulu-Natal	34	24	-29%
Limpopo	26	30	15%
Mpumalanga	14	27	93%
North West	18	19	6%
Northern Cape	2	11	450%
Western Cape	23	21	-9%
Total	214	237	11%

Table 3(c) below compares the number of incidents of death as a result of police action reported during the period under review to the same period in the previous financial year. Five (5) provinces experienced a decrease in cases related to deaths as a result of police action except Northern Cape, Western Cape, Gauteng and Limpopo.

Table 3(c): Comparative Annual intake of Death as a result of police action				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	52	40	-12	-23%
Free State	24	23	-1	-4%
Gauteng	91	108	17	19%
KwaZulu-Natal	107	95	-12	-11%
Limpopo	20	21	1	5%
Mpumalanga	34	29	-5	-15%
North West	11	9	-2	-18%
Northern Cape	2	3	1	50%
Western Cape	52	64	12	23%
Total	393	392	-1	0%

Figure 3 below shows the percentage reported of death related cases. Deaths in police custody contributed 38% while deaths as a result of police action contributed 62%.

Figure 3



In some instances, incidents of death as a result of police action had more than one victim, for example incidents of business robbery and hijacked vehicles. There were incidents where three (3) or more victims died and were noted in the following provinces; Western Cape, KwaZulu-Natal and Mpumalanga.

Table 4 shows the total number of incidents of deaths as a result of police action and the number of deceased per province for the period under review.

Table 4: Annual death as a result of police action - Incidents versus number of deaths				
Province	Incidents of death		Number of deceased	
	2018/2019	2019/2020	2018/2019	2019/2020
Eastern Cape	52	40	53	41
Free State	24	23	23	24
Gauteng	91	108	109	116
KwaZulu-Natal	107	95	133	104
Limpopo	20	21	19	24
Mpumalanga	34	29	37	34
North West	11	9	12	9
Northern Cape	2	3	2	3
Western Cape	52	64	52	69
Total	393	392	440	424

Table 5(a) shows the total of deaths in police custody per circumstance for the period under review. Most deaths in police custody can be attributed to suicide with 71 cases, followed by natural causes with 68 cases and injuries sustained prior to custody (Vigilantism) with 66 cases.

Table 5(a): Annual intake per circumstances - Deaths in custody	Incident(s)
Injuries sustained in custody (Inmates)	6
Assaulted	6
Injuries sustained prior to custody (crime related)	7
Assault	2
Shot with private firearm	2
Suspects in vehicle collision, while being pursued by police	3
Injuries sustained prior to custody (SAPS)	8
Assaulted	4
Shot with service firearm	4
Injuries sustained prior to custody (Vigilantism)	66
Assaulted	66
Natural Causes	68
Natural Causes	68
Suicide	71
Suicide (hanging)	71
Injuries sustained prior to custody (Suicide)	8
Suicide (Accidental suicide or other)	2
Poisoning	6
Injuries sustained in custody (Escape)	3
Suicide (Accidental suicide or other)	3
Total	237

Table 5(b) below shows the incidents of suicide in police custody per province. Most incidents were reported in Western Cape with 13 cases, followed by Mpumalanga with 12 cases and Gauteng with 11 cases.

Table 5(b): Suicide-hanging		
Province	Suicide (Hanging)	Percentages
Eastern Cape	8	11%
Free State	4	6%
Gauteng	11	16%
KwaZulu-Natal	8	11%
Limpopo	5	7%
Mpumalanga	12	17%
North West	1	1%
Northern Cape	9	13%
Western Cape	13	18%
Total	71	100%

A comparative analysis of suicide by hanging was done for the previous financial year with the period under review. Most provinces experienced a decrease in the number of suicide victims in police custody, except Northern Cape, Mpumalanga and Limpopo that experienced an increase as indicated in table 5(c).

Items such as clothing, blankets were the main instruments used to commit suicides. The IPID notes with concern that other prohibited items such as rope, shoelaces and belts were used.

Table 5(c): Comparative Annual intake for Suicide (Hanging)				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	9	8	-1	-11%
Free State	9	4	-5	-56%
Gauteng	21	11	-10	-48%
KwaZulu-Natal	11	8	-3	-27%
Limpopo	3	5	2	67%
Mpumalanga	4	12	8	200%
North West	5	1	-4	-80%
Northern Cape	2	9	7	350%
Western Cape	13	13	0	0%
Total	77	71	-6	-8%

Table 6 below shows the circumstances in which deaths as a result of police action occurred during the period under review. The analysis of circumstances surrounding death as a result of police action reveals that most deaths occurred during police operations which include response to a crime, arrest, negligence handling of official vehicles, escape and deaths associated with domestic violence.

Table 6: Annual intake per circumstances–death as a result of police action	Incident(s)
A suspect died during the course of a crime	111
Assaulted	2
Shot with private firearm	3
Shot with service firearm	106
A suspect died during the course of an escape	8
Shot with service firearm	8
A suspect died during the course of an investigation	34
Assaulted	8
Shot with service firearm	23
Suffocation	3
A suspect died during the course of arrest	131
Assaulted	4
Shot with service firearm	123
Vehicle collision while in police operated vehicle	2
Suicide (Accidental suicide or other)	2
A bystander died during commission of a crime	8
Shot with service firearm	8
A bystander died during the course of an escape of another	2
Shot with service firearm	1
Vehicle collision while in police operated vehicle	1
Domestic Violence deaths	30
Assaulted	1
Shot with service firearm	24
Suicide (Shooting)	5
Crowd Management incidents	10
Shot with service firearm	10
Negligent handling of a firearm leading to death(s)	15
Shot with service firearm	13
Shot with private firearm	2
Negligent handling of a private vehicle leading to death(s)	1
Vehicle collision while in police operated vehicle	1
Negligent handling of an official vehicle leading to death(s)	22
Struck by police official (pedestrian accident)	14
Vehicle collision while in police operated vehicle	8
Private capacity deaths	20
Assaulted	3
Shot with service firearm	16
Suicide (Shooting)	1
Total	392

Table 7 below shows places where deaths occurred. Most deaths occurred on the crime scene (354), followed by deaths in police cells (128) and deaths in hospital/clinic (104).

Table 7: Places where deaths occurred	
Places	Incident(s)
Ambulance	33
Court Cell	2
Crime Scene	354
Hospital/Clinic	104
Police Cells	128
Police Vehicle	8
Total	629

3.2. INTAKE AS PER SECTION 28(1)(c) – COMPLAINT OF THE DISCHARGE OF OFFICIAL FIREARM(S)

The total number and the percentages of cases reported in relation to cases of a complaint of discharge of an official firearm per province are depicted in Table 8 (a) below. Most of incidents were reported in Eastern Cape (110), followed by KwaZulu-Natal (102) and Western Cape (99).

Table 8 (a): Complaint of the discharge of an official firearm(s)		
Province	Incident(s)	Percentages
Eastern Cape	110	16%
Free State	60	9%
Gauteng	79	11%
KwaZulu-Natal	102	15%
Limpopo	88	13%
Mpumalanga	93	14%
North West	39	6%
Northern Cape	14	2%
Western Cape	99	14%
Total	684	100%

A further analysis of complaints on the discharge of an official firearm(s) was done. A comparison was made between the previous financial year 2018/2019 and the period under review. Most provinces experienced a decrease except Western Cape, Mpumalanga and Limpopo. The IPID nationally experienced a decrease of 11%.

Table 8 (b): Comparative Annual intake of Complaint of the discharge of an official firearm(s)				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	127	110	-17	-13%
Free State	92	60	-32	-35%
Gauteng	150	79	-71	-47%
KwaZulu-Natal	139	102	-37	-27%
Limpopo	75	88	13	17%
Mpumalanga	70	93	23	33%
North West	55	39	-16	-29%
Northern Cape	25	14	-11	-44%
Western Cape	37	99	62	168%
Total	770	684	-86	-11%

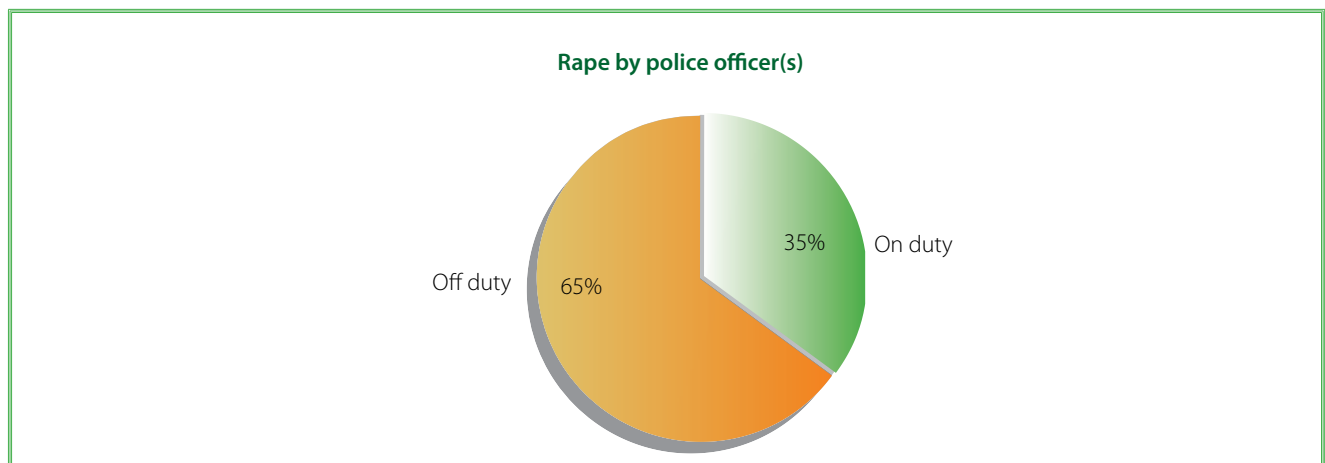
3.3. INTAKE AS PER SECTION 28(1)(d) – RAPE BY POLICE OFFICER(S)

Table 9 (a) below shows the incidents of rape by the police officer(s), whilst on or off duty per province. Most incidents were reported in Western Cape with 24 incidents, followed by Gauteng with 23 incidents and Kwazulu-Natal with 22 incidents.

Table 9(a): Annual intake of Rape by police officer(s)			
Province	On duty	Off duty	Incident(s)
Eastern Cape	5	8	13
Free State	2	5	7
Gauteng	5	18	23
KwaZulu-Natal	8	14	22
Limpopo	1	2	3
Mpumalanga	3	3	6
North West	4	4	8
Northern Cape	6	8	14
Western Cape	8	16	24
Total	42	78	120

Figure 4 below is a diagrammatic representation of rape by police officer(s) whilst on and off duty.

Figure 4



A comparison of rape by police officer(s) was done between the previous year (2018/2019) and the period under review. An increase was noted in most provinces except Free State, Eastern Cape and Gauteng which experienced a decrease of 63%, 35% and 4% cases respectively. IPID nationally experienced a 3% decrease.

Table 9(b): Comparative Annual intake of rape by police officer(s)				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	20	13	-7	-35%
Free State	19	7	-12	-63%
Gauteng	24	23	-1	-4%
KwaZulu-Natal	22	22	0	0%
Limpopo	1	3	2	200%
Mpumalanga	5	6	1	20%
North West	8	8	0	0%
Northern Cape	7	14	7	100%
Western Cape	18	24	6	33%
Total	124	120	-4	-3%

Furthermore, a comparison was done between the previous financial year (2018/2019) and the period under review. Most provinces experienced a decrease except Northern Cape. IPID nationally experienced a decrease of 32%.

Table 9(c): Comparative Annual intake of Rape by police officer(s) – on duty				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	9	5	-4	-44%
Free State	7	2	-5	-71%
Gauteng	12	5	-7	-58%
KwaZulu-Natal	10	8	-2	-20%
Limpopo	1	1	0	0%
Mpumalanga	5	3	-2	-40%
North West	4	4	0	0%
Northern Cape	3	6	3	100%
Western Cape	11	8	-3	-27%
Total	62	42	-20	-32%

Furthermore, an analysis of rape by police officer(s) whilst off duty was made between the previous financial year (2018/2019) and the period under review. Most provinces experienced an increase. IPID notes with concern the national increase of 26%.

Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	11	8	-3	-27%
Free State	12	5	-7	-58%
Gauteng	12	18	4	50%
KwaZulu-Natal	12	14	2	17%
Limpopo	-	2	2	100%
Mpumalanga	-	3	3	100%
North West	4	4	0	0%
Northern Cape	4	8	4	100%
Western Cape	7	16	9	129%
Total	62	78	16	26%

3.4. INTAKE AS PER SECTION 28(1)(e) – RAPE IN POLICE CUSTODY

Table 10(a) below shows the incidents of rape while in police custody per provinces. A total of 9 incidents reported were committed by inmate(s)/Civilian(s). Most incidents were reported in Western Cape (5).

Province	Inmate(s)/Civilian(s)	Police Officer(s)	Incident(s)
Eastern Cape	-	-	-
Free State	1	-	1
Gauteng	-	1	1
KwaZulu-Natal	-	-	-
Limpopo	2	-	2
Mpumalanga	-	-	-
North West	1	-	1
Northern Cape	-	-	-
Western Cape	5	1	6
Total	9	2	11

A comparative analysis of rape in police custody for the previous financial year and the current financial year, revealed a decrease of 15% of cases reported.

Table 10(b): Comparative Annual intake of rape in police custody

Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	-	-	-	-
Free State	1	1	0	0%
Gauteng	-	1	1	100%
KwaZulu-Natal	1	-	-1	-100%
Limpopo	6	2	-4	-67%
Mpumalanga	3	-	-3	-100%
North West	1	1	0	0%
Northern Cape	1	-	-1	-100%
Western Cape	-	6	6	100%
Total	13	11	-2	-15%

3.5. INTAKE AS PER SECTION 28(1)(f) – TORTURE / ASSAULT

Table 11(a) below shows the total number and the percentage of cases reported in relation to torture and assault per province. The highest number of such cases was in Western Cape with 979, followed by Gauteng with 689 incidents and KwaZulu-Natal with 471 incidents.

Table 11(a): Torture or assault

Province	Torture	Assault	Total	Percentages
Eastern Cape	39	402	441	11%
Free State	35	417	452	11%
Gauteng	10	679	689	17%
KwaZulu-Natal	92	379	471	12%
Limpopo	2	235	237	6%
Mpumalanga	17	276	293	7%
North West	10	226	236	6%
Northern Cape	2	235	237	6%
NSIT	-	1	1	0%
Western Cape	9	970	979	24%
Total	216	3 820	4 036	100%

Table 11(b) shows a comparison of torture cases between the previous financial year (2018/2019) and the period under review. Most provinces experienced a decrease except three provinces namely, Eastern Cape with 105%, Gauteng and North West that experienced an increase of 100% respectively. IPID nationally experienced a decreased of 20%.

Table 11(b): Comparative Annual intake of Torture				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	19	39	20	105%
Free State	47	35	-12	-26%
Gauteng	5	10	5	100%
KwaZulu-Natal	150	92	-58	-39%
Limpopo	3	2	-1	-33%
Mpumalanga	24	17	-7	-29%
North West	5	10	5	100%
Northern Cape	5	2	-3	-60%
Western Cape	12	9	-3	-25%
Total	270	216	-54	-20%

Table 11(c) shows a comparison of assault cases between the previous financial year (2018/2019) and the period under review. Most provinces experienced an increase except Gauteng, Limpopo and Mpumalanga.

Table 11(c): Comparative Annual intake of Assault				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	380	402	22	6%
Free State	456	417	-39	-9%
Gauteng	594	679	85	14%
KwaZulu-Natal	414	379	-35	-8%
Limpopo	216	235	19	9%
Mpumalanga	266	276	10	4%
North West	255	226	-29	-11%
Northern Cape	303	235	-68	-22%
NSIT	-	1	1	100%
Western Cape	951	970	19	2%
Total	3 835	3 820	-15	0%*

*Percentages rounded off to the nearest decimal

Table 11(d) shows a breakdown of assault cases was done according to their description. The highest number of cases received was assault common with 3 471 incidents, followed by assault with intent to do Grievous Bodily Harm (GBH) with 300 incidents, crowd management with 40 incidents and dog attack with six incidents each.

Table 11(d): Assault		
Description	Incident(s)	Percentages
Assault – common	3 471	91%
Assault - crowd management	40	1%
Assault - dog attack	6	0%
Assault – sexual	3	0%
Assault - with intent to do Grievous Bodily Harm (GBH)	300	8%
Total	3 820	100%*

*Percentages rounded off to the nearest decimal

3.6. INTAKE AS PER SECTION 28(1)(g) – CORRUPTION

Table 12(a) below shows the total number of corruption cases reported against member of SAPS and MPS per province. A total of 84 cases were reported which comprises of 75 cases against SAPS and 9 cases against MPS.

Table 12(a): Corruption				
Province	SAPS	MPS	Total cases	Percentages
Eastern Cape	4	-	4	5%
Free State	7	-	7	8%
Gauteng	21	8	29	35%
KwaZulu-Natal	11	1	12	14%
Limpopo	4	-	4	5%
Mpumalanga	5	-	5	6%
North West	1	-	1	1%
Northern Cape	4	-	4	5%
NSIT	18	-	18	21%
Western Cape	-	-	-	-
Total	75	9	84	100%

Table 12(b) shows a comparison of corruption cases for the previous financial year (2018/2019) and the period under review. A decrease of 22% was noted.

Table 12(b): Comparative Annual intake of Corruption				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	5	4	-1	-20%
Free State	8	7	-1	-13%
Gauteng	46	29	-17	-37%
KwaZulu-Natal	24	12	-12	-50%
Limpopo	4	4	0	0%
Mpumalanga	11	5	-6	-55%
North West	8	1	-7	-88%
Northern Cape	1	4	3	300%
NSIT	-	18	-18	100%
Western Cape	1	-	-1	-100%
Total	108	84	-24	-22%

Table 12(c) depicts the number and the percentages of corruption cases per description. The analysis of cases reported indicates that the highest number of cases was extortion or soliciting bribes (67%), followed by sale, theft and/or disposal of exhibits (14%) and abuse of informers' fees (13%).

Table 12(c): Corruption		
Description	Incident(s)	Percentages
Corruption – Abuse of informers' fees	11	13%
Corruption - Aiding escape from custody	2	2%
Corruption - Extortion or soliciting a bribe	56	67%
Corruption - Issuing of fraudulent vehicle certificates	3	4%
Corruption - Sale, theft and/or destruction of police docketts	-	-
Corruption - Sale, theft and /or disposal of exhibits	12	14%
Total	84	100%

3.7. INTAKE AS PER SECTION 28(1)(h) – OTHER CRIMINAL MATTERS AND MISCONDUCT

Other criminal matters and misconduct are matters that were referred to IPID by the Minister, MEC or Civilian Secretary for Police. These include matters where the Executive Director decides that an investigation on a specific reported incident should be conducted by the Department or by exercising his/her decision to investigate after being requested to do so by the SAPS or any other person having regard to the seriousness of the offence or misconduct.

Misconduct cases were investigated after IPID was satisfied that SAPS intervention at Provincial or National level did not satisfy the complainant and there were grounds to intervene in the interest of justice.

It is important to note that while Section 206(6) of the Constitution enjoins the IPID to investigate cases of misconduct and criminal offences, Section 28(1)(h) of the IPID Act enables the IPID to investigate all criminal matters and misconduct cases not provided for in Section 28(1)(a)-(g).

Table 13(a) shows that IPID recorded a total of 18 incidents in respect of other criminal offenses per province. The highest number of cases reported were in Mpumalanga with 5 cases, followed by Gauteng with 4 cases and Free State with 3 cases.

Table 13(a): Other criminal matters and misconduct		
Province	Incident(s)	Percentages
Eastern Cape	-	-
Free State	3	16%
Gauteng	4	22%
KwaZulu-Natal	1	6%
Limpopo	1	6%
Mpumalanga	5	27%
North West	1	6%
Northern Cape	1	6%
NSIT	1	6%
Western Cape	1	6%
Total	18	100%

Table 13(b) shows a comparison of other criminal matter and misconduct cases for the previous financial year and the period under review was done. A decrease of 49% was noted.

Table 13(b): Comparative Annual intake of other criminal matters and misconduct				
Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	2	-	-2	-100%
Free State	2	3	1	50%
Gauteng	20	4	-16	-80%
KwaZulu-Natal	5	1	-4	-80%
Limpopo	1	1	0	0%
Mpumalanga	2	5	3	150%
North West	1	1	0	0%
Northern Cape	1	1	0	0%
NSIT	-	1	1	100%
Western Cape	1	1	0	0%
Total	35	18	-17	-49%

Table 13(c) below indicates the total number and the percentage of cases reported in respect of other criminal matters per description. The breakdown of other criminal matters and misconduct per type of offence was done. Most incidents reported were misconduct referred with 5 incidents, followed by defeating the ends of justice with 4 incidents and Fraud and theft with 2 incidents each.

Table 13(c): Other criminal matters and misconduct		
Description	Incident(s)	Percentage
Defeating the ends of justice	4	22%
Harassment	1	6%
Misconduct referred	5	27%
Robbery	1	6%
Service delivery complaint	1	6%
Fraud	2	11%
Reckless driving	1	6%
Theft	2	11%
Off duty deaths	1	6%

3.8. INTAKE AS PER SECTION 28(2) – SYSTEMIC CORRUPTION

Section 28(2) of the IPID Act prescribes that the Directorate may investigate matters relating to systemic corruption involving the SAPS/MPS. There was only one case of systemic corruption that was identified and approved in Free State Provincial Office.

3.9. INTAKE AS PER SECTION 33(3) NON-COMPLIANCE WITH SECTION 29 OF THE IPID ACT

According to Section 29 of the Act, members of the SAPS and MPS should immediately notify the Directorate of any matters referred to in Section 28 (1) (a)-(f). They should, within 24 hours submit a written report to the Directorate and must provide their full cooperation in terms of the investigation, which includes, but is not limited to, arrangement of identification parades, availing members for affidavits and any other information required. The total number and percentages of cases opened by IPID in respect of non-compliance with section 29 of the IPID Act per province is depicted in Table 15(a) below. The highest number of non-compliance cases were recorded in Mpumalanga with 30 cases, followed by North West with 7 cases and Gauteng with 6 cases.

Table 15(a): Non-compliance with Section 29 of the IPID Act		
Province	Incident(s)	Percentages
Eastern Cape	5	9%
Free State	2	4%
Gauteng	6	10%
KwaZulu-Natal	2	4%
Limpopo	4	7%
Mpumalanga	25	44%
North West	7	12%
Northern Cape	3	5%
Western Cape	3	5%
Total	57	100%

Table 15(b) shows a comparison of incidents of non-compliance with section 29 of the IPID Act for the previous financial year (2018/2019) and the period under review. A decrease of 14% was noted.

Province	2018/2019	2019/2020	Change	
			Number	Percentage
Eastern Cape	5	5	0	0%
Free State	4	2	-2	-50%
Gauteng	4	6	2	50%
KwaZulu-Natal	2	2	0	0%
Limpopo	4	4	0	0%
Mpumalanga	30	25	-5	-17%
North West	7	7	0	0%
Northern Cape	5	3	-2	-40%
Western Cape	5	3	-2	-40%
Total	66	57	-9	-14%

4. THE TOTAL NUMBER OF CASES REPORTED

Table 16(a) shows the total cases reported and the percentages of cases registered by the respective provinces. A total of 5 640 cases were registered by the IPID during period under review. It can be observed that Western Cape reported the highest intake of 21%, followed by Gauteng with 18% and KwaZulu-Natal with 13%.

Province	Incident(s)	Percentages
Eastern Cape	646	11%
Free State	573	10%
Gauteng	993	18%
KwaZulu-Natal	729	13%
Limpopo	390	7%
Mpumalanga	483	9%
North West	321	6%
Northern Cape	287	5%
NSIT	21	0%
Western Cape	1 197	21%
Total	5 640	100%

A further analysis was done comparing the previous financial year with the period under review. Most provinces experienced an increase except KwaZulu-Natal, Northern Cape, Free State and North West that experienced a decrease. There was an overall decrease of 3% depicted in **Table 16 (b)** below.

Provinces	2018/19	2019/20	Change	
			Number	Percentage
Eastern Cape	640	646	6	1%
Free State	669	573	-96	-14%
Gauteng	986	993	7	1%
KwaZulu-Natal	898	729	-169	-19%
Limpopo	356	390	34	10%
Mpumalanga	459	483	24	5%
North West	369	321	-48	-13%
Northern Cape	352	287	-65	-18%
NSIT	-	21	21	100%
Western Cape	1 100	1 197	97	9%
Total	5 829	5 640	-189	-3%

5. ALLOCATED CASES PER PROVINCE

The Directorate's target for registration and allocation of cases is 80% within 72 hours of receipt. This is measured from the time a case is received by the Directorate until such time that it is allocated to an individual case worker for investigation. Table 17(a) shows the total number of cases that were allocated within 72 hours by the respective provinces. IPID registered a total of 5 640 cases during the period under review and managed to allocate 5 201 cases within 72 hours. An allocation rate of 92% was therefore achieved.

Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters / misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	31	36	105	12	-	32	370	4	-	-	4	594
Free State	17	22	60	7	-	35	408	7	3	1	2	562
Gauteng	43	93	64	21	1	10	613	26	2	-	3	876
KwaZulu-Natal	22	84	93	21	-	84	346	11	1	-	2	664
Limpopo	30	21	84	3	2	2	224	3	1	-	4	374
Mpumalanga	27	28	92	6	-	17	274	5	5	-	23	477
North West	17	9	31	7	1	10	205	-	1	-	5	286
Northern Cape	10	2	12	14	-	2	215	3	-	-	2	260
NSIT	-	-	-	-	-	-	-	2	1	-	-	3
Western Cape	19	57	94	23	5	9	894	-	1	-	3	1105
Total	216	352	635	114	9	201	3 549	61	15	1	48	5 201

Table 17(b) shows the total number of cases that were not allocated within 72 hours, by the respective provinces. A total of 439 cases reported were not allocated after 72 hours of receipt.

Table 17(b): Cases allocated after 72 hours receipt												
Province	Deaths in police Custody	Deaths as a result of police action	Discharge of official firearm(s)	Rape by police officials	Rape in police custody	Torture	Assault	Corruption	Other criminal matters/misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Eastern Cape	2	4	5	1	-	7	32	-	-	-	1	52
Free State	-	1	-	-	1	-	9	-	-	-	-	11
Gauteng	12	15	15	2	-	-	66	3	1	-	3	117
KwaZulu-Natal	2	11	9	1	-	8	33	1	-	-	-	65
Limpopo	-	-	4	-	-	-	11	1	-	-	-	16
Mpumalanga	-	1	1	-	-	-	2	-	-	-	2	6
North West	2	-	8	1	-	-	21	1	-	-	2	35
Northern Cape	1	1	2	-	-	-	20	1	1	-	1	27
NSIT	-	-	-	-	-	-	1	16	1	-	-	18
Western Cape	2	7	5	1	1	-	76	-	-	-	-	92
Total	2	40	49	6	2	15	271	23	3	-	9	439

6. DECISION READY CASES

Table 18 (a) below shows the total number of received and the decision ready cases per province. It can be observed that Northern Cape completed 72% of their received cases, followed by Free State with 62% and North West with 57%. The IPID nationally achieved an overall completion rate of 40% in the period under review.

Table 18 (a): Percentages of decision ready cases per Provinces			
Provinces	Received cases	Decision ready cases	Percentages
Eastern Cape	646	252	39%
Free State	573	356	62%
Gauteng	993	320	32%
KwaZulu-Natal	729	182	25%
Limpopo	390	177	45%
Mpumalanga	483	266	55%
North West	321	183	57%
Northern Cape	287	207	72%
NSIT	21	2	10%
Western Cape	1 197	324	27%
Total	5 640	2 269	40%

Table 18 (b) below shows the total workload (number of received and backlog cases) and the decision ready including the current cases and the backlog cases per province. It can be observed that Northern Cape completed 73% of their workload cases, followed by Free State with 70% and North West and Limpopo with 48% each. The IPID nationally achieved an overall completion rate of 29% in the period under review.

Table 18 (b): Percentages of decision ready cases per Provinces			
Provinces	Workload	Decision ready cases (backlog & current)	Percentages
Eastern Cape	1591	562	35%
Free State	808	563	70%
Gauteng	2 740	679	25%
KwaZulu-Natal	2 905	289	10%
Limpopo	692	330	48%
Mpumalanga	896	385	43%
North West	591	282	48%
Northern Cape	374	274	73%
NSIT	69	4	6%
Western Cape	2 589	521	20%
Total	13 255	3 889	29%

Table 18(c) below shows the number of decision ready cases per provinces per category during the period under review. The highest category of decision ready cases was in respect of alleged assault with 2 504 followed by discharge of an official firearm with 494 and death as a result of police action with 295.

Table 18 (c): Classification of Total Decision ready cases												
Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Any other matters referred and misconduct	Total
Eastern Cape	31	43	64	14	-	32	370	3	1	4	-	562
Free State	10	20	56	12	1	30	418	6	1	4	4	563
Gauteng	50	79	108	20	1	4	376	20	1	6	14	679
KwaZulu-Natal	27	59	52	22	-	25	89	11	-	2	2	289
Limpopo	28	16	66	2	1	1	203	3	-	5	6	330
Mpumalanga	23	25	68	5	1	14	220	6	-	17	6	385
North West	17	6	36	8	1	5	192	3	1	6	2	282
Northern Cape	10	3	15	12	-	3	226	2	-	3	-	274
NSIT	-	-	-	-	-	-	-	3	-	-	1	4
Western Cape	17	44	24	20	4	2	410	-	-	-	-	521
Total	213	295	494	115	9	116	2 504	57	4	47	35	3 889

Table 18(d) below shows the total workload cases per provinces per category during the period under review. The provinces with the highest workload were KwaZulu-Natal (2 905), followed by Gauteng (2 740) and Western Cape (2 589).

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Any other matters referred and misconduct	Total
Eastern Cape	36	105	266	17	-	72	1 061	14	2	11	7	1 591
Free State	18	32	108	12	1	48	567	9	2	5	6	808
Gauteng	118	268	387	38	1	29	1 672	106	2	13	106	2 740
KwaZulu-Natal	49	354	413	42	1	413	1 542	42	-	11	38	2 905
Limpopo	40	45	141	3	2	4	440	5	-	5	7	692
Mpumalanga	35	65	138	8	1	61	513	7	1	54	13	896
North West	21	19	72	9	1	14	434	7	1	8	5	591
Northern Cape	11	6	20	14	-	5	308	5	-	4	1	374
NSIT	-	2	-	-	-	-	2	34	2	1	28	69
Western Cape	28	97	212	29	6	11	2 183	1	-	3	19	2 589
Total	356	993	1 757	172	13	657	8 722	230	10	115	230	13 255

Table 19 below shows the number of current decision ready cases per provinces per category during the period under review. The highest category of decision ready cases was in respect of alleged assault with 1 461 followed by discharge of an official firearm with 257 and death in police custody with 174.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Any other matters referred and misconduct	Total
Eastern Cape	29	18	30	11	-	15	146	1	-	2	-	252
Free State	9	12	16	7	1	17	287	4	-	1	2	356
Gauteng	30	27	35	14	1	2	195	11	-	4	1	320
KwaZulu-Natal	23	39	30	18	-	14	47	8	-	2	1	182
Limpopo	21	5	41	2	1	-	100	3	-	4	-	177
Mpumalanga	22	12	54	3	-	6	151	4	-	10	4	266
North West	17	4	29	7	1	5	113	1	-	5	1	183
Northern Cape	10	-	9	12	-	1	170	2	-	3	-	207
NSIT	-	-	-	-	-	-	-	1	-	-	1	2
Western Cape	13	24	13	16	4	2	252	-	-	-	-	324
Total	174	141	257	90	8	62	1 461	35	-	31	10	2 269

6.1 DECISION READY OF CASES ON SECTION 28(1)(a)-(h), SECTION 28.(2) AND SECTION 33 OF IPID ACT

Table 20(a) below shows the received cases of death in police custody and the number of completed cases per province. The IPID completed a total of 174 out of 237 received cases during the period under review.

Table 20(a): Deaths in police custody		
Province	Received cases	Decision ready
Eastern Cape	33	29
Free State	17	9
Gauteng	55	30
KwaZulu-Natal	24	23
Limpopo	30	21
Mpumalanga	27	22
North West	19	17
Northern Cape	11	10
NSIT	-	-
Western Cape	21	13
Total	237	174

Table 20 (b) below shows the received cases of death as a result of police action and the number of completed cases per province. The IPID completed a total of 141 out of 392 received cases during the period under review.

Table 20(b): Deaths as a result of police action		
Province	Received cases	Decision ready
Eastern Cape	40	18
Free State	23	12
Gauteng	108	27
KwaZulu-Natal	95	39
Limpopo	21	5
Mpumalanga	29	12
North West	9	4
Northern Cape	3	-
NSIT	-	-
Western Cape	64	24
Total	392	141

Table 21(a) below shows the received cases of complaints of discharge of an official firearm(s) and the number of completed cases per province. The IPID completed a total of 257 out of 684 received cases during the period under review.

Table 21(a): Complaints of discharge of an official firearm(s)		
Province	Received cases	Decision ready
Eastern Cape	110	30
Free State	60	16
Gauteng	79	35
KwaZulu-Natal	102	30
Limpopo	88	41
Mpumalanga	93	54
North West	39	29
Northern Cape	14	9
NSIT	-	-
Western Cape	99	13
Total	684	257

Table 21(b) below shows the received cases of rape by police officer and the number of completed cases per province. The IPID completed a total of 90 out of 120 received cases during the period under review.

Table 21(b): Rape by police officer		
Province	Received cases	Decision ready
Eastern Cape	13	11
Free State	7	7
Gauteng	23	14
KwaZulu-Natal	22	18
Limpopo	3	2
Mpumalanga	6	3
North West	8	7
Northern Cape	14	12
NSIT	-	-
Western Cape	24	16
Total	120	90

Table 21(c) below shows the received cases of rape in police custody and the number of completed cases per province. The IPID completed a total of 8 out of 11 received cases during the period under review.

Table 21(c): Rape in police custody		
Province	Received cases	Decision ready
Eastern Cape	-	-
Free State	1	1
Gauteng	1	1
KwaZulu-Natal	-	-
Limpopo	2	1
Mpumalanga	-	-
North West	1	1
Northern Cape	-	-
NSIT	-	-
Western Cape	6	4
Total	11	8

Table 21(d) below shows the received cases of torture and the number of completed cases per province. The IPID completed a total of 62 out of 216 received cases during the period under review.

Table 21(d): Torture		
Province	Received cases	Decision ready
Eastern Cape	39	15
Free State	35	17
Gauteng	10	2
KwaZulu-Natal	92	14
Limpopo	2	-
Mpumalanga	17	6
North West	10	5
Northern Cape	2	1
NSIT	-	-
Western Cape	9	2
Total	216	62

Table 21(e) below shows the received cases of assault and the number of completed cases per province. The IPID completed a total of 1 461 out of 3 820 received cases during the period under review.

Table 21(e): Assault		
Province	Received cases	Decision ready
Eastern Cape	402	146
Free State	417	287
Gauteng	679	195
KwaZulu-Natal	379	47
Limpopo	235	100
Mpumalanga	276	151
North West	226	113
Northern Cape	235	170
NSIT	1	-
Western Cape	970	252
Total	3820	1 461

Table 21(f) below shows the received cases of corruption and the number of completed cases per province. The IPID completed a total of 35 out of 84 received cases during the period under review.

Table 21(f): Corruption		
Province	Received cases	Decision ready
Eastern Cape	4	1
Free State	7	4
Gauteng	29	11
KwaZulu-Natal	12	8
Limpopo	4	3
Mpumalanga	5	4
North West	1	1
Northern Cape	4	2
NSIT	18	1
Western Cape	-	-
Total	84	35

Table 21(g) below shows the received cases of other criminal matter and misconduct and the number of completed cases per province. The IPID completed a total of 10 out of 18 received cases during the period under review.

Table 21(g): Any other matters referred and misconduct (Section 28(1)(h))		
Province	Received cases	Decision ready
Eastern Cape	-	-
Free State	3	2
Gauteng	3	1
KwaZulu-Natal	1	1
Limpopo	1	-
Mpumalanga	5	4
North West	1	1
Northern Cape	1	-
NSIT	2	1
Western Cape	1	-
Total	18	10

Table 21(h) below shows the received cases of Non-compliance with the IPID Act and the number of completed cases per province. The IPID completed a total of 31 out of 57 received cases during the period under review.

Table 21(h): Non-compliance with the IPID Act Section 33		
Province	Received cases	Decision ready
Eastern Cape	5	2
Free State	2	1
Gauteng	6	4
KwaZulu-Natal	2	2
Limpopo	4	4
Mpumalanga	25	10
North West	7	5
Northern Cape	3	3
NSIT	-	-
Western Cape	3	-
Total	57	31

Table 21(i) below shows the number of backlog cases and the number of completed cases per province. The IPID completed a total of 1 616 (excluding cases systemic corruption) out of 7 615 cases during the period under review.

Table 21(i): Backlog decision ready cases		
Provinces	Backlog cases	Decision ready
Eastern Cape	945	309
Free State	235	206
Gauteng	1 747	356
KwaZulu-Natal	2 176	107
Limpopo	302	151
Mpumalanga	413	119
North West	270	98
Northern Cape	87	67
NSIT	48	2
Western Cape	1 392	197
Total	7 615	1 612

Table 21(j) below shows the number of systemic corruption cases and the number of completed cases per province. The IPID completed a total of 4 out of 9 cases during the period under review.

Table 21(j): Systemic decision ready cases		
Provinces	Systemic cases	Decision ready
Eastern Cape	2	1
Free State	2	1
Gauteng	3	1
KwaZulu-Natal	-	-
Limpopo	-	-
Mpumalanga	1	-
North West	1	1
Northern Cape	-	-
NSIT	-	-
Western Cape	-	-
Total	9	4

7. ATTENDANCE OF CRIME SCENES AND POST MORTEMES

Table 22 shows both the total number of crime scenes attended and not attended within 24 hours per province. Nationally, IPID attended 69% of the crime scenes within 24 hours of those being reported. The IPID has a responsibility to attend crime scenes (where possible or practical) as well as post mortems. These forms part of the investigation process and play a pivotal role in the completion of case investigation. During the period under review IPID was unable to attend 196 crime scenes. It should be noted that in some instances victims passed away in hospital, as a result crime scenes could not be attended within 24 hours as required.

Provinces	Number of scene attended		Number of scene not attended		Totals			Percentages of scenes attended
	Deaths in custody	Deaths as a result of police action	Deaths in custody	Deaths as a result of police action	Scenes attended	Scenes not attended	Grand total	
Eastern Cape	13	26	20	14	39	34	73	53%
Free State	16	20	1	3	36	4	40	90%
Gauteng	24	83	31	25	107	56	163	66%
KwaZulu-Natal	14	66	10	29	80	39	119	67%
Limpopo	27	19	3	2	46	5	51	90%
Mpumalanga	19	14	8	15	33	23	56	59%
North West	8	7	11	2	15	13	28	54%
Northern Cape	7	3	4	-	10	4	14	71%
Western Cape	19	48	2	16	67	18	85	79%
Total	147	286	90	106	433	196	629	69%

Table 23 shows the total number of post mortems attended and the total number of post mortems not attended per province. Nationally the IPID attended 70% of the post mortems. In some instances, there were multiple deaths linked to one incident.

Provinces	Number of post-mortems attended		Number of post-mortems not attended		Totals			Percentages of post mortems attended
	Deaths in custody	Deaths as a result	Deaths in custody	Deaths as a result	Total attended	Total not attended	Grand total	
Eastern Cape	28	33	5	8	61	13	74	82%
Free State	9	18	8	6	27	14	41	66%
Gauteng	31	79	14	37	110	51	161	68%
KwaZulu-Natal	-	71	24	33	71	57	128	55%
Limpopo	26	18	6	6	44	12	56	79%
Mpumalanga	23	28	4	6	51	10	61	84%
North West	10	9	9	-	19	9	28	68%
Northern Cape	5	2	6	1	7	7	14	50%
Western Cape	16	51	4	18	67	22	89	75%
Total	148	309	80	115	457	195	652	70%

8. CRIMINAL REFERRALS TO NPA AND THE OUTCOME

After the completion of an investigation, dockets are referred to the NPA which, based on the evidence at hand, will make a decision whether or not to institute criminal proceedings against the suspect(s).

Table 24(a) shows the total number of recommendations referred to the NPA by the respective provinces for the period under review. A total of 2 443 criminal recommendations were made to the NPA for decision.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systemic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
Eastern Cape	-	32	26	5	-	9	264	-	-	3	-	339
Free State	1	20	42	10	1	13	284	4	-	-	2	377
Gauteng	12	44	62	20	-	3	299	14	-	4	7	465
KwaZulu-Natal	-	17	8	8	-	5	51	5	-	1	1	96
Limpopo	1	16	32	1	-	1	124	1	-	8	-	184
Mpumalanga	1	26	17	4	-	2	156	8	-	23	4	241
North West	-	8	12	6	-	2	145	2	-	7	-	182
Northern Cape	1	2	12	6	-	1	193	2	-	5	-	222
NSIT	-	-	-	-	-	-	-	4	-	-	1	5
Western Cape	1	36	5	13	-	4	273	-	-	-	-	332
Total	17	201	216	73	1	40	1 789	40	-	51	15	2 443

Recommendations forwarded to the NPA are evaluated and based on the evidence presented a decision is made. Table 24(b) shows the details on the outcomes of the recommendations referred to the NPA. It can be observed that 1 594 of referrals to NPA the IPID is still waiting for response, prosecution declined for 785 cases, NPA decided to prosecute 55 cases and 9 cases were withdrawn.

Province	Awaiting for response	Declined to prosecute	NPA Query	Inquest	Prosecute	Withdrawn	Total
Eastern Cape	164	160	-	-	14	1	339
Free State	253	114	-	-	10	-	377
Gauteng	391	70	-	-	4	-	465
KwaZulu-Natal	78	18	-	-	-	-	96
Limpopo	118	54	-	-	11	1	184
Mpumalanga	133	104	-	-	4	-	241
North West	126	52	-	-	4	-	182
Northern Cape	116	104	-	-	2	-	222
NSIT	5	-	-	-	-	-	5
Western Cape	210	109	-	-	6	7	332
Total	1 594	785	-	-	55	9	2 443

9. DISCIPLINARY RECOMMENDATIONS MADE TO SAPS AND THE FEEDBACK RECEIVED

Part of the mandate of the IPID is to make disciplinary recommendations to the SAPS after a complaint was investigated. Table 25(a) shows the types of recommendation referred by the respective provinces to SAPS. A total number of 2 616 recommendations were referred to SAPS during the period under review, which comprises of 870 recommendations where the IPID recommend to SAPS to discipline its member(s) and 1 746 recommendations where the IPID recommend no disciplinary to SAPS member(s).

Provinces	Positive Recommendations	Negative Recommendations	Total
Eastern Cape	170	190	360
Free State	298	88	386
Gauteng	371	198	569
KwaZulu-Natal	11	33	44
Limpopo	165	29	194
Mpumalanga	146	92	238
North West	113	78	191
Northern Cape	196	38	234
NSIT	2	7	9
Western Cape	274	117	391
Total	1 746	870	2 616

Figure 5 below is a diagrammatic representation of types of recommendations referred to SAPS.

Figure 5

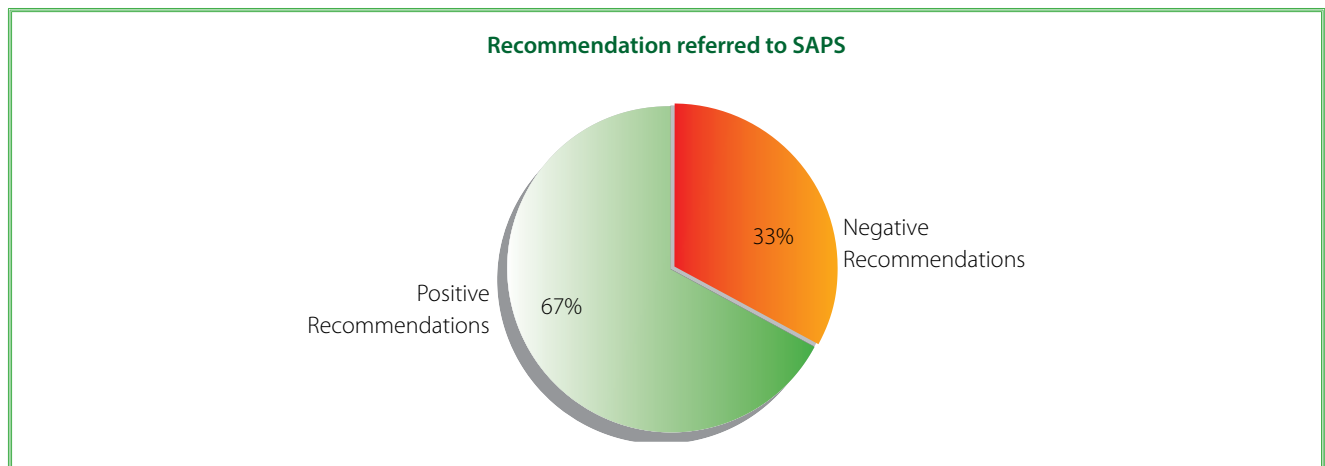


Table 25(b) shows the recommendation referred per IPID sections by the respective provinces to SAPS. Most of the cases referred were in respect with assault with 1 853 recommendations, followed by complaint of discharge of an official firearm with 258 cases and death as a result of police action with 212 cases.

Table 25(b): Recommendations referred to SAPS-2019/2020												
Province	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
Eastern Cape	2	27	28	11	-	9	278	1	1	3	-	360
Free State	-	16	47	11	1	13	291	3	1	-	2	386
Gauteng	41	80	90	24	-	-	308	15	-	5	6	569
KwaZulu-Natal	3	11	4	2	-	1	18	3	-	1	1	44
Limpopo	2	15	31	1	-	-	132	1	1	8	3	194
Mpumalanga	3	21	17	2	1	5	155	8	-	23	3	238
North West	3	8	15	8	-	3	145	2	-	7	-	191
Northern Cape	3	2	12	7	-	-	203	2	-	5	-	234
NSIT	-	-	-	-	-	-	-	8	-	-	1	9
Western Cape	1	32	14	16	-	3	325	-	-	-	-	391
Total	58	212	258	82	2	34	1 853	43	3	52	16	2 616

*A total of 1 746 are positive recommendations; therefore, no feedback is expected

Table 25(c) shows the types of recommendation referred by the respective provinces to MPS. A total number of 106 recommendations were referred to MPS during the period under review, which comprises of 33 recommendations where the IPID recommend to MPS to discipline its member(s) and 73 recommendations where the IPID recommend no disciplinary to MPS member(s).

Table 25(c): Types of Recommendations referred to MPS – 2019/2020			
Provinces	Positive Recommendations	Negative Recommendations	Total
City of Cape Town Metro Police Department (CTMPD)	-	2	2
Durban Metro Police Department (DMPD)	4	2	6
Ekurhuleni Metro Police Department (EMPD)	18	4	22
Johannesburg Metro Police Department (JMPD)	30	6	36
Nelson Mandela Bay Metro Police Department (NMBMPD)	-	-	-
Tshwane Metro Police Department (TMPD)	21	19	40
Total	73	33	106

*A total of 73 are positive recommendations; therefore, no feedback is expected

Table 25(d) shows the recommendation referred per IPID sections by the respective provinces to MPS. Most of the cases referred were in respect with assault with 45 cases, followed by death as a result of police action with 26 cases and by complaint of discharge of an official firearm with 23 cases.

Province	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
CTMPD	-	-	-	1	-	-	1	-		-	-	2
DMPD	-	-	-	1	-	-	5	-		-	-	6
EMPD	-	11	3	-	-	1	7	-		-	-	22
JMPD	-	10	12	1	-	-	8	4		1	-	36
NMBMPD	-	-	-		-	-		-		-	-	-
TMPD	-	4	8	1	-	-	24	3		-	-	40
Total	-	25	23	4	-	1	45	7		1	-	106

In terms of Section 30 of the IPID Act, IPID refers the disciplinary recommendation to SAPS on which SAPS has 30 days to respond on the disciplinary process implemented. Table 25(e) indicates the status of the disciplinary recommendations referred to SAPS for the period under review.

Row Labels	Disciplinary initiated	IPID Awaits Feedback - Section 30(a) of the IPID Act	Disciplinary finalised					Total
			Guilty	Not guilty	No Steps	Withdrawn	Resigned from service	
Eastern Cape	76	13	34	18	42	3	4	190
Free State	12	34	21	7	11	3	-	88
Gauteng	104	39	19	7	23	2	4	198
KwaZulu-Natal	15	7	5	2	3	1	-	33
Limpopo	3	10	7	2	7	-	-	29
Mpumalanga	20	10	41	17	3	-	1	92
North West	22	32	15	1	6	-	2	78
Northern Cape	8	13	8	-	7	2	-	38
NSIT	1	6	-	-	-	-	-	7
Western Cape	13	67	15	2	17	3	-	117
Total	274	231	165	56	119	14	11	870

10. CONVICTIONS AND ACQUITTALS

10.1. DISCIPLINARY CONVICTIONS

Table 26 shows the total number of disciplinary convictions that were reported by the respective provinces during the period under review, this includes the conviction of the recommendations that were referred to SAPS/MPS in previous financial years. A total number of 175 disciplinary convictions were reported.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence and misconduct	Total
Eastern Cape	-	6	7	1	-	-	29	1	-	1	-	45
Free State	2	-	6	3	-	-	23	1	-	-	1	36
Gauteng	-	-	-	-	-	-	-	-	-	-	-	-
KwaZulu-Natal	-	2	3	1	-	-	10	1	-	-	-	17
Limpopo	2	-	2	-	-	-	3	-	-	4	1	12
Mpumalanga	1	2	3	-	-	-	6	1	-	6	-	19
North West	1	-	3	1	-	-	13	-	-	2	-	20
Northern Cape	-	-	1	-	2	-	5	-	-	2	-	10
NSIT	-	-	-	-	-	-	-	1	-	-	-	1
Western Cape	-	3	2	1	-	-	9	-	-	-	-	15
Total	6	13	27	7	2	-	98	5	-	15	2	175

Table 27 lists details of disciplinary convictions that were secured

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
1	2019020063 EC	Afsonderng	Assault - GBH	2	Written Warning
2	2018060094 EC	Bethelsdorp	Assault - Common	1	Suspension for one month without salary
3	2018020303 EC	Kirkwood	Rape by a Police Officer	1	Suspension for two months without salary
4	2018090289 EC	Queenstown	Assault - GBH	2	Final Written Warning
5	2018080264 EC	Lady Frere	Assault - Common	2	Final Written Warning
6	2018110291 EC	Maluti	Non-Compliance with section 29 of IPID Act	1	Written Warning
7	2017080508 EC	Joubertina	Assault - Common	1	Written Warning
8	2018090110 EC	Bityi	Complaint of a discharge of an official firearm	1	Dismissed from service
9	2018110586 EC	Bell	Assault - Common	1	Suspension for one month without salary
10	2018060111 EC	Queenstown	Assault - Common	1	Final Written Warning
11	2018060368 EC	Bethelsdorp	Assault - Common	1	Suspension for one month without salary
12	2019050450 EC	Algoa Park	Assault - Common	1	Written Warning
13	2017040282 EC	Mount Fletcher	Complaint of a discharge of an official firearm	1	Final Written Warning

Table 27: Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
14	2019020088 EC	Paterson	Assault - Common	1	Written Warning
15	2019040146 EC	Kamesh	Complaint of a discharge of an official firearm	1	Final Written Warning
16	2019060259 EC	Engcobo	Assault – Common	1	Final Written Warning
17	2017070577 EC	New Brighton	Assault –Common	1	Suspension for one month without salary
18	2018110153 EC	Joubertina	Assault – Common	2	Dismissed from service
19	2019060087 EC	Lusikisiki	Assault – GBH	1	Written Warning
20	2019060424 EC	Cambridge	Complaint of a discharge of an official firearm	1	Dismissed from service
21	2019030184 EC	Cookhouse	Assault - Common	3	Verbal Warning
22	2018100149 EC	Joubertina	Death as a result of police action	1	Dismissed from service
23	2019060263 EC	Tarkastad	Assault - GBH	1	Final Written Warning
24	2018100433 FS	Batho	Assault - GBH	1	Dismissed from service
25	2018010493 FS	Ficksburg	Assault - GBH	1	Suspension for one month without salary
26	2016090428 FS	Senekal	Death in police custody	1	Suspension for one month without salary
27	2018110082 FS	Brandfort	Death in police custody	1	Suspension for one month without salary
28	2018060048 FS	Botshabelo	Complaint of a discharge of an official firearm	1	Final written warning and referred for counselling
29	2018110002 FS	Phuthaditjhaba	Complaint of a discharge of an official firearm	1	Dismissed from service
30	2018090152 FS	Phuthaditjhaba	Complaint of a discharge of an official firearm	1	Final written warning
31	2018070003 FS	Rouxville	Rape by a Police Officer	1	Dismissed from service
32	2018070082 FS	Lindley	Assault - Common	1	Written warning
33	2019050008 FS	Tumahole	Assault - Common	1	Written warning
34	2019010139 MP	Mhala	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
35	2019050461 MP	Ernelo	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
36	2018050560 MP	Ermelo	Complaint of a discharge of an official firearm	1	Suspension for two months without salary
37	2018060093 MP	Middelburg	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
38	2018110473 MP	Siyabuswa	Assault - Common	1	Written warning
39	2018120411 MP	Fernie	Corruption	1	Written warning
40	2019050585 MP	Dullstroom	Assault - Common	1	Verbal Warning
41	2017080716 MP	Emzinoni	Death as a result of police action	1	Suspension for one month without salary
42	2018090121 NW	Ikageng	Rape by a Police Officer	1	Dismissed from service
43	2014060201 NW	Rustenburg	Complaint of a discharge of an official firearm	1	2 Months suspension without salary
44	2018120045 NW	Mooinooi	Assault - Common	1	Final written warning
45	2018110536 NW	Phokeng	Assault - Common	1	Written warning
46	2019020089 NW	Ikageng	Complaint of a discharge of an official firearm	1	Dismissed from service
47	2018080249 NW	Klerksdorp	Assault - Common	1	Written warning

Table 27: Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
48	2019010431 NW	Rustenburg	Assault - Common	2	Verbal warning
49	2017120163 NW	Stella	Assault - Common	1	Final written warning
50	2018100239 NW	Letlhabile	Assault - Common	1	Verbal warning
51	2019010036 NW	Pudumoe	Assault - Common	1	Verbal warning
52	2018090069 NW	Zeerust	Non-Compliance with section 29 of IPID Act of 2011	3	Written warning
53	2019030079 NW	Bloemhof	Complaint of a discharge of an official firearm	1	Dismissed from service
54	2019030077 NW	Vryburg	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
55	2019040307 NW	Stilfontein	Assault – Common	1	Written warning
56	2018120394 EC	Bell	Discharge of an official firearm	1	Suspension for two months without salary
57	2019070245 EC	Ikamvelihle	Assault - GBH	1	Written Warning
58	2017020422 EC	Mthatha	Assault – Common	2	Written Warning
59	2016100879 EC	Elliot	Assault – Common	1	Written Warning
60	2017110499 EC	Mt Fletcher	Death as a result of police action	1	Suspension for two months without salary
61	2018060059 EC	Gelvandale	Assault – Common	1	Final Written Warning
62	2019020087 EC	Butterworth	Death as a result of police action	1	Final Written Warning
63	2017090421 EC	Dalasile	Assault - GBH	1	Written Warning
64	2019080316 EC	Ngqeleni	Complaint of a discharge of an official firearm	1	Written Warning
65	2019080101 EC	Kei Mouth	Assault – Common	1	Written Warning
66	2018020239 EC	Lady Frere	Assault - GBH	1	Written Warning
67	2017020348 EC	Ngqeleni	Death as a result of police action	1	Dismissed from service
68	2018110583 EC	Fort Beaufort	Assault – Common	1	Written Warning
69	2018040564 EC	Madeira	Complaint of a discharge of an official firearm	1	Dismissed from service
70	2017110568 EC	Lusikisiki	Assault – Common	1	Written Warning
71	2017070289 EC	Queenstown	Death as a result of police action	1	Dismissed from service
72	2017030083 EC	Cradock	Assault – Common	1	Final Written Warning
73	2018020459 EC	Port St Johns	Assault – Common	2	Written Warning
74	2018090387 EC	Port St Johns	Corruption	1	Final Written Warning
75	2019050570 EC	Ngangelizwe	Assault – Common	1	Final Written Warning
76	2019040064 EC	Ndevana	Death as a result of police action	1	Final Written Warning
77	2019080108 EC	Mount Ayliff	Assault – Common	1	Final Written Warning
78	2016090002 FS	Parkroad	Assault – Common	1	Final Written Warning
79	2016100186 FS	Parkroad	Assault – Common	2	Final Written Warning
80	2018120264 FS	Hoopstad	Assault-GBH	2	Final Written Warning
81	2019040419 FS	Seloshesha	Rape by a Police Officer	1	Dismissed from service
82	2019040560 FS	Bothaville	Corruption	2	Dismissed from service
83	2019060145 FS	Phuthaditjhaba	Assault – Common	3	Counselling provided
84	2019070294 FS	Smithfield	Assault – Common	1	Written Warning
85	2017100289 FS	Mangaung	Assault – Common	1	Written warning

Table 27: Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
86	2018030305 FS	Clocolan	Assault – Common	1	Written warning
87	2018040305 FS	Verkeerdevlei	Assault – Common	3	Verbal Warning
88	2018050190 FS	Mafube	Assault-GBH	2	Written warning
89	2018070003 FS	Rouxville	Rape by a Police Officer	1	Dismissed from service
90	2018070082 FS	Lindley	Assault – Common	2	Written warning
91	2018080445 FS	Odendaalsrus	Assault – Common	2	Written warning
92	2018090152 FS	Phuthaditjhaba	Complaint of a discharge of an official firearm	1	Final written warning
93	2019010377 FS	Ficksburg	Assault-GBH	1	Final written warning
94	2018100618 FS	Welkom	Complaint of a discharge of an official firearm	1	Written warning
95	2019050311 FS	Virginia	Assault – Common	1	Counselling provided
96	2019070141 FS	Henneman	Assault – Common	1	Written warning
97	2019080015 FS	Ficksburg	Assault – Common	1	Final written warning
98	2017070659 FS	Bronville	Assault – Common	2	Written warning
99	2018090021 FS	Viljoenskroon	Assault – Common	1	Final written warning
100	2019040418 FS	Meloding	Assault – Common	1	Written warning
101	2019070149 FS	Villiers	Complaint of a discharge of an official firearm	1	Final written warning
102	2019090192 FS	Kofffontein	Attempted Murder	1	Written warning
103	2019120044 FS	Bultfontein	Assault-GBH	1	Final written warning
104	2019030493 LP	Musina	Assault-GBH	1	Written Warning
105	2017040240 LP	Lulekani	Complaint of a discharge of an official firearm	1	Written Warning
106	2017120004 LP	Northam	Assault-GBH	2	Suspension for one month without salary
107	2018100016 LP	Waterval	Death in police custody	1	Written warning
108	2018110557 LP	Marble Hall	Death in police custody	1	Suspension for one month without salary
109	2019050131 LP	Saamboubrug	Non-Compliance with section 29 of IPID Act of 2011	1	Written Warning
110	2019040089 LP	Mogwadi	Assault Common	1	Written Warning
111	2019080310 LP	Gilead	Non-Compliance with section 29 of IPID Act of 2011	2	Verbal Warning
112	2018060611 LP	Hlogotlou	Complaint of a discharge of an official firearm	1	Suspension for two months without salary
113	2019080219 LP	Westernburg	Non-Compliance with section 29 of IPID Act of 2011	3	Written Warning
114	2019080217 LP	Westernburg	Non-Compliance with section 29 of IPID Act of 2011	3	Written Warning
115	2017050517 LP	Polokwane	Defeating the ends of justice	2	Verbal Warning
116	2018020255 MP	Emzinoni	Non-Compliance with section 29 of IPID Act of 2011	3	Written warning
117	2019090128 MP	Ermelo	Assault – Common	1	Written warning
118	2019070080 MP	Mhluzi	Assault – Common	1	Verbal Warning
119	2019090069 MP	Bushbuckridge	Assault – Common	1	Suspended without pay for one month

Table 27: Details of disciplinary convictions

No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
120	2019070320 MP	Volkstrust	Non-Compliance with section 29 of IPID Act of 2011	1	Written warning
121	2018070490 MP	Tonga	Complaint of a discharge of an official firearm	1	Written warning
122	2019090226 MP	Dirkiesdorp	Death in police custody	5	Final written warning
123	2019090068 MP	Dirkiesdorp	Complaint of a discharge of an official firearm	1	Written warning
124	2019050007 MP	Tonga	Death as a result of police action	2	Written warning
125	2019020017 MP	Siyabuswa	Assault – Common	2	Written warning
126	2018020286 MP	Dirkiesdorp	Non-Compliance with section 29 of IPID Act of 2011	4	Written warning
127	2019020122 NC	Hartswater	Non-Compliance with section 29 of IPID Act of 2011	1	written Warning
128	2019050021 NC	Hanover	Assault – Common	1	Suspension without salary for a month
129	2019050101 NC	Phillipstown	Assault – Common	1	written Warning
130	2019010013 NC	Kimberley	Complaint of a discharge of an official firearm	1	Final written warning
131	2019060456 NC	Springbok	Rape in police custody	1	Suspension for one months without salary
132	2019050204 NC	Phillipstown	Assault – Common	1	Written warning
133	2018060273 NC	Paballelo	Rape in police custody	1	Final written warning
134	2019020548 NC	Kuruman	Assault – Common	1	Written Warning
135	2019100191 NC	Carnavon	Non-Compliance with section 29 of IPID Act of 2011	1	Counselling provided
136	2019090108 NC	Upington	Assault – Common	1	Dismissed from service
137	2019040347 WC	Athlone	Assault – Common	1	Written Warning
138	2018110339 WC	Parow	Death as a result of police action	1	Salary Suspension
139	2019050373 WC	Dysselsdorp	Assault - GBH	1	Verbal Warning
140	2019040035 WC	Knysna	Complaint of a discharge of an official firearm	1	Final Written warning
141	2018110335 WC	Khayelitsha	Assault – Common	3	Final Written warning
142	2019060167 WC	Montagu	Assault – Common	2	Final Written
143	2019050477 WC	Stellenbosch	Death as a result of police action	1	Final Written Warning
144	2019080422 WC	Vredendal	Assault – Common	1	Written Warning
145	2019050480 WC	Genadendal	Assault – Common	3	Written Warning
146	2019060072 WC	Bonnievale	Complaint of a discharge of an official firearm	1	Written Warning
147	2018070137 WC	Kleinvlei	Assault – Common	1	Written Warning
148	2018110148 WC	Bellville	Rape by a Police Officer	1	Dismissed from service
149	2019020529 WC	Athlone	Assault - GBH	2	Final Written Warning
150	2018070402 WC	Grassy Park	Assault – Common	3	Verbal Warning
151	2018110324 WC	Stellenbosch	Death as a result of police action	1	Final Written Warning
152	2018010133 NW	Lichtenburg	Assault – Common	3	Verbal Warning
153	2019020488 NW	Lehurutshe	Death in police custody	1	Final written Warning
154	2018120270 NW	Kgomotso	Assault – Common	1	Written Warning
155	2019010326 NW	Vryburg	Assault - GBH	1	Written Warning
156	2019060448 NW	Ventersdorp	Assault - GBH	1	Final Written Warning

Table 27: Details of disciplinary convictions					
No.	CCN	Station	Nature of complaint as received by IPID	Number of members charged	Sentence / Sanction
157	2019070307 NW	Schweizer Reneke	Assault – Common	1	Written Warning
158	2017110163 NSIT	Pretoria Central	Corruption	4	Dismissed from service
159	2018060468 GP	Douglasdale	Death as a result of police action	1	Suspension without salary for 2 months.
160	2019010229 GP	Soshanguve	Complaint of a discharge of an official firearm	1	Final written warning
161	2017020504 GP	Jabulani	Assault – Common	1	Final written warning
162	2018090164 GP	Temba	Assault – Common	1	Written Warning
163	2018070077 GP	Dobsonville	Corruption	4	Members dismissed
164	2018040588 GP	Temba	Assault – Common	1	Written Warning
165	2018090484 GP	Duduza	Assault – Common	2	Verbal warning
166	2018110297 GP	Pretoria West	Assault – Common	1	Written Warning
167	2019060252 GP	Mamelodi East	Complaint of a discharge of an official firearm	4	Corrective counselling
168	2019040192 GP	Ekgangala	Assault – Common	2	Written Warning
169	2018110522 GP	JHB Central	Rape by a Police Officer	1	Dismissed from service
170	2016110424 GP	Mondeor	Assault – Common	5	Written warning
171	2019040225 GP	Ga- Rankuwa	Assault – Common	1	Written warning
172	2018040507 GP	Lenasia South	Death as a result of police action	1	Suspension without salary for 2 months.
173	2019050118 GP	Silverton	Assault – Common	1	Suspension without salary for a month.
174	2018010074 GP	Ivory Park	Complaint of a discharge of an official firearm	1	Written warning
175	2015050171 GP	Pretoria Central	Assault – Common	1	Verbal warning
Total				243	

10.2. DISCIPLINARY ACQUITTALS

Table 28 shows the total number of disciplinary acquittals that were reported by the respective provinces during the period under review. A total of 128 disciplinary acquittals were reported.

Provinces	Deaths in police custody	Deaths as a result of police action	Discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systemic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
Eastern Cape	2	2	5	-	1	-	40	1	-	-	-	51
Free State	-	-	1	2	-	-	21	-	-	2	2	28
Gauteng	-	1	2	-	-	-	4	1	-	-	-	8
KwaZulu-Natal	-	-	-	-	-	-	-	-	-	-	-	-
Limpopo	4	-	-	-	-	-	7	-	-	2	-	13
Mpumalanga	-	1	2	-	-	-	9	4	-	2	-	18
North West	-	2	-	1	-	-	2	-	-	-	-	5
Northern Cape	-	-	-	-	-	-	1	-	-	-	-	1
Western Cape	-	-	1	-	-	-	3	-	-	-	-	4
Total	6	6	11	3	1	-	87	6	-	6	2	128

Table 29 lists details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
1	2018110243 EC	Kamesh	Assault - Common	2	Acquitted
2	2018080395 EC	Bethelsdorp	Assault - Common	1	Acquitted
3	2018110046 EC	Kamesh	Assault - Common	1	Acquitted
4	2018070327 EC	Joubertina	Complaint of a discharge of an official firearm	1	Acquitted
5	2018060171 EC	Lady Frere	Assault - Common	1	Acquitted
6	2018100230 EC	Willowvale	Death in Police Custody	2	Acquitted
7	2016100839 EC	Mt Ayliff	Death as a result of police action	2	Acquitted
8	2016110500 EC	Nemato	Assault - Common	5	Acquitted
9	2018120404 EC	Sterkstroom	Assault - Common	1	Acquitted
10	2017100327 EC	Idutywa	Death in Police Custody	1	Acquitted
11	2016100710 EC	Madeira	Complaint of a discharge of an official firearm	1	Acquitted
12	2018090135 EC	Ntabankulu	Assault - Common	1	Acquitted
13	2018060374 EC	Joubertina	Complaint of a discharge of an official firearm	1	Acquitted
14	2018100148 EC	Willowvale	Assault - Common	6	Acquitted
15	2018100150 EC	Willowvale	Assault - Common	6	Acquitted
16	2018080137 EC	Mount Fletcher	Assault - Common	2	Acquitted
17	2019010398 EC	Mlungisi	Assault - Common	2	Acquitted
18	2019020387 EC	Cookhouse	Assault - Common	1	Acquitted
19	2016110396 EC	Zwelitsha	Assault - Common	1	Acquitted

Table 29: Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
20	2018090532 EC	Aliwal North	Rape by police officer on/off duty	1	Acquitted
21	2018090547 EC	East London	Assault - Common	3	Acquitted
22	2019010165 EC	Bethelsdorp	Assault - Common	2	Acquitted
23	2018070476 EC	Maclear	Assault - Common	1	Acquitted
24	2019010145 EC	Joubertina	Assault - Common	1	Acquitted
25	2019030277 FS	Theunessin	Assault - Sexual	1	Acquitted
26	2019050573 FS	Bothaville	Assault - Common	2	Acquitted
27	2018100113 FS	Zastron	Assault - Common	2	Acquitted
28	2018110154 MP	Middelburg	Corruption	1	Acquitted
29	2017070482 MP	Maartenshoop	Assault - Common	1	Acquitted
30	2019030137 MP	Aconhoek	Assault - Common	2	Acquitted
31	2018060093 MP	Middelburg	Non-Compliance with section 29 of IPID Act	2	Acquitted
32	2019020261 MP	Piet Retief	Assault - Common	4	Acquitted
33	2018120089 MP	Carolina	Corruption	2	Acquitted
34	2019030105 MP	Pienaar	Corruption	1	Acquitted
35	2018110473 MP	Siyabuswa	Assault - Common	1	Acquitted
36	2018120411 MP	Fernie	Corruption	1	Acquitted
37	2017030613 MP	Masoyi	Complaint of a discharge of an official firearm	4	Acquitted
38	2019030255 MP	Pilgremstrest	Assault - Common	1	Acquitted
39	2018010188 MP	Tweefontein	Complaint of a discharge of an official firearm	1	Acquitted
40	2018090369 NW	Jouberton	Rape by police officer on/off duty	1	Acquitted
41	2012080739 NW	Potchefstroom	Death as a result of police action	1	Acquitted
42	2018030488 NW	Wolmaransstad	Assault - Common	6	Acquitted
43	2018040454 NW	Mmabatho	Death as a result of police action	5	Acquitted
44	2018080249 NW	Klerksdorp	Assault - Common	2	Acquitted
45	2018110044 EC	Humansdorp	Assault - Common	2	Acquitted
46	2018070151 EC	Mount Fletcher	Assault-GBH	2	Acquitted
47	2018040457 EC	Mount Frere	Assault - Common	1	Acquitted
48	2017110488 EC	Grahamstown	Assault - Common	1	Acquitted
49	2019030204 EC	Cookhouse	Assault-GBH	5	Acquitted
50	2018060179 EC	Joubertina	Assault - Common	1	Acquitted
51	2018030043 EC	Nemato	Assault - Common	1	Acquitted
52	2017110063 EC	Mount Road	Assault - Common	2	Acquitted
53	2018100395 EC	KwaNobuhle	Assault - Common	1	Acquitted
54	2019060269 EC	Algoa Park	Assault - Common	1	Acquitted
55	2017040381 EC	Sterkstroom	Assault - Common	2	Acquitted
56	2016120213 EC	King Williams Town	Corruption	1	Acquitted
57	2019070034 EC	Mlungisi	Assault-Common	1	Acquitted
58	2017030504 EC	Klipplaat	Complaint of a discharge of an official firearm	1	Acquitted
59	2018120040 EC	Ilinge	Assault - Common	2	Acquitted

Table 29: Details of disciplinary acquittals

No.	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
60	2017060453 EC	Madeira	Assault - Common	1	Acquitted
61	2018110589 EC	Bethelsdorp	Complaint of a discharge of an official firearm	1	Acquitted
62	2019030332 EC	Cambridge	Assault - Common	1	Acquitted
63	2018100580 EC	Kirkwood	Assault - Common	1	Acquitted
64	2018060058 EC	Thornhill	Assault - Common	1	Acquitted
65	2019040572 EC	Maluti	Assault-GBH	2	Acquitted
66	2019060250 EC	Tarkastad	Assault-GBH	1	Acquitted
67	2018050030 EC	Ngangelizwe	Death as a result of police action	1	Acquitted
68	2016060167 EC	Mount Frere	Assault-GBH	2	Acquitted
69	2019060325 EC	Flagstaff	Assault-GBH	2	Acquitted
70	2018010135 EC	Port St Johns	Assault - Common	1	Acquitted
71	2019040185 EC	Mount Frere	Assault-GBH	2	Acquitted
72	2019020477 FS	Harrismith	Rape by a Police Officer	1	Acquitted
73	2019050287 FS	Batho	Assault-GBH	1	Acquitted
74	2017030543 FS	Ficksburg	Assault - Common	3	Acquitted
75	2017060160 FS	Bethlehem	Complaint of a discharge of an official firearm	1	Acquitted
76	2018030163 FS	Zamdela	Rape by a Police Officer	1	Acquitted
77	2018040152 FS	Ficksburg	Attempted Murder	1	Acquitted
78	2018040305 FS	Soutpan	Non-Compliance with section 29 of IPID Act of 2011	1	Acquitted
79	2018040443 FS	Petrusburg	Assault - Common	1	Acquitted
80	2018060484 FS	Ficksburg	Non-Compliance with section 29 of IPID Act of 2011	2	Acquitted
81	2018080367 FS	Ficksburg	Assault - Common	1	Acquitted
82	2018100581 FS	Seloshesha	Assault - Common	1	Acquitted
83	2018110229 FS	Virginia	Assault - Common	2	Acquitted
84	2018110388 FS	Bultfontein	Assault - Common	1	Acquitted
85	2018110607 FS	Welkom	Assault - Common	2	Acquitted
86	2019020243 FS	Hertzogville	Assault - Common	3	Acquitted
87	2019030107 FS	Brandfort	Assault - Common	1	Acquitted
88	2019030380 FS	Paul Roux	Assault - Common	2	Acquitted
89	2019040013 FS	Bothaville	Assault-GBH	1	Acquitted
90	2019040140 FS	Phuthaditjhaba	Assault - Common	1	Acquitted
91	2019040447 FS	Kagisanong	Assault - Common	1	Acquitted
92	2019070078 FS	Ventersburg	Assault - Common	2	Acquitted
93	2019070300 FS	Mangaung	Sexual Assault	1	Acquitted
94	2019100615 FS	Clocolan	Assault - Common	4	Acquitted
95	2016110337 FS	Kagisanong	Attempted Murder	1	Acquitted
96	2018120433 FS	Meloding	Assault - Common	1	Acquitted
97	2018120286 LP	Hlanganani	Non-Compliance with Section 29 of the IPID Act	1	Acquitted
98	2018120224 LP	Mahwelereng	Non-Compliance with section 29 of IPID Act of 2011	1	Acquitted
99	2018090456 LP	Gilead	Assault-common	1	Acquitted
100	2018110176 LP	Botlokwa	Death in Police Custody	1	Acquitted

Table 29: Details of disciplinary acquittals					
No.	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
101	2018090016 LP	Waterval	Death in Police Custody	3	Acquitted
102	2018120385 LP	Rakgoadi	Assault - Common	2	Acquitted
103	2018100398 LP	Naboomspruit	Death in police custody	2	Acquitted
104	2016110664 LP	Mokopane	Assault - Common	3	Acquitted
105	2019030311 LP	Dennilton	Assault-GBH	2	Acquitted
106	2018090133 LP	Northam	Assault-GBH	4	Acquitted
107	2018090134 LP	Northam	Assault - Common	4	Acquitted
108	2019070022 LP	Tubatse	Death in police custody	2	Acquitted
109	2019080087 LP	Polokwane	Assault - Common	1	Acquitted
110	2019030231 MP	Middelburg	Assault - Common	2	Acquitted
111	2017090061 MP	Badplaas	Assault - Common	2	Acquitted
112	2019050459 MP	Piet Retief	Non-Compliance with section 29 of IPID Act of 2011	1	Acquitted
113	2017050534 MP	Sakhile	Death as a result of police action	3	Acquitted
114	2018110206 MP	Standerton	Assault - Common	1	Acquitted
115	2019070517 MP	Secunda	Assault - Common	1	Acquitted
116	2019050204 NC	Phillipstown	Assault - Common	1	Acquitted
117	2019010425 WC	Nyanga	Complaint of a discharge of an official firearm	1	Acquitted
118	2019060167 WC	Montagu	Assault - Common	1	Acquitted
119	2018110366 WC	Grassy Park	Assault - Common	2	Acquitted
120	2019040347 WC	Kleinvei	Assault - Common	1	Acquitted
121	2018040200 GP	Roodepoort	Death as a result of police action	1	Acquitted
122	2018050444 GP	Fochville	Complaint of a discharge of an official firearm	2	Acquitted
123	2018040019 GP	Tembisa South	Assault - Common	2	Acquitted
124	2018060409 GP	Eden Park	Assault - Common	5	Acquitted
125	2017080443 GP	Brixton	Corruption	1	Acquitted
126	2019020121 GP	Eldorado Park	Assault - Common	2	Acquitted
127	2018060260 GP	Tsakane	Complaint of a discharge of an official firearm	4	Acquitted
128	2018110169 GP	Silverton	Assault - Common	1	Acquitted
Total				225	

10.3. CRIMINAL CONVICTIONS

The IPID is dedicated to investigating acts of criminality allegedly perpetrated by members of the SAPS/MPS and bring these members to justice. Once the investigation is completed and cases are referred to the NPA. The court process unfolds and the role of IPID is to provide the court with enough evidence to make an appropriate ruling on the case at hand. In some instances, the investigations conducted by IPID result to conviction. Table 30 shows the total number of criminal convictions that have been reported by the respective provinces during the period under review. A total of 69 criminal convictions were secured.

Table 30: Criminal convictions												
Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence	Total
Eastern Cape	-	2	4	1	-	-	1	-	-	-	-	8
Free State	-	2	1	1	-	-	5	1	-	-	-	10
Gauteng	-	10	1	1	-	-	6	1	-	-	-	19
KwaZulu-Natal	-	6	1	-	-	-	-	-	-	-	-	7
Limpopo	-	2	1	-	-	-	3	-	-	-	-	6
Mpumalanga	-	1	-	-	-	-	2	-	-	-	-	3
North West	-	1	1	3	-	-	1	-	-	-	-	6
Northern Cape	-	-	1	-	-	-	2	-	-	-	-	3
Western Cape	-	2	-	1	-	-	3	-	-	-	1	7
Total	-	26	10	7	-	-	23	2	-	-	1	69

Table 31 lists details of criminal convictions

Table 31: Details of criminal convictions						
No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sentence / Sanction
1	Eastern Cape	2018030183	Humansdorp	Complaint of a discharge of an official firearm	1	Sentenced to 3 years imprisonment wholly suspended
2	Eastern Cape	2016090259	Zwelitsha	Assault - Common	1	Sentenced to 6 months imprisonment suspended for 5 years or fined R 6 000
3	Eastern Cape	2017120173	Swartkops	Rape by police officer on/off duty	1	Sentenced to 3 years correctional supervision and 2 years wholly suspended for 5 years
4	Eastern Cape	2016100143	Graaf - Reinett	Complaint of a discharge of an official firearm	1	Sentenced to 8 months imprisonment wholly suspended for 3 years
5	Eastern Cape	2015010054	Zwelitsha	Complaint of a discharge of an official firearm	1	Sentenced to 5 years imprisonment, declared unfit to possess a firearm
6	Eastern Cape	2017060224	East London	Complaint of a discharge of an official firearm	1	Sentenced to 5 years imprisonment of which 2 years suspended
7	Free State	2018100192	Harrismith	Assault - Common	1	Fined R 5 000
8	Free State	2017120045	Lindley	Death as a result of police action	1	Sentenced to 36 months correctional Supervision
9	Free State	2017030563	Kagisanong	Assault - Common	1	Sentenced to 3 months imprisonment wholly suspended for 3 years of fined R 900
10	Free State	2017020264	Kroonstad	Corruption	1	Sentenced to 24 months imprisonment, declared unfit to possess a firearm

Table 31: Details of criminal convictions						
No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sentence / Sanction
11	Free State	2019010025	Boithuso	Complaint of a discharge of an official firearm	1	Sentenced to 3 years imprisonment suspended for 3 years on conditions
12	Gauteng	2013040010	Moroka	Death as a result of police action	1	Sentenced to 15 years imprisonment of which 5 years is suspended and declared unfit to possess a firearm
13	Gauteng	2015090250	Pretoria West	Death as a result of police action	1	Sentenced to 20 years imprisonment
14	Gauteng	2016080185	Vosloorus	Death as a result of police action	1	Sentenced to life imprisonment
15	Gauteng	2014100528	Ranburg	Assault - GBH	2	Sentenced to 5 months imprisonment wholly suspended for 5 years or fined R 15 000
16	Gauteng	2017010489	Olivenhoutbosch	Death as a result of police action	1	Sentenced to 15 years imprisonment
17	Gauteng	2016110119	Loate	Assault - Common	1	Fined R500
18	Gauteng	2017020257	Tembisa	Death as a result of police action	1	Sentenced to 15 years imprisonment
19	Gauteng	2017080564	Pretoria Central	Death as a result of police action	1	Sentenced to 15 years imprisonment
20	Gauteng	2016120530	Katlehong	Death as a result of police action	1	Sentenced to 15 years imprisonment
21	Gauteng	2018110494	Douglasdale	Corruption	1	Sentenced to 10 years imprisonment
22	KwaZulu-Natal	2015090125	Mpophomeni	Death as a result of police action	1	Sentenced to 18 years imprisonment
23	KwaZulu-Natal	2016060476	Verulam	Death as a result of police action	1	Sentenced to 15 years imprisonment, declared unfit to possess firearm
24	KwaZulu-Natal	2015110132	KwaMakhutha	Death as a result of police action	1	Sentenced 10 years imprisonment, declared unfit to possess firearm
25	KwaZulu-Natal	2017050360	Sundumbili	Complaint of a discharge of an official firearm	1	Sentenced to 5 years imprisonment, declared unfit to possess a firearm
26	North West	2017020097	Lichtenburg	Rape by police officer on/off duty	1	Sentenced to 15 years imprisonment
27	Northern Cape	2016080160	Kagisho	Complaint of a discharge of an official firearm	1	Sentenced to 9 months imprisonment or fined R 2 000 and declared unfit to possess a firearm
28	Northern Cape	2016020256	Postmasburg	Assault - Common	1	Sentenced to 6 years imprisonment and declared unfit to possess a firearm
29	Western Cape	2013060477	Nyanga	Assault - Common	1	Sentenced to 3 years imprisonment and a further 3 years suspended for 5 years
30	Western Cape	2017040407	Kraaifontein	Death as a result of police action	1	Sentenced to 6 years imprisonment suspended for 5 years

Table 31: Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sentence / Sanction
31	Western Cape	2016010117	Khayelitsha	Death as a result of police action	1	Sentenced 15 years of which 3 years is suspended for 5 years
32	Western Cape	2012060134	Cape Town	Attempted murder	2	Sentenced to 18 months imprisonment wholly suspended for 3 years or fined R 3 000
33	Eastern Cape	2013120509	Bethelsdorp	Death as a result of police action	1	Sentenced to 8 years imprisonment wholly suspended for 4 years
34	Eastern Cape	2017080651	Kwazakhele	Death as a result of police action	1	Sentenced to 3 years' imprisonment suspended for 5 years. Fine R2000.00 or undergo imprisonment for 120 days, half is suspended for 5 years.
35	Free State	2016050249	Bethlehem	Assault - Common	1	R1000 fine, wholly suspended for 5 years
36	Free State	2017030563	Kagisanong	Assault - Common	1	Sentenced to 3 months imprisonment wholly suspended for 3 years or fined R 900
37	Free State	2018080367	Ficksburg	Assault - Common	1	Sentenced to 12 months imprisonment or fine R6000
38	Free State	2018060311	Botshabelo	Death as a result of police action	1	Sentenced to 18 years imprisonment, suspended for 5 years, declared unfit to possess a firearm
39	Free State	2014120313	Heidedal	Rape by police officer on/off duty	1	Sentenced to 10 years imprisonment
40	Gauteng	2018090250	Dunnottar	Assault - Sexually	1	Sentenced to 6 years imprisonment
41	Gauteng	2018060560	Alexandra	Death as a result of police action	1	Sentenced to life imprisonment
42	Gauteng	2014030218	Tembisa	Assault - Common	1	Sentenced to 36 months imprisonment wholly suspended for 5 years and declared unfit to possess a firearm
43	Gauteng	2017050002	Soshanguve	Complaint of a discharge of an official firearm	2	Sentenced to 5 years imprisonment wholly suspended for 5 years and declared unfit to possess a firearm
44	Gauteng	20141100603	Thokoza	Death as a result of police action	1	Sentenced to life imprisonment
45	Gauteng	2016100110	Katlehong	Assault - GBH	1	Sentenced for 5 years suspended for 2 years.
46	Gauteng	2017070270	Brakendowns	Rape by police officer on/off duty	1	Sentenced to 10 years direct imprisonment, the counts are exposure/display of display of child pornography.
47	Gauteng	2018080399	Soshanguve	Death as a result of police action	1	Sentenced to 15 years imprisonment and declared unfit to possess firearm

Table 31: Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sentence / Sanction
48	Gauteng	2019020344	Jabulani	Assault - Common	1	Sentenced to 18 months imprisonment, suspended for 15 years on conditions.
49	KwaZulu-Natal	2017110256	Wembezi	Death as a result of police action	1	Sentenced to 10 years imprisonment and declared unfit to possess firearm
50	KwaZulu-Natal	2014120434	Melmoth	Death as a result of police action	1	Sentenced to 10 years imprisonment
51	KwaZulu-Natal	2018010434	Umbilo	Death as a result of police action	1	Sentenced to 30 years imprisonment
52	Limpopo	2011120114	Polokwane	Assault - GBH	3	Sentenced to 6 months imprisonment or R3000.00 fined.
53	Limpopo	2013030298	Ritavi	Assault - GBH	3	Sentenced to 3 months imprisonment or R4000.00 fined.
54	Limpopo	2015030022	Tshilwavhusiku	Assault - Common	2	Sentenced to 3 months imprisonment or R2000.00 fined.
55	Limpopo	2016110481	Maake	Death as a result in police custody	1	Sentenced to 15 years imprisonment
56	Limpopo	2017120214	Giyani	Complaint of a discharge of an official firearm	1	Sentenced to 12 months imprisonment or R6000.00 fined.
57	Limpopo	2016110481	Maake	Death as a result in police custody	1	Sentenced to 15 years imprisonment
58	Mpumalanga	2017060517	Schoemansdal	Assault - Common	1	Sentenced to 12 months imprisonment or R3000 fined.
59	Mpumalanga	2015080375	Kwaggafontein	Assault - Common	2	Sentenced to 3 months imprisonment or R2000 fine.
60	Mpumalanga	2016070488	Piet Retief	Death as a result of police action	1	Sentenced to 3 years imprisonment suspended for 3 years
61	Northern Cape	2016060276	Kimberley	Assault - Common	1	Sentenced to 9 months Imprisonment wholly suspended for 3 years
62	Western Cape	2018100420	Strand	Assault - Common	1	Sentenced to 8 months imprisonment or R2000 fine.
63	Western Cape	2017010466	Conville	Rape by police officer on/off duty	1	Sentenced to 5 years imprisonment, suspended for 5 years
64	Western Cape	2016110583	Mitchells Plain	Assault - Common	1	Sentenced to 5 months imprisonment or R6000 fine.
65	North West	2017080315	Makapanstad	Rape by police officer on/off duty	1	Sentenced to 7 years imprisonment
66	North West	2018090121	Ikageng	Rape by police officer on/off duty	1	Sentenced to 8 years imprisonment ,4 years suspended for 5 years on condition.
67	North West	2014020565	Bloemhof	Death as a result of police action	1	Sentenced to 2 years imprisonment wholly suspended for 3 years

Table 31: Details of criminal convictions

No.	Province	CCN	Station	Nature of complaint	Number of members charged	Sentence / Sanction
68	North West	2018050318	Lomanyaneng	Complaint of a discharge of an official firearm	1	Fined R15 000
69	North West	2018050163	Jouberton	Assault - Common	2	Sentenced to 4 months imprisonment or R1000.00 fined suspended for 3yrs.
Total					79	

10.4. CRIMINAL ACQUITTALS

Table 32 indicates the total number of criminal acquittals that have been reported by the respective provinces during the period under review. A total 67 criminal acquittals were reported for period under review.

Table 32: Criminal acquittals

Provinces	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Systematic Corruption	Non-compliance with IPID Act	Other criminal offence and misconduct	Total
Eastern Cape	-	-	-	-	-	-	1	-	-	-	-	1
Free State	-	-	1	2	-	1	18	1	-	-	1	24
Gauteng	-	1	-	-	-	-	2	1	-	-	-	4
KwaZulu-Natal	-	-	-	-	-	-	-	1	-	-	-	1
Limpopo	-	-	-	-	-	-	3	-	-	-	-	3
Mpumalanga	-	2	-	1	-	-	13	1	-	-	1	18
North West	-	1	1	-	-	-	-	-	-	-	-	2
Northern Cape	-	-	-	-	-	-	-	-	-	-	-	-
Western Cape	-	2	1	2	-	1	7	-	-	-	1	14
Total	-	6	3	5	-	2	44	4	-	-	3	67

Table 33 lists details of criminal acquittals

Table 33: Details of criminal acquittals

No.	Province	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
1	Free State	2018100307	Oranjeville	Assault - Common	1	Acquitted
2	Free State	2018090557	Bethlehem	Assault - Common	1	Acquitted
3	Free State	2016090179	Phuthaditjhaba	Assault - Common	1	Acquitted
4	Free State	2017060548	Tseki	Assault - Common	1	Acquitted
5	Free State	2017080339	Welkom	Assault - Common	1	Acquitted
6	Free State	2018070439	Heilbron	Assault - Common	1	Acquitted
7	Free State	2016120040	Sasolburg	Assault - Common	1	Acquitted
8	Free State	2018090189	Reitz	Assault - Common	1	Acquitted
9	Gauteng	2016120287	Benoni	Corruption	2	Acquitted
10	Gauteng	2017040368	Florida	Death as a result of police action	3	Acquitted

Table 33: Details of criminal acquittals

No.	Province	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
11	Mpumalanga	2017100100	Whiteriver	Assault - Common	1	Acquitted
12	Mpumalanga	2018010611	Nelspruit	Assault - Common	1	Acquitted
13	Mpumalanga	2013010460	Kanyamazane	Assault - Common	1	Acquitted
14	Mpumalanga	2018020200	Piet Retief	Death as a result of police action	1	Acquitted
15	Mpumalanga	2018090207	Sabie	Assault - Common	2	Acquitted
16	Mpumalanga	2018090261	Mahamba	Assault - Common	1	Acquitted
17	Western Cape	2014020423	Belhar	Assault - GBH	1	Acquitted
18	Western Cape	2015010346	Worcester	Attempted murder	1	Acquitted
19	Western Cape	2017040308	Belhar	Death as a result of police action	1	Acquitted
20	Western Cape	2012110123	Clanwilliam	Assault - GBH	1	Acquitted
21	North West	2015070394	Jouberton	Complaint of a discharge of an official firearm	1	Acquitted
22	Eastern Cape	2018010549	Bethelsdorp	Assault-GBH	1	Acquitted
23	Free State	2013050179	Kestell	Assault - Common	1	Acquitted
24	Free State	2014010161	Senekal	Assault - Common	1	Acquitted
25	Free State	2015060451	Bethlehem	Complaint of a discharge of an official firearm	1	Acquitted
26	Free State	2016040090	Bethlehem	Assault - Common	1	Acquitted
27	Free State	2016050654	Kestell	Torture	1	Acquitted
28	Free State	2017020177	Mangaung	Rape by police officer on/off duty	1	Acquitted
29	Free State	2018030536	Bainsvlei	Assault - Common	1	Acquitted
30	Free State	2019020468	Clocolan	Assault - Common	1	Acquitted
31	Free State	2017090207	Bethelehem	Assault - Common	1	Acquitted
32	Free State	2019020077	Bothaville	Assault - Common	1	Acquitted
33	Free State	2012080498	Harrismith	Corruption	1	Acquitted
34	Free State	2017040475	Boshof	Attempted Murder	1	Acquitted
35	Free State	2016100324	Zamdela	Rape by police officer on/off duty	1	Acquitted
36	Free State	2018020518	Edenville	Assault - Common	1	Acquitted
37	Free State	2018030512	Bronville	Assault - Common	1	Acquitted
38	Free State	2019040013	Bothaville	Assault - GBH	1	Acquitted
39	Gauteng	2018110399	Cleveland	Assault - Common	1	Acquitted
40	Gauteng	2019070040	Orange Farms	Assault - Common	1	Acquitted
41	KwaZulu-Natal	2016020339	KwaMakhutha	Corruption	1	Acquitted
42	Limpopo	2018020167	Mankweng	Assault - GBH	2	Acquitted
43	Limpopo	2018100077	Roedtan	Assault - Common	2	Acquitted
44	Limpopo	2013100150	Roosenekal	Assault - GBH	4	Acquitted
45	Mpumalanga	2017070020	Nelspruit	Assault - Common	1	Acquitted
46	Mpumalanga	2015100414	Ermelo	Rape by police officer on/off duty	1	Acquitted
47	Mpumalanga	2015110267	Hartebeeskop	Assault - Common	1	Acquitted
48	Mpumalanga	2016010249	Watervalboven	Assault - Common	1	Acquitted
49	Mpumalanga	2017070045	Sakhile	Assault - Common	2	Acquitted
50	Mpumalanga	2016020263	Kwamhlanga	Death as a result of police action	2	Acquitted

Table 33: Details of criminal acquittals

No.	Province	CCN	Station	Nature of complaint	Number of members acquitted	Sentence / Sanction
51	Mpumalanga	2018010525	Davel	Assault - Common	1	Acquitted
52	Mpumalanga	2013090632	Dientjie	Defeating the ends of justice	1	Acquitted
53	Mpumalanga	2015080451	Volsrust	Assault - Common	1	Acquitted
54	Mpumalanga	2017090188	Witbank	Corruption	2	Acquitted
55	Mpumalanga	2017090150	Sakhile	Assault - Common	1	Acquitted
56	Mpumalanga	2018110473	Siyabuswa	Assault - Common	2	Acquitted
57	Western Cape	2016120154	Nyanga	Assault - Common	1	Acquitted
58	Western Cape	2014070523	Kwanokuthula	Assault - Common	2	Acquitted
59	Western Cape	2017050338	Moorreesburg	Assault - Common	3	Acquitted
60	Western Cape	2012020455	Khayelitsha	Death as a result of police action	1	Acquitted
61	Western Cape	2015070077	Manenberg	Rape by police officer on/off duty	1	Acquitted
62	Western Cape	2015060433	Oudtshoorn	Rape by police officer on/off duty	1	Acquitted
63	Western Cape	2015120251	Hermanus	Torture	1	Acquitted
64	Western Cape	2018020267	Malmesbury	Assault - Common	1	Acquitted
65	Western Cape	2017070369	Oudtshoorn	Assault - Common	1	Acquitted
66	Western Cape	2015110349	Robertson	Complaint of a discharge of an official firearm	1	Acquitted
67	North West	2014030484	Kanana	Death as a result of police action	1	Acquitted
Total					83	

11. THE ARREST OF SAPS/MPS MEMBERS

In terms of Section 24(2) of the IPID Act, the IPID investigators are entitled to perform duties of peace officers as per the Criminal Procedure Act. The investigator can execute warrants and arrest suspects as per Section 24 (2)(e) and (f) of the IPID Act, 1 of 2011. The IPID had conducted a total of 71 arrested which resulted to 95 SAPS members being arrested for various crimes. Some of the arrests were made by SAPS before IPID investigators arrived at the crime scene or took over the investigation.

Table 34 lists details of arrests per province and charge.

Table 34: Details of arrest per province and charge

No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
1	Gauteng	August	2018090302	JHB Central	Assault Common	1
2	Gauteng	August	2018110079	Bramley	Attempted murder	1
3	Mpumalanga	July	2017100383	Bushbuckridge	Murder	1
4	KwaZulu-Natal	September	2018030483	Port Shepstone	Assault GBH	1
5	KwaZulu-Natal	September	2019050137	Port Shepstone	Rape by police officer	1
6	KwaZulu-Natal	August	2017100224	Umbumbulu	Murder	1
7	KwaZulu-Natal	August	2019010296	Durban Central	Assault Common	1
8	KwaZulu-Natal	July	2019070526	Kranskop	Murder	1
9	KwaZulu-Natal	May	2018100569	Durban Central	Corruption	1
10	Free State	April	2019040419	Selossha	Rape by police officer	1
11	Free State	June	2019060182	Kopanong	Murder	1

Table 34: Details of arrest per province and charge						
No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
12	Eastern Cape	May	2017090313	Humansdorp	Assault Common	1
13	Eastern Cape	July	2019070174	East London	Rape by police officer	1
14	Eastern Cape	July	2017020348	Ngqeleni	Murder	1
15	Eastern Cape	July	2019070280	Bell	Rape by police officer	1
16	Eastern Cape	August	2019080455	Mzamba	Murder	4
17	Eastern Cape	September	2019020087	Butterworth	Murder	1
18	Eastern Cape	September	2019050052	Queenstown	Assault Common	1
19	Eastern Cape	September	2018060058	Thornhill	Assault Common	1
20	Eastern Cape	November	2017020422	Mthatha	Assault Common	1
21	Eastern Cape	November	2017010195	Joubertina	Attempted Murder	1
22	Eastern Cape	December	2018110201	Alice	Murder	1
23	Eastern Cape	January	2017080034	Zwelitsha	Attempted Murder	1
24	Eastern Cape	January	2017100010	Bethelsdorp	Assault Common	1
25	Eastern Cape	February	2019100135	Idutywa	Assault Common	1
26	Eastern Cape	February	2018050698	Bridge Camp	Assault Common	2
27	Eastern Cape	February	2018060372	Cambridge	Assault Common	1
28	Eastern Cape	February	2018020459	Port St Johns	Assault Common	1
29	Eastern Cape	February	2019080106	Fort Beaufort	Assault Common	1
30	Eastern Cape	March	2019060299	Whittlsea	Assault GBH	5
31	Eastern Cape	March	2017010225	Hankey	Assault GBH	1
32	Gauteng	April	2019040129	Rietgat	Rape by police officer	1
33	Gauteng	April	2019040517	Pretoria North	Attempted Murder	1
34	Gauteng	May	2019050022	Edenpark	Murder	1
35	Gauteng	May	2016110190	Krugersdorp	Assault GBH	4
36	Gauteng	June	2019070049	Tembisa	Murder	1
37	Gauteng	October	2019100235	Randfontein	Assault Common	2
38	Gauteng	October	2019100396	Honeydew	Murder	4
39	Gauteng	October	2019020344	Meadowlands	Assault Common	3
40	Gauteng	October	2018090297	Bekkersdal	Assault Common	1
41	Gauteng	October	2017100110	Orange farms	Attempted Murder	1
42	Gauteng	January	2018110347	Sandton	Assault Common	3
43	Gauteng	January	2018040019	Tembisa South	Assault GBH	2
44	Gauteng	January	2020010011	Tokoza	Rape by police officer	1
45	Gauteng	January	2020020049	Mamelodi East	Rape by police officer	1
46	Gauteng	February	2020020166	Vosloorus	Murder	1
47	Gauteng	March	2020040088	Vosloorus	Murder	2
48	Gauteng	March	2020030105	Dube	Rape by police officer	1
49	Gauteng	March	2018110292	Kagiso	Attempted Murder	1
50	Gauteng	March	2016080171	Rabie Ridge	Attempted Murder	1
51	Gauteng	March	2018060481	Florida	Corruption	1
52	Gauteng	January	2018110347	Sandton	Assault Common	3
53	Gauteng	January	2018040019	Tembisa South	Assault GBH	2

Table 34: Details of arrest per province and charge

No.	Province	Month	CCN	Station	Charges	Number of arrest(s)
54	Gauteng	January	2020010011	Tokoza	Rape by police officer	1
55	Gauteng	January	2020020049	Mamelodi East	Rape by police officer	1
56	Gauteng	February	2020020166	Vosloorus	Murder	1
57	Free State	October	2019100545	Kagisanong	Murder	1
58	Free State	October	2018050373	Koffiefontein	Murder	1
59	Free State	October	2019070300	Mangaung	Rape by police officer	1
60	Free State	September	2019090467	Batho	Murder	1
61	Mpumalanga	November	2018060067	Kwaggafontein	Attempted Murder	1
62	KwaZulu-Natal	October	2019080201	Plessislaer	Attempted Murder	1
63	KwaZulu-Natal	December	2019120322	Empangeni	Murder	1
64	KwaZulu-Natal	January	2020010288	Ekombe	Murder	1
65	Northern Cape	November	2019110238	Galeshewe	Rape by police officer	1
66	Northern Cape	September	2019090108	Upington	Rape by police officer	1
67	Limpopo	May	2019050546	Burgersfort	Rape by police officer	1
68	Limpopo	April	2019040570	Gilead	Attempted Murder	1
69	Limpopo	September	2019100199	Mankweng	Murder	1
70	Limpopo	May	2019050286	Giyani	Murder	1
71	North West	November	2019110295	Rustenburg	Attempted Murder	1
Total						95

12. THE CASES ON COURT ROLLS

The number of cases that are on the court roll reflects cases where the investigation was of such a nature that the NPA accepted the IPID's recommendation pertaining to the institution of criminal prosecution. Table 35 shows the total number of cases that each province has on the court roll. A total of 701 cases were on the court roll.

Charges	Eastern Cape	Free State	Gauteng	KwaZulu-Natal	Limpopo	Mpumalanga	North West	Northern Cape	Western Cape	Total
Assault and malicious damages to property	-	-	1	-	-	-	-	-	-	1
Assault common	22	14	20	4	9	38	53	8	56	224
Assault GBH	-	2	4	6	16	-	35	5	11	79
Assault and kidnapping	-	-	-	-	-	-	-	-	1	1
Attempted murder	-	-	2	14	-	2	16	-	5	39
Attempted murder and assault GBH	-	1	-	-	-	-	-	-	-	1
Corruption	1	1	5	4	1	2	1	3	-	18
Corruption, perjury, aiding to escape, malicious damage to property	-	-	-	-	-	-	1	-	-	1
Culpable homicide	-	-	-	1	-	-	-	-	5	6
Defeating the end of justice	-	-	1	-	1	-	-	-	-	2
Discharge of an official firearm	9	12	17	1	11	14	9	4	2	79
Fraud and corruption	-	-	-	-	-	-	1	-	-	1
Inquest	-	-	-	-	-	-	1	-	-	1
Murder	19	10	32	30	15	17	12	2	22	159
Murder and attempted murder	-	-	-	-	-	-	2	-	-	2
Non-compliance with section 29 of IPID Act	1	-	-	-	-	2	-	-	-	3
Rape	15	8	9	4	2	6	10	4	18	76
Systemic corruption	-	-	-	-	-	1	-	-	-	1
Statutory rape	-	-	-	-	-	-	-	3	-	3
Theft	-	-	-	1	-	-	-	-	-	1
Torture	-	1	-	-	-	-	-	-	2	3
Total	67	49	91	65	55	82	141	29	122	701

13. MANNER OF CLOSURE

The manner of closure can be influenced by the type and complexity of the case under investigation. A total of 3 361 cases were closed during the period under review. The manner of closure of cases per category in the period under review is detailed in table 36(a) below.

Table 36(a): Manner of disposal, per category

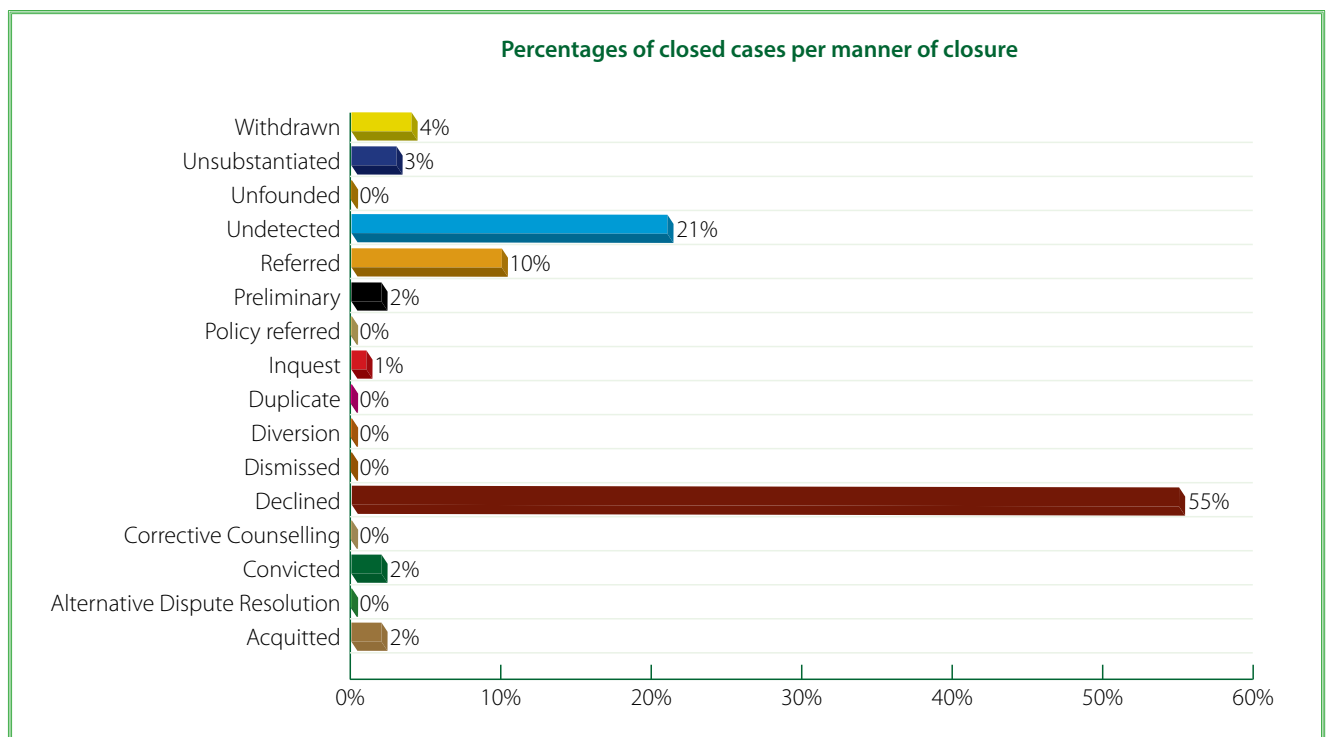
Manner of disposal	Deaths in police custody	Deaths as a result of police action	Complaint of discharge of an official firearm(s)	Rape by a police officer	Rape in police custody	Torture	Assault	Corruption	Any other criminal offence and misconduct	Systemic corruption	Non-compliance with IPID Act	Total
Acquitted	6	7	6	7	-	-	43	2	1	-	-	72
Alternative Dispute Resolution	-	-	1	1	-	2	8	-	1	-	-	13
Convicted	2	9	12	5	-	-	14	-	-	1	2	45
Corrective Counselling	2	-	-	-	-	-	2	-	-	-	-	4
Declined	20	18	170	55	2	35	1471	25	25	-	28	1 849
Dismissed	-	-	1	-	-	-	3	-	-	-	-	4
Diversion	-	-	-	-	-	-	3	-	-	-	-	3
Duplicate	1	-	-	-	-	-	3	-	-	-	-	4
Inquest	6	16	-	-	-	-	1	-	1	-	-	24
Policy referred	1	-	2	-	-	-	-	-	-	-	-	3
Preliminary	-	-	47	-	-	-	1	-	-	-	-	48
Referred	114	31	100	2	11	3	53	9	22	-	1	346
Undetected	23	4	87	13	4	26	524	15	8	2	4	710
Unfounded	1	-	-	-	-	-	-	2	-	-	1	4
Unsubstantiated	10	2	50	-	1	1	25	-	10	-	1	100
Withdrawn	6	5	19	4	-	1	81	4	12	-	-	132
Total	192	92	495	87	18	68	2 232	57	80	3	37	3 361

Table 36(b) provides details of the cases closed per manner of closure and per province. The majority of cases were closed as declined, with a total of 1 849 it was noted that most cases were closed in Western Cape with 536 cases, followed by Northern Cape with 323 cases and Gauteng with 255 cases. The second most closed cases closed as undetected with a total of 710 cases and such cases were closed in the Eastern Cape with 139 cases, followed by Western Cape with 106 cases and Free State with 98 cases.

Provinces	Acquitted	Alternative Dispute Resolution	Convicted	Corrective Counselling	Declined	Dismissed	Diversion	Duplicate	Inquest	Policy Referred	Preliminary	Referred	Undetected	Unfounded	Unsubstantiated	Withdrawn	Total
Eastern Cape	7	-	8	1	123	-	-	-	1	-	-	37	139	-	8	11	335
Free State	5	4	8	2	217	-	-	-	2	-	1	17	98	-	12	16	370
Gauteng	19	1	9	-	255	2	-	2	6	1	2	79	95	3	4	39	525
KwaZulu-Natal	4	1	2	-	44	-	-	-	-	-	15	34	44	-	-	5	153
Limpopo	9	1	3	-	216	-	-	-	2	-	-	75	76	-	5	6	393
Mpumalanga	4	-	1	-	50	-	-	-	-	1	-	18	50	-	13	5	142
North West	4	1	3	-	85	-	-	-	-	-	-	14	43	-	6	3	159
Northern Cape	13	3	6	-	323	-	1	1	3	1	-	4	59	-	11	8	433
Western Cape	7	2	5	1	536	2	2	1	10	-	30	68	106	1	41	39	851
Total	72	13	45	4	1 849	4	3	4	24	3	48	346	710	4	100	132	3 361

Figure 5 below shows the percentages of the closed cases per manner of closure. The largest share of the closed cases was closed as declined (55%), followed by closed as undetected (21%) and closed as referred (10%).

Figure 5





PART C:

GOVERNANCE

1. INTRODUCTION

The IPID is committed to ensuring that principles of good corporate governance are practiced and upheld, as supported by the Public Finance Management Act, 1999 (Act 1 of 1999) and as amended by King Code on Corporate Governance (King IV) and other related leading practices.

Risk, Compliance and Ethics Management forms an integral part of the IPID's corporate governance and strategic management processes; through which the IPID is able to identify weaknesses in controls, manage potential risks that may have negative impact on its operating environment and strive to improve the Ethical culture of the department

2. RISK MANAGEMENT

All policies applicable to the Risk, Compliance and Ethics management were reviewed to ensure alignment with the relevant prescripts and approved by the Acting Executive Director. Corporate Governance developed Strategy documents and Implementation plans for Risk, Compliance, Ethics and Fraud Management, to support the implementation of approved policy documents.

Risk assessments were conducted at a strategic and operational level to identify new and emerging risks that may be material in achieving objectives. A total of 04 risks were identified at a strategic level, the department was able to mitigate and address two of those risks. A total of 119 operational risks were identified for the entire department, 70 for National Office and 49 for Provincial Offices. All Programmes and Provincial Offices were involved in the risk assessment process. Progress reports on the implementation of mitigation strategies at Operational and Strategic levels were presented to the Compliance, Risk and Ethics Management Committee before being presented to the Audit Committee on quarterly basis, including MANCO.

The Compliance function in the IPID was implemented by conducting compliance monitoring on a quarterly basis, on identified relevant prescripts guiding the department on how to operate within a regulatory environment. This contributes towards enhancing internal control systems within the department.

A review of the compliance prescripts monitored by Corporate Governance was done through the Compliance Risk Assessment to determine high risk compliance areas that the department has to monitor. This will assist to improve the monitoring of compliance levels of IPID.

Ethics management contributed to the strengthening and promotion of organisations Ethics and Integrity practices in the Department through the implementation of the provisions of Whistle Blowing Policy and the process to be followed.

Compliance, Ethics and Risk Management Committee

The IPID has a fully functional Compliance, Ethics and Risk Management (CERM) Committee in place, appointed by the Executive Director. The committee was chaired by an external Chairperson; the committee members are all Programme Heads in the department including the Chief Financial Officer. The purpose of the committee is to advise management on matters related to risk management, compliance and ethics. During the reporting period the committee held 3 meetings to discuss quarterly reports.

The Chairperson compiled reports based on the deliberations at the Committee meetings, those reports were then presented at the Audit Committee. In addition, the Audit Committee guided management on risk management functions and provided oversight assurance on risk matters.

3. FRAUD AND CORRUPTION

The IPID's Fraud Prevention Policy and Fraud Prevention Plan are proactive systems in place to manage IPID's vulnerability to fraud and corruption. Cases of alleged Fraud and corruption are forwarded to Corporate Governance component. During the year under review there was a case opened with SAPS for a fraud allegation against two Senior Managers of the department alleged to have been involved in the fraudulent appointment of an official who did not meet the Human Resources requirements of a position in the National Specialised Investigation Team (NSIT) Unit.

The Fraud Response Plan

The Corporate Governance Unit facilitates the implementation of the fraud response plan on any allegation of fraud or corruption and unethical conduct reported. A preliminary analysis is conducted to determine whether the reported matter warrants an investigation. Depending on the outcome, the preliminary analysis report is forwarded to the Executive Director who decides whether the allegations are to:

- a) Be investigated internally;
- b) Co/outsourced to forensic investigative firms;
- c) Referred to the SAPS, or any applicable law enforcement agency

Corporate Governance monitors progress on action taken related to the reported fraud allegation cases and provides feedback to the Compliance, Ethic and Risk Management Committee quarterly. All information related to fraud and corruption is treated with confidentiality and only discussed with person(s) who have legitimate right to such information.

4. MINIMISING CONFLICT OF INTEREST

The Corporate Governance component coordinated the submission of financial disclosures by Senior Management Services (SMS), Middle Management Service (MMS), Finance and SCM members.

The submitted financial disclosures were analysed to identify areas of conflict by affected officials, no conflict has been identified, the Department is considered to be fully compliant with the process.

Bid Committees (Bid Specification, Bid Evaluation and Bid Adjudication) were established to oversee the procurement of goods and services above R500 000.00. A Procurement Control Committee has been established to manage the procurement of goods and services below the R500 000.00 threshold.

All members for the 3-bid committees signed a conflict of interest declaration form, before engaging in discussions for the purpose of the bid process.

5. CODE OF CONDUCT

The IPID endeavours to adhere to highest standards of ethical and moral behaviour. The IPID's values are the core from which it operates and respond on daily activities and interaction with both internal and external stakeholders. These values as listed in the IPID's strategic plan subscribe to the Code of Conduct for the Public Service contained in the Public Service Regulations, 2016.

Adherence to the Code of Conduct enhances professionalism and ensures confidence in the Directorate. Non-compliance with the provisions of the Code of Conduct or approved polices constitutes misconduct and may lead to disciplinary action.

6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

Security Management ensured that the Department complies with the provisions of Occupational Health and Safety Act no 85 of 1993 by ensuring that statutory appointments are in place. The component facilitated appointments of Section 17 (Health and Safety Representatives) in Provinces and also appointed Health and Safety Committee as provided for in Section 20 of OHS Act.

Training for Section 16.2 appointments conducted with the assistance of Human Resource Development Unit. Seven (7) statutory Health and Safety Committee meetings were conducted in accordance with Section 19 subsection (4) of the OHS Act where health and safety related matters were discussed and employer was advised accordingly on all Health and Safety matters affecting IPID employees, visitors and contractors.

The component further conducted five (5) health and safety inspections in three (3) provincial offices and two satellite offices in order to ensure that health hazards are identified and the work environment is safe and healthy, safety inspections were conducted as required by the Act. Monthly Health and Safety inspections were not conducted in some provinces and National Office due to the fact that SHE Reps have not been trained on their duties which include conducting inspections.

One internal evacuation drill exercise to familiarize employees with the evacuation procedure and how they should react in cases of emergency was conducted. Two (2) incidents occurred during the financial year and they were recorded and reported as required by the Act. The Component ensured that Occupational Health and Safety policy is reviewed, approved and implemented by circulating it to all IPID employees.

7. PORTFOLIO COMMITTEES

Date of the meeting	Parliamentary Committees	Purpose	Matters raised by Committees	Department Response
5 July 2019	Portfolio Committee on Police (PCP)	IPID briefed the committee on its 2019/20 budget	Budget cuts and the case backlog	IPID undertook to prioritise its cases in accordance with the available budget in order to reduce backlog
17 July 2019	Select Committee on Security and Justice	IPID briefed the Select Committee on its 2019/20 budget	Lack of emphasis on provincial interest on IPID presentation	Department later furnished the committee with the detailed provincial breakdown of cases and Expansion Strategy
10 October 2019	PCP	Consideration of the 2018/19 Annual Report of IPID, financial statement and performance	Irregular expenditure and late submission of the quality financial statement	To prevent irregular expenditure, implement consequence management and improve its auditing monitoring capacity
27 November 2019	PCP	IPID briefed the police committee on its provisional report regarding the allegations on special closure without completion of the investigation	Concerned about the allegations of early closure of cases by IPID before thorough investigation and how best to finalise the matter	IPID said that an independent investigation process will be strengthened as per their request for human and financial support from the National Treasury.
26 February 2020	PCP	IPID briefed the committee on its quarterly reports 1, 2, and 3 including performance targets and finance	Non-achieved performance targets and its possible revision, NPA decline to prosecute of some cases	Department indicated that the Annual Performance Plan (APP) often provide opportunity to look at the targets and revise where necessary. IPID will continue to strengthen its stakeholder forum to engage NPA and SAPS on matters of recommendations

8. SCOPA RESOLUTIONS

Resolution No.	Subject	Details	Response by the department	Resolved (Yes/No)
To promote multi-disciplinary approach against corruption & ensure consequence management	Briefing by the Anti-Corruption Task Team (ACTT)	The presentation identified a number of weaknesses involving lack of consequence management, lack of cooperation among different stakeholders	To strengthen legislation in order to promote integrated approaches against corruption within the ACTT	Yes

9. PRIOR MODIFICATIONS TO AUDIT REPORTS

There were no prior modifications to audit reports.

10. INTERNAL AUDIT AND AUDIT COMMITTEES

• Key activities and objectives of the internal audit

The purpose of Internal Audit within the Department is to provide independent, objective assurance and consulting services designed to add value to and improve operations. This is achieved by conducting risk-based audit reviews that contribute to the improvement of governance, risk management and control processes. Internal Audit also assists the Audit Committee in the effective discharge of its responsibilities.

In discharging its assurance responsibilities to the Department, Internal Audit follows a systematic and disciplined approach to evaluate and make appropriate recommendations regarding the following, in line with the International Standards for the Professional Practice of Internal Auditing:

- Achievement of IPID's objectives and plans;
- Reliability and integrity of information;
- Effectiveness and efficiency of operations and programs;
- Safeguarding of assets; and
- Compliance with laws, regulations, policies, procedures and contracts.

• Summary of audit work done

The table below list internal audit reviews that were completed during the 2019/2020 financial year:

National Office Reviews	
1. Information Communication Technology (ICT)	10. Quarterly Validation of Reported Performance Information
2. Asset Management	11. Review of Financial Statements (2018/2019 Draft and 2019/2020 3rd Quarter)
3. Risk Management	12. Follow-Up on 2018/2019 AGSA Findings and Internal Audit Action Plans
4. Financial Management	13. Monitoring of Internal Audit Action Plans (IA Tracking)
5. Procurement and Contract Management	14. Review of Section 9(n) Report
6. Vetting Services	15. Western Cape Provincial Audit Review – Investigation Management
7. Compliance Monitoring of IPID Recommendations	
8. Records Management Follow Up Review	
9. Performance Management (PMDS)	

- **Key activities and objectives of the Audit Committee**

In line with its mandate as recorded in its Charter, the Audit Committee is established to carry out its oversight responsibilities over the Department's audit and financial reporting process; as well as systems of risk management and internal control. Audit Committee activities endeavours to fulfil the following objectives:

- Effective oversight over management's role in governance, risk management and control systems.
- Enhancing business ethics and trust in the Department;
- Ensuring and enhancing the independence of the Internal Audit Activity;
- Ensuring that risks facing the Department are identified and appropriate mitigation strategies developed and implemented.
- Ensuring proper functioning of the Internal Audit Activity through review and approval of audit scope of work; including monitoring of its performance.

The Audit Committee fulfilled its objectives as stated above through the interrogation of various reports, including performance, risk management, compliance financial and audit; and robust discussions during Audit Committee meetings that took place throughout the financial year.

- **Attendance of Audit Committee meetings by Audit Committee Members**

A total of five (05) Audit committee meetings were convened in the 2019/2020 financial year. The table below discloses relevant information on the audit committee members:

Name	Qualifications	Internal or external	If internal, position in the department	Date appointed	Date Resigned	No. of Meetings attended
Mr IM Motala - Chairperson	B. PROC; Admitted Attorney	External		October 2015		05
Ms LR Mvuyana	LLB; Certificate in Legislative Drafting; Admitted Attorney	External		October 2015		04

Name	Qualifications	Internal or external	If internal, position in the department	Date appointed	Date Resigned	No. of Meetings attended
Ms AM Badimo	B.Sc Computer Science B.Sc Hons Computer Science; Masters in Business Administration (MBA) Master of Science (M.Sc) Certified Information Security Manager (CISM) Certified in the Governance of Enterprise IT (CGEIT) Certified Information Systems Auditor (CISA) Certified in Risk and Information Systems Control (CRISC) Cobol Programming Diploma Project Management Professional (PMP) Cert. IT Auditing, COBIT 5, ITIL Foundation Certified ISO 22301 Lead Implementer Certified Blockchain Expert (CBE) F. Inst D (IoDSA)	External		October 2015		03
Mr NS Nkonzo	BCompt Honours; BCom Accounting; Post Graduate Diploma in Investigative and Forensic Accounting	External		October 2015		03
Mr VO Senna	Masters of Public Administration and Management; Bachelor of Commerce; Post Graduate Certificate in Financial management; National Higher Certificate in Marketing	Internal	Acting Executive Director	February 2018		04
Mr P Setshedi	Bachelor of Commerce Honours; Bachelor of Commerce	Internal	Acting Chief Financial Officer	May 2012		05

11. AUDIT COMMITTEE REPORT

We are pleased to present our report for the financial year ended 31 March 2020.

1. AUDIT COMMITTEE MEMBERS, MEETINGS AND ATTENDANCE

Name of Members	Meeting Attendance
Mr. M.I.Motala (Chairperson) (External)	05
Ms.A.Badimo (External)	03
Ms. L.Mvuyana (External)	04
Mr.N.S.Nkonzo (External)	03
Mr. VO Senna (Acting Executive Director: 1 March 2019 to 29 Feb 2020, Ex Officio)	04
(Chief Financial Officer 1 to 31 March 2020)	00
Mr. PM Setshedi (Acting Chief Financial Officer, 1 March 2019 to 29 Feb 2020, Ex-Officio)	05
Acting Executive Director, March 2020)	00

Standing invitations for these meetings were extended to Senior Management and the Auditor General. The Chief Financial Officer and Accounting Officer attended the meetings as ex-officio members of the Audit Committee. These meetings considered matters the Audit Committee was seized with in terms of its Charter throughout the reporting period.

2. AUDIT COMMITTEE RESPONSIBILITY

The Audit Committee would like to report that it has complied with its responsibilities enshrined in section 38 (1) (a) (ii) of the Public Finance Management Act and Treasury Regulation 3.1.13. The Audit Committee also reports that it has adopted its Charter, has regulated its affairs in compliance with the Charter and has discharged all its responsibilities as contained there.

3. THE EFFECTIVENESS OF INTERNAL CONTROL

Our review of the findings of the Internal Audit work, which was based on the risk assessments conducted in the department revealed certain weaknesses, which were then raised with the Department.

The control weaknesses identified ranged from data integrity issues on internal recommendations registers, non-compliance with established vetting procedures, inadequate records management practices, ineffective handling of Irregular and Fruitless expenditure cases, non-compliance with Asset Management policy as well as inadequacies noted within the Loss Control policy.

The following internal audit work was completed during the year under review:

National Office

1. Information Communication Technology (ICT)
2. Asset Management
3. Risk Management
4. Financial Management
5. Procurement and Contract Management
6. Vetting Services
7. Compliance Monitoring of IPID Recommendations
8. Records Management Follow-Up Review

9. Performance Management (PMDS)
10. Quarterly Validation of Reported Performance Information
11. Review of Financial Statements (2018/2019 Draft and 2019/2020 3rd Quarter)
12. Follow-Up on 2018/2019 AGSA Findings and Internal Audit Findings
13. Review of Section 9(n) Report

IPID Provincial Audit Reviews

Western Cape - Investigation Management

Based on the approved 2019/2020 annual internal audit plan setting out the scope, control objectives and risks, for the period covered, various reports were submitted by the internal auditors. The management report of the Auditor General (SA) on the annual financial statements were also submitted to and discussed by the Committee.

The Committee would like to record the following observations:

- Internal Audit did not manage to fully implement the IA Plan for the year under review, with four (04) planned projects listed below not carried out:
 - 2019/2020 Interim Financial Statements - The assurance risk was however mitigated through the AGSA's high level review of those statements in which issues were identified and corrected;
 - Investigation Management Provincial Reviews (Gauteng and KZN) – It was reported that provincial management for the two (02) Provinces requested deferment of assurance to April 2020; and
 - The 2019 MPAT Reviews – the process was not undertaken by the responsible department (DPME).
- The Committee has considered management's responses to address the matters raised by Internal Audit and Auditor General (SA) and to facilitate corrective actions, improvements and monitoring the controls and procedures. The Committee, however, notes that not all the findings and recommendations of Internal Audit were implemented.
- The Committee also notes that audit action plan was not fully implemented. The Committee recommends that additional effort should be made to implement these recommendations which will contribute significantly to an improved audit outcome.
- The Committee also notes the completion of an ICT Internal Audit Review in June 2019. This milestone brought an end to the concern that the Committee had for the past four (04) years, where the IPID's internal audit function had been unable to provide assurance on the ICT environment due to lack of skills within the current staff complement.

3.1. SPECIFIC FOCUS AREAS GOING FORWARD

Identified specific focus areas to monitor, support and advise management on:

- Continuous monitoring of the ICT strategic plan and funding towards maintaining a secure and robust ICT environment;
- Implementation of the internal audit action plan;
- Coordination and cooperation on matters related to financial management and audit; and
- Improving the control environment.

4. IN-YEAR MANAGEMENT AND MONTHLY / QUARTERLY REPORT.

The Committee was supplied with all quarterly financial reports prepared and issued by the Department during the year under review in compliance with the statutory reporting framework. Save for certain nominal deficiencies the Committee is satisfied with the quality of these reports.

A total of five (05) Audit Committee meetings were convened in the 2019/2020 financial year, with the following Committee responsibilities, amongst others, fulfilled:

- Review of 2019/2020 quarterly financial information, including the 2018/2019 Annual Financial statements
- Review of IPID's 2019/2020 quarterly performance information and overall performance as reported in the 2018/2019 IPID Annual Performance Report.
- Approval of the 2019/2020 Internal Audit Charter and Plan, including the monitoring of quarterly performance as reported.
- Review of the AGSA 2018/2019 Final Management Letter and Audit Report
- Review of Risk Management and Compliance matters.

5. EVALUATION OF THE ANNUAL FINANCIAL STATEMENTS

The Committee has:

- Reviewed and discussed the audited Annual Financial Statements to be included in the annual report, with the Auditor-General (SA) and the Accounting Officer;
- Reviewed the Auditor-General's management letter and management's response thereto;
- Reviewed and discussed the Performance Information with management;
- Reviewed changes in Accounting Policies and Practices; and
- Reviewed the entity's compliance with Legal and Regulatory provisions.

6. RISK MANAGEMENT

The Audit Committee continues to fulfil its risk management oversight responsibilities through review of reports of the Ethics, Risk and Compliance Committee. For the 2019/2020 financial year, the Committee noted, amongst other things, the following –

On Strategic, Operational and Top Operational Risks Management:

- Gradual progress was made on the conclusion of the MOU with SAPS aimed at adequately managing the strategic risk of infiltration of IPID investigations by SAPS.
- Satisfactory progress was made on measures relating to securing workspace and expeditious obtaining of forensic reports so as to mitigate against the strategic risk of inability to investigate and timeously finalize cases.
- Satisfactory progress was made on ICT infrastructure renewal to strategically mitigate against the potential collapse of IPID's operational information systems.
- Non-compliance to MISS remained a top operational risk throughout the reporting period and only a Classification Manual uploaded on IPID's intranet, which might not be as commonly user-friendly, is an available tool to attempt mitigating against this risk.
- No progress was made on Gap 7 of the Risk Maturity Gap Analysis Implementation Plan (*i.e. the inclusion of risk management as a standing agenda item on the management agenda of all business functions*) throughout the reporting period, and that there is still a need to therefore continue advocating strongly for the future involvement of Corporate Governance in other business units' management meetings.



On Ethics Management:

- No 100% compliance was achieved for the completion of financial disclosures by employees at levels 5-10, SCM and Finance personnel, and MMS personnel on level 11 by the respective initial deadlines set by the DPSA; and this negatively affects IPID's ability to effectively monitor personnel's constant adherence to acceptable professional ethical standards.
- The need to review the IPID's Gift Policy to expressly provide for the proper handling of gifts/donations/sponsorships from persons who do business with IPID.

On Fraud Risk Management:

- New allegations of fraudulent and/or unethical conduct levelled against two SMS members during the last quarter of 2019/20 financial year, which were still undergoing relevant internal disciplinary and external intervention processes as at end of the reporting period.

On Compliance Management:

- IPID was not in full compliance with MISS, PFMA, PSR, PAIA, and OHSWA throughout the reporting period.

General:

- Constant capacity constraints prevented IPID from quarterly performing certain some important tasks such as ethics awareness sessions, fraud and corruption prevention training sessions, training workshops on Code of Conduct, monitoring of compliance with the DPSA Minimum Anti-Corruption Capacity requirements, and offering MISS awareness training sessions to all offices.
- The subcommittee also noted a report on the follow-up risk management audit review completed by Internal Audit on IPID's National Office compliance management processes, which concluded that there was continued inadequacy of controls within the IPID's compliance management function. The internal audit report recommended, amongst others, the need for Corporate Governance unit to develop and seek approval of a meeting schedule for the Ethics, Risk, and Compliance Committee; the need to capacitate Risk Management component with adequate personnel; the need to procure necessary risk management systems; and the need to acclimatize the entire IPID with the risk management concept.

7. AUDITOR– GENERAL’S REPORT

We have reviewed the department’s implementation plan for audit issues raised in the previous years and are satisfied that these matters are being attended to by management.

The Committee notes the improvement in the audit outcomes. In our view the improved opinion can be ascribed to Management’s commitment to addressing the root cause of the matters identified by the Auditor General in the previous cycle. There is however room for improvement.

The Committee noted that IPID had run the risk of incurring R4 902 000 in unauthorised expenditure had it complied with the requirement of paying creditors within 30 days. This finding underscores the severe financial constraints IPID has been facing over the past few years, which has the potential of undermining the gains in terms of an improved outcome.

The Committee concurs with and accepts the conclusions of the Auditor-General on the annual financial statements and is of the opinion that the audited financial statements be accepted and read together with the report of the Auditor-General.

The Committee would like to take this opportunity to thank the Executive Directors who acted in the position during the reporting period, Management, the Director Internal Audit and her team for their support and co-operation. We are indeed indebted to Mr. S. Kheleli and his team from the Office of the Auditor General for their professionalism, support and value add.

igbal motala

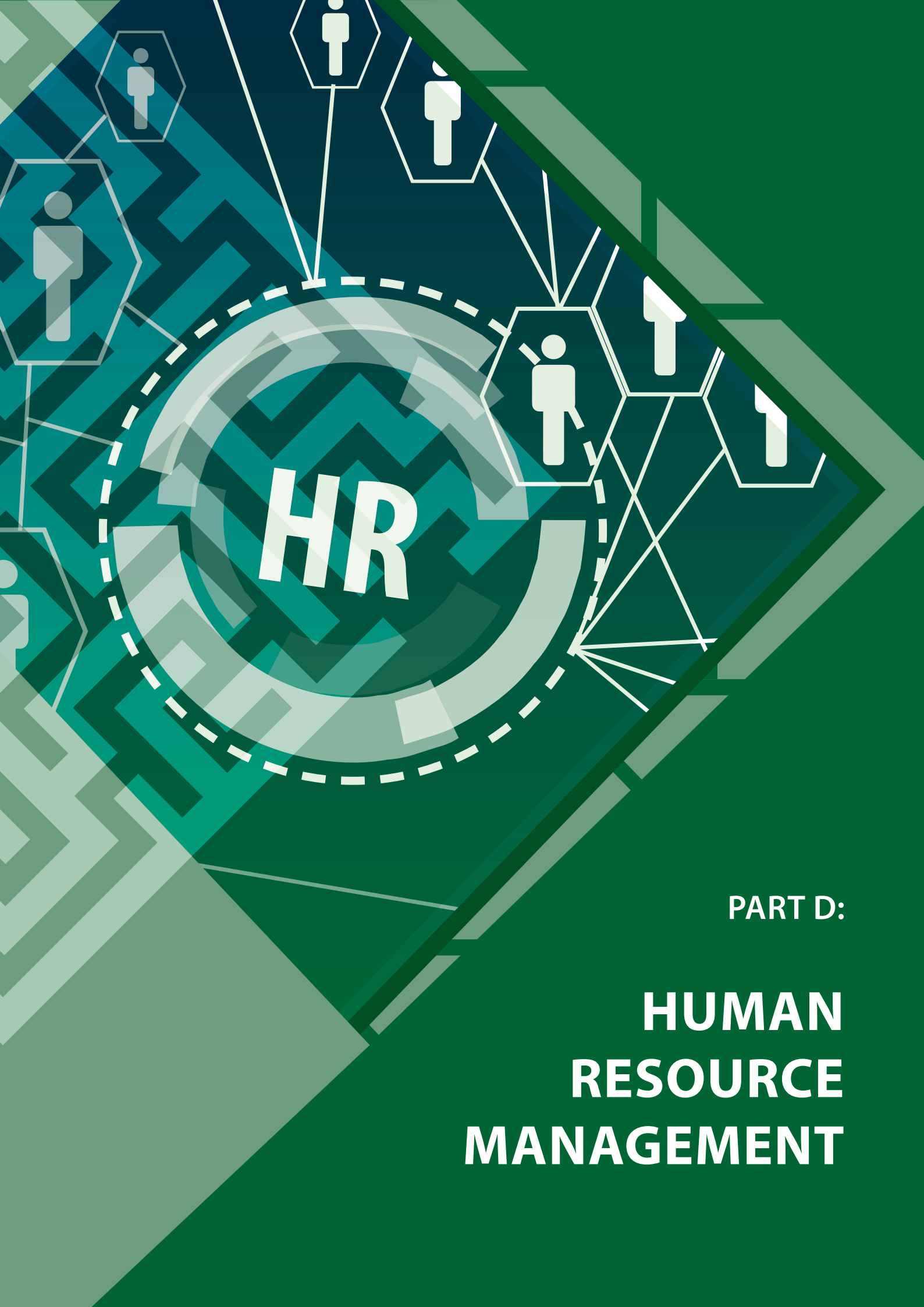
MOHAMAD IQBAL MOTALA

Chairperson of the Audit Committee
INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE
12 October 2020

12. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

The following table has been completed in accordance with the compliance to the BBBEE requirements of the BBBEE Act of 2013 and as determined by the Department of Trade and Industry.

Has the Department / Public Entity applied any relevant Code of Good Practice (B-BBEE Certificate Levels 1 – 8) with regards to the following:		
Criteria	Response Yes / No	Discussion <i>(include a discussion on your response and indicate what measures have been taken to comply)</i>
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	No	The Department does not issue any licence, concessions or grant any authorisations in respect of economic activity.
Developing and implementing a preferential procurement policy?	No	For both on quotations and tenders, BBBEE certificates were requested from the Service providers. The validity of the B-BBEE certificates was also verified on the Central Supplier Database (CSD) website. Service providers who did not attach an original BBBEE certificate or certified copy were scored zero.
Determining qualification criteria for the sale of state-owned enterprises?	No	The department is not responsible for any state-owned enterprises.
Developing criteria for entering into partnerships with the private sector?	No	The department does not have any partnerships entered into.
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	No	The department does not award any incentives, grants or investment schemes.



PART D:

**HUMAN
RESOURCE
MANAGEMENT**

1. INTRODUCTION

The information contained in this part of the annual report has been prescribed by the Minister for the Public Service and Administration for all departments in the public service.

2. OVERVIEW OF HUMAN RESOURCES

Status of human resources in the Directorate:

The Department had a total of three hundred and fifty-two (352) filled positions in its employ as at 31 March 2020. There are 182 males and 170 females' employees at all levels.

A total number of 24 posts were then reprioritised in the functional areas where critical posts are required. The establishment would have had a total number of 415 posts for the 2019/2020 financial year and throughout the MTEF period.

The Department took a strategic decision to prioritise the full implementation of Section 23 using identified current year Compensation of employee's savings as well as the budget for the twenty-four posts that were prioritised for filling during this period.

The reviewed organisational structure was then aligned with the salary levels associated with the implementation of Section 23 of the IPID Act.

The Department through the National Training Committee (NTC) identified training interventions for implementation during 2019-20 financial year. The identified training interventions were informed by the compulsory capacity development for newly appointed members of the Senior Management Services (SMS) and the Compulsory training programmes for newly appointed employees.

The transversal and compulsory training priority investigation related training programmes such as Investigative of Crime, Interviewing Techniques and Administering of Case Dockets for investigators was also identified and training conducted for the development of staff. A total number of 157 were trained in the administration and core function in the Department.

Employee Health and Wellness implemented initiatives aimed at providing good work life balance to its employees. Management also encourages good health and wellness amongst its employees and tries to create opportunities for wellness in the work place to increase job satisfaction, reduce the risk of stress, improve productivity and reduce absenteeism.

Debriefing sessions that took place in both National Office and Provincial Offices. were arranged to provide Investigators with safe space to raise issues and concerns that have affected their occupational and psychological functioning in the work environment. Employee Health and Wellness Professionals continued to offer reactive interventions through counselling and therapy. A total number of twenty-eight (28) planned events were coordinated, as per the approved annual wellness calendar.

Significant progress has been made towards achieving the planned target of 2% for people with disabilities, in relation to the total workforce and also addressing reasonable accommodation of people with disabilities.

A total number of two hundred and ninety-two (292) employees qualified to be evaluated and their performance was both reviewed and moderated. The Department proceeded to effect the outcome of the qualifying employees pay progression and performance bonus on the system and informed employees on the outcome of the assessments in writing.

3. HUMAN RESOURCES OVERSIGHT STATISTICS:

Table 3.1.1 Personnel expenditure by programme for the period 1 April 2019 and 31 March 2020

Programme	Total expenditure (R'000)	Personnel expenditure (R'000)	Training expenditure (R'000)	Professional and special services expenditure (R'000)	Personnel expenditure as a % of total expenditure	Average personnel cost per employee (R'000)
Programme 1: Administration	50 288.0	35 304.0	735.0	0.0	70.2	367.7
Programme 2: Investigation and Information Management	183 370.0	131 710.0	796.0	0.0	71.8	558.0
Programme 3: Legal Services	4 877.0	3 431.0	34.0	0.0	70.3	571.8
Programme 4: Compliance Monitoring and Stakeholder Management	9 586.0	6 840.0	114.0	0.0	71.3	488.7
Total	248 122.0	177 286.0	1 679.0	0.0	71.4	503.6

Table 3.1.2 Personnel costs by salary band for the period 1 April 2019 and 31 March 2020

Salary band	Personnel expenditure (R'000)	% of total personnel cost	No. of employees	Average personnel cost per employee (R'000)
Skilled (level 3-5)	11 872.0	6.6	65	182.6
Highly skilled production (levels 6-8)	83 779.0	47.2	139	602.7
Highly skilled supervision (levels 9-12)	56 798.0	32.0	118	481.3
Senior and Top management (levels 13-16)	24 614.0	13.8	30	820.4
Abnormal appointments	222.0	0.1	8	27.7
Total	177 286.0	100	360	492.4

Table 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2019 and 31 March 2020

Programme	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Programme 1: Administration	35 304.0	70.2	89.0	0.1	1 359.0	2.7	2 264.0	4.5
Programme 2: Investigation and Information Management	131 710.0	71.8	1 726.0	0.9	3 290.0	1.7	5 831.0	3.1
Programme 3: Legal Services	3 431.0	70.3	0.0	0.0	72.0	1.4	114.0	2.3
Programme 4: Compliance Monitoring and Stakeholder Management	6 840.0	71.3	0.0	0.0	247.0	2.5	186.0	1.9
Total	177 286.0	71.4	1 816.0	0.7	4 969.0	2.0	8 398.0	3.3

Table 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2019 and 31 March 2020

Salary band	Salaries		Overtime		Home Owners Allowance		Medical Aid	
	Amount (R'000)	Salaries as a % of personnel costs	Amount (R'000)	Overtime as a % of personnel costs	Amount (R'000)	HOA as a % of personnel costs	Amount (R'000)	Medical aid as a % of personnel costs
Skilled (level 3-5)	11 872.0	68.9	32.0	0.1	989.0	5.7	1 615.00	9.3
Highly skilled production (levels 6-8)	83 779.0	79.6	1 215.0	1.11	2 052.0	2.5	3 465.00	3.2
Highly skilled supervision (levels 9-12)	56 798.0	71.7	568.0	0.7	1 424.0	1.7	3 136.00	3.9
Senior management (level 13-16)	24 614.0	53.1	0.0	0.0	503.0	1.0	179.00	0.3
Abnormal Appointments	222.0	100	0.0	0.0	0.0	0.00	0.00	0.0
Total	177 286.0	71.45	1 816.0	0.7	4 969.0	2.0	8 398.00	3.3

3.2 Employment and Vacancies

The tables in this section summarise the position with regard to employment and vacancies.

The following tables summarise the number of posts on the establishment, the number of employees, the vacancy rate, and whether there are any staff that are additional to the establishment. This information is presented in terms of three key variables:

- programme
- salary band
- critical occupations

The Department has identified critical occupations that need to be monitored. In terms of current regulations, it is possible to create a post on the establishment that can be occupied by more than one employee. Therefore, the vacancy rate reflects the percentage of posts that are not filled.

Table 3.2.1 Employment and vacancies by programme as on 31 March 2020

Programme	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Programme 1: Administration	107	96	10.2	0
Programme 2: Investigation and Information Management	263	236	10.2	0
Programme 3: Legal Services	6	6	0.0	0
Programme 4: Compliance Monitoring and Stakeholder Management	15	14	6.6	0
Total	391	352	9.9	0

Table 3.2.2 Employment and vacancies by salary band as on 31 March 2020

Salary band	Number of posts on approved establishment	Number of posts filled	Vacancy Rate	Number of employees additional to the establishment
Skilled (3-5)	71	65	8.4%	0
Highly skilled production (6-8)	152	139	8.5%	0
Highly skilled supervision (9-12)	131	118	9.9%	0
Senior management (13-16)	37	30	18.9%	0
Total	391	352	9.9%	0

Table 3.2.3 Employment and vacancies by critical occupations as on 31 March 2020

There were no critical occupations during the period under review.

3.3 Filling of SMS Posts

The tables in this section provide information on employment and vacancies as it relates to members of the Senior Management Service by salary level. It also provides information on advertising and filling of SMS posts, reasons for not complying with prescribed timeframes and disciplinary steps taken.

Table 3.3.1 SMS post information as on 31 March 2020

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	0	0	1	100
Salary Level 14	14	12	85.7	2	14.3
Salary Level 13	22	18	81.8	4	18.2
Total	37	30	81.0	7	19.0

Table 3.3.2 SMS post information as on 30 September 2019

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	0	0	1	100
Salary Level 14	14	12	85.7	2	14.3
Salary Level 13	22	18	81.8	4	18.2
Total	37	30	81.0	7	19.0

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2019 and 31 March 2020

SMS Level	Total number of funded SMS posts	Total number of SMS posts filled	% of SMS posts filled	Total number of SMS posts vacant	% of SMS posts vacant
Salary Level 15	1	0	0	1	100
Salary Level 14	14	13	85.7	2	14.3
Salary Level 13	22	18	81.8	4	18.2
Total	37	31	81.0	7	19.0

SMS Level	Adverting	Filling of Posts	
	Number of vacancies per level advertised in 6 months of becoming	Number of vacancies per level filled in 6 months of becoming vacant	Number of vacancies per level not filled in 12 months
Salary Level 15	0	0	1
Salary Level 14	1	0	2
Salary Level 13	3	0	0
Total	4	0	3

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2019 and 31 March 2020

Reasons for vacancies not advertised within six months
1. The recruitment process for the Provincial Heads Northern Cape and Mpumalanga were undertaken through the head-hunting process.
2. The recruitment process of the Executive Director was administered by the Civilian Secretariat for Police.

Reasons for vacancies not filled within six months
1. The Headhunting process for the Provincial Head for Mpumalanga and Northern Cape took long due to the complexity of the process
2. The recruitment process of the Executive Director was administered by the Civilian Secretariat for Police

Notes

- In terms of the Public Service Regulations Chapter 1, Part VII C.1A.3, departments must indicate good cause or reason for not having complied with the filling of SMS posts within the prescribed timeframes.

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2019 and 31 March 2020

Reasons for vacancies not advertised within six months
Not applicable.

3.4 Job Evaluation

Within a nationally determined framework, executing authorities may evaluate or re-evaluate any job in his or her organisation. In terms of the Regulations all vacancies on salary levels 9 and higher must be evaluated before they are filled. The following table summarises the number of jobs that were evaluated during the year under review. The table also provides statistics on the number of posts that were upgraded or downgraded.

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2019 and 31 March 2020

Post	Level
Director: Communication	13
Senior Security Officer	6

The following table provides a summary of the number of employees whose positions were upgraded due to their post being upgraded. The number of employees might differ from the number of posts upgraded since not all employees are automatically absorbed into the new posts and some of the posts upgraded could also be vacant.

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2019 and 31 March 2020

Gender	African	Asian	Coloured	White	Total
Female	0	0	0	0	0
Male	0	0	0	0	0
Total	0	0	0	0	0

The following table summarises the number of cases where remuneration bands exceeded the grade determined by job evaluation. Reasons for the deviation are provided in each case.

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2019 and 31 March 2020

Due to a grade progression the Deputy Director: Management Accounting's post was on salary level 12 notch 1.
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Table 3.4.4 Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2019 and 31 March 2020

Due to a grade progression the Deputy Director: Management Accounting's post was on salary level 12 notch 1.
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3.5 Employment Changes

This section provides information on changes in employment over the financial year. Turnover rates provide an indication of trends in the employment profile of the department. The following tables provide a summary of turnover rates by salary band and critical occupations (see definition in notes below).

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2019 and 31 March 2020

Salary band	Number of employees at beginning of period 01 April 2019	Appointments and transfers into the department	Terminations and transfers out of the department	Turnover rate
Skilled (Levels 3-5)	68	4	4	10.5
Highly skilled production (Levels 6-8)	140	8	9	6.4
Highly skilled supervision (Levels 9-12)	121	3	7	5.7
Senior Management Service Bands A	33	4	4	12.1
Senior Management Service Bands B	0	0	0	0
Senior Management Service Bands C	0	0	0	0
Senior Management Service Bands D	0	0	0	0
Contracts	1	0	1	100
Total	362	19	25	6.8

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2019 and 31 March 2020

There were no critical occupations in the Department during the period under review.

The table below identifies the major reasons why staff left the department.

Table 3.5.3 Reasons why staff left the department for the period 1 April 2019 and 31 March 2020

Termination Type	Number	% of Total Resignations
Death	2	10.0
Resignation	15	75.0
Expiry of contract	1	5.0
Dismissal – operational changes	0	0
Dismissal – misconduct	1	5.0
Dismissal – inefficiency	0	0
Discharged due to ill health	0	0
Retirement	1	5.0
Transfer to other Public Service Departments	5	-
Other	0	0
Total	25	80.0
Total number of employees who left as a % of total employment	7.1	5.6

Table 3.5.4 Promotions by critical occupation for the period 1 April 2019 and 31 March 2020

There were no critical occupations in the Department during the period under review

Table 3.5.5 Promotions by salary band for the period 1 April 2019 and 31 March 2020

Salary Band	Employees 1 April 2019	Promotions to another salary level	Salary bands promotions as a % of employees by salary level	Progressions to another notch within a salary level	Notch progression as a % of employees by salary bands
Skilled (Levels 3-5)	68	3	4.4	53.0	77.9
Highly skilled production (Levels 6-8)	140	2	1.4	44.0	31.4
Highly skilled supervision (Levels 9-12)	121	0	0	79.0	64.7
Senior Management (Level 13-16)	33	0	0	18.0	54.5
Total	362	5	1.3	194.0	53.5

3.6 Employment Equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2019

Occupational category	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Legislators, senior officials and managers	0	0	0	0	0	0	0	0	0
Professionals	11	2	1	1	12	0	1	2	30
Technicians and associate professionals	50	7	4	6	41	6	1	3	118
Clerks	66	5	1	1	62	1	2	1	139
Service and sales workers	27	0	0	0	34	3	1	0	65
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0	0
Total	154	14	6	8	149	10	5	6	352
Employees with disabilities	2	0	0	0	5	0	0	1	8

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2020

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	11	2	1	1	12	0	1	2	30
Professionally qualified and experienced specialists and middle management	50	7	4	6	41	6	1	3	118
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	66	5	1	1	62	1	2	1	139
Semi-skilled and discretionary decision making	27	0	0	0	34	3	1	0	65
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	154	14	6	8	149	10	5	6	352

Table 3.6.3 Recruitment for the period 1 April 2019 to 31 March 2020- include promotions

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management 13-14	1	1	1	0	0	0	0	0	3
Professionally qualified and experienced specialists and middle management 11-12	0	0	0	0	1	0	0	0	1
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents 9-10	1	0	0	0	1	0	0	0	2
Semi-skilled and discretionary decision-making 6-8	6	1	0	0	6	0	0	0	13
Unskilled and defined decision-making 3-5	3	0	0	0	1	0	0	0	4
Total	11	2	1	0	9	0	0	0	23
Employees with disabilities	0	0	0	0	0	0	0	0	0

Table 3.6.4 Promotions for the period 1 April 2019 to 31 March 2020.

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	0	0	0	0	0	0	0	0	0
Professionally qualified and experienced specialists and middle management	0	0	0	0	0	0	0	0	0
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	3	0	0	0	2	0	0	0	5
Semi-skilled and discretionary decision making	0	0	0	0	0	0	0	0	0
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	3	0	0	0	2	0	0	0	0
Employees with disabilities	0	0	0	0	0	0	0	0	0

Table 3.6.5 Terminations for the period 1 April 2019 to 31 March 2020

Occupational band	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Top Management	0	0	0	0	0	0	0	0	0
Senior Management	4	0	0	0	0	0	0	0	4
Professionally qualified and experienced specialists and mid management	3	0	1	0	3	1	0	0	8
Skilled technical and academically qualified workers, junior management, supervisors, foreman and superintendents	6	0	0	0	2	1	0	0	9
Semi-skilled and discretionary decision making	1	0	0	0	3	0	0	0	4
Unskilled and defined decision making	0	0	0	0	0	0	0	0	0
Total	14	0	1	0	8	2	0	0	25
Employees with Disabilities	0	0	0	0	0	0	0	0	0

Table 3.6.6 Disciplinary action for the period 1 April 2019 to 31 March 2020

Disciplinary action	Male				Female				Total
	African	Coloured	Indian	White	African	Coloured	Indian	White	
Written warning	1	-	-	-	2	-	-	-	3
Counselling	-	-	-	-	1	-	-	-	1
Dismissal	-	-	1	-	-	-	-	-	1
1 month suspension without pay	1	-	-	-	-	-	-	-	1
2 months suspension without pay	1	-	-	-	-	-	-	-	1
Total									7

Table 3.6.7 Skills development for the period 1 April 2019 to 31 March 2020

Occupational Category	Male				Female			
Legislators, senior officials and managers	0	0	0	0	0	0	0	0
Professionals	0	0	0	0	0	0	0	0
Technicians and associate professionals	0	0	0	0	0	0	0	0
Clerks	0	0	0	0	0	0	0	0
Service and sales workers	0	0	0	0	0	0	0	0
Skilled agriculture and fishery workers	0	0	0	0	0	0	0	0
Craft and related trades workers	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0
Total	0	0	0	0	0	0	0	0
Employees with disabilities	0	0	0	0	0	0	0	0

3.7 Signing of Performance Agreements by SMS Members

All members of the SMS must conclude and sign performance agreements within specific timeframes. Information regarding the signing of performance agreements by SMS members, the reasons for not complying within the prescribed timeframes and disciplinary steps taken is presented here.

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2019

SMS Level	Total number of funded SMS posts	Total number of SMS members	Total number of signed performance agreements	Signed performance agreements as % of total number of SMS members
Director-General/ Head of Department	1	0	0	0
Salary Level 14	14	12	11	91.6
Salary Level 13	22	18	17	94.4
Total	37	30	28	93.3

Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 May 2019

Reasons
The Chief Financial Officer was not concluded as he was appointed as the Acting Executive Director, the Performance Agreement was submitted to the Minister but could not be concluded before the prescribed date.
The Director: Legal and Litigation Services was only appointed in February 2020.

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 August 2019

Reasons
Not applicable.

3.8 Performance Rewards

To encourage good performance, the department has granted the following performance rewards during the year under review. The information is presented in terms of race, gender, disability, salary bands and critical occupations (see definition in notes below).

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2019 to 31 March 2020

Race and Gender	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within group	Cost (R'000)	Average cost per employee
African					
Male	20	156	12.8	424.0	21 204.0
Female	17	148	11.5	427.3	25 139.0
Asian					
Male	1	6	16.7	39.8	39 848.0
Female	1	5	20.0	13.2	13 280.0
Coloured					
Male	4	14	28.6	75.9	18 976.0
Female	1	10	10.0	8.8	8 810.0
White					
Male	0	8	0.0	0.0	0.0
Female	0	5	0.0	0.0	0.0
Employees with a Disability	1	8	12.5	0.0	20 987.0
Total	45	360	12.5	1 010.1	22 448.00

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2019 to 31 March 2020

Salary band	Beneficiary Profile			Cost	
	Number of beneficiaries	Number of employees	% of total within salary bands	Total Cost (R'000)	Average cost per employee
Skilled (level 3-5)	10.0	65.0	15.4	121.92	12 192.0
Highly skilled production (level 6-8)	22.0	140.0	15.7	406.2	18 464.0
Highly skilled supervision (level 9-12)	13.0	117.0	11.1	482.0	37 080.0
Total	45.0	330.0	13.6	1 010.18	22 448.0

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2019 to 31 March 2020

There were no performance rewards by critical occupation for the period under review.

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2019 to 31 March 2020

No performance bonuses were paid to SMS Members.

3.9 Foreign Workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

Table 3.9.1 Foreign workers by salary band for the period 1 April 2019 and 31 March 2020

- The Department did not employ any foreign workers.

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2019 and 31 March 2020

- The Department did not employ any foreign workers.

3.10 Leave utilisation

The Public Service Commission identified the need for careful monitoring of sick leave within the public service. The following tables provide an indication of the use of sick leave and disability leave. In both cases, the estimated cost of the leave is also provided.

Table 3.10.1 Sick leave for the period 1 January 2019 to 31 December 2019

Salary band	Total days	% Days with Medical certification	Number of Employees using sick leave	% of total employees using sick leave	Average days per employee	Estimated Cost (R'000)
Skilled (levels 3-5)	600.0	81.3	62	21.70	10.0	561.0
Highly skilled production (levels 6-8)	821.0	80.4	105	36.7	8.0	1 229.0
Highly skilled supervision (levels 9-12)	840.0	80.2	92	32.2	9.0	1 945.0
Top and Senior management (levels 13-16)	184.0	78.3	24	8.40	8.0	797.0
Total	2 455.0	80.0	283.0	19.8	8.7	4 532.0

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2019 to 31 December 2019

Salary band	Total days	% Days with Medical certification	Number of Employees using disability leave	% of total employees using disability leave	Average days per employee	Estimated Cost (R'000)
Skilled (Levels 3-5)	0	0	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	0	0	0
Highly skilled supervision (Levels 9-12)	44	100	4	1.1	11	115 309.6
Senior management (Levels 13-16)	0	0	0	0	0	0
Total	44	100	4	1.14	0	115 309.68

The table below summarises the utilisation of annual leave. The wage agreement concluded with trade unions in the PSCBC in 2000 requires management of annual leave to prevent high levels of accrued leave being paid at the time of termination of service.

Table 3.10.3 Annual Leave for the period 1 January 2019 to 31 December 2019

Salary band	Total days taken	Number of Employees using annual leave	Average per employee
Skilled Levels 3-5)	1 603	72	22
Highly skilled production (Levels 6-8)	3 523	146	24
Highly skilled supervision (Levels 9-12)	3 348	125	27
Senior management (Levels 13-16)	806	33	24
Total	9 280	376	97

Table 3.10.4 Capped leave for the period 1 January 2019 to 31 December 2019

Salary band	Total days of capped leave taken	Number of Employees using capped leave	Average number of days taken per employee	Average capped leave per employee as on 31 March 2020
Lower skilled (Levels 1-2)	0	0	0	0
Skilled Levels 3-5)	0	0	0	0
Highly skilled production (Levels 6-8)	0	0	0	372.62
Highly skilled supervision (Levels 9-12)	20	1	20	1 747.04
Senior management (Levels 13-16)	1	1	1	285.13
Total	21	2	10.50	2 404.79

The following table summarise payments made to employees as a result of leave that was not taken.

Table 3.10.5 Leave pay-outs for the period 1 April 2019 and 31 March 2020

Reason	Total amount (R'000)	Number of employees	Average per employee (R'000)
Leave pay-out for 2019/20 due to non-utilisation of leave for the previous cycle	0	0	0
Capped leave pay-outs on termination of service for 2019/20	364	8	45
Current leave pay-out on termination of service for 2019/20	0	0	0
Total	364	8	45

3.11 HIV/AIDS & Health Promotion Programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

Units/categories of employees identified to be at high risk of contracting HIV & related diseases (if any)	Key steps taken to reduce the risk
Investigators	Protective Equipment. HCT Sessions

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

Question	Yes	No	Details, if yes
1. Has the department designated a member of the SMS to implement the provisions contained in the Public Service Regulations, 2016? If so, provide her/his name and position.	Yes		Ms S Phalatsi; Director: Human Resource Management and Development Services
2. Does the department have a dedicated unit or has it designated specific staff members to promote the health and wellbeing of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available for this purpose.	Yes		Two employees are responsible for Employee Wellness in the Division: Employee Health and Wellness. The Division shares its budget with Human Resource Management.
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	Yes		<ul style="list-style-type: none"> • The appointed service provider for EAP is ICAS. • Resilience programme for Investigators. • Crisis and Trauma Management. • Health and life management services. • Face to face counselling. • Psychological and Psychosocial services. • Fitness and nutrition management. • Management of HIV/AIDS, TB and other illnesses.
4. Has the department established (a) committee(s) as contemplated in Part 3 Clause 55. (6)(d) of Chapter 4 of the Public Service Regulations, 2016? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	Yes		<p>The Department established the OHS Committee which also deals with issues related to health. The following employees sat on the Committee:</p> <p>Mr T Nemusimbori</p> <p>Ms K Rathokolo</p> <p>Ms L Saohatse</p> <p>Ms I Lentswane</p>
5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed.	Yes		The HIV/AIDS Policy was reviewed and approved.
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	Yes		<p>When keeping record, no flags or symbols are used on personnel files or other records to indicate HIV/AIDS status.</p> <p>No employee services are terminated on the grounds of HIV/AIDS status.</p> <p>The services on grounds of ill health applies to all employees and the provisions of the Directive on Leave, including the Policies and Procedures on incapacity leave and ill health retirement applies to all staff.</p>
7. Does the department encourage its employees to undergo HIV Counselling and Testing (HCT)? If so, list the results that you have achieved.	Yes		In line with the HIV/AIDS & TB management policy, all staff members are encouraged to participate during the quarterly HCT campaigns.
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so, list these measures/indicators.	Yes		The behavioural outcome usually is determined during workshops held after HCT campaigns which measures the impact of the HCT campaign.

3.12 Labour Relations

Table 3.12.1 Collective agreements for the period 1 April 2019 and 31 March 2020

Total number of Collective agreements	0
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The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2019 and 31 March 2020:

Outcomes of disciplinary hearings	Number	% of total
Correctional counselling	0	0
Verbal warning	0	0
Written warning	0	0
Final written warning	0	0
Suspended without pay	2	66.66
Fine	0	0
Demotion	0	0
Dismissal	0	0
Not guilty	0	0
Case withdrawn	1	33.33
Total	3	100.00
Total number of Disciplinary hearings finalised	3	100.00

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2019 and 31 March 2020

Type of misconduct	Number	% of total
Assault and fighting on duty	1	33.33
Abuse of state vehicle	1	33.33
Unethical conduct and breach of duty to act in good faith	1	33.33
Negligence	0	0
Leaking of information	0	0
Improper behaviour in the workplace	0	0
Total	3	100.00

Table 3.12.4 Grievances logged for the period 1 April 2019 and 31 March 2020

Grievances	Number	% of Total
Number of grievances resolved	10	55.55
Number of grievances not resolved	8	44.44
Total number of grievances lodged	18	100.00

Table 3.12.5 Disputes logged with Councils for the period 1 April 2019 and 31 March 2020

Disputes	Number	% of Total
Number of disputes upheld	2	10.52
Number of disputes dismissed	5	26.32
Pending	12	63.16
Total number of disputes lodged	19	100.00

Table 3.12.6 Strike actions for the period 1 April 2019 and 31 March 2020

No strike actions took place.

Table 3.12.7 Precautionary suspensions for the period 1 April 2019 and 31 March 2020

Number of people suspended	6
Number of people whose suspension exceeded 30 days	3
Average number of days suspended	112 days
Cost of suspension(R'000)	R 1588 583.54

3.13 Skills development

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2019 and 31 March 2020

Occupational category	Gender	Number of employees as at 1 April 2019	Training needs identified at start of the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	18	-	37	-	37
	Male	16	-	28	-	28
Professionals	Female	25	-	61	-	61
	Male	15	-	54	-	54
Technicians and associate professionals	Female	85	-	199	-	199
	Male	126	-	225	-	225
Clerks	Female	47	-	89	-	89
	Male	28	-	97	-	97
Service and sales workers	Female	-	-	-	-	-
	Male	2	-	2	-	2
Skilled agriculture and fishery workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Craft and related trades workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Elementary occupations	Female	-	-	-	-	-
	Male	-	-	-	-	-
Sub Total	Female	175	-	386	-	386
	Male	187	-	406	-	406
Total		362	-	792	-	792

Table 3.13.2 Training provided for the period 1 April 2019 and 31 March 2020

Occupational category	Gender	Number of employees as at 1 April 2019	Training provided within the reporting period			
			Learnerships	Skills Programmes & other short courses	Other forms of training	Total
Legislators, senior officials and managers	Female	18	-	13	-	13
	Male	16	-	10	-	10
Professionals	Female	25	-	18	1	19
	Male	15	-	16	1	17
Technicians and associate professionals	Female	85	-	19		19
	Male	126	-	15		15
Clerks	Female	47	-	32	3	35
	Male	28	-	34	3	37
Service and sales workers	Female		-	-	-	-
	Male	2	-	0	-	0
Skilled agriculture and fishery workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Craft and related trades workers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Plant and machine operators and assemblers	Female	-	-	-	-	-
	Male	-	-	-	-	-
Elementary occupations	Female	-	-	-	-	-
	Male	-	-	-	-	-
Sub Total	Female	175	-	82	4	86
	Male	187	-	75	4	79
Total		362	-	157	8	165

3.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2019 and 31 March 2020

Nature of injury on duty	Number	% of total
Required basic medical attention only	1	100
Temporary Total Disablement	0	0
Permanent Disablement	0	0
Fatal	0	0
Total	1	100

3.15 Utilisation of Consultants

The following table relates information on the utilisation of consultants in the department. In terms of the Public Service Regulations "consultant" means a natural or juristic person or a partnership who or which provides in terms of a specific contract on an ad hoc basis any of the following professional services to a department against remuneration received from any source:

- (a) The rendering of expert advice;
- (b) The drafting of proposals for the execution of specific tasks; and
- (c) The execution of a specific task which is of a technical or intellectual nature, but excludes an employee of a department.

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2019 and 31 March 2020

Project title	Total number of consultants that worked on project	Duration(work days)	Contract value inRand
Internal Audit Review Services for ICT	1 company with a project team of 17	90	R442 428.00
Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
1		90	R442 428.00

Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
-	-	-	-

Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2019 and 31 March 2020

Project title	Total Number of consultants that worked on project	Duration (Work days)	Donor and contract value in Rand
-	-	-	-
Total number of projects	Total individual consultants	Total duration Work days	Total contract value in Rand
-	-	-	-

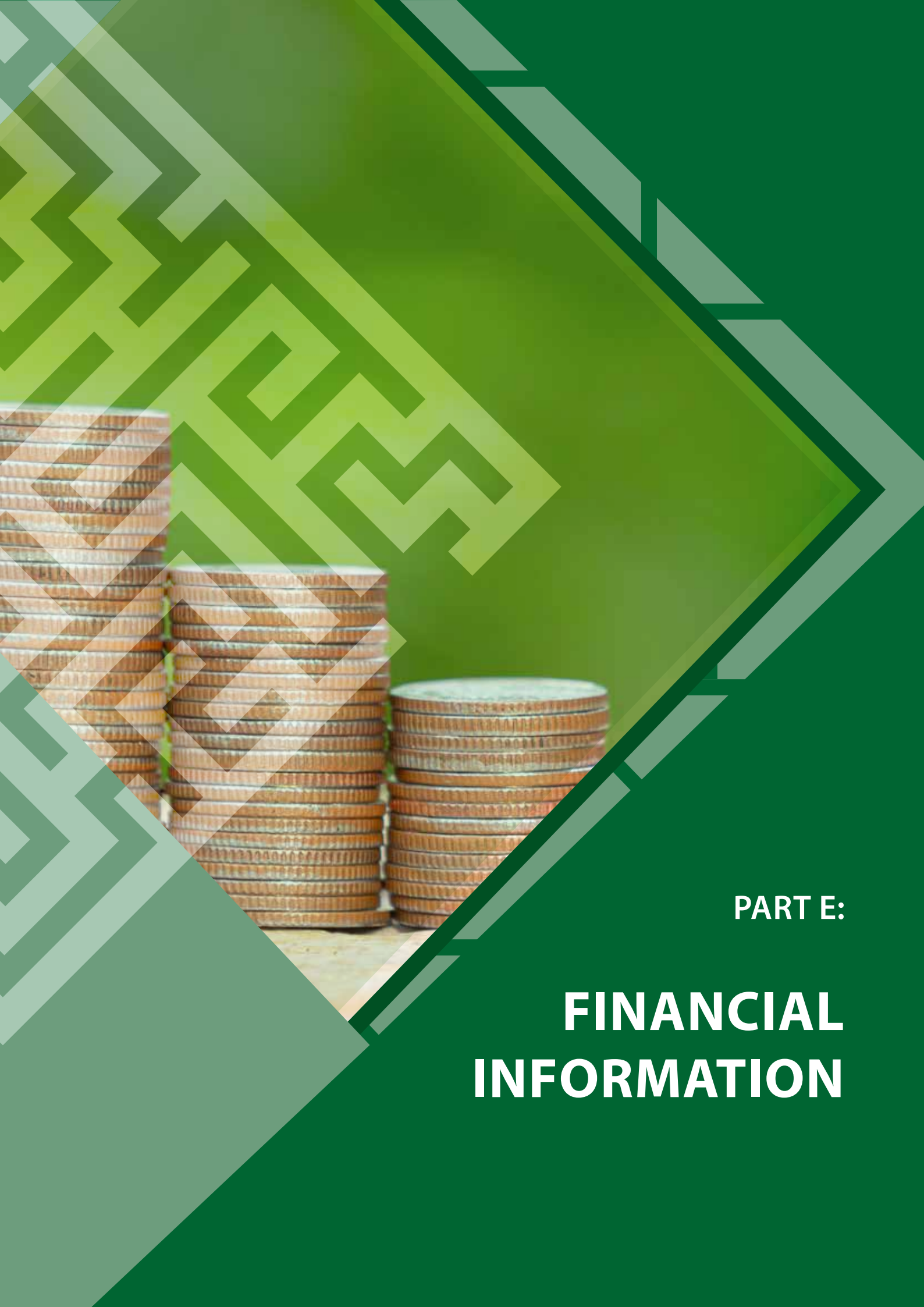
Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2019 and 31 March 2020

Project title	Percentage ownership by HDI groups	Percentage management by HDI groups	Number of consultants from HDI groups that work on the project
-	-	-	-

3.16 Severance Packages

Table 3.16.1 Granting of employee-initiated severance packages for the period 1 April 2019 and 31 March 2019

No Employee Severance packages were paid during the period under review.



PART E:

**FINANCIAL
INFORMATION**

REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO.20: INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

Report on the audit of the financial statements

Opinion

1. I have audited the financial statements of the Independent Police Investigative Directorate set out on pages' 134 to 194, which comprise the appropriation statement, statement of financial position as at 31 March 2020, statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as the notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, the financial statements present fairly, in all material respects, the financial position of the Independent Police Investigative Directorate as at 31 March 2020, and its financial performance and cash flows for the year then ended in accordance with Modified Cash Standard (MCS) and the requirements of the Public Finance Management Act of South Africa 1999 (Act No.1 of 1999) (PFMA).

Context for the opinion

3. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the Auditor-General's responsibilities for the audit of the financial statements section of this auditor's report.
4. I am independent of the department in accordance with sections 290 and 291 of the Code of ethics for professional accountants and parts 1 and 3 of the *International Code of Ethics for Professional Accountants (including International Independence Standards)* of the International Ethics Standards Board for Accountants (IESBA codes) as well as the ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA codes.
5. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my opinion.

Emphasis of matters

6. I draw attention to the matters below. My opinion is not modified in respect of these matters.

Payables not recognised

7. As disclosed in note 20.2 to the annual financial statements, payables not recognised of R4 945 000, exceeded the payment terms of 30 days, as required in Treasury Regulation 8.2.3. This amount in turn exceeds the R43 000 of voted funds to be surrendered by R4 902 000 as per the statement of financial performance. The amount of R4 902 000 would therefore have constituted unauthorised expenditure had the amounts been paid in time.

Other matter

8. I draw attention to the matter below. My opinion is not modified in respect of this matter.

Unaudited supplementary schedules

9. The supplementary information set out on pages 195 to 202 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

Responsibilities of accounting officer for the financial statements

10. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the Modified Cash Standards (MCS) and the requirements of the Public Finance Management Act of South Africa 1999 (Act No.1 of 1999) (PFMA), and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
11. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or has no realistic alternative but to do so.

Auditor-General's responsibilities for the audit of the financial statements

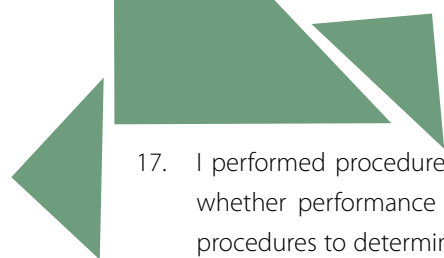
12. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
13. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

Report on the audit of the annual performance report

Introduction and scope

14. In accordance with the Public Audit Act of South Africa 2004 (Act No. 25 of 2004) (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programmes presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
15. My procedures address the usefulness and reliability of the reported performance information, which must be based on the approved performance planning documents of the department. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures also do not extend to any disclosures or assertions relating to planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
16. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the annual performance report of the department, for the year ended 31 March 2020:

Programme	Pages in the annual performance report
Programme 2 - Investigation and Information Management	28 – 32

- 
17. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
 18. I did not identify any material findings on the usefulness and reliability of the reported performance information for this programme:

Programme 2 – investigation and information management

Other matters

19. I draw attention to the matters below.

Achievement of planned targets

20. Refer to the annual performance report on pages 28 to 32 for information on the achievement of planned targets for the year and explanations provided for the under/over achievement of a number of targets.

Report on the audit of compliance with legislation

Introduction and scope

21. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.
22. The material findings on compliance with specific matters in key legislation are as follows:

Expenditure management

23. Effective steps were not taken to prevent irregular expenditure as required by section 38(1)(c)(ii) of the PFMA and Treasury Regulation 9.1.1. The majority of the irregular expenditure disclosed in the financial statements was caused by non-compliance with procurement processes.
24. Effective steps were not taken to prevent the overspending on cost of employees to the amount of R19.4 million as required by section 3 of the Appropriation Act, 2019 and TR 6.3.1(a) & (d), resulting in irregular expenditure. The overspending is as a result of the provisions of section 23 of the IPID Act, which requires IPID investigators to be paid the same as SAPS detectives. The overspending on compensation of employees did not result in the overspending on the vote or main division of the vote because the department reduced planned spending in goods and services and capital expenditure line items.
25. Some payments were not made within 30 days or an agreed period after receipt of an invoice, as required by Treasury Regulation 8.2.3. This was mainly due to delayed internal processes; and budgetary constraints experienced by the department during the last quarter.

Other information

26. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report. The other information does not include the financial statements, the auditor's report and those selected programmes presented in the annual performance report that have been specifically reported in this auditor's report.

27. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion thereon.
28. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained in the audit, or otherwise appears to be materially misstated.
29. I did not receive the other information prior to the date of this auditor's report. When I do receive and read this information, if I conclude that there is a material misstatement therein, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

Internal control deficiencies

30. I considered internal control relevant to my audit of the consolidated and separate financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant internal control deficiencies that resulted in the findings on the annual performance report and the findings on compliance with legislation included in this report.
31. Management did not implement adequate record keeping controls to ensure that accurate, complete and relevant information is available and retrievable on a timely manner to support compliance with key legislation.
32. Management did not implement adequate controls to review and monitor compliance with the applicable laws and regulations, resulting in irregular expenditure being incurred, and payments not made within 30 days.

Auditor-General

Pretoria

30 September 2020



AUDITOR - GENERAL
SOUTH AFRICA

Auditing to build public confidence



independent police
investigative directorate

Department:
Independent Police Investigative Directorate
REPUBLIC OF SOUTH AFRICA

ANNUAL FINANCIAL STATEMENTS FOR INDEPENDENT POLICE INVESTIGATIVE DIRECTORATE

For the year ended 31 March 2020

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APPROPRIATION STATEMENT

for the year ended 31 March 2020

Voted funds and Direct charges	Appropriation per programme									
	2019/20					2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000	
Programme										
1. ADMINISTRATION	92 571	-	(5 485)	87 086	87 076	10	100.0%	101 228	102 180	
2. INVESTIGATION AND INFORMATION MANAGEMENT	226 491	-	6 892	233 383	233 382	1	100.0%	192 258	192 258	
3. LEGAL AND INVESTIGATION ADVISORY SERVICES	5 813	-	(465)	5 348	5 320	28	99.5%	8 961	7 867	
4. COMPLIANCE MONITORING AND STAKEHOLDER MANAGEMENT	11 778	-	(942)	10 836	10 832	4	100.0%	12 667	12 526	
TOTAL	336 653	-	-	336 653	336 610	43	100.0%	315 113	314 831	
Reconciliation with Statement of Financial Performance										
Add:										
Departmental receipts				313				285		
Actual amounts per Statement of Financial Performance (Total Revenue)				336 966				315 398		
Add:										
Aid assistance				-				-		
Prior year unauthorised expenditure approved without funding										
Actual amounts per Statement of Financial Performance Expenditure									314 831	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

	Appropriation per economic classification									
	2019/20					2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000	
Current payments	328 584	(609)	(10)	327 965	332 584	(4 619)	101.4%	291 706	289 712	
Compensation of employees	228 759	1	(1)	228 759	248 123	(19 364)	108.5%	197 373	187 579	
Salaries and wages	197 174	781	(2 260)	195 695	210 745	(15 050)	107.7%	170 089	162 746	
Social contributions	31 586	(780)	2 259	33 065	37 378	(4 313)	113.0%	27 284	24 833	
Goods and services	99 825	(610)	(9)	99 206	84 461	14 745	85.1%	94 333	102 133	
Administrative fees	636	210	(32)	814	709	105	87.1%	434	434	
Advertising	230	(61)	-	169	159	10	94.1%	364	364	
Minor assets	4 694	224	2 525	7 443	96	7 347	1.3%	49	49	
Audit costs: External	3 401	-	(318)	3 083	3 083	-	100.0%	4 115	4 115	
Bursaries: Employees	292	(73)	(16)	203	68	135	33.6%	49	49	
Catering: Departmental activities	183	(116)	(2)	65	57	8	87.7%	163	163	
Communication (G&S)	4 182	(18)	(98)	4 066	3 962	104	97.4%	3 724	3 724	
Computer services	7 780	730	(125)	8 385	8 366	19	99.8%	12 334	12 334	
Consultants: Business and advisory services	1 155	736	(1 018)	873	807	66	92.4%	305	305	
Legal services	5 065	(200)	(639)	4 226	4 226	-	100.0%	5 176	5 176	
Contractors	3 161	(1 161)	791	2 791	341	2 450	12.2%	496	496	
Agency and support / outsourced services	80	(51)	-	29	28	1	96.6%	-	-	
Fleet services (including government motor transport)	6 174	374	88	6 636	5 483	1 153	82.6%	7 311	7 249	
Inventory: Clothing material and accessories	-	-	-	-	-	-	-	451	451	
Inventory: Other supplies	-	-	-	-	-	-	-	106	106	
Consumable supplies	351	17	(4)	364	232	132	63.8%	635	635	
Consumable: Stationery, printing and office supplies	2 156	(154)	(266)	1 736	1 429	307	82.3%	2 234	2 227	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

	Appropriation per economic classification										
	2019/20						2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000		
Operating leases	26 272	(2 861)	170	23 581	23 933	-352	101.5%	24 345	30 747		
Property payments	16 572	(372)	(183)	16 017	14 713	1 304	91.9%	17 230	17 230		
Travel and subsistence	13 108	2 930	(737)	15 301	13 953	1 348	91.2%	12 692	14 159		
Training and development	2 532	(379)	(25)	2 128	1 680	448	78.9%	1 093	1 093		
Operating payments	1 800	(437)	(120)	1 243	1 084	159	87.2%	1 028	1 028		
Venues and facilities	-	52	-	52	52	-	100.0%	-	-		
Transfers and subsidies	1 156	609	10	1 775	1 670	105	94.1%	1 899	1 898		
Provinces and municipalities	50	51	-	101	98	3	97.0%	-	-		
Municipalities	50	51	-	101	98	3	97.0%	-	-		
Municipal bank accounts	50	51	-	101	98	3	97.0%	-	-		
Departmental agencies and accounts	776	-	-	776	690	86	88.9%	723	722		
Departmental agencies	776	-	-	776	690	86	88.9%	723	722		
Households	330	558	10	898	882	16	98.2%	1 177	1 177		
Social benefits	281	204	-	485	469	16	96.7%	458	458		
Other transfers to households	49	354	10	413	413	-	100.0%	719	719		
Payments for capital assets	6 913	-	-	6 913	2 356	4 557	34.1%	21 494	23 205		
Machinery and equipment	6 913	-	-	6 913	2 356	4 557	34.1%	21 494	23 205		
Transport equipment	2 200	-	-	2 200	-	2 200	-	14 006	15 717		
Other machinery and equipment	4 713	-	-	4 713	2 356	2 357	50.0%	7 488	7 488		
Payment for financial assets	-	-	-	-	-	-	-	15	15		
	336 653	-	-	336 653	336 610	43	100.0%	315 113	314 831		

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 1: ADMINISTRATION											
2019/20											2018/19
Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure	Final Appropriation	Actual Expenditure	
R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	R'000	
Sub programme											
1. DEPARTMENT MANAGEMENT	15 933	(607)	(1 689)	13 637	13 636	1	100.0%	14 738	14 644		
2. CORPORATE SERVICES	36 413	605	(2 711)	34 307	34 302	5	100.0%	49 282	50 370		
3. OFFICE ACCOMMODATION	13 147	-	-	13 147	13 147	-	100.0%	12 450	12 450		
4. INTERNAL AUDIT	5 498	1	(100)	5 399	5 398	1	100.0%	4 323	4 309		
5. FINANCE SERVICES	21 580	1	(985)	20 596	20 593	3	100.0%	20 435	20 407		
	92 571	-	(5 485)	87 086	87 076	10	100.0%	101 228	102 180		
Economic classification											
Current payments	88 566	(96)	(4 628)	83 842	83 838	4	100.0%	93 120	94 073		
Compensation of employees	50 668	-	(378)	50 290	50 288	2	100.0%	54 339	48 959		
Salaries and wages	43 812	55	(378)	43 489	43 488	1	100.0%	46 001	42 446		
Social contributions	6 856	(55)	-	6 801	6 800	1	100.0%	8 338	6 513		
Goods and services	37 898	(96)	(4 250)	33 552	33 550	2	100.0%	38 781	45 114		
Administrative fees	193	13	-	206	189	17	91.8%	115	115		
Advertising	200	(31)	-	169	159	10	94.1%	142	142		
Minor assets	1 404	265	(1 578)	91	83	8	91.2%	46	46		
Audit costs: External	3 401	-	(318)	3 083	3 083	-	100.0%	4 115	4 115		
Bursaries: Employees	115	(89)	-	26	5	21	19.2%	-	-		
Catering: Departmental activities	145	(113)	-	32	31	1	96.9%	94	94		
Communication (G&S)	1 042	(103)	-	939	844	95	89.9%	1 177	1 177		
Computer services	5 010	218	-	5 228	5 210	18	99.7%	9 032	9 032		

APPROPRIATION STATEMENT

for the year ended 31 March 2020

	Programme 1: ADMINISTRATION									
	2019/20					2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000	
Consultants: Business and advisory services	755	876	(866)	765	703	62	91.9%	238	238	
Contractors	1 540	(1 121)	(150)	269	259	10	96.3%	313	313	
Fleet services (including government motor transport)	411	(5)	-	406	325	81	80.0%	702	639	
Consumable supplies	169	21	-	190	111	79	58.4%	582	582	
Consumable: Stationery, printing and office supplies	738	(120)	(243)	375	352	23	93.9%	1 065	1 058	
Operating leases	15 465	331	(707)	15 089	15 667	(578)	103.8%	12 665	19 067	
Property payments	4 016	(272)	(183)	3 561	3 546	15	99.6%	6 003	6 003	
Travel and subsistence	2 235	(52)	(205)	1 978	1 942	36	98.2%	1 809	1 809	
Training and development	818	-	-	818	735	83	89.9%	460	460	
Operating payments	241	34	-	275	254	21	92.4%	224	224	
Venues and facilities	-	52	-	52	52	-	100.0%	-	-	
Transfers and subsidies	822	96	-	918	912	6	99.3%	942	941	
Provinces and municipalities	50	-	-	50	47	3	94.0%	-	-	
Municipalities	50	-	-	50	47	3	94.0%	-	-	
Municipal bank accounts	50	-	-	50	47	3	94.0%	-	-	
Departmental agencies and accounts	688	-	-	688	687	1	99.9%	639	638	
Departmental agencies	688	-	-	688	687	1	99.9%	639	638	
Households	84	96	-	180	178	2	98.9%	303	303	
Social benefits	84	96	-	180	178	2	98.9%	299	299	
Other transfers to households	-	-	-	-	-	-	-	4	4	
Payments for capital assets	3 183	-	(857)	2 326	2 326	-	100.0%	7 166	7 166	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

	Programme 1: ADMINISTRATION									
	2019/20					2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000	
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-	
Machinery and equipment	3 183	-	(857)	2 326	2 326	-	100.0%	7 166	7 166	
Other machinery and equipment	3 183	-	(857)	2 326	2 326	-	100.0%	7 166	7 166	
Payment for financial assets	92 571	-	(5 485)	87 086	87 076	10	100.0%	101 228	102 180	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 1.1: DEPARTMENT MANAGEMENT							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	15 838	(636)	(1 689)	13 513	13 514	(1)	100.0%	13 284	13 190
Compensation of employees	12 407	(487)	(150)	11 770	11 770	-	100.0%	9 823	9 729
Goods and services	3 431	(149)	(1 539)	1 743	1 744	(1)	100.1%	3 461	3 461
Transfers and subsidies	84	-	-	84	82	2	97.6%	273	273
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	84	-	-	84	82	2	97.6%	273	273
Payments for capital assets	11	29	-	40	40	-	100.0%	1 181	1 181
Machinery and equipment	11	29	-	40	40	-	100.0%	1 181	1 181
Payment for financial assets									
Total	15 933	(607)	(1 689)	13 637	13 636	1	100.0%	14 738	14 644

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 1.2: CORPORATE SERVICES						2018/19		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	32 503	538	(1 854)	31 187	31 186	1	100.0%	42 628	43 717
Compensation of employees	16 715	487	-	17 202	17 201	1	100.0%	25 559	20 308
Goods and services	15 788	51	(1 854)	13 985	13 985	0	100.0%	17 069	23 409
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	738	96	-	834	830	4	99.5%	669	668
Provinces and municipalities	50	-	-	50	47	3	94.0%	-	-
Departmental agencies and accounts	688	-	-	688	687	1	99.9%	639	638
Households	-	96	-	96	96	-	100.0%	30	30
Payments for capital assets	3 172	(29)	(857)	2 286	2 286	-	100.0%	5 985	5 985
Machinery and equipment	3 172	(29)	(857)	2 286	2 286	-	100.0%	5 985	5 985
Payment for financial assets									
Total	36 413	605	(2 711)	34 307	34 302	5	100.0%	49 282	50 370

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 1.3: OFFICE ACCOMMODATION						2018/19		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	13 147	-	-	13 147	13 147	-	100.0%	12 450	12 450
Compensation of employees	-	-	-	-	-	-	-	-	-
Goods and services	13 147	-	-	13 147	13 147	-	100.0%	12 450	12 450
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	13 147	-	-	13 147	13 147	-	100.0%	12 450	12 450

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Sub programme: 1.4: INTERNAL AUDIT							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	5 498	1	(100)	5 399	5 398	1	100.0%	4 323	4 309
Compensation of employees	4 545	-	(45)	4 500	4 499	1	100.0%	3 889	3 875
Goods and services	953	1	(55)	899	899	-	100.0%	434	434
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	5 498	1	(100)	5 399	5 398	1	100.0%	4 323	4 309

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 1.5: FINANCE SERVICES							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	21 580	1	(985)	20 596	20 593	3	100.0%	20 435	20 407
Compensation of employees	17 001	-	(183)	16 818	16 818	-	100.0%	15 068	15 047
Goods and services	4 579	1	(802)	3 778	3 775	3	99.9%	5 367	5 360
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	21 580	1	(985)	20 596	20 593	3	100.0%	20 435	20 407

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 2: INVESTIGATION AND INFORMATION MANAGEMENT									
Sub programme	2019/20					2018/19			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. INVESTIGATION MANAGEMENT	19 684	(3)	(2 879)	16 802	16 801	1	100.0%	18 472	18 472
2. INVESTIGATION SERVICES	202 565	3	9 861	212 429	212 429	0	100.0%	170 527	170 527
3. INFORMATION MANAGEMENT	4 242	-	(90)	4 152	4 152	-	100.0%	3 259	3 259
	226 491	-	6 892	233 383	233 382	1	100.0%	192 258	192 258
Economic classification									
Current payments	222 560	(513)	6 025	228 072	232 727	(4 655)	102.0%	177 515	175 803
Compensation of employees	163 299	-	705	164 004	183 371	(19 367)	111.8%	123 700	121 992
Salaries and wages	140 570	461	(1 673)	139 358	154 410	(15 052)	110.8%	107 061	105 454
Social contributions	22 730	(461)	2 378	24 647	28 961	(4 314)	117.5%	16 639	16 537
Goods and services	59 261	(513)	5 320	64 068	49 356	14 712	77.0%	53 814	53 812
Administrative fees	378	137	(19)	496	411	85	82.9%	214	214
Minor assets	3 010	(55)	4 387	7 342	3	7 339	0.0%	2	2
Bursaries: Employees	169	16	(8)	177	63	114	35.7%	41	41
Catering: Departmental activities	29	(4)	-	25	18	7	72.0%	37	37
Communication (G&S)	2 843	138	(16)	2 965	2 961	4	99.8%	2 387	2 387
Computer services	2 681	385	(97)	2 969	2 968	1	100.0%	3 176	3 176
Consultants: Business and advisory services	354	(156)	(106)	92	88	4	95.7%	67	67
Legal services	5 065	(200)	(639)	4 226	4 226	-	100.0%	5 176	5 176
Contractors	1 621	(41)	941	2 521	81	2 440	3.2%	183	183
Agency and support/ outsourced services	80	(51)	-	29	28	1	96.6%	-	-

APPROPRIATION STATEMENT

for the year ended 31 March 2020

	Programme 2: INVESTIGATION AND INFORMATION MANAGEMENT									
	2019/20					2018/19				
	Adjusted Appropriation R'000	Shifting of Funds R'000	Virement R'000	Final Appropriation R'000	Actual Expenditure R'000	Variance R'000	Expenditure as % of final appropriation %	Final Appropriation R'000	Actual Expenditure R'000	
Fleet services (including government motor transport)	5 763	379	88	6 230	5 158	1 072	82.8%	6 604	6 604	
Inventory: Clothing material and accessories	-	-	-	-	-	-	-	451	451	
Inventory: Other supplies	-	-	-	-	-	-	-	106	106	
Consumable supplies	167	(4)	-	163	110	53	67.5%	42	42	
Consumable: Stationery, printing and office supplies	1 243	(38)	5	1 210	928	282	76.7%	1 075	1 075	
Operating leases	10 787	(3 214)	891	8 464	8 238	226	97.3%	11 652	11 652	
Property payments	12 556	(100)	-	12 456	11 167	1 289	89.7%	11 227	11 227	
Travel and subsistence	9 610	2 980	12	12 602	11 307	1 295	89.7%	10 137	10 134	
Training and development	1 416	(254)	-	1 162	797	365	68.6%	444	444	
Operating payments	1 488	(431)	(119)	938	804	134	85.7%	794	794	
Transfers and subsidies	201	513	10	724	625	99	86.3%	412	412	
Provinces and municipalities	-	51	-	51	51	-	100.0%	-	-	
Municipalities	-	51	-	51	51	-	100.0%	-	-	
Municipal bank accounts	-	51	-	51	51	-	100.0%	-	-	
Departmental agencies and accounts	88	-	-	88	3	85	3.4%	84	84	
Departmental agencies	88	-	-	88	3	85	3.4%	84	84	
Households	113	462	10	585	571	14	97.6%	328	328	
Social benefits	64	108	-	172	158	14	91.9%	159	159	
Other transfers to households	49	354	10	413	413	-	100.0%	169	169	
Payments for capital assets	3 730	-	857	4 587	30	4 557	0.7%	14 316	16 028	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 2: INVESTIGATION AND INFORMATION MANAGEMENT											
2019/20											2018/19
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000		R'000
Machinery and equipment	3 730	-	857	4 587	30	4 557	0.7%	14 316	16 028		16 028
Transport equipment	2 200	-	-	2 200	-	2 200	-	14 006	15 717		15 717
Other machinery and equipment	1 530	-	857	2 387	30	2 357	1.3%	310	310		310
Payment for financial assets	-	-	-	-	-	-	-	15	15		15
	226 491	-	6 892	233 383	233 382	1	100.0%	192 258	192 258		192 258

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 2.1: INVESTIGATION MANAGEMENT							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	19 684	(3)	(2 889)	16 792	16 791	1	100.0%	18 372	18 372
Compensation of employees	8 264	-	(2 060)	6 204	6 204	-	100.0%	6 226	6 226
Goods and services	11 420	(3)	(829)	10 588	10 587	1	100.0%	12 146	12 146
Transfers and subsidies	-	-	10	10	10	-	100.0%	100	100
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	10	10	10	-	100.0%	100	100
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	19 684	(3)	(2 879)	16 802	16 801	1	100.0%	18 472	18 472

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 2.2: INVESTIGATION SERVICES						2018/19		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	198 634	(510)	9 004	207 128	211 784	(4 656)	102.2%	155 884	154 172
Compensation of employees	151 065	-	2 783	153 848	173 215	(19 367)	112.6%	114 378	112 670
Goods and services	47 569	(510)	6 221	53 280	38 569	14 711	72.4%	41 505	41 503
Transfers and subsidies	201	513	-	714	615	99	86.1%	312	312
Provinces and municipalities	-	51	-	51	51	-	100.0%	-	-
Departmental agencies and accounts	88	-	-	88	3	85	3.4%	84	84
Households	113	462	-	575	561	14	97.6%	228	228
Payments for capital assets	3 730	-	857	4 587	30	4 557	0.7%	14 316	16 028
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	3 730	-	857	4 587	30	4 557	0.7%	14 316	16 028
Payment for financial assets	-	-	-	-	-	-	-	15	15
Total	202 565	3	9 861	212 429	212 429	0	100.0%	170 527	170 527

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 2.3: INFORMATION MANAGEMENT								
	2019/20				2018/19				
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	4 242	-	(90)	4 152	4 152	-	100.0%	3 259	3 259
Compensation of employees	3 970	-	(18)	3 952	3 952	-	100.0%	3 096	3 096
Goods and services	272	-	(72)	200	200	-	100.0%	163	163
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	4 242	-	(90)	4 152	4 152	-	100.0%	3 259	3 259

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 3: LEGAL AND INVESTIGATION ADVISORY SERVICES									
	2019/20					2018/19			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. LEGAL SUPPORT AND ADMINISTRATION	2 107	(124)	(65)	1 918	1 918	-	100.0%	1 721	1 720
2. LITIGATION ADVISORY SERVICES	1 623	33	(376)	1 280	1 280	-	100.0%	3 283	2 190
3. INVESTIGATION ADVISORY SERVICES	2 083	91	(24)	2 150	2 122	28	98.7%	3 957	3 957
	5 813	-	(465)	5 348	5 320	28	99.5%	8 961	7 867
Economic classification									
Current payments	5 771	-	(465)	5 306	5 278	28	99.5%	8 688	7 594
Compensation of employees	5 203	-	(326)	4 877	4 877	-	100.0%	8 310	7 216
Salaries and wages	4 572	17	(249)	4 340	4 340	-	100.0%	7 172	6 484
Social contributions	631	(17)	(77)	537	537	-	100.0%	1 138	732
Goods and services	568	-	(139)	429	401	28	93.5%	378	378
Administrative fees	9	5	(4)	10	7	3	70.0%	5	5
Communication (G&S)	79	(20)	(7)	52	47	5	90.4%	54	54
Computer services	-	56	-	56	56	-	100.0%	-	-
Consultants: Business and advisory services	-	16	(8)	8	8	-	100.0%	-	-
Fleet services (including government motor transport)	-	-	-	-	-	-	-	5	5
Consumable supplies	4	-	-	4	4	-	100.0%	6	6
Consumable: Stationery, printing and office supplies	63	1	(3)	61	59	2	96.7%	45	45
Travel and subsistence	196	68	(64)	200	186	14	93.0%	185	185

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 3: LEGAL AND INVESTIGATION ADVISORY SERVICES											
2019/20											2018/19
Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure	Final Appropriation	Actual Expenditure	
R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	R'000	
212	(125)	(53)	34	34	-	100.0%	77	77	77	77	
5	(1)	-	4	-	4	-	0	0	0	0	
-	-	-	-	-	-	-	-	-	-	-	
42	-	-	42	42	-	100.0%	273	273	273	273	
-	-	-	-	-	-	-	-	-	-	-	
-	-	-	-	-	-	-	-	-	-	-	
42	-	-	42	42	-	100.0%	273	273	273	273	
42	-	-	42	42	-	100.0%	273	273	273	273	
-	-	-	-	-	-	-	-	-	-	-	
-	-	-	-	-	-	-	-	-	-	-	
-	-	-	-	-	-	-	-	-	-	-	
-	-	-	-	-	-	-	-	-	-	-	
5 813	-	(465)	5 348	5 320	28	99.5%	8 961	8 961	8 961	7 867	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Subprogramme: 3.1: LEGAL SUPPORT AND ADMINISTRATION											
2019/20											2018/19
Economic classification	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure		
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	
Current payments	2 107	(124)	(65)	1 918	1 918	-	100.0%	1 721	1 720		
Compensation of employees	1 829	(130)	-	1 699	1 699	-	100.0%	1 538	1 538		
Goods and services	278	6	(65)	219	219	-	100.0%	182	182		
Transfers and subsidies	-	-	-	-	-	-	-	-	-		
Provinces and municipalities	-	-	-	-	-	-	-	-	-		
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-		
Households	-	-	-	-	-	-	-	-	-		
Payments for capital assets	-	-	-	-	-	-	-	-	-		
Machinery and equipment	-	-	-	-	-	-	-	-	-		
Payment for financial assets	-	-	-	-	-	-	-	-	-		
Total	2 107	(124)	(65)	1 918	1 918	-	100.0%	1 721	1 720		

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 3-2: LITIGATION ADVISORY SERVICES						2018/19		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	1 581	33	(376)	1 238	1 238	-	100.0%	3 010	1 917
Compensation of employees	1 403	67	(326)	1 144	1 144	-	100.0%	2 870	1 776
Goods and services	178	(34)	(50)	94	94	-	100.0%	141	141
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	42	-	-	42	42	-	100.0%	273	273
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	42	-	-	42	42	-	100.0%	273	273
Payments for capital assets	-	-	-	-	-	-	-	-	-
Buildings and other fixed structures	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	1 623	33	(376)	1 280	1 280	-	100.0%	3 283	2 190

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 3.3: INVESTIGATION ADVISORY SERVICES							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	2 083	91	(24)	2 150	2 122	28	98.7%	3 957	3 957
Compensation of employees	1 971	63	-	2 034	2 034	-	100.0%	3 902	3 902
Goods and services	112	28	(24)	116	88	28	75.9%	55	55
Total	2 083	91	(24)	2 150	2 122	28	98.7%	3 957	3 957

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 4: COMPLIANCE MONITORING AND STAKEHOLDER MANAGEMENT									
	2019/20					2018/19			
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Sub programme									
1. COMPLIANCE MONITORING	6 547	99	(85)	6 561	6 561	-	100.0%	5 960	5 819
2. STAKEHOLDER MANAGEMENT	5 231	(99)	(857)	4 275	4 271	4	99.9%	6 707	6 707
	11 778	-	(942)	10 836	10 832	4	100.0%	12 667	12 526
Economic classification									
Current payments	11 687	-	(942)	10 745	10 741	4	100.0%	12 383	12 242
Compensation of employees	9 589	1	(2)	9 588	9 587	1	100.0%	11 024	9 413
Salaries and wages	8 220	248	40	8 508	8 507	1	100.0%	9 855	8 362
Social contributions	1 369	(247)	(42)	1 080	1 080	-	100.0%	1 169	1 051
Goods and services	2 098	(1)	(940)	1 157	1 154	3	99.7%	1 359	2 829
Administrative fees	56	55	(9)	102	102	-	100.0%	100	100
Advertising	30	(30)	-	-	-	-	-	222	222
Minor assets	280	14	(284)	10	10	-	100.0%	1	1
Bursaries: Employees	8	-	(8)	-	-	-	-	8	8
Catering: Departmental activities	9	1	(2)	8	8	-	100.0%	32	32
Communication (G&S)	218	(33)	(75)	110	110	-	100.0%	106	106
Computer services	89	71	(28)	132	132	-	100.0%	126	126
Consultants: Business and advisory services	46	-	(38)	8	8	-	100.0%	-	-
Contractors	-	1	-	1	1	-	100.0%	-	-
Consumable supplies	11	-	(4)	7	7	-	100.0%	4	4

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Programme 4: COMPLIANCE MONITORING AND STAKEHOLDER MANAGEMENT											
2019/20											2018/19
Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure	Final Appropriation	Actual Expenditure	
R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000	R'000	R'000
Consumable: Stationery, printing and office supplies	3	(25)	90	90	-	100.0%	90	49	49	49	
Operating leases	22	(14)	28	28	-	100.0%	28	28	28	28	
Travel and subsistence	(66)	(480)	521	518	3	99.4%	521	2 031	561	2 031	
Training and development	-	28	114	114	-	100.0%	114	112	112	112	
Operating payments	(39)	(1)	26	26	-	100.0%	26	10	10	10	
Interest and rent on land	-	-	-	-	-	-	-	-	-	-	
Transfers and subsidies	-	-	91	91	-	100.0%	91	273	273	273	
Provinces and municipalities	-	-	-	-	-	-	-	-	-	-	
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-	-	
Households	-	-	91	91	-	100.0%	91	273	273	273	
Social benefits	-	-	91	91	-	100.0%	91	-	-	-	
Other transfers to households	-	-	-	-	-	-	-	273	273	273	
Payments for capital assets	-	-	-	-	-	-	-	11	11	11	
Machinery and equipment	-	-	-	-	-	-	-	11	11	11	
Other machinery and equipment	-	-	-	-	-	-	-	11	11	11	
Payment for financial assets	-	-	-	-	-	-	-	-	-	-	
	11 778	(942)	10 836	10 832	4	100.0%	10 832	12 667	12 667	12 526	

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 4.1: COMPLIANCE MONITORING							2018/19	
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	6 547	99	(85)	6 561	6 561	-	100.0%	5 949	5 808
Compensation of employees	5 185	151	471	5 807	5 807	-	100.0%	5 393	5 253
Goods and services	1 362	(52)	(556)	754	754	-	100.0%	556	555
Transfers and subsidies	-	-	-	-	-	-	-	-	-
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	-	-	-	-	-	-	-	-	-
Payments for capital assets	-	-	-	-	-	-	-	11	11
Machinery and equipment	-	-	-	-	-	-	-	11	11
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	6 547	99	(85)	6 561	6 561	-	100.0%	5 960	5 819

APPROPRIATION STATEMENT

for the year ended 31 March 2020

Economic classification	Subprogramme: 4-2: STAKEHOLDER MANAGEMENT						2018/19		
	Adjusted Appropriation	Shifting of Funds	Virement	Final Appropriation	Actual Expenditure	Variance	Expenditure as % of final appropriation	Final Appropriation	Actual Expenditure
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Current payments	5 140	(99)	(857)	4 184	4 180	4	99.9%	6 434	6 434
Compensation of employees	4 404	(150)	(473)	3 781	3 780	1	100.0%	5 631	4 160
Goods and services	736	51	(384)	403	400	3	99.3%	803	2 274
Interest and rent on land	-	-	-	-	-	-	-	-	-
Transfers and subsidies	91	-	-	91	91	-	100.0%	273	273
Provinces and municipalities	-	-	-	-	-	-	-	-	-
Departmental agencies and accounts	-	-	-	-	-	-	-	-	-
Households	91	-	-	91	91	-	100.0%	273	273
Payments for capital assets	-	-	-	-	-	-	-	-	-
Machinery and equipment	-	-	-	-	-	-	-	-	-
Payment for financial assets	-	-	-	-	-	-	-	-	-
Total	5 231	(99)	(857)	4 275	4 271	4	99.9%	6 707	6 707

NOTES TO THE APPROPRIATION STATEMENT

for the year ended 31 March 2020

1. Detail of transfers and subsidies as per Appropriation Act (after Virement):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-H) to the Annual Financial Statements.

2. Detail of specifically and exclusively appropriated amounts voted (after Virement):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

3. Detail on payments for financial assets

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. Explanations of material variances from Amounts Voted (after Virement)

4.1 Per programme	Final Appropriation	Actual Expenditure	Variance R'000	Variance as a % of Final Appropriation
Administration	87 086	87 076	10	0.0%
Investigation and Information Management	233 383	233 382	1	0.0%
Legal and Investigation Advisory Services	5 348	5 320	28	0.52%
Compliance Monitoring and Stakeholder Management	10 836	10 832	4	0.04%

4.2 Per economic classification	Final Appropriation	Actual Expenditure	Variance	Variance as a % of Final Appropriation
	R'000	R'000	R'000	R'000
Current payments				
Compensation of employees	228 759	248 123	(19 364)	(8.46%)
Goods and services	99 206	84 461	14 745	14.86%
Transfers and subsidies				
Provinces and municipalities	101	98	3	2.97%
Departmental agencies and accounts	776	690	86	11.08%
Households	898	882	16	1.8%
Payments for capital assets				
Machinery and equipment	6 913	2 356	4 557	65.92%
Payments for financial assets				
	-	-	-	-

The Department managed to spend 99,99% of its total allocated budget

STATEMENT OF FINANCIAL PERFORMANCE

for the year ended 31 March 2020

	Note	2019/20	2018/19
		R'000	R'000
REVENUE			
Annual appropriation	1	336 653	315 113
Departmental revenue	2	313	285
TOTAL REVENUE		336 966	315 398
EXPENDITURE			
Current expenditure			
Compensation of employees	3	248 123	187 579
Goods and services	4	84 461	102 134
Total current expenditure		332 584	289 713
Transfers and subsidies			
Transfers and subsidies	6	1 670	1 899
Total transfers and subsidies		1 670	1 899
Expenditure for capital assets			
Tangible assets	7	2 356	23 204
Total expenditure for capital assets		2 356	23 204
Payments for financial assets	5	-	15
TOTAL EXPENDITURE		336 610	314 831
SURPLUS/(DEFICIT) FOR THE YEAR		356	567
Reconciliation of Net Surplus/(Deficit) for the year			
Voted funds		43	282
Annual appropriation		313	282
Conditional grants			
Departmental revenue and NRF Receipts	13	43	285
SURPLUS/(DEFICIT) FOR THE YEAR		356	567

STATEMENT OF FINANCIAL POSITION

as at 31 March 2020

	Note	2019/20	2018/19
		R'000	R'000
ASSETS			
Current assets		962	1 415
Unauthorised expenditure	8	891	891
Cash and cash equivalents	9	1	1
Prepayments and advances	10	15	15
Receivables	11	55	508
Non-current assets		36	18
Receivables	11	36	18
TOTAL ASSETS		998	1 433
LIABILITIES			
Current liabilities		913	1 319
Voted funds to be surrendered to the Revenue Fund	12	43	282
Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund	13	13	17
Bank overdraft	14	768	990
Payables	15	89	30
Non-current liabilities		-	-
Payables	15	-	-
TOTAL LIABILITIES		913	1 319
NET ASSETS		85	114
Represented by:			
Recoverable revenue		85	114
TOTAL		85	114

STATEMENT OF CHANGES IN NET ASSETS

for the year ended 31 March 2020

	Note	2019/20	2018/19
		R'000	R'000
Recoverable revenue			
Opening balance		114	-
Transfers:		(29)	114
Irrecoverable amounts written off	5.1	-	15
Debts revised		(49)	(65)
Debts recovered (included in departmental receipts)			-
Debts raised		20	164
Closing balance		85	114
TOTAL		85	114

CASH FLOW STATEMENT

for the year ended 31 March 2020

	Note	2019/20	2018/19
		R'000	R'000
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		336 905	315 353
Annual appropriated funds received	1.1	336 653	315 113
Departmental revenue received	2	240	227
Interest received	2.1	12	13
Net (increase)/decrease in working capital		512	(382)
Surrendered to Revenue Fund		(599)	(428)
Current payments		(332 584)	(289 713)
Payments for financial assets		-	(15)
Transfers and subsidies paid		(1 670)	(1 899)
Net cash flow available from operating activities	16	2 564	22 916
CASH FLOWS FROM INVESTING ACTIVITIES			
Payments for capital assets	5	(2 356)	(23 204)
Proceeds from sale of capital assets	2.3	61	45
(Increase)/decrease in non-current receivables	11	(18)	-
Net cash flows from investing activities		(2 313)	(23 159)
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		(29)	114
Increase/(decrease) in non-current payables		-	-
Net cash flows from financing activities		(29)	114
Net increase/(decrease) in cash and cash equivalents		222	(129)
Cash and cash equivalents at beginning of period		(989)	(860)
Cash and cash equivalents at end of period	17	(767)	(989)

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

PART A: ACCOUNTING POLICIES

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1 Basis of preparation

The financial statements have been prepared in accordance with the Modified Cash Standard.

2 Going concern

The financial statements have been prepared on a going concern basis.

3 Presentation currency

Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.

4 Rounding

Unless otherwise stated financial figures have been rounded to the nearest one thousand Rand (R'000).

5 Foreign currency translation

Cash flows arising from foreign currency transactions are translated into South African Rands using the spot exchange rates prevailing at the date of payment / receipt.

6 Comparative information

6.1 Prior period comparative information

Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

6.2 Current year comparison with budget

A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.

7 Revenue

7.1 Appropriated funds

Appropriated funds comprise of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation).

Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective.

The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.

7.2 Departmental revenue

Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise.

Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.

7.3 Accrued departmental revenue

Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:

- it is probable that the economic benefits or service potential associated with the transaction will flow to the department; and
- the amount of revenue can be measured reliably.

The accrued revenue is measured at the fair value of the consideration receivable.

Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents.

Write-offs are made according to the department's debt write-off policy

8 Expenditure

8.1 Compensation of employees

8.1.1 Salaries and wages

Salaries and wages are recognised in the statement of financial performance on the date of payment.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

8.1.2 Social contributions

Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment.

Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.

8.2 Other expenditure

Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.

8.3 Accruals and payables not recognised

Accruals and payables not recognised are recorded in the notes to the financial statements at cost at the reporting date.

8.4 Leases

8.4.1 Operating leases

Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment.

The operating lease commitments are recorded in the notes to the financial statements.

9 Cash and cash equivalents

Cash and cash equivalents are stated at cost in the statement of financial position.

Bank overdrafts are shown separately on the face of the statement of financial position as a current liability.

For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.

10 Prepayments and advances

Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash.

Prepayments and advances are initially and subsequently measured at cost.

<Indicate when prepayments and advances are expensed and under what circumstances.>

11 Payables

Payables recognised in the statement of financial position are recognised at cost.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

12 Capital Assets

12.1 Movable capital assets

Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition.

Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1.

All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.

Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment.

Subsequent expenditure that is of a capital nature forms part of the cost of the existing asset when ready for use.

13 Provisions and Contingents

13.1 Provisions

Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits as a result of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.

13.2 Contingent liabilities

Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.

IPID disclose contingent assets and liabilities in line with the approved contingent liability policy.

14 Unauthorised expenditure

Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:

- approved by Parliament or the Provincial Legislature with funding and the related funds are received; or
- approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or
- transferred to receivables for recovery.

Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

15 Fruitless and wasteful expenditure

Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.

Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off.

Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

16 Irregular expenditure

Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.

Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off.

Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.

17 Changes in accounting estimates and errors

Changes in accounting estimates are applied prospectively in accordance with MCS requirements.

Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.

18 Events after the reporting date

Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.

19 Recoverable revenue

Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National/Provincial Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

20 Related party transactions

Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.

The number of individuals and the full compensation of key management personnel is recorded in the notes to the financial statements.

21 Inventories (Effective from date determined in a Treasury Instruction)

At the date of acquisition, inventories are recognised at cost in the statement of financial performance.

Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition.

Inventories are subsequently measured at the lower of cost and net realisable value or where intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value.

The cost of inventories is assigned by using the weighted average cost basis.

22 Employee benefits

The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

PART B: EXPLANATORY NOTES

1. Annual Appropriation

1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds) and Provincial Departments:

	2019/20			2018/19		
	Final Appropriation	Actual Funds Received	Funds not requested/ not received	Final Appropriation	Appropriation received	Funds not requested / not received
	R'000	R'000	R'000	R'000	R'000	
Administration	106 392	106 392	-	106 231	106 231	-
Investigation and information management	208 910	208 910	-	196 215	196 215	-
Legal and Investigation advisory Services	6 783	6 783	-	-	-	-
Compliance Monitoring and Stakeholder Management	14 568	14 568	-	12 667	12 667	-
Total	336 653	336 653	-	315 113	315 113	-

In compliance with the Court order, the Department implemented Section 23 of the IPID Act which included payment of backdated salary arrears and adjusted notches to investigators. This resulted in the department overspending on its COE allocation. The department however did not overspend on the vote.

2. Departmental revenue

	Note	2019/20	2018/19
		R'000	R'000
Sales of goods and services other than capital assets	2.1	117	113
Interest, dividends and rent on land	2.2	12	13
Sales of capital assets	2.3	61	45
Transactions in financial assets and liabilities	2.4	123	114
Total revenue collected		313	285
Departmental revenue collected		313	285

IPID is not one of the main revenue generating Departments

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

2.1 Sales of goods and services other than capital assets

	Note	2019/20	2018/19
	2	R'000	R'000
Sales of goods and services produced by the department		116	112
Sales by market establishment		2	3
Administrative fees			-
Other sales		114	109
Sales of scrap, waste and other used current goods		1	1
Total		117	113

Other sales include garnishee orders of R113 000 and the sale of tender documents amounting to R1000.

2.2 Interest, dividends and rent on land

	Note	2019/20	2018/19
	2	R'000	R'000
Interest		12	13
Total		12	13

Interest relates to interest received on a positive bank balance

2.3 Sale of capital assets

	Note	2019/20	2018/19
	2	R'000	R'000
Tangible assets		61	45
Machinery and equipment	32	61	45
Total		61	45

2.4 Transactions in financial assets and liabilities

	Note	2019/20	2018/19
	2	R'000	R'000
Loans and advances			
Receivables			
Forex gain			
Stale cheques written back			
Other Receipts including Recoverable Revenue		123	114
Gains on GFECRA			
Total		123	114

Other receipts refers to amount collected in respect of outstanding debts

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

3 Compensation of employees

3.1 Salaries and Wages

	Note	2019/20	2018/19
	3	R'000	R'000
Basic salary		177 287	129 154
Performance award		1 027	1 693
Service Based		171	884
Compensative/circumstantial		4 626	5 008
Other non-pensionable allowances		27 633	26 007
Total		210 744	162 746

Salaries and Wages increased from the previous year due to the implementation of Section 23 of the IPID Act which required that IPID investigators should be remunerated on par with SAPS detectives. The implementation was in the form of backdated salary arrears payments and adjustment of notches.

3.2 Social contributions

	Note	2019/20	2018/19
	3	R'000	R'000
Pension		28 946	16 692
Medical		8 398	8 109
Bargaining council		35	32
Total		37 379	24 833
Total compensation of employees		248 123	187 579
Average number of employees		352	359

Even though the average number of employees reduced, COE expenditure increased due to implantation of Section 23 of the IPID Act

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

4 Goods and services

	Note	2019/20	2018/19
	4	R'000	R'000
Administrative fees		709	434
Advertising		159	364
Minor assets	4.1	96	49
Bursaries (employees)		68	49
Catering		57	162
Communication		3 962	3 724
Computer services	4.2	8 365	12 334
Consultants: Business and advisory services		807	306
Legal services		4 226	5 176
Contractors		341	496
Agency and support / outsourced services		28	-
Audit cost – external	4.3	3 083	4 115
Fleet services		5 483	7 249
Inventory	4.4	-	556
Consumables	4.5	1 661	2 862
Operating leases		23 933	30 747
Property payments	4.6	14 713	17 230
Travel and subsistence	4.7	13 954	14 159
Venues and facilities		52	-
Training and development		1 680	1 094
Other operating expenditure	4.8	1 084	1 028
Total		84 461	102 134

Other operating expenditure includes among others, printing of annual report, professional membership and resettlement costs.

4.1 Minor assets

	Note	2019/20	2018/19
	4	R'000	R'000
Tangible assets			
Machinery and equipment		96	49
Total		96	49

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

4.2 Computer services

	Note	2019/20	2018/19
	4	R'000	R'000
SITA computer services		1 382	1 731
External computer service providers		6 983	10 603
Total		8 365	12 334

4.3 Audit cost – External

	Note	2019/20	2018/19
	4	R'000	R'000
Regularity audits		3 035	3 646
Computer audits		48	469
Total		3 083	4 115

4.4 Inventory

	Note	2019/20	2018/19
	4	R'000	R'000
Clothing material and accessories		-	451
Other supplies	4.4.1	-	105
Total		-	556

4.4.1 Other supplies

	Note	2019/20	2018/19
	4.4	R'000	R'000
Ammunition and security supplies		-	105
Total		-	105

4.5 Consumables

	Note	2019/20	2018/19
	4	R'000	R'000
Consumable supplies		232	635
Uniform and clothing		-	3
Household supplies		148	149
IT consumables		75	389
Other consumables		9	94
Stationery, printing and office supplies		1 429	2 227
Total		1 661	2 862

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

4.6 Property payments

	Note	2019/20	2018/19
	4	R'000	R'000
Municipal services		2 809	4 051
Property management fees		491	711
Property maintenance and repairs		13	1
Other		11 400	12 467
Total		14 713	17 230

'Other' under Property payments includes security services payments of R8 693 000, cleaning services Payments of R2 562 000, Municipal services payments of R141 000 and fumigation payments of R 4 000.

4.7 Travel and subsistence

	Note	2019/20	2018/19
	4	R'000	R'000
Local		13 876	14 151
Foreign		78	8
Total		13 954	14 159

Spending on Travel and Subsistence was reduced in order to fund the implementation of Section 23

4.8 Other operating expenditure

	Note	2019/20	2018/19
	4	R'000	R'000
Professional bodies, membership and subscription fees		22	16
Resettlement costs		17	159
Other		1 045	853
Total		1 084	1 028

Included as 'Other' is the printing of departmental annual reports R263 000, laundry services R1 000, running costs for subsidised vehicle of R513 000 and Roadworthy test R2 000.

5 Payments for financial assets

	Note	2019/20	2018/19
		R'000	R'000
Debts written off	5.1	-	15
Total		-	15

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

5.1 Debts written off

	Note	2019/20	2018/19
	5	R'000	R'000
Nature of debts written off			
Recoverable revenue written off		-	15
Total		-	15
Total debt written off		-	15

6 Transfers and subsidies

	Note	2019/20	2018/19
		R'000	R'000
Provinces and municipalities	48, 49	98	-
Departmental agencies and accounts	Annexure 1B	690	722
Households	Annexure 1G	882	1 177
Total		1 670	1 899

7 Expenditure for capital assets

	Note	2019/20	2018/19
		R'000	R'000
Tangible assets			
Machinery and equipment	27	2 356	23 204
Total		2 356	23 204

7.1 Analysis of funds utilised to acquire capital assets – 2019/20

	Voted funds	Aid assistance	Total
	R'000	R'000	R'000
Tangible assets			
Machinery and equipment	2 356	-	2 356
Total	2 356	-	2 356

7.2 Analysis of funds utilised to acquire capital assets – 2018/19

	Voted funds	Aid assistance	Total
	R'000	R'000	R'000
Tangible assets			
Machinery and equipment	23 204	-	23 204
Total	23 204	-	23 204

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

8 Unauthorised expenditure

8.1 Reconciliation of unauthorised expenditure

	Note	2019/20	2018/19
		R'000	R'000
Opening balance		891	891
Prior period error			-
As restated		891	891
Unauthorised expenditure – discovered in current year (as restated)		-	-
Less: Amounts approved by Parliament/Legislature with funding		-	-
Less: Amounts approved by Parliament/Legislature without funding and derecognised		-	-
Capital		-	-
Current		-	-
Transfers and subsidies		-	-
Less: Amounts recoverable	11	-	-
Less: Amounts written off		-	-
Closing balance		891	891

Analysis of closing balance

Unauthorised expenditure awaiting authorisation		891	891
Unauthorised expenditure approved without funding and not derecognised		-	-
Total		891	891

8.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	Note	2019/20	2018/19
		R'000	R'000
Current			891
Total		891	891

8.3 Analysis of unauthorised expenditure awaiting authorisation per type

	Note	2019/20	2018/19
		R'000	R'000
Unauthorised expenditure relating to overspending of the vote or a main division within a vote		891	891
Total		891	891

The amount disclosed relates to the expenditure incurred in 2005/06 and 2008/09 Financial Years which is still awaiting authorisation and condonement by SCOPA

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

9 Cash and cash equivalents

	Note	2019/20	2018/19
		R'000	R'000
Cash on hand		1	1
Total		1	1

10 Prepayments and advances

	Note	2019/20	2018/19
		R'000	R'000
Staff advances		15	15
Total		15	15

11 Receivables

	Note	2019/20			2018/19		
		Current	Non-current	Total	Current	Non-current	Total
		R'000	R'000	R'000	R'000	R'000	R'000
Staff debt	11.1	55	36	91	508	18	526
Total		55	36	91	508	18	526

11.1 Staff debt

	Note	2019/20	2018/19
		R'000	R'000
(Group major categories, but list material items)			
Sal : Tax Debt		7	410
Staff debt		84	116
Total		91	526

11.2 Impairment of receivables

	Note	2019/20	2018/19
		R'000	R'000
Estimate of impairment of receivables		-	29
Total		-	29

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

12 Voted funds to be surrendered to the Revenue Fund

	Note	2019/20	2018/19
		R'000	R'000
Opening balance		282	147
Prior period error			-
As restated		282	147
Transfer from statement of financial performance (as restated)		43	282
Add: Unauthorised expenditure for current year	8	-	-
Voted funds not requested/not received	1.1	-	-
Transferred to retained revenue to defray excess expenditure (PARLIAMENT/ LEGISLATURES ONLY)		-	-
Paid during the year		(282)	(147)
Closing balance		43	282

13 Departmental revenue and NRF Receipts to be surrendered to the Revenue Fund

	Note	2019/20	2018/19
		R'000	R'000
Opening balance		17	13
Prior period error	13.1		-
As restated		17	13
Transfer from Statement of Financial Performance (as restated)		313	285
Paid during the year		(317)	(281)
Closing balance		13	17

14 Bank Overdraft

	Note	2019/20	2018/19
		R'000	R'000
Consolidated Paymaster General Account		768	990
Total		768	990

15 Payables – current

	Note	2019/20	2018/19
		R'000	R'000
Clearing accounts	15.1	89	30
Total		89	30

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

15.1 Clearing accounts

	Note	2019/20	2018/19
	15	R'000	R'000
Description			
Salary Income Tax		89	30
Total		89	30

16 Net cash flow available from operating activities

	Note	2019/20	2018/19
		R'000	R'000
Net surplus/(deficit) as per Statement of Financial Performance		356	567
Add back non cash/cash movements not deemed operating activities		2 208	22 349
(Increase)/decrease in receivables		453	(395)
(Increase)/decrease in prepayments and advances		-	3
Increase/(decrease) in payables – current		59	10
Proceeds from sale of capital assets		(61)	(45)
Proceeds from sale of investments		-	-
(Increase)/decrease in other financial assets		-	-
Expenditure on capital assets		2 356	23 204
Surrenders to Revenue Fund		(599)	(428)
Net cash flow generated by operating activities		2 564	22 916

17 Reconciliation of cash and cash equivalents for cash flow purposes

	Note	2019/20	2018/19
		R'000	R'000
Consolidated Paymaster General account		(768)	(990)
Cash on hand		1	1
Total		(767)	(989)

18 Contingent liabilities and contingent assets

18.1 Contingent liabilities

	Note	2019/20	2018/19
		R'000	R'000
Liable to Nature			
Claims against the department	Annex 3B	49 853	56 200
Intergovernmental payables (unconfirmed balances)	Annex 5	-	-
Total		49 853	56 200

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Contingent liability disclosed relates to litigation against the Department through summons. It is uncertain when the amounts will be settled due to the fact that cases are still at various stages in courts.

All cases disclosed above are claims against the Department, therefore reimbursement is not expected.

Due to the nature of IPID business, the Department experienced a high number of litigation cases which comes with summons. Full description of each case is provided in the annexure 3B of the Annual Financial Statements.

However it is impractical to provide an indication of the uncertainties relating to the amount or timing of any outflow in all disclosed cases.

19 Capital commitments

	Note	2019/20	2018/19
		R'000	R'000
Machinery and equipment		-	6
Total		-	6

Due to the change in disclosure requirement the department disclosed comparative information in respect of the previous period for capital commitments only.

20 Accruals and payables not recognised

20.1 Accruals

			2019/20	2018/19
			R'000	R'000
Listed by economic classification	30 Days	30+ Days	Total	Total
Goods and services	4 178	3 499	7 677	5 126
Capital assets	7	-	7	15
Other	-	-	-	-
Total	4 185	3 499	7 684	5 141

	Note	2019/20	2018/19
		R'000	R'000
Listed by programme level			
Administration		4 013	3 192
Investigation and information management		2 324	1 938
Legal and Investigation Advisory Services		1 331	-
Compliance Monitoring and Stakeholder Management		16	11
Total		7 684	5 141

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

The increase in accruals was caused mainly by expired DPW leases not invoiced and outstanding invoices at year end for contracts such as SITA, Cleaning and Security

20.2 Payables not recognised

					2019/20		2018/19
					R'000		R'000
Listed by economic classification							
		30 Days	30+ Days		Total		Total
Goods and services	1	2 060	37		2 097		4 675
Capital assets		2 848	-		2 848		-
Other					-		
Total		4 908	37		4 945		4 675

				Note	2019/20		2018/19
					R'000		R'000
Listed by programme level							
Administration					4 051		4 388
Investigation and information management					51		279
Legal and Investigation Advisory Services					843		-
Compliance Monitoring and Stakeholder Management					-		8
Total					4 945		4 675

Some of the invoices received at year end which couldn't be processed due to validation process includes:- Department of Justice invoice amounting to R843 000 ,G-fleet for R26 000 and Departmental Vehicles procured

				Note	2019/20		2018/19
					R'000		R'000
Included in the above totals are the following:							
Confirmed balances with other departments				Annex 5	1 577		211
Confirmed balances with other government entities				Annex 5	2 113		-
Total					3 690		211

21 Employee benefits

				Note	2019/20		2018/19
					R'000		R'000
Leave entitlement					8 415		7 854
Service bonus					5 727		5 377
Performance awards					-		-
Capped leave					4 748		4 684
Other					43 264		1 558
Total					62 154		19 473

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

At this stage the department is not able to reliably measure the long term portion of the long service awards.

Included on the leave entitlement are negative leave credits of 64.24 days amounting to R128 749.79 for 22 employees.

Included in "other" is the accrual amount R42 976 213.13 for the implementation of section 23.

22 Lease commitments

22.1 Operating leases

2019/20	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	41	41
Later than 1 year and not later than 5 years	-	-	-	141	141
Later than five years	-	-	-	-	-
Total lease commitments	-	-	-	182	182

2018/19	Specialised military equipment	Land	Buildings and other fixed structures	Machinery and equipment	Total
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	-	-	5 822	5 822
Later than 1 year and not later than 5 years	-	-	-	2 488	2 488
Later than five years	-	-	-	-	-
Total lease commitments	-	-	-	8 310	8 310

The lease on photocopy machines with Bytes and Konica Minolta has a renewal option of 24 months with the same terms and condition of the original lease agreement except for rental which shall be reduced by 75% of the rental specified on the contract.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

23 Irregular expenditure

23.1 Reconciliation of irregular expenditure

	Note	2019/20	2018/19
		R'000	R'000
Opening balance		30 026	28 249
Prior period error			-
As restated		30 026	28 249
Add: Irregular expenditure – relating to prior year		35 983	-
Add: Irregular expenditure – relating to current year		32 821	1 824
Less: Prior year amounts condoned		-	(49)
Less: Current year amounts condoned		-	-
Less: Prior year amounts not condoned and removed		-	-
Less: Current year amounts not condoned and removed		-	-
Less: Amounts recoverable (current and prior year)	15	-	-
Less: Amounts written off		-	-
Closing balance		98 830	30 026

Analysis of awaiting condonation per age classification

Current year	32 821	1 824
Prior years	66 009	28 202
Total	98 830	30 026

23.2 Details of current and prior year irregular expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2019/20 R'000
Non-compliance to leave policy	Matter to be forwarded to Financial Misconduct Committee for consideration	74
Implementation of IPID logo without approval	Matter forwarded to Financial Misconduct Committee for consideration	282
Overspending on compensation Of employees	Matter was forwarded to Financial Misconduct Committee for consideration	19 363
Appointment of Legal services without following SCM processes	Matter in the process of implementing the Financial Misconduct Committee's recommendations	15 206
Danger allowance paid not compliant to PSBC RESOLUTION 1 OF 2015	Matter to be forwarded to Financial Misconduct Committee for consideration	170
Appointment of official without following DPSA Processes	Matter to be forwarded to Financial Misconduct Committee for consideration	873
The lease contract for the head office building, namely the City Forum building, was declared unlawful by the Pretoria high court in 2015	Matter to be forwarded to Financial Misconduct Committee for consideration	32 829
Non-Compliance with Section 6.2.5 of the approved leave policy	Matter to be forwarded to Financial Misconduct Committee for consideration	7
Total		68 804

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

24 Fruitless and wasteful expenditure

24.1 Reconciliation of fruitless and wasteful expenditure

	Note	2019/20	2018/19
		R'000	R'000
Opening balance		3 237	3 236
Prior period error			-
As restated		3 237	3 236
Fruitless and wasteful expenditure – relating to prior year		90	-
Fruitless and wasteful expenditure – relating to current year		3	8
Less: Amounts recoverable	11	(3)	(7)
Less: Amounts written off		(3 202)	-
Closing balance		125	3 237

24.2 Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)

Incident	Disciplinary steps taken/criminal proceedings	2019/20 R'000
Interest on Telkom overdue account	Matter forwarded to Financial Misconduct Committee for consideration	1
Official missed a flight	Matter forwarded to Financial Misconduct Committee for consideration	2
No value derived by the IPID from procurement of the Rifles	Matter to be forwarded to Financial Misconduct Committee for consideration	90
Total		93

24.3 Details of fruitless and wasteful expenditure recoverable

Incident	2019/20 R'000
Incorrect Kilometres claimed by official recovered	3
Total	3

24.4 Details of fruitless and wasteful expenditure written off

Incident	2019/20 R'000
Official from Limpopo Office incurred accommodation and T&S expenditure without confirmation of attended for the training. Condoned by the accounting officer	2
Expenditure incurred on the re-organisation process which was validated and subsequently revised as there is no documentary evidence to justify it being considered Fruitless Expenditure. Does not meet definition and criteria	1 930
Suspension of Chief Director - Corporate Services – Validated and revised as there is no documentary evidence to justify it being classified as Fruitless Expenditure. Does not meet the Definition and Criteria as set	1 270
Total	3 202

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

25 Related party transactions

List related party relationships and the nature thereof

1. South African Police Services (SAPS) - Reporting to the same Minister,
2. Private Security Industry Regulation Authoring (PSIRA) - Reporting to the same Minister
3. Civilian Secretariat of Police - Reporting to the same Minister

26 Key management personnel

	No. of Individuals	2019/20	2018/19
		R'000	R'000
Political office bearers (provide detail below)			
Officials:			
Acting Executive Director	2	1 586	1 591
Chief Directors including CFO	14	19 070	17 096
Total		20 656	18 687

Two officials acted on the post – Executive Director, Mr. VO Senna acted from April 2019 – February 2020, Mr. PM Setshedi acted for the month of March 2020.

27 Provisions

	Note	2019/20	2018/19
		R'000	R'000
Claims against the State		-	370
Total		-	370

27.1 Reconciliation of movement in provisions – 2019/20

	Claim against the State	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	370	-	-	370
Increase in provision	-	-	-	-
Settlement of provision	-	-	-	-
Unused amount reversed	(370)	-	-	(370)
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	-	-	-	-

De-recognition of the provision.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

27.2 Reconciliation of movement in provisions – 201819

	Provision 1	Provision 2	Provision 3	Total provisions
	R'000	R'000	R'000	R'000
Opening balance	370	-	-	370
Increase in provision	-	-	-	-
Settlement of provision	-	-	-	-
Unused amount reversed	-	-	-	-
Reimbursement expected from third party	-	-	-	-
Change in provision due to change in estimation of inputs	-	-	-	-
Closing balance	370	-	-	370

Anticipated reimbursement for two officials who are claiming legal costs against the Department.

Reimbursement for two officials who are claiming legal costs against the Department for legal services that were rendered by their private appointed Attorneys. The expected timing resulting to the outflow of economic benefits could not be measured with reliability by the reporting date as it depends on their payment to the attorneys and the proof thereof to the Department for resettlement.

The provision was estimated based on the claim made to the department.

28 Movable Tangible Capital Assets

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Opening balance	Value adjustments	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	62 421		5 187	2 438	65 170
Transport assets	22 487		2 373	313	24 547
Computer equipment	24 971		2 743	2 083	25 631
Furniture and office equipment	9 902		35	42	9 895
Other machinery and equipment	5 061		36	-	5 097
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	62 421		5 187	2 438	65 170

The opening balance includes Cash Additions for assets that were paid in the current year but received last financial year amounting to R24 000.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Movable Tangible Capital Assets under investigation

	Number	Value
		R'000

Included in the above total of the movable tangible capital assets per the asset register are assets that are under investigation:

Machinery and equipment	62	1 547
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The amount disclosed includes assets identified for disposal and are placed on suspense until the investigation is finalised.

28.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Cash*	Non-cash**	(Capital Work in Progress current costs and finance lease payments)	Received current, not paid (Paid current year, received prior year)	Total
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	2 332			2 855	5 187
Transport assets	-	-	-	2 373	2 373
Computer equipment	2 268	-	-	475	2 743
Furniture and office equipment	35	-	-	-	35
Other machinery and equipment	29	-	-	7	36
TOTAL ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS	2 332	-	-	2 855	5 187

The cash additions exclude assets that were paid in the current year but received last financial year amounting to R24 000, this amount is part of the opening balance.

28.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020

	Sold for cash	Non-cash disposal	Total disposals	Cash Received Actual
	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	2 396	42	2 438	61
Transport assets	313	-	313	4
Computer equipment	2 083	-	2 083	57
Furniture and office equipment	-	42	42	-
Other machinery and equipment	-	-	-	-
TOTAL DISPOSAL OF MOVABLE TANGIBLE CAPITAL ASSETS	2 396	42	2 438	61

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Movement for 2018/19

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2019

	Opening balance	Prior period error	Additions	Disposals	Closing Balance
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	41 458	(16)	23 253	2 274	62 421
Transport assets	6 786	(16)	15 717	-	22 487
Computer equipment	21 102	-	6 086	2 217	24 971
Furniture and office equipment	9 953	-	-	51	9 902
Other machinery and equipment	3 617	-	1 450	6	5 061
TOTAL MOVABLE TANGIBLE CAPITAL ASSETS	41 458	(16)	23 253	2 274	62 421

28.2.1 Prior period error

	Note	2018/19
		R'000
Nature of prior period error		
Relating to 2018/19 [affecting the opening balance]		(16)
The asset (Trailer) was disposed in 2018/19 financial year and was erroneously excluded on the disposals.		(16)
Total prior period errors		(16)

28.3 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2020

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	-	12 477	-	12 477
Value adjustments	-	-	-	-	-	-
Additions	-	-	-	96	-	96
Disposals	-	-	-	(472)	-	(472)
TOTAL MINOR ASSETS	-	-	-	12 101	-	12 101

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	-	-	-
Number of minor assets at cost	-	-	-	7 291	-	7 291
TOTAL NUMBER OF MINOR ASSETS	-	-	-	7 291	-	7 291

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Minor Capital Assets under investigation

	Number	Value
		R'000

Included in the above total of the minor capital assets per the asset register are assets that are under investigation:

Machinery and equipment	112	185
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The amount disclosed includes assets identified for disposal and are placed on suspense until the investigation is finalised.

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2019

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	-	12 811	-	12 811
Prior period error	-	-	-	-	-	-
Additions	-	-	-	49	-	49
Disposals	-	-	-	383	-	383
TOTAL MINOR ASSETS	-	-	-	12 477	-	12 477

	Specialised military assets	Intangible assets	Heritage assets	Machinery and equipment	Biological assets	Total
Number of R1 minor assets	-	-	-	-	-	-
Number of minor assets at cost	-	-	-	7 448	-	7 448
TOTAL NUMBER OF MINOR ASSETS	-	-	-	7 448	-	7 448

29. Prior period errors

29.1 Correction of prior period errors

	Note	Amount bef error correction	Prior period error	Restated Amount
		2018/19	2018/19	2018/19
		R'000	R'000	R'000
Expenditure: Tangible capital assets	27	6 786	(16)	6 770
Transport assets				
Net effect		6 786	(16)	6 770

Disposal erroneously excluded on disposals

NOTES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

30. Inventories (Effective from date determined in a Treasury Instruction)

30.1

Inventories for the year ended 31 March 2020	Clothing Material and Accessories	Other supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	448	105	-	-	553
Add/(Less): Adjustments to prior year balances	-	-	-	-	-
Add: Additions/Purchases – Cash	-	-	-	-	-
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	-	-	-	-	-
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	448	105	-	-	553

Inventories for the year ended 31 March 2019	Clothing Material and Accessories	Other supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	-	-	-	-	-
Add/(Less): Adjustments to prior year balances	-	-	-	-	-
Add: Additions/Purchases – Cash	451	105	-	-	556
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	(3)	-	-	-	(3)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	448	105	-	-	553

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 1B

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2018/19 Final Appropriation R'000
	Adjusted Appropriation	Roll Overs	Adjustments	Total Available	Actual Transfer	% of Available funds Transferred	
	R'000	R'000	R'000	R'000	R'000	%	
SASSETA	686	-	-	686	686	100%	637
Communication : Licences (Radio &TV)	4	-	-	4	4	100%	85
TOTAL	690	-	-	690	690		722

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 1G

STATEMENT OF TRANSFERS TO HOUSEHOLDS

	TRANSFER ALLOCATION				EXPENDITURE			2018/19
	Adjusted Appropriation Act R'000	Roll Overs R'000	Adjust-ments R'000	Total Available R'000	Actual Transfer R'000	% of Available funds Transferred %	Final Appropriation R'000	
HOUSEHOLDS								
Transfers								
Leave Gratuity	469	-	-	469	469	100%	185	
Claims against the State	393	-	-	393	393	100%	982	
Act of grace remuneration	20	-	-	20	20	100%	10	
	882	-	-	882			1 177	
TOTAL	882	-	-	882	882		1 177	

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 1H

STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS RECEIVED

NAME OF ORGANISATION	NATURE OF GIFT, DONATION OR SPONSORSHIP	2019/20	2018/19
		R'000	R'000
Received in kind			
Rudolf Schoeman	Rugby Tickets	-	3
Volkswagen South Africa	Donation for an Advance Driving _ high performance driving course for nominated IPID investigators	14	-
EAPA SA Board	Sponsorship to attend 21st Annual EAPA SA Edu- week (Including Training workshop fee, travelling and accommodation)	19	-
African Policing Civilian Oversight Forum	Sponsoring the Acting ED travel arrangements to attend a Round table discussion in Nigeria during December 2020.	18	-
Subtotal			
TOTAL		51	3

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 3B

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2020

Nature of Liability	Opening Balance		Liabilities incurred during the year	Liabilities paid/cancelled/reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing Balance	
	1 April 2019	R'000				R'000	R'000
Claims against the department							
General Mpebe vs Minister of Police	750		-	-	-	-	750
Richard Nziyane vs Minister of Police	480		-	-	-	-	480
Moliso & Others vs Minister of Police	1 920		-	-	-	-	1 920
Mlangeni vs Minister of Police and Others	1 000		-	-	-	-	1 000
Booyesen Johan Wessel	10 500		-	-	-	-	10 500
Mathata MZ	2 500		-	(2 500)	-	-	-
Mabotja TA	2 500		-	(2 500)	-	-	-
Mabuda LS	120		-	(120)	-	-	-
Boitumelo Ramahlaha vs Minister of Police and Mapheto	1 750		-	(1 750)	-	-	-
Lekatela Collins Sekwadi v Minister of Police	1 920		-	(1 920)	-	-	-
Netshapala Mukondoleli Lesley vs Minister of Police	1 700		-	-	-	-	1 700
Munsami Yogandran vs Minister of Police	600		-	-	-	-	600
Manyamalala and 9 Others v Minister of Police	8 000		-	-	-	-	8 000
Mokoena v Minister of Police and Another	250		-	-	-	-	250
Senyatse Abraham Rasekhula v IPID and Others	660		-	-	-	-	660
Masopha Sefatsa and 15 Others v IPID	14 050		-	-	-	-	14 050
Inbanathan Kistiah and 2 others v IPID	7 500		-	-	-	-	7 500

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Nature of Liability	Opening Balance		Liabilities incurred during the year	Liabilities paid/ cancelled/reduced during the year	Liabilities recoverable (Provide details hereunder)	Closing Balance	
	1 April 2019	R'000				R'000	R'000
Matsumela JM VS IPID	-		180	-	-	-	180
KD Overheu	-		49	(49)	-	-	-
Moabelo KM	-		200	-	-	-	200
Machaba MS	-		200	-	-	-	200
Mochitele ME	-		200	-	-	-	200
Mokomane NS	-		546	-	-	-	546
Thaba LI	-		529	-	-	-	529
POPCRU OBO Maphosho T	-		388	-	-	-	388
Morema MID	-		344	(344)	-	-	-
Chauke NF	-		200	-	-	-	200
Subtotal	56 200		2 836	9 183	-	-	49 853
TOTAL	56 200		2 836	9 183	-	-	49 853

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 5

INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	Confirmed balance outstanding		Unconfirmed balance outstanding		TOTAL		Cash in transit at year end 2019/20 *	
	31/03/2020	31/03/2019	31/03/2020	31/03/2019	31/03/2020	31/03/2019	Payment date up to six (6) working days before year end	Amount
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Current								
Department of Justice and Constitutional development	1 577	211	-	-	1 577	211	-	-
Subtotal	1 577	211	-	-	1 577	211	-	-
Non-current								
Subtotal	1 577	211	-	-	1 577	211	-	-
TOTAL	1 577	211	-	-	1 577	211	-	-
OTHER GOVERNMENT ENTITY								
Current								
Government Fleet (gFleet)	26	-	-	-	26	-	-	-
Property Management Trading Entity (DPW)	2 087	-	-	-	2 087	-	-	-
Subtotal	2 113	-	-	-	2 113	-	-	-
Non-current								
Subtotal	3 690	211	-	-	3 690	211	-	-
TOTAL INTERGOVERNMENT PAYABLES	3 690	211	-	-	3 690	211	-	-

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

ANNEXURE 6

INVENTORIES

Inventories for the year ended 31 March 2020	Clothing Material and Accessories	Other supplies	Insert major category of inventory	Insert major category of inventory	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	448	105			553
Add/(Less): Adjustments to prior year balances	-	-	-	-	-
Add: Additions/Purchases – Cash	-	-	-	-	-
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	-	-	-	-	-
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	448	105	-	-	553

ANNEXURES TO THE ANNUAL FINANCIAL STATEMENTS

for the year ended 31 March 2020

Inventories for the year ended 31 March 2019	Clothing Material and Accessories R'000	Other supplies R'000	Insert major category of inventory R'000	Insert major category of inventory R'000	TOTAL R'000
Opening balance	-	-	-	-	-
Add/(Less): Adjustments to prior year balances	-	-	-	-	-
Add: Additions/Purchases – Cash	451	105	-	-	556
Add: Additions - Non-cash	-	-	-	-	-
(Less): Disposals	-	-	-	-	-
(Less): Issues	(3)	-	-	-	(3)
Add/(Less): Received current, not paid (Paid current year, received prior year)	-	-	-	-	-
Add/(Less): Adjustments	-	-	-	-	-
Closing balance	448	105	-	-	553

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