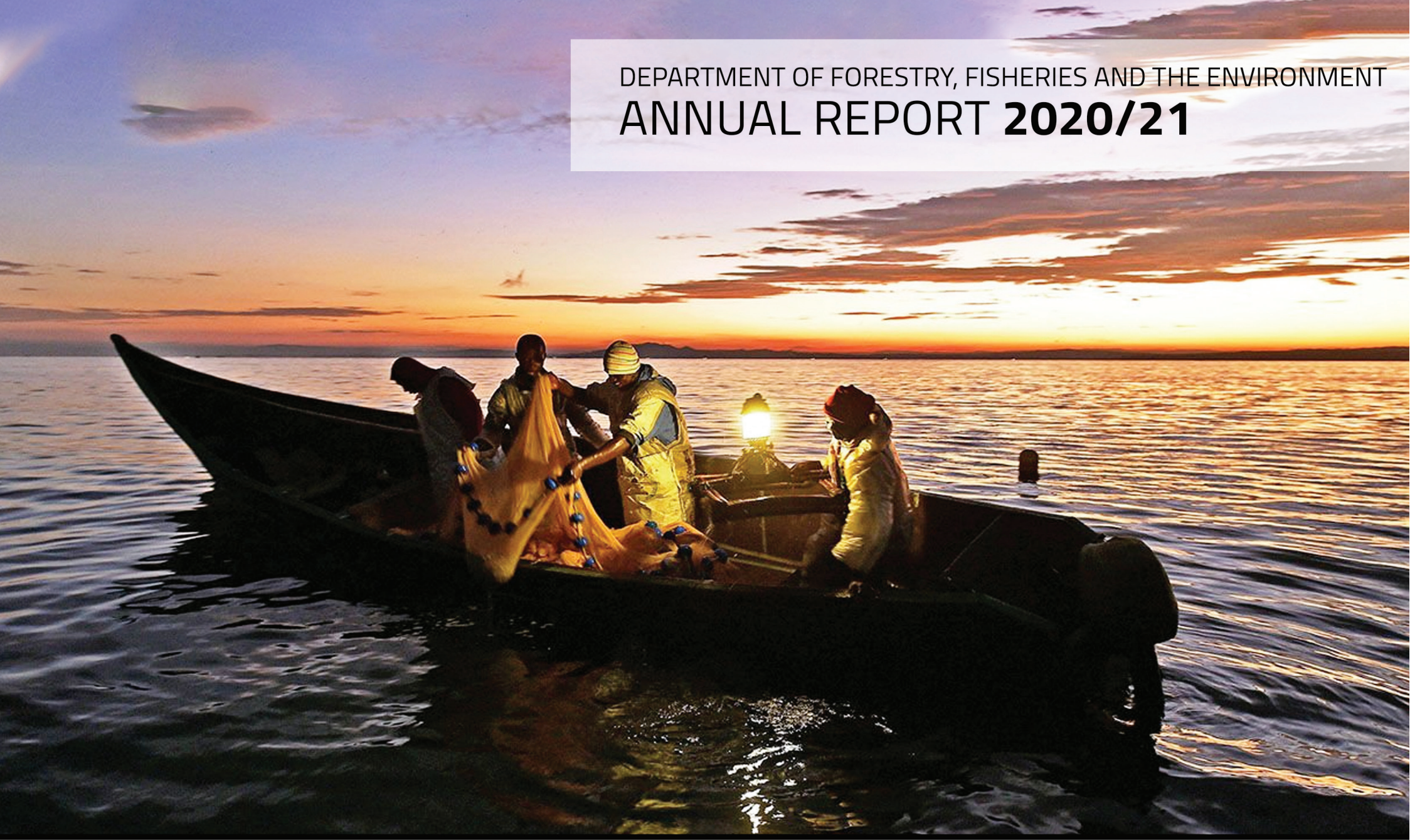


DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT
ANNUAL REPORT 2020/21



forestry, fisheries
& the environment

Department:
Forestry, Fisheries and the Environment
REPUBLIC OF SOUTH AFRICA



**DEPARTMENT OF FORESTRY, FISHERIES AND THE ENVIRONMENT
ANNUAL REPORT 2020/21**

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PART A

GENERAL INFORMATION



PART A: GENERAL INFORMATION

1. DEPARTMENT'S GENERAL INFORMATION

Physical address:

Department of Environment, Forestry and Fisheries

473 Steve Biko

Arcadia

Pretoria

0083

Postal address:

Private Bag X447

Pretoria

0001

South Africa

Telephone numbers:

Tel: +27 12 399 9000

Call Centre: +27 86 111 2468

Fax +27 12 359 3625

Email address:

callcentre@dffe.gov.za

Website address:

www.dffe.gov.za

LIST OF ACRONYMS

AEL	Atmospheric Emission Licence	CRO	Companies Registration Office
AEWA	African-Eurasian Migratory Waterbirds Agreement	CSIR	Council for Scientific and Industrial Research
AG	Auditor-General	CWE	Chemicals and Waste Economy
AGSA	Auditor-General of South Africa	DAFF	Department of Agriculture, Forestry and Fisheries
AIS	Alien Invasive Species	DBSA	Development Bank of South Africa
AMCEN	African Ministerial Conference on the Environment	DDM	District Development Model
AQA	Air Quality Act	DEA	Department of Environmental Affairs
AQM	Air Quality Management	DENC	Department of Environment and Nature Conservation
AQMP	Air Quality and Management Planning	DIRCO	Department of International Relations and Cooperation
BABS	Bioprospecting, Access and Benefit Sharing	DLDD	Desertification Land Degradation and Drought
BBBEE	Broad Based Black Economic Empowerment	DPSA	Department of Public Service and Administration
BBWW	Boat-Based Whale Watching White-Shark Cage Diving	DPWI	Department of Public Works and Infrastructure
BEE	Black Economic Empowerment	DWA	Department of Water Affairs
BMP	Biodiversity Management Plan	DWS	Department of Water And Sanitation
BRICS	Brazil, Russia, India, China and South Africa	ECPTA	Eastern Cape Parks and Tourism Agency
CAPS	Curriculum and Assessment Policy Statement	EDMS	Electronic Document Management System
CBD	Convention on Biological Diversity	EEZ	Exclusive Economic Zone Information System
CBIT	Capacity Building initiative for Transparency	EIA	Environmental Impact Assessment
CC	Climate Change	EMI	Environmental Management Inspectors
CFO	Chief Financial Officer	EMPrs	Environmental Management Programmes
CGCSA	Consumer Goods Council of South Africa	EPR	Extended Producer Responsibility
CITES	Convention on International Trade in Endangered Species of Wild Fauna and Flora	EPWP	Expanded Public Works Programme
CMC COP	Conference of the meeting of Parties on the Convention of Migratory Species	ESEID	Economic Sectors, Employment and Infrastructure Development
CO2	Carbon Dioxide	FOSAD	Forum of South Africa's Directors-Generals
COP	Conference of the Parties.	FRAP	Fishing Rights Allocation Process
FTE	Full Time Equivalent	MCS	Modified Cash Standards
GCAC	Global and Continental Affairs Committee	NEMWA	National Environmental Management : Waste Act, 2008

LIST OF ACRONYMS

GDARD	Gauteng Department of Agriculture and Rural Development	NVFFA	National Veld and Forest Fires Amendment
GDP	Gross Domestic Product	NWPB	Northwest Public Broadcasting
GEF	Global Environment Facility	OVR	Off-road Vehicle permits
GHG	Green House Gas	PPP	Pollution Prevention Plan
GIS	Geographical Information System	PPP	Public Private Partnerships
GYBN	Global Youth in Biodiversity Network	SA	South Africa
HLPF	High - Level Political Forum	SAAQIS	South African Air Quality Information System
HOD	Head of Department	SAEO	South Africa Environment Outlook
HR	Human Resources	SAIMI	South African International Maritime Institute
HRD	Human Resources Development	SANAE	South African National Antarctic Expedition
ICM	Integrated Coastal Management	SANBI	South African National Biodiversity Institute
ICT	Information and Communication Technologies	SANDF	South African Defence Force
IDP	Integrated Development Plan	SANPARKs	South African National Parks
IDPs	Integrated Development Plans	SASSETA	Safety and Security Sector Education and Training Authority
IEG	International Environmental Governance	SAWS	South African Weather Service
IEM	Integrated Environmental Management	SCM	Supply Chain Management
IGC	Intergovernmental Committee	SDIP	Service Delivery Improvement Plan
IGCCC	Intergovernmental Committee on Climate Change	SETA	Sector Education and Training Authority
IPBES	Intergovernmental Science-Policy Platform on Biodiversity and Ecosystem Services	SJRPs	Sector Jobs Resilience Plans
IPCC	Intergovernmental Panel on Climate Change	TFCAs	Transfrontier Conservation Areas
IWMP	Industry Waste Management Plans	TIPs	Trade & Industry Policy Strategies
KZN	KwaZulu Natal	LEDET	Limpopo Economic Development, Environment and Tourism
ESP	Environmental Sector Programme	UNEP	United Nations Environment Programme
LOGIS	Logistical Information System	UNFCCC	United Nations Framework Convention on Climate Change
M&E	Monitoring and Evaluation	WEF	World Economic Forum
WESSA	Wildlife and Environment Society of South Africa	WIL	Work Integrated Learning
TOR	Terms of Reference	WSCD	Watching White-Shark Cage Diving
WHC	World Heritage Convention	WSP	Workplace Skills Plan



Ms. Barbara Creecy, MP

FORESTRY, FISHERIES AND THE ENVIRONMENT

FOREWORD BY THE MINISTER

The Covid-19 pandemic has presented South Africa, and the Department of Forestry, Fisheries and the Environment, with challenges never faced before.

But, it was a year in which the Department did not digress from its Constitutional mandate and legal responsibility for a healthy environment that can promote sustainable growth that is equitable and inclusive, and will not harm the environment.

With the first national lockdown to stem the spread of the virus happening only a few days before the start of the 2020/21 financial year, the Department, and many of its entities which are reliant on tourism for their income, entered a time of uncertainty and change.

In this period, the Department's budget for the year was reprioritised together with a change of focus to create a nature-positive future for the country.

Embracing a nature positive future as part of South Africa's overall economic recovery holds a number of advantages, not least job creation in new industries, dedicated international "green funds" offer an investment source for these new industries, and investment in green and sustainable solutions.

Among the most important developments in the year under review was the finalisation of the High Level Panel's report on the review of policies for the management, breeding, hunting, trade

and handling of elephant, lion, leopard and rhino. The Panel of Experts handed their report, containing a list of recommendations, to me in December 2020, just more than a year since being appointed.

During the year, the importance of climate change was emphasised a number of times, with South Africa committing to building a low carbon economy and climate resilient society in the Low Emissions Development Strategy, submitted to the United Nations Framework Convention on Climate Change (UNFCCC) in December 2020.

It was also a year in which the African Ministers Conference on the Environment (AMCEN) reaffirmed their commitment to continue efforts to conserve, protect and enhance the resilience of Africa's environment and natural resources as part of their determined efforts in the fight against the Covid-19 pandemic.

The African Green Stimulus Programme, adopted by AMCEN, not only seeks to address, in a sustainable manner, the devastating socio-economic and environmental impacts of the COVID-19 pandemic, but also aims to mobilise additional financial and technical resources to upscale and enhance the implementation of the portfolio of programmes within the 12 Key Priority areas. In the 2020/21 financial year, South Africa and Africa's environmental and sustainable development projects were enhanced as more than USD 75 million was raised.

During the year, the country's Nationally Determined Contribution, which outlines our commitment to the Paris Agreement and the United Nations Framework Convention on Climate Change's goals for a climate resilient future, was subjected to intense review and updated. It will be submitted to the UNFCCC before COP26. The Paris Agreement came into force at the end of 2020. The Climate Change Bill was approved by Cabinet for submission to Parliament in August 2021.

The National Climate Change Adaptation Strategy has been finalised and is being implemented, while the Low Emission Development Strategy has been approved by Cabinet. Besides the compilation of the Greenhouse Gas emission reduction report being compiled, a final Air Quality Management Plan for the Vaal Triangle Airshed Priority Area was developed.

As we transition to a cleaner and greener world post Covid-19, the role of the Circular Economy and waste recycling has become more important. This in an area in which efforts to create jobs, formalise informal micro enterprises, divert waste from landfills and the environment and improve the overall system of waste management needs to be supported.

South Africa's second Plastic Colloquium reemphasised the need for all to work together in finding appropriate solutions to the plastics problem. The virtual meeting saw all parties delve into how to move innovative pilot programmes and significant local partnerships forward, and agreed on the need to craft a roadmap for our country to address matters related to plastic waste in the environment.

The regulatory framework on for Extended Producer Responsibility (EPR) Schemes was published last year. Also published was the Plastics and Packaging Extended Producer Responsibility notice to provide for the post-consumer management of waste plastic products. These EPR schemes are part of the Reconstruction and Economic Recovery Plan recently announced by President Ramaphosa as key contributors towards Green Economy initiatives.

Largely attributed to the movement limits placed on people during the Covid-19 pandemic, rhino poaching decrease considerably in the last financial year. During 2020, 394 rhino were poached for their horn in South Africa. This is 33% less than the 594 killed in 2019.

In addition, generic Environmental Management Programmes, strategic gas and electricity corridors and renewable energy development zones in previously mined areas were gazetted for implementation.

The First draft of the Oceans Economy Master Plan has been developed. We believe that stabilising the fishing sector through the allocation of longer-term fishing rights is critical to attracting investment into the industry. The transformation of the South African fishing industry is a constitutional and legislative imperative that we are pursuing. Therefore, the fishing rights allocation process (FRAP) and the management of commercial fishing rights are an important site for industry transformation.

At the start of 2021 the Department requested the High Court to review and set aside the process of awarding small-scale fishing rights in the Western Cape. This follows an independent audit that revealed widespread complaints regarding problems with the process were valid. Should the court application be successful, small-scale fishers will have an opportunity to submit new

information to support their applications and thus ensure a process that is applied fairly and consistently to all communities.

The 2020/21 Annual Report complies with all statutory reporting requirements, particularly section 40(1) of the Public Finance Management Act (PFMA), 1999, and paragraph 18 of the National Treasury Regulations.



Ms. Barbara Creecy, MP

FORESTRY, FISHERIES AND THE ENVIRONMENT

Date: 29 October 2021



Ms Makhotso Maggie Sotyu, MP

DEPUTY MINISTER OF FORESTRY, FISHERIES AND THE ENVIRONMENT

FOREWORD BY THE DEPUTY MINISTER

The 2020/2021 financial year has posed a number of socio-economic challenges, not only to the country, but also to the Department of Forestry, Fisheries and the Environment (DFFE). In the first year of the Covid-19 pandemic, the DFFE continued meeting its obligations in terms of the Constitution of the Republic of South Africa, 1996, and its goals, as set out in the National Development Plan's Vision 2030; to build an environmentally sustainable, climate change resilient, low-carbon economy and equitable society by 2030.

Despite the reprioritisation of the budget of the DFFE, it has been able to meet and in some cases exceed its goals for the 2020/2021 financial year. Funds were allocated to assist its entities, which rely on tourism and other sources of finance for their income, and supporting programmes assisted communities hard hit by the pandemic.

Issues such as climate change and air quality and biodiversity loss have received much attention in the year under review. A key area in addressing climate change is reforestation. Trees, as we all know, are one of our most important sources of oxygen. South Africa is vulnerable to climate change. This environmental threat can be reduced not only by accelerating the transition to alternate energy sources, but also through tree planting.

The planting of trees is considered a mitigating factor in slowing down climate change. It is for this reason that the DFFE is spearheading the Two Million Trees Programme, which is being rolled out alongside an awareness and education campaign so that all communities understand the importance of forests in food provision, and as a source of medicine for especially rural

communities. Through the District Development Model (DDM), the DFFE is committed to ensuring that municipalities conserve resources and use them sustainably. Through the 'One Plan' of the DMM, the DFFE will continue to ensure that all our infrastructure programmes are always carried out with the required environmental authorisations.

As highlighted in the Paris Climate Agreement in 2015, forests and trees play a crucial role in reducing the accumulation of GHGs in the atmosphere. Acting as carbon sinks, they absorb an equivalent of roughly 2 billion tonnes of carbon dioxide each year. However, deforestation is a leading cause of climate change, and accounts for nearly 20% of all GHG emissions – more than the world's entire transport sector.

Effective forest management can strengthen resilience and adaptive capacities to climate-related natural disasters, underscoring the importance of integrating forest-based measures into national disaster risk reduction (DRR) strategies. Reducing emissions from deforestation and forest degradation, the sustainable management of forests and the enhancement of forest carbon stocks (also known as REDD+), are vital for global efforts to combat climate change. To this effect, 40 398 trees were planted in the 2020/2021 financial year.

The planting of ornamental trees for shade, fruit, and tree species that are used for greening in human settlements and in the rehabilitation of degraded areas, are important steps in the implementation of the Forestry Master Plan developed in the year under review.

In the past year, 2 118 jobs have been created in the forestry sector; 200,45 hectares of state forests have been rehabilitated, and a draft transfer policy of indigenous forests is being developed. However, as climate change takes hold, so does the frequency of extreme weather events. To ensure economic and employment opportunities in predominantly rural areas, land needs to be protected from overuse.

Key to the leading drivers of desertification and land degradation are unsustainable production and consumption patterns. Through the various Expanded Public Works Programmes, we have been able to build much-needed infrastructure with the aim of preserving productive land, while building resilience against the impacts of climate change.

In the past year, Working for Land teams have rehabilitated almost 13 995, 65 ha of degraded land. In addition, 170 emerging invasive species were targeted for early detection and rapid response. Two community parks have also been created as part of greening efforts in municipal areas.

Given the strategic importance of rehabilitating and restoring degraded landscapes, through the Presidential Stimulus Package, a programme to support the restoration of degraded land for sustainable livelihoods was developed. This is being achieved by upscaling sustainable land management practices in different provinces.

By unlocking the potential that exists in traditional communities, rural poverty is being reduced, and traditional communities are able to participate fully and effectively in South Africa's economic recovery. This has seen the official opening and handover of facilities to municipalities to ensure that the nature-based Green Economy becomes a reality. This year, the Awelani Eco-Tourism Lodge and Community Conservation Area in the Vhembe District Municipality in Limpopo was officially handed over to the community. A total of 23 permanent staff members from beneficiary communities are employed in the lodge. Accredited training is being provided to empower these and other community structures that are being supported in their eco-tourism projects within Transfrontier Conservation Areas (TFCAs). The Lekgalameetsi Nature Reserve in the Maruleng District Municipality in Limpopo, was also handed over, as was the Environmental Education Centre to the Maluti A Phofung Municipality in Qwaqwa, Free State.

The Youth Environmental Services programme to address the unemployment of young people and the Driving Force for Change campaign, which also supports green entrepreneurship, illustrate the important role that the youth plays in economic development in South Africa.

In the past year, our mascot Billy Bin was taken along by officials in numerous campaigns to educate local communities, learners, commuters and motorists about the importance of a clean and green environment.

Despite Covid-19 restrictions, the DFFE was able to continue with its successful Good Green Deeds campaign to highlight the importance of active citizenry in proper waste management, the reduction of litter and the protection of natural resources. Thank you, Minister Creecy, for your leadership during a challenging year.

I present to you the 2020/2021 Annual Report.



Makhotso Maggie Sotyu, MP

FORESTRY, FISHERIES AND THE ENVIRONMENT

Date: 29 October 2021



Ms Nomfundo Tshabalala

DIRECTOR-GENERAL

REPORT OF THE ACCOUNTING OFFICER

OVERVIEW OF THE OPERATIONS OF THE DEPARTMENT

The Department of Forestry, Fisheries and the Environment is mandated to give effect to the right of citizens to an environment that is not harmful to their health or wellbeing, and have the environment protected for the benefit of present and future generations. The Department plans according to this mandate, identifies and agrees on priority areas and ensures that a budget is allocated to execute these priorities.

The financial year was impacted by various factors that resulted in interventions. Two of these factors which should be highlighted is the transfer of the Forestry and Fisheries functions to the department from the Department of Agriculture, Forestry and Fisheries (DAFF) as from 1 April 2020 as well as COVID-19 that impacted on the targets set by the department.

With regards to the transfer of the Forestry and Fisheries functions, officials were transferred via PERSAL increasing the establishment from an average of 1 817 employees in the 2019/2020 financial year to an average of 4 090 employees in the 2020/2021 financial year. Extensive work was undertaken with the transfer of properties through the Department of Public Works and Infrastructure from DAFF to the DFFE portfolio. Twenty-five (25) properties were transferred for lease payments as well as rates and tax payments to the DFFE during the financial year. Temporary security services were deployed to 23 of the most vulnerable Forestry plantations to ensure that assets are secured from vandalism. An outstanding issue is still the Section 42

(PFMA) transfer of the Biological Assets situated in the plantations as well as Movable Assets relating to the Forestry function. DFFE is still under measurement period, which will provide the department with reasonable time to obtain the information necessary to identify and measure the assets acquired and liabilities assumed. Therefore, for this transfer of the forestry function, the measurement period ends on 31 March 2022. Funds were re-allocated to Information Management Systems to set up the data lines and equipment to enable the Forestry regional offices to function adequately and link to the National Department.

The second intervention namely the COVID-19 pandemic impacted on the departmental activities and targets set for the financial year. The restrictions impacted on the in person attendance of workshops, training, site visits, enforcement, compliance monitoring and EPWP projects clearing alien vegetation. Sanitising as per Covid requirements of all buildings was undertaken as well as the procurement and implementation of Covid 19 protocols in terms of PPE. The department procured a communication system to support virtual meetings in the department. Additional expenditure was also incurred for procuring laptops for officials working from home.

The Department and the DBSA have concluded an agreement to transfer the assets and liabilities of the Green Fund programme with effect from 1 April 2020. The Green Fund was previously managed by the Development Bank of South Africa on behalf of the department. As per the transfer agreement, the DBSA took accountability and responsibility for the balances

and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department. All assets and liabilities were transferred as per Section 42 (PFMA). Although an allocation was budgeted for in the financial year under review, no funds were transferred to the DBSA.

The significant projects and/or events for the 2020/21 financial year:

The department hosted the following national events to celebrate/commemorate key international environment days, raise environmental awareness and engage stakeholders within the environment sector.

Celebration of international environment days:

World Environment Day

The Department of Forestry, Fisheries and the Environment partnered with the United National Environment Programme (UNEP), Wildlife and Environment Society of South Africa (WESSA), Reimagine South Africa, Western Cape Provincial Administration, youth and international friends in celebrating the World Environment Day 2020 through a webinar on 5 June 2020. The World Environment Day 2020 was celebrated under the theme "It's Time for Nature". The theme affirmed human beings as part of the ecosystem and therefore intricate with nature.

World Oceans Day

South Africa joined the global community in celebrating the annual World Oceans Day on 08 June 2020 and also celebrated the declaration of a network of 20 new representative Operation Phakisa: Oceans Economy, Marine Protected Areas (MPAs) which is an important step in the protection of our oceans. The department participated in this year's celebrations of World Oceans Day which was being celebrated under the theme: "**Innovation for a Sustainable Ocean**".

Youth Day

Minister of the Department of Forestry, Fisheries and the Environment, Ms Barbara Creecy hosted a virtual dialogue with South African youth with the focus on climate change issues on 16 June 2020. The virtual dialogue formed part of the Government's **Youth Month programme themed: "Youth Power: Growing South Africa together during the period of Covid-19"**. Minister Creecy encouraged the youth to actively participate in climate mitigation and adaptation initiatives.

International Mandela Day

Mandela Day was celebrated with the Department of Forestry, Fisheries and the Environment,

Makhotso Soty together with the Free State MEC for Economic, Small Business Development, Tourism and Environmental Affairs, Mr Makalo Mohale and MEC for Agriculture and Rural Development, Mr Kwekwe Bulwane. They donated school desks made from alien invasive biomass by the department's value added industries programme, and other goods on 17 July 2020 at Ladybrand Public Primary School and Manyatseng Old Age Home in Ladybrand, Free State.

International Coastal Clean-up Day

International Coastal Clean-up activities and the launch of Inkwazi Isu Project at Blue Lagoon Beach, Durban Harbour, Dakota Beach and Amanzimtoti took place on 19 September 2020. The clean-up activities were led by Minister Creecy under the slogan: "**Ending the flow of trash at the source**".

Minister Barbara Creecy together with the MEC of Economic Development & Tourism Ms Nomusa Dube-Ncube, Cllr Andre Beetge and Cllr Siphon Kaunda representing eThekweni Municipality all participated in the programme led by KZN Marine Waste Network, South Coast.

Three beach clean-up activities were held in Blue Lagoon Beach, Durban Harbour and Dakota Beach. The clean-ups were held in conjunction with Durban Solid Waste, Transnet, Waste Action Tribe, Wentworth Community and Plastics SA. 2,040Kgs of material (697 bags) collected by approximately 50 people involved in the clean-up at Dakota Beach. 80% of the waste has been sent for recycling.

Arbor day

Arbor Month celebrations were hosted in the Free State, North West and Gauteng between 01 and 30 September 2020. The arbor month campaign was conducted with the approved theme "**Forests and Biodiversity**" which was used with the slogan "**Trees in our lives**".

The campaign was inaugurated through the media launch in the Free State Province through the launch of the Remembrance Garden in Thaba Nchu (Mangaung Metropolitan Municipality), and followed on the same day by the symbolic tree planting in Ladybrand (Mantsopa Local Municipality), where the youth were recruited for the greening of Low Cost Housing on 01 September 2020.

International Day for the Preservation of the Ozone Layer

Protecting the ozone layer was celebrated by the Deputy Minister of Forestry, Fisheries and the Environment, Ms. Makhotso Soty on a virtual platform on the International Day for the Preservation of the Ozone Layer (also known as World Ozone Day) on Wednesday, 16 September 2020. This year's celebration was themed: "Ozone for Life", acknowledging 35 years of ozone layer protection, preserving the environment and human health.

Marine Week

National Marine Week was celebrated with various schools at the Wildlife and Environment Society of South Africa (WESSA) Education Centre, a unique training facility at Treasure Beach, Durban in KwaZulu-Natal on 17 October 2020. National Marine Week was celebrated under the theme: “Innovation for a Sustainable Ocean”. The Department of Forestry, Fisheries and the Environment partnered with South African International Maritime Institute (SAIMI), WESSA, and WoF, who set up stations where learners could interact with the key stakeholders.

World Wetlands Day

On the 2nd of February 2021, South Africa joined the nations of the world in celebration of the World Wetlands Day under the theme: “**Wetlands and Biodiversity**”, to raise awareness about these precious ecosystems as a source of fresh water. Wetlands are rich with biodiversity and provide habitats for a variety of plant and animal species.

Under the auspices of the Good Green Deeds programme, the department embarked on a three-day clean-up activity, wetlands and environmental awareness campaign with community members participating from 24-26 February 2021 in Tembisa, Rabie Ridge, Ekurhuleni Municipality, Gauteng.

HOSTING OF CONFERENCE / STRATEGIC MULTI STAKEHOLDER ENGAGEMENTS:

Circular Economy Webinar

A virtual Circular Economy Webinar was conducted on 14 September 2020 by the Minister of Forestry, Fisheries and the Environment, Barbara Creecy.

The aim of the webinar was to bring together government and the private sector to deliberate on South Africa’s approach to sustainable, inclusive economic growth and development in the waste sector while reducing the social and environmental impacts of waste. The dialogue focused on the theme: “**Advancing Circularity and Sustainable Consumption**”.

Virtual World Ozone Day Conference

The Deputy Minister of the Department of Forestry, Fisheries and the Environment, Makhotso Sotyu participated virtually in the World Ozone Day Conference on 16 September 2020.

As with ozone depleting substances, the environmental impact caused by greenhouse gas emissions can occur directly or indirectly, and emissions can take place naturally or as a result of human activities. The refrigeration and air conditioning systems which consume significant amounts of electrical power during their lifetimes have a significant indirect environmental impact, as do insulating foams that can save substantial quantities of energy.

Virtual Plastic Colloquium

The Minister of Forestry, Fisheries and the Environment, Barbara Creecy virtually hosted the 2nd Plastic Colloquium conference on 21 November 2020 in joint partnership with the Consumer Goods Council of South Africa (CGCSA) and Plastics SA. The theme was “**Plastic waste and the circular economy**”.

The Draft Plastics Master Plan approach acknowledges that the circular economy is central in order to reduce the negative impact of plastic waste on our country and its people. The Master Plan makes use of industrial policy to address plastic pollution as part of sustainable consumption and production and supports the sustained growth of the secondary materials economy.

Virtual International Day of Forests

The Deputy Minister of Forestry, Fisheries and the Environment, Makhotso Sotyu, virtually hosted the International Day of Forests on 25 March 2021 to raise awareness on the importance of all types of forests and trees outside forests, for the benefit of current and future generations. The Collaborative Partnership on Forests proposed that the theme for the 2021 International Day of Forests be “Forest Restoration: A path to recovery and well-being”.

OVERVIEW OF THE FINANCIAL RESULTS OF THE DEPARTMENT

Departmental receipts

During the 2020/21 financial year, departmental revenue collected amounted to R77,535,000, a decrease of R30,982,000 when compared to the R108,517,000 in the 2019/20 financial year. The decrease is mainly due to a decrease in funds received from Expanded Public Works Projects (EPWP) that was finalised and closed and the balance paid back to Revenue. The increase on sale of goods and services other than capital assets is due to the Forestry function transferred from the Department of Agriculture, Forestry and Fisheries to the Department of Environmental Affairs as from 1 April 2020. The sale of goods and services include revenue collected for sales of poles (treated and untreated), soft saw timber and wood products. The increase in sales of capital assets is mainly due to an amount of R1,952 m collected from sales of Eco-Furniture Products.

DEPARTMENTAL RECEIPTS	2020/2021			2019/2020		
	ESTIMATE ACTUAL	ACTUAL AMOUNT COLLECTION	(OVER)/UNDER COLLECTION	ESTIMATE ACTUAL	ACTUAL AMOUNT COLLECTED	(OVER)/UNDER COLLECTION
	R'000	R'000	R'000	R'000	R'000	R'000
Sale of goods and services other than capital assets	32 500	40 745	(8 245)	4 037	2 940	1 097
Fines, penalties and forfeits	10	341	(331)	1 500	4 033	(2 533)
Interest, dividends and rent on land	10 462	6 440	4 022	130	7 410	(7 280)
Sale of capital assets	3 546	2 087	1 459	175	120	55
Financial transactions in assets and liabilities	25 251	27 922	(2 671)	15 300	94 014	(78 714)
Total	71 769	77 535	(5 766)	21 142	108 517	(87 375)

TARIFF POLICY

Control of use of vehicles in the coastal area Regulations

Regulations were published in terms of sections 83(1)(g), (n), (p) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008) in GRN 37761 on 27 June 2014. Permits are issued in terms of these regulations.

Dumping at Sea Regulations

Regulations were published in terms of sections 83(1)(g),(h),(k) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No.24 of 2008) in GN 40996 on 21 July 2017. Permits are issued in terms of these regulations.

Coastal Waters Discharge Permit Regulations

Regulations published in terms of sections 83(1)(g),(h),(k) and (r) of the National Environmental Management: Integrated Coastal Management Act, 2008 (Act No.24 of 2008) in GN 42304 on 15 March 2019. Permits are issued in terms of these regulations.

Commercial Scuba Diving involving listed marine species, Boat-Based Whale Watching (BBWW) and White-Shark Cage Diving (WSCD)

The Threatened and Protected Species Regulations in terms of the National Environmental Management: Biodiversity Act 2004 (Act No.10 of 2004) were promulgated on 30 May 2017. Fees have been set in terms of these regulations and are collected in terms thereof, commencing in 2017/18.

Commercial SCUBA Diving business operations within Marine Protected Areas are managed in terms of the Marine Living Resources Act and since transfer of the Marine Protected Areas to the NEMPAA legislation, the fees are now collected in terms of NEMPAA.

Alien Invasive Species Management

Application Fees for permits for the transport or being in possession of Alien Invasive Species are set and collected in terms of the Alien Invasive Species Regulations promulgated in terms of the National Environmental Management Biodiversity Act, 2004 (Act No.10 of 2004).

Atmospheric Emission Tariffs

1. Fees for Processing of Atmospheric Emission Licence Applications in terms of Regulations prescribing the atmospheric emission licence processing fee (Regulation No 250 of 11 March 2016)
2. Administrative Fines: Imposed on facilities that have undertaken a listed activity without an Atmospheric Emission Licence in terms of Section 22A of the Air Quality Act. Regulations for the procedure and criteria to be followed in the determination of an administrative fine in terms of Section 22A of the Air Quality Act (Regulation No 322 of 18 March 2016).

EIA Environmental Authorisations

Fees for consideration and processing of environmental authorisations and amendments under section 24 (5)(c)(i), 24(5)(j) and 44(1)(a) and (b) of the National Environmental Management Act, 1998 (Act No.107 of 1998).

The proponent is excluded from the requirement to pay the prescribed fee where the application:

- Is for a community-based project funded by a government grant: or
- Is made by an organ of state.

Waste Management Licences, Transfer and Renewal Tariffs

National Environmental Management Waste Act, 2008. GN 37383 of 28 February 2014 "Fee Structure for consideration and processing of Applications for Waste Management Licenses. Transfers and Renewal thereof.

Programme Expenditure

The department's spending as at 31 March 2021 was 83.5% or R8,3 billion of its allocated budget of R9.9 billion. During the Adjusted Estimates of National Expenditure 2020 an additional amount of R1,983 billion was allocated to the department with regards to the Presidential Employment Stimulus Initiative. Due to receiving the funds late in the financial year, total spending was much lower than anticipated.

The distribution of the budget of R9.9 billion is as follows: Compensation of Employees 19.5%; Goods and Services 45.7%; Interest and rent on land R0.5%; Transfers and Subsidies 29.5%; Payment for Capital Assets 4.8% and Payment for Financial Assets 0.0%.

The spending of 83.5% is mainly driven by the Expanded Public Works Programme which represents 29.6% of the total spend, followed by Compensation of Employees at 23.6% and payment to the department's Public Entities 34.2%. The remainder of the expenditure of 12.6% is made up of all the other environmental and forestry programmes and operations within the department, namely administrative expenditure, legal costs, compliance and enforcement, air quality management, waste management, biodiversity and conservation, climate change, air quality, sustainable development, oceans and coasts expenditure and forestry operations.

The following table demonstrates the expenditure per Programme.

PROGRAMME NAME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	/UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	(UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	1 156 571	1 024 030	132 541	911 882	910 233	1 649
Regulatory Compliance and Sector Monitoring	204 333	192 631	11 702	220 495	220 102	393
Oceans and Coasts	477 833	433 512	44 321	494 645	458 621	36 024
Climate Change, Air Quality and Sustainable Development	603 637	590 613	13 024	450 251	450 251	-
Biodiversity and Conservation	1 907 565	1 851 297	56 268	796 896	796 847	49
Environmental Programmes	3 914 730	2 823 170	1 091 560	3 996 778	3 941 531	55 247
Chemicals and Waste Management	606 738	396 347	210 391	612 724	589 062	23 662
Forestry Management	593 903	515 925	77 978	-	-	-
Fisheries Management	472 491	472 491	-	-	-	-
Total	9 937 801	8 300 016	1 637 785	7 483 671	7 366 647	117 024

PROGRAMME 1: ADMINISTRATION

The expenditure of this programme increased from R910,233,000 in 2019/20 to R1,024,030,000 in 2020/21. An increase of R113,797,000 (12,5%), which is mainly attributable to the annual increases on the PPP annual unitary payment, compensation of employee costs, increased computer services costs due to data lines installed for Forestry regional offices, communication system to support the virtual platform developed for communication within COVID-19 restrictions.

Programme 2: Regulatory Compliance and Sector Monitoring

During the period under review, the programme's expenditure decreased from R220,102,000 in 2019/20 to R192,631,000 in 2020/21 with R27,471,000 (12,5%). The decrease is mainly due to COVID-19 restrictions on travel that impacted the Compliance, Integrated Environmental Services and Enforcement projects.

Programme 3: Oceans and Coasts

The expenditure of this programme decreased from R458,621,000 in 2019/20 to R433,512,000 in 2020/21 which resulted in a decrease of R25,109,000 (5,5%). The decrease is mainly due to COVID-19 restrictions that impacted the Oceans and Coastal Research projects, Oceans Economy Projects as well as Oceans Specialist Monitoring Services.

Programme 4: Climate Change, Air Quality and Sustainable Development

The expenditure increased from R450,251,000 in 2019/20 to R590,613,000 in 2020/21 which resulted in an increase of R140,362,000 (31,1%). The increase is mainly due to the re-allocation of capital budget from Programme 6: Environmental Programmes for the South African Weather Service operational expenditure. Due to COVID-19 impact on the revenue loss from aviation fees the decision was taken to re-allocate the Capital Allocation to support the South African Weather Service in shortfalls on operational expenditure.

Programme 5: Biodiversity and Conservation

The programme's expenditure increased from R796,847,000 in 2019/20 to R1,851,297,000 in 2020/21 which resulted in an increase of R1,054,450,000 (132.3%). The increase is mainly due to COVID-19 restrictions and implications affecting the revenue for iSimangaliso Wetland Park Authority, South African National Parks and South African National Biodiversity Institute to enable normal operations to continue. Capital allocations to the Public Entities were re-allocated from Programme 6: Environmental Programmes to assist with the shortfall in operational expenditure. Additional funds were also identified to assist iSimangaliso Wetland Park Authority and South Africa National Parks.

Programme 6: Environmental Programmes

This programme's expenditure decreased from R3,941,531,000 in 2019/20 to R2,823,170,000 in 2020/21 which resulted in a decrease of R1,118,361,000 or 28,4%. The decrease is mainly due to re-allocation of Capital budget allocations to Public Entities under Programmes 4 and 5 to assist with the shortfall in operational cost due to COVID-19 implications. The decrease is further due to the Green Fund transferred to the Development Bank of South Africa (DBSA) as from 1 April 2020. No payment made during the 2020/2021 financial year to the DBSA. An additional allocation of R1,8 billion was made to Programme 6.

Programme 7: Chemicals and Waste Management

The expenditure of the programme decreased from R589,062,000 in 2019/20 to R396,347,000 in 2020/21 which resulted in a decrease of R192,715,000 (32,7%). The decrease is mainly due to funds allocated during the financial year towards Waste Picker Grant and PPE to assist with the Waste Pickers in loss of income due to COVID-19 related implications and provide them with protective clothing and gear to protect themselves against the virus. The process to procure the PPE and to utilise the Grant according to the requirements and the verification process with Department of Home Affairs of Waste Picker beneficiaries took longer than anticipated and was only finalised at year end. The expenditure could therefore not be incurred in the financial year under review. The Asbestos Rehabilitation programme was also delayed due to the cancellation of the tender and the process of re-advertisement thereafter. This process is still ongoing and resulted in the expenditure that was not to be incurred during 2020/2021 financial year. The Waste Bureau operations were also hampered partly due to the COVID-19 restrictions and contributed to the decreased expenditure incurred for the 2020/21 financial year.

Programme 8: Forestry Management

Forestry Management was added to the Programme Structure as from 1 April 2020 due to the transfer of the function from DAFF to DFFE . The expenditure of the programme for the 2020/21 financial year amounted to R515,925,000. An underspending is reported due to COVID-19 restrictions that impacted on the operations in the plantations.

Programme 9: Fisheries Management

Fisheries Management was added to the Programme Structure as from 1 April 2020 due to the transfer of the function from DAFF to DFFE . The expenditure of the programme for the 2020/21 financial year amounted to R472,491,000 only with regards to the transfer of funds to the Marine Living Resources Fund and Compensation of Employees paid to the officials managing the Marine Living Resources Fund.

Virements

No virements applied after the Adjusted Estimates of National Expenditure 2020 process.

Unauthorised expenditure

The department did not incur any unauthorised expenditure for the 2020/21 financial year.

Fruitless and Wasteful expenditure

The department has improved the control measures to identify and report fruitless and wasteful expenditure. An amount of R5 million was recovered from the accumulated balance of the fruitless and wasteful expenditure disclosed in the 2019/2020 financial year. An amount of R13 000 was written off and new disclosure for fruitless and wasteful incurred during the 2020/2021 financial year amounts to R656 000.

Irregular expenditure

The department reported an accumulated balance of R4,380 billion relating to Irregular expenditure as at the end of the 2020/2021 financial year. Only R263 million related to 2020/2021 financial year whilst R4,117 billion related to contracts emanating from previous financial years where the actual expenditure was made in the 2020/2021 financial year. The irregular expenditure was incurred due to non-compliance to policies, procedures and prescripts with respect to applicable legislation including the PFMA and the PPPFA.

The department appointed a determination committee which comprises of the CFO, CRO and a Legal representative to conduct determination tests, analyse particulars of non-compliance and the root causes that led to the transgressions resulting in irregular expenditure. This committee thereafter refers those transgressions to the relevant authorities where it is suspected that there is a possibility of fraudulent, corrupt or other criminal conduct emanating from the incurrence of irregular expenditure in order to institute consequence management.

The Strategic Focus over the Short to Medium Term Period

The department's Strategic Plan and Annual Performance Plan for the 2021/22 provide details on the strategic focus for the short to medium term, aligned with government's Medium Term Strategic Framework (MTSF) priorities and the National Development Plan (NDP). During this period, the department will continue to advance on providing leadership, strategic and centralised administration, executive support, corporate services and facilitate effective cooperative governance, international relations, and environmental education and awareness; promote the development and implementation of an enabling legal regime and licensing / authorisation system to ensure enforcement and compliance with environmental law; promote, manage and provide strategic leadership on oceans and coastal conservation; improve air and atmospheric quality, lead and support, inform, monitor and report efficient and effective international, national and significant provincial and local responses to climate change; ensure the regulation and management of all biodiversity, heritage and conservation matters in a manner that facilitates sustainable economic growth and development; implement expanded public works and green economy projects in the environmental, forestry and fisheries sectors; manage and ensure that chemicals and waste management policies and legislation are implemented and enforced in

compliance with chemicals and waste management authorisations, directives and agreements; manage the 110 000 hectares of forestry plantations and managing the development and sustainable use of South Africa's marine and coastal resources as well as protecting its marine and coastal ecosystems.

Public Private Partnerships (PPPs)

The DFFE has a PPP agreement for office accommodation in operation for 25 years. The agreement commenced on 1 August 2012 and provides for the design, financing, building operation / maintenance and transfer of the Department of Environmental Affairs Environment House. The head office of the Department is located at Environment House with effect from 1 August 2014.

The gross unitary monthly instalment amounting to R157,489,000 as per clause 2.3 has been paid. Pass-through costs and additional payments, i.e. operational costs amounting to R6,258,000 as per Clause 6.24 of Schedule 6 of the PPP Agreement were also paid as at 31 March 2020. In the 2020/2021 financial year, AGSA indicated that the manner in which the department is reporting the PPP arrangement is not in line with the accounting standards and therefore the matter will be discussed with the Office of the Accountant-General for an assessment to ensure correct disclosure in future periods.

Discontinued Key Activities / Activities to be Discontinued

During the period under review no activities were discontinued and no activities are planned to be discontinued in the next reporting period.

New or Proposed Key Activities

During the period under review there were no new or proposed activities implemented or introduced.

Supply Chain Management

The prescribed transversal system Logistical Information System (LOGIS) is utilised for the provisioning of goods and services, record-keeping and reporting thereof. The department also makes use of the Contract Management System Central Supplier Database, which was implemented by the National Treasury. Added to that, the Department developed contract management system which is currently in use, as well as Procurement Management System which will be rolled out in 2021/2022. Apart from the system controls, a number of additional internal control measures have been implemented to detect and prevent irregular expenditure.

List of unsolicited bid proposals concluded for the year

No unsolicited bid proposals concluded for the year under review.

Supply Chain Management processes and systems in place to prevent irregular expenditure

It was identified in the prior year that the Department's process and system with regard to procurement had certain control deficiencies which resulted in the irregular expenditure as reported in the financial statements. Management took steps to remedy the control weaknesses as identified. This included the following:

- The Department introduced a new control measure of having the bid specifications approved by the Adjudication Committee to ensure that objectivity criteria is implemented and monitored to avoid irregular expenditure experienced in the past.
- Existing SCM processes, policies and financial delegations were reviewed and where applicable improved. Practice notes and relevant legislations are monitored and implemented as and when they are received by the Department.
- The Expanded Public Works Programmes advanced payment contracting model will be phased out and future contracts with Private Implementing Entities for such programmes to be processed on Logis system. Invoices received are verified against a checklist to establish possible irregular, wasteful and unauthorised expenditure.
- When irregular expenditure is discovered, it is recorded and reported to the relevant authority for formal responses as per the National Treasury practice notes

Challenges Experienced in SCM and how they were resolved

- Market research not done regularly, resulting in deviations from the normal procurement processes, e.g. Single Source/Sole Providers/Emergency.
- Solution: All the deviations are scrutinised by the Bids Adjudication Committee before a final approval is granted.
- Delays in initiation of projects due to Supply Chain Management challenges.
- **Solutions:** Projects are approved in line with the Procurement Plan submissions made per branch for proper implementation purposes.
- Project managers not submitting closeout reports and the renewal of contract on time regarding expired contract.
- **Solutions:** Contract management system has been developed, however, to track the status of the contract prior to expiry.

Gifts and Donations received in kind from non-related parties

No gifts and donations received in kind from non-related parties to be disclosed. A list of the nature of in-kind goods and services provide by the department is disclosed as an annexure to the Annual Financial Statements.

Exemptions and deviations received from the National Treasury

No exemptions were requested or approved for the department in terms of the Public Finance Management Act, 1999 (Act No.1 of 1999) (PFMA) or Treasury Regulations, as may be applicable to any of the reporting requirements.

Events after the reporting date:

Subsequent to 31 March 2021, the Department extended a material contract with an Implementing Agent by 6 months. The extension was concluded after obtaining the necessary and relevant approval through National Treasury. The amount of this deviation was R350 000 000 which translated into 6.7% of the original contract value. As the custodian of the National Veld and Forest Fires Act (NVFFA) the extension was necessary to ensure continuity in providing mandatory and critical services and avoid environmental consequences whilst the competitive bidding process to appoint a new implementer is being finalised.

Other:

None.

Acknowledgements and conclusion

I commenced my duties as accounting officer in this department on 1 February 2021, and wish to express my appreciation to the Minister and the Deputy Minister for their support and leadership. I am grateful to all our employees of the department for their hard work and ongoing commitment which enables the organisation to make significant progress and achieve our objectives and planned targets.

I am also grateful for the support and contribution of the many partners and stakeholders in the public service and in the different sectors of our society with whom we work together. In the current economic climate, partnerships and collaborations are very critical elements in enabling all of us to share knowledge and maximise the use of resources in order to achieve our common objectives and long-term goals of protecting our environment, forestry and fisheries sectors and preserving our natural assets and heritage.



Ms Nomfundo Tshabalala
DIRECTOR-GENERAL
Date: 29 October 2021

STATEMENT OF RESPONSIBILITY AND CONFIRMATION OF ACCURACY FOR THE ANNUAL REPORT

To the best of my knowledge and belief, I confirm the following:

All information and amounts disclosed throughout the Annual Report are consistent.

The Annual Report is complete, accurate and is free from any omissions.

The Annual Report has been prepared in accordance with the guidelines on the Annual Report as issued by the National Treasury.

The Annual Financial Statements (Part E) have been prepared in accordance with the modified cash standard and the relevant frameworks and guidelines issued by the National Treasury.

The Accounting Officer is responsible for the preparation of the Annual Financial Statements and for the judgments made in this information.

The Accounting Officer is responsible for establishing, and implementing a system of internal control that has been designed to provide reasonable assurance as to the integrity and reliability of the performance information, the human resources information and the Annual Financial Statements.

The external auditors are engaged to express an independent opinion on the Annual Financial Statements.

In my opinion, the annual report fairly reflects the operations, the performance information, the human resources information and the financial affairs of the Department for the financial year ended 31 March 2021.



Ms Nomfundo Tshabalala
DIRECTOR-GENERAL
Date: 29 October 2021

STRATEGIC OVERVIEW

VISION

A prosperous and equitable society living in harmony with our natural resources.

MISSION

Providing leadership in environmental management, conservation and protection towards sustainability for the benefit of South Africans and the global community.

DEPARTMENTAL VALUES

We are driven by our Passion as custodians and ambassadors of the environment, we have to be Proactive and foster Innovative thinking and solutions to environmental management premised on a People centric approach that recognises the centrality of Batho-Pele, for it is when we put our people first that we will serve with Integrity, an important ingredient for high Performance driven organisation such as ours.

LEGISLATIVE AND OTHER MANDATES

The mandate and core business of the Department of Environmental Affairs is underpinned by the Constitution of the Republic of South Africa and all other relevant legislation and policies applicable to the government, including the Batho Pele White Paper. In addressing the mandate for sound environmental management, the following policies, legislation and regulations have been enacted to give effect to the constitutional environmental rights of all South Africans in its Section 24, which provides a specific definition of the term sustainable development, namely that:

Everyone has the right to an environment that is not harmful to their health or well-being; and to have the environment protected, for the benefit of present and future generations, through reasonable legislative and other measures that:

- i. Prevent pollution and ecological degradation;
- ii. Promote conservation; and
- iii. Secure ecologically sustainable development and use of natural resources while promoting justifiable economic and social development.

In the context of this constitutional definition, the policies, legislation and regulations have been enacted in the form of overarching and enabling Integrated Environmental Management legislation which provides for subsidiary issue specific legislation on biodiversity and heritage resources; oceans and coasts; climate change and air quality management; and waste and chemicals management. There have not been any relevant court rulings that had a significant ongoing impact on the mandate of the department and service delivery obligations.

INTEGRATED ENVIRONMENTAL MANAGEMENT

Policies

- White Paper on Environmental Management, 1998.
- National Framework Strategy for Sustainable Development, 2009.
- National Strategy for Sustainable Development 1, 2011.

Acts of Parliament

The National Environmental Management Act (NEMA), 1998, (Act No. 107 of 1998), which establishes the concepts of participatory, cooperative and developmental governance in environmental management. It establishes principles for environmental management and provides for structures to facilitate these.

The National Environmental Management Amendment Act, 2003 (Act No. 46 of 2003), which DEALS with compliance and enforcement and provides for Environmental Management Inspectors (EMIs). The National Environmental Management Amendment Act, 2004 (Act No. 8 of 2004), which streamlines the process of regulating and administering the impact assessment process. Chapter 5 of the act lays down procedures with which the Minister or MEC, as the case may be, must comply before listing or delisting an activity.

The National Environment Laws Amendment Act, 2008 (Act No. 44 of 2008), which amends the National Environmental Management Act, 1998, so as to clarify any uncertainty in the act; authorises the Minister of Water Affairs and Forestry to designate persons as environmental management inspectors; provides for environmental management inspectors to be regarded as peace officers as contemplated in the Criminal Procedure Act, 1977; and amends the National Environmental Management: Air Quality Act, 2004, so as to substitute Schedule 1 of that act.

The National Environmental Management Amendment Act, 2008 (Act No. 62 of 2008), which empowers the Minister of Minerals and Energy to implement environmental matters in terms of the National Environmental Management Act, 1998, in so far as it relates to prospecting,

mining, exploration or related activities; aligns environmental requirements in the Mineral and Petroleum Resources Development Act (MPRDA), Act 28 2002, with NEMA (1998), by providing for the use of one environmental system and by providing for environmental management programmes; and further regulates environmental authorisations.

The National Environment Laws Amendment Act, 2009 (Act No. 14 of 2009), which amends the Atmospheric Pollution Prevention Act, 1965, so as to adjust the penalties provided for in the said act, the Environment Conservation Act, 1989, so as to adjust the penalties provided for in the said act, the National Environmental Management: Air Quality Act, 2004, so as to provide for a processing fee to review a licence, and to include directors or senior managers in a juristic person for the criteria for a fit and proper person.

BIODIVERSITY AND HERITAGE RESOURCES

Policies

- White paper on conservation and sustainable use of biodiversity, 1997.

Acts of Parliament

The World Heritage Convention Act, 1999 (Act No. 49 of 1999), which provides for the cultural and environmental protection and sustainable development of, and related activities in a world heritage site.

The National Environmental Management: Protected Areas Amendment Act, 2009 (Act 15 of 2009), which provides for the assignment of national parks, special parks and heritage sites to South African National Parks; makes provision for flight corridors and permission of the management authority to fly over a special national park, national park or heritage site; and provides for the winding up and dissolution of South African National Parks.

The National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004), which significantly reforms South Africa's laws regulating biodiversity. It sets out the mechanisms for managing and conserving South Africa's biodiversity and its components; protecting species and ecosystems that warrant national protection; the sustainable use of indigenous biological resources; the fair and equitable sharing of benefits arising from bioprospecting, including indigenous biological resources; and the establishment of the South African National Biodiversity Institute.

National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003), which provides for the protection and conservation of ecologically viable areas. It further provides for the establishment of a national register of protected areas and the proclamation and management of these areas.

The National Environmental Management: Protected Areas Amendment Act, 2004 (Act No. 31 of 2004), which provides for a national system of protected areas in South Africa as part of a strategy to manage and conserve the country's biodiversity. A significant part of this act is that the state is appointed as the trustee of protected areas in the country.

OCEANS AND COASTAL ENVIRONMENTAL MANAGEMENT

Policies

White Paper for Sustainable Coastal Development in South Africa, 2000

Acts of Parliament

The National Environmental Management: Integrated Coastal Management Act, 2008 (Act No. 24 of 2008), which establishes a system of integrated coastal and estuarine management in the Republic; ensures that development and the use of natural resources within the coastal zone is socially and economically justifiable and ecologically sustainable; determines the responsibilities of organs of state in relation to coastal areas; controls dumping at sea and pollution in the coastal zone; and gives effect to South Africa's international obligations in relation to coastal matters.

Sea Shores Act, 1935, (Act No. 21 of 1935), which declares the President to be the owner of the seashore and the sea within South Africa's territorial water and regulate the granting of rights and alienation thereof.

Sea Birds and Seals Protection Act, 1973 (Act No. 46 of 1973), which provides for control over certain islands and rocks for the protection and conservation of seabirds and seals.

Dumping at Sea Control Act, 1980 (Act No. 73 of 1980), which regulates the control of dumping substances at sea.

Sea Fishery Act, 1988 (Act No. 12 of 1988), most of the powers in terms of this Act were transferred to the Minister of Agriculture, Forestry and Fisheries. The Minister only retains powers in terms of section 38 of the Act.

Antarctic Treaties Act, 1996 (Act No. 60 of 1996), which provides for the implementation of certain treaties relating to Antarctica. The treaty is primarily concerned with the regulation of activities in Antarctica, including territorial claims, research and strict environmental protection in general and the protection of certain identified species such as seals.

Marine Living Resources Act, 1998 (Act No. 18 of 1998), which deals with the long-term sustainable utilisation of marine living resources. Most of the powers and functions in terms of this Act were transferred to the Minister of Agriculture Forestry and Fisheries. The Minister of Water and Environmental Affairs only retained functions pertaining to the Marine Protected Areas, regulatory powers that relates to the protection of the marine environment.

Prince Edward Islands Act, 1948 (Act No. 43 of 1948), which provides for the confirmation of the annexation to the Union of South Africa of the Prince Edward Islands, and for the administration, government and control of the said islands.

CLIMATE CHANGE AND AIR QUALITY MANAGEMENT

Policies

White Paper on National Climate Change Response, 2011.

Acts of Parliament

The National Environmental Management: Air Quality Act, 2004 (Act No. 39 of 2004), which reforms the law regulating air quality in order to protect the environment by providing reasonable measures for the prevention of pollution and ecological degradation and for securing ecologically sustainable development; and provides for national norms and standards regulating air quality monitoring.

South African Weather Service Act, 2001 (Act No. 8 of 2001), which established the South African Weather Service; determined its objects, functions and method of work, the manner in which it is to be managed. It governs and regulates its staff matters and financial affairs.

CHEMICALS AND WASTE MANAGEMENT

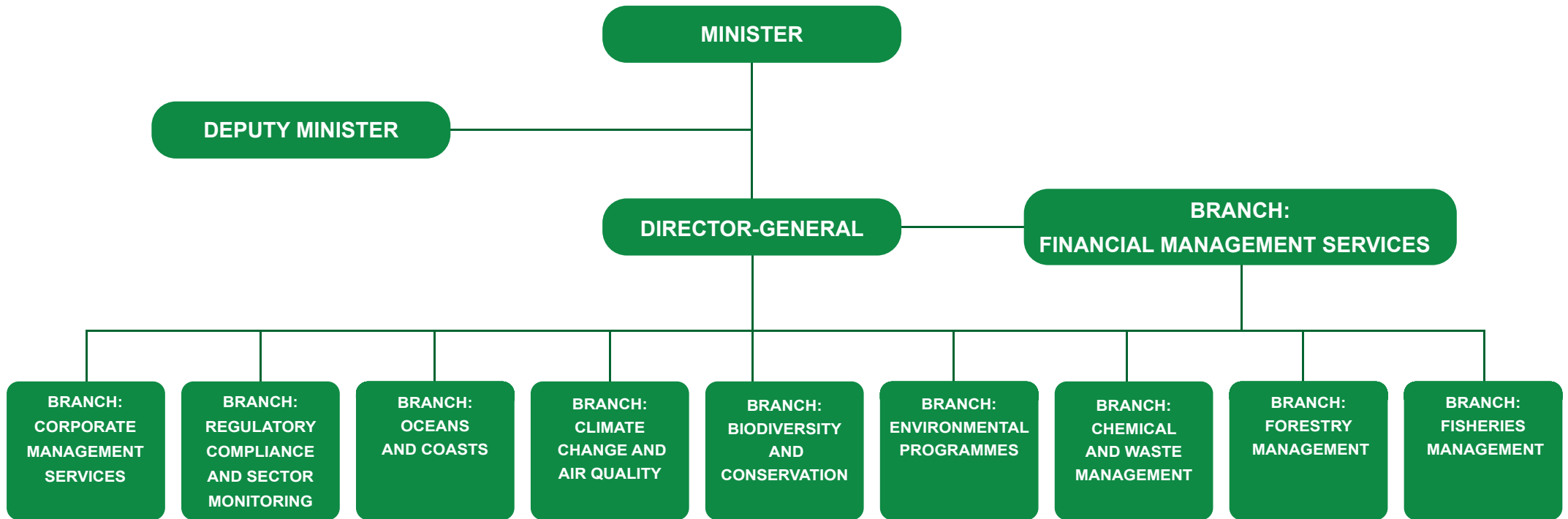
Policies

White Paper on Integrated Pollution and Waste Management, 2000.





Acts of Parliament

The National Environmental Management: Waste Act, 2008 (Act No. 59 of 2008), which reforms the law regulating waste management in order to protect health and the environment by providing reasonable measures for the prevention of pollution; provides for national norms and standards for regulating the management of waste by all spheres of government; and provides for the licensing and control of waste management activities.

9. ORGANISATIONAL STRUCTURE



10. ENTITIES REPORTING TO THE MINISTER

NAME OF ENTITY	LOGOS	LEGISLATIVE MANDATE	FINANCIAL RELATIONSHIP	NATURE OF OPERATIONS
South African National Biodiversity Institute (SANBI)	 <p>SANBI Biodiversity for Life South African National Biodiversity Institute</p>	SANBI was established in terms of the National Environmental Management: Biodiversity Act, 2004 (Act No. 10 of 2004)	Transfer payment	The mandate of SANBI is to play a leading role in South Africa's national commitment to biodiversity management. In partnership with the Department of Environmental Affairs and the biodiversity sector, SANBI is tasked to lead the biodiversity research agenda; provide knowledge and information; give policy support and advice; manage gardens as windows to our biodiversity for leisure, enjoyment, spiritual upliftment and education; and engage in ecosystem restoration and rehabilitation programmes and best-practice models to manage biodiversity better
iSimangaliso Wetland Park Authority	 <p>iSimangaliso Wetland Park</p>	iSimangaliso Wetland Park ("Park") was inscribed as South Africa's first world heritage site in December 1999 as an area of exceptional and outstanding universal heritage significance	Transfer payment	The iSimangaliso Wetland Park Authority in recognition of its exceptional natural beauty and unique global values, is responsible for managing the affairs of the Park. The 332 000 hectare Park contains three major lake systems, eight interlinking ecosystems, 700 year old fishing traditions, most of South Africa's remaining swamp forests, Africa's largest estuarine system, 526 bird species and 25 000 year-old coastal dunes – among the highest in the world. iSimangaliso also contains four wetlands of international importance under the Ramsar Convention
South African Weather Service (SAWS)	 <p>South African Weather Service</p>	SAWS was established in terms of the South African Weather Service Act, 2001 (Act No. 8 of 2001)	Transfer payment	The mandate of SAWS is to provide useful and innovative weather, climate and related products and services for all South African and the African Continent through the following: Enhancing observational data and communications networks; Effectively developing and managing talent within the sector; Enhancing collaborative partnerships and effectively disseminating weather services products to the users; Utilising cutting edge technology to convert data into meaningful products and services for risk mitigation; Advancing the science of meteorology, research and relevant applications; and enhancing fiscal discipline and resource mobilisation to ensure sustainability
South African National Parks (SANParks)	 <p>South African NATIONAL PARKS</p>	SANParks was established in terms of the National Environmental Management: Protected Areas Act, 2003 (Act No. 57 of 2003)	Transfer payment	The mandate of SANParks is to oversee the conservation of South Africa's biodiversity, landscapes and associated heritage assets through a system of national parks. The core areas which provide a fundamental basis of the SANParks' mandate are; conservation management through the national parks system, constituency building and people focused eco-tourism management
Marine Living Resources Fund (MLRF)	None	Marine Living Resources act 18/1998.	Grant funding	Deals with long-term sustainable utilisation of marine living resources and regulate the Fisheries industry.



Customer center
senior free building



PART B

AUDITOR-GENERAL'S
REPORT ON PREDETERMINED
OBJECTIVES



PART B: 1. AUDITOR-GENERAL'S REPORT ON PREDETERMINED OBJECTIVES

Report on the audit of the annual performance report

Introduction and scope

1. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programme presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.
2. My procedures address the usefulness and reliability of the reported performance information, which must be based on the department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.
3. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the department's annual performance report for the year ended 31 March 2021:

PROGRAMMES	PAGES IN ANNUAL PERFORMANCE REPORT
Programme 5: Biodiversity and conservation	93– 98

4. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.
5. The material findings on the usefulness and reliability of the performance information of the selected programmes are as follows:

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

Number of biodiversity economy initiatives implemented

6. The approved changes to the targets listed below in the initial approved annual performance plan were not disclosed in the annual performance report.

INITIAL TARGET	REVISED TARGET
500 hectares of land for indigenous species identified and cultivated	Business cases of 25 prioritised species
400 biodiversity entrepreneurs trained	150 biodiversity entrepreneurs trained
3 BioPanza initiatives implemented: <ul style="list-style-type: none"> • National awareness workshop hosted - Market access model for the model for the bioprospecting / biotrade sector developed • Capacity building plan for industry associations developed • 800 Jobs created 	2 BioPanza initiatives implemented: <ul style="list-style-type: none"> • Market access programme of work developed • BioPanza pipeline platform established
2 500 heads of game donated to PDI's and communities	180 learners trained on game meat processing in 2021

7. I was unable to obtain sufficient appropriate audit evidence for the reported achievements of targets relating to this indicator. This was due to the lack of accurate and complete records. I was unable to confirm the reported achievements by alternative means. Consequently, I was unable to determine whether any adjustments were required to the reported achievements in the annual performance report for the targets listed below:

PLANNED TARGET	REPORTED ACHIEVEMENT
Business cases of 25 prioritised species	Draft business case for 25 species for mass cultivation developed
150 biodiversity entrepreneurs trained	175 biodiversity beneficiaries trained
Interventions for biodiversity economy nodes implemented: <ul style="list-style-type: none"> • Champions for 3 nodes appointed • Feasibility study for 3 nodes conducted • Operational plans for 3 nodes developed 	<ul style="list-style-type: none"> • Champions for 3 nodes appointed (Kruger; Zoar and Augrabies nodes): • Feasibility study for 3 nodes conducted (Kruger; Zoar and Augrabies nodes) • Operational plans for 3 nodes developed (Kruger; Zoar and Augrabies nodes)

Percentage of land under conservation

8. The achievement of 16,08% was reported against a target 14,2% in the annual performance report. However, information provided relating to historically declared land materially differed from the reported achievement, while I was unable to obtain sufficient appropriate audit evidence in instances of newly declared conservation land. This was due to the lack of readily available accurate and complete records to substantiate reported performance. I was unable to further confirm the reported achievement by alternative means. Consequently, I was unable to determine whether any further adjustments were required to the reported achievement.

Other matters

9. I draw attention to the matters below.

Achievement of planned targets

10. Refer to the annual performance report on pages 93 to 98 for information on the achievement of planned targets for the year and management explanations provided for the underachievement of targets. This information should be considered in the context of the qualified opinion expressed on the usefulness and reliability of the reported performance information in paragraphs 6-8 of this report.

Adjustment of material misstatements

11. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were on the reported performance information of programme 5: biodiversity and conservation. As management subsequently corrected only some of the misstatements, I raised material findings on the usefulness and reliability of the reported performance information. Those that were not corrected are reported above.

2. OVERVIEW OF DEPARTMENTAL PERFORMANCE

Service delivery environment

The prevailing economic challenges and the resulting fiscal constraints that the Government continues to experience has an on-going impact in funding the operations of DFFE. These challenges require that the Department be more efficient and find innovative ways to deliver on its approved plans and constitutional mandate. The Department has during the financial year under review had to review its existing personnel structure. These resulted in a significant reduction of the number of posts/personnel allocation as it was necessary to abolish some of the vacant posts on the approved establishment and to reprioritise other posts as new vacancies arise. The objective is to make sure that the Department operates within the allocated personnel funds and to accommodate the reduction in the allocation from the National Treasury. The focus is on prioritising critical human resources capacity required for the execution of the core mandate of the DFFE and ensuring that we operate with the minimum required capacity for support and general administrative functions. The Department is also working on building and strengthening partnerships with different sectors of society and key stakeholders, including international donor organisation. These collaborations and partnerships are aimed at ensuring

that in addition to working together towards common goals, we also ensure that the funding of environment and sustainable development programmes and intervention is not only reliant on public funds but other funding models are explored and optimised.

SERVICE DELIVERY IMPROVEMENT PLAN

As required in terms of Part III.C.1-2 of the Public Service Regulations, the Department has a comprehensive Service Delivery Improvement Programme which is made up of an approved Service Delivery Charter and Service Delivery Improvement Plan (SDIP) supported by a service standard matrix that focuses on improving governance within the department. The Service Charter is placed at the entrance points in the department. The charter seeks to emphasis our commitment to serving the general public with humility, in line with the

government principles of “Batho Pele”, which amongst others include exercising courtesy in our dealings with the public, consultation, openness and transparency, access to information and proving value for public resources. A system or mechanism for lodging any complaints relating to the work of the Department is also outlined in the Charter. Compliance with approved service standards is monitored internally on a quarterly basis and reported to Parliament and the general public in the Department’s Annual Report.

ANNUAL PROGRESS ON PLANNED 2020/21 MAIN SERVICES AND STANDARDS

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT (2020/21)
Legitimate invoices from suppliers paid within prescribed timeframes (30 days)	Members of the public	99.8% (26 119/26 162) of legitimate invoices from suppliers paid with 30 days	100%	99.8% (17 149/18 122) of legitimate invoices from suppliers paid with 30 days. Challenges: Capacity constraints as employees had to come to the office on a rotational basis in order to comply with Covid-19 regulations on social distancing. Corrective measures: Invoices were paid , even though some were paid outside the 30 days period.
Responding to Parliamentary questions and requirements on time	Members of Parliament	98% (112/114) of parliamentary questions and requirements responded to within the timeframes	100%	91% (208/229) of parliamentary questions and requirements responded to within timeframes. Challenges: Delays in finalising complex questions which required inputs from multiple internal and external stakeholders. Corrective measures: All questions have been responded to.

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT (2020/21)
Responding to complaints and incidents on time	Members of the public	96% (196/204) of DFFE environmental complaints and incidents responded to in accordance with the set timeframe	95%	95% (248/261) of DFFE environmental complaints and incidents responded to in accordance with the set timeframe
Issuing of Environmental Authorisations	Developers Environmental Assessment Practitioners Industry Interested and Affected Parties	97% (124/128) of EIA applications finalised within timeframes	100%	99% (112/113) of EIA applications finalised within timeframes Challenges: The decision on one application was delayed as a result of outstanding input from an external stakeholder. Corrective measure: The one application has been finalised but was done outside the set timeframe.
Issuing of Marine Research Permits	Industry/ Government Entities	100% (68/68) of Marine Research Permits issued within timeframe	100%	100% (71/71) of Marine Research Permits issued within timeframe
Issuing of Off-road Vehicle permits (ORV)	Researchers working on protected species and within Marine Protected Areas (MPA's)	100% (29/29) of Off-road Vehicle permits issued within timeframe	100%	100% (24/24) of Off-road Vehicle permits issued within timeframe
Issuing of dumping permits	Applicants	100% (9/9) of dumping permits issued within timeframe	100%	100% (9/9) of dumping permits issued within timeframe
Issuing of Atmospheric Emission Licences	Applicants	100% (9/9) of Atmospheric Emission Licenses with complete applications processed and issued within legislated timeframes	90%	100% (9/9) of Atmospheric Emission Licenses with complete applications processed and issued within legislated timeframes. Annual target exceeded by 10%. The planned target reflects the minimum required performance and any higher performance is the desired standard of performance.
Issuing of CITES decisions	<ul style="list-style-type: none"> • Traders • Exporters or importers of CITES listed species • Scientific Institutions • Government Departments and • Parastatals 	100% (31/31) of received CITES applications assessed and decision issued within prescribed timeframe	100%	100% (25/25) of received CITES applications assessed and decision issued within prescribed timeframe

MAIN SERVICES	BENEFICIARIES	CURRENT/ACTUAL STANDARD OF SERVICE	DESIRED OF STANDARD OF SERVICE	ACTUAL ACHIEVEMENT (2020/21)
Issuing of TOPS decisions	<ul style="list-style-type: none"> • Traders • Exporters or importers of TOPS listed species • Scientific Institutions • Government Departments and Parastatals 	100% (85/85) of received TOPS applications assessed and decision issued within prescribed timeframe	100%	100% (41/41) of received TOPS applications assessed and decision issued within prescribed timeframe
Issuing of Waste Management Licences	Applicants	100% (29/29) of Waste Management Licences were issued within legislated timeframes	90%	100% (23/23) of Waste Management Licences were issued within legislated timeframes Annual target exceeded by 10%. The planned target reflects the minimum required performance and any higher performance is the desired standard of performance.
Issuing of Remediation orders	Applicants	92% (23/25) of Remediation Orders were issued within timeframes	90%	100% (39/39) of Remediation Orders were issued within timeframes

BATHO PELE ARRANGEMENTS WITH BENEFICIARIES (CONSULTATION ACCESS ETC)

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
Regular stakeholder consultation events on work of DFFE	Maintain current arrangements	12 Events facilitated.
Media relations programme		A total of 109 media statements and speeches issued.
Facilitation of environmental campaigns		4 public education and awareness campaigns implemented.

SERVICE DELIVERY INFORMATION TOOL

CURRENT/ACTUAL INFORMATION TOOLS	DESIRED INFORMATION TOOLS	ACTUAL ACHIEVEMENTS
DFFE Website (www.environment.gov.za) DFFE Call Centre (086 111 2468) Information centre (walk-in centre) Use of regional and national media (print media, radio and television) Capacity to respond to media queries	Maintain use of current tools	A total of 109 media statements and speeches issued. A total of 8 opinion pieces were issued. 12 Events facilitated including Ministerial events. 4 Stakeholder publications were produced and published (Environment Quarterly). A total of 91.5% of media queries finalised. A total of 355 media queries were received of which 325 were completed while 30 are incomplete. A total number of visitors to the Information Centre amounted to 22 399.

COMPLAINTS MECHANISM

CURRENT/ACTUAL ARRANGEMENTS	DESIRED ARRANGEMENTS	ACTUAL ACHIEVEMENTS
<p>Regular stakeholder consultation events on work of DFFE</p> <p>Facilitation of regular environmental campaigns</p>	<p>Maintain current mechanisms</p>	<p>12 Events facilitated including Ministerial events.</p> <p>4 Public education and awareness campaigns implemented.</p> <p>100% (9/9) of presidential hotline queries were resolved as per service delivery standards.</p> <p>A total number of visitors to the Information Centre in this period amounted to 22 399.</p> <p>99.2% of matters received and responded to through the Call Centre were resolved within the set service standard of 48 hours.</p> <p>A total of 2 646 requests were received in the Call Centre which consists of 595 calls and 1 784 e-mails, 200 Facebook and 67 twitter queries.</p>

ORGANISATIONAL ENVIRONMENT

The Department is committed to good corporate governance, accountability and implementation of effective and best management practices. These are important elements in ensuring that we carry out our constitutional mandate in line with good corporate values and principles, and we maintain the confidence and trust of South Africans and all our stakeholders. The Department consistently improves the integrity and reliability of internal systems and processes on the basis of lessons learnt from an initiative of the Department of Performance Monitoring and Evaluation in the Presidency, as well as internal and external audits.

KEY POLICY DEVELOPMENTS AND LEGISLATIVE CHANGES

No new key policy developments or legislative changes were made during this reporting period.

3. STRATEGIC OUTCOME ORIENTED GOALS

STRATEGIC GOALS / OUTCOMES	GOALS /OUTCOME STATEMENT
Environmental Economic Contribution Optimised	Facilitate sustainable socio- economic growth and development by catalysing, optimising and scaling up the contribution of the environmental sector to economic prosperity contributing to an environmentally sustainable, low-carbon economy as a result of a well-managed just transition. Managing the transition will require strong institutional and governance mechanisms that create an enabling environment for stakeholders to contribute to the transition.
Environmental/ Ecological Integrity Safeguarded and Enhanced	Provide leadership in promoting and ensuring environmental sustainability through the management, utilisation, conservation, protection and valuing of our natural resources including management of threats to environmental integrity (climate change, waste and chemicals, atmospheric pollution and alien invasive). Ecosystems will be sustained through an increase in the conservation estate, the protection of biomes and endangered species, rehabilitation and restoration of degraded land and ecosystems as well as through sustainable exploitation of natural resources. The desired outcomes include a reduction in impacts of climate change, risk mitigation through appropriate disaster responses and the deployment of innovative technologies that combat the effects of climate change. The NDP also recognizes that the actions related to adaptation will depend on strong policies supported by a sound technical understanding and operational capacity to deal with developmental challenges.
Socially Transformed and Transitioned Communities	Facilitate sustainable socio- economic growth and development by optimising and scaling up the contribution of the environmental sector to economic prosperity. Contributing to an environmentally sustainable, low-carbon economy as a result of a well-managed just transition. Managing the transition will require strong institutional and governance mechanisms that create an enabling environment for stakeholders to contribute to the transition
Global Agenda Influenced and Obligations Met	Enhance regional and international cooperation supportive of South African environmental / sustainable development priorities and influence the global environmental agenda. Ensuring adherence to international governance and regulatory frameworks, instruments and agreements while delivering on national and regional imperatives for South Africa and the global community.
A Capable and Efficient Department	Improve departmental service delivery capacity and capabilities through creation of a harmonious and conducive working environment and provision of delivery platforms such as ICT infrastructure and services; development and implementation of an effective Human Resource strategy to attract, develop and retain a skilled, transformed and diverse workforce that performs in line with the DEA Culture and Values; aligning and transforming our business processes and systems to support strategy execution, and sound corporate governance thereby optimising efficiencies and strategic agility.

PERFORMANCE INFORMATION BY PROGRAMME

ANNEXURE A: PROGRESS ON ORIGINAL ANNUAL PERFORMANCE PLAN TABLED IN PARLIAMENT IN MARCH 2020 (IMPLEMENTED FROM APRIL – JUNE 2020)

NOTE: The table below provides progress against the annual targets which were on the original 2020/21 Annual Performance Plan (APP) which was tabled in Parliament in March 2020 and the targets were revised in July 2020 (Progress between April 2020 – 30 June 2020). This table exclude all annual targets which were not affected by the July 2020 APP review and the progress for this targets is provided in table 1 below

PROGRAMME 1: ADMINISTRATION

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
An adequately skilled and transformed workforce which is representative of South Africa' race and gender demographics	Filled positions	Percentage vacancy rate	10.4% (188/1803*100) Vacancy Rate	12.3% (222*100/1812)	8%	Vacancy rate as at 1 July is 12,9% (540/4 188)	-4,9%	Restrictions due to level 4 and 5 of the lockdown.	Amended target will be in line with the average target (10%) for the overall Public Service Vacancy rate. The first two months (April & May 2020) after the President announced the National lockdown, imposed restriction and many vacant positions could be advertised. The Department could only advertise a handful of prioritised and critical posts (executives) during alert 4 of the lockdown)

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
An adequately skilled and transformed workforce which is representative of South Africa' race and gender demographics	Number of women appointed on Senior Management positions	Percentage of women employees appointed on Senior Management positions	44% (69/155)	43% (68/158*100)	N/A	44% (81/185) Women in SMS	-	The annual target was added to the APP in July 2020	This performance measure and target is in line with Government's employment equity and transformation target. The performance measure is aimed at contributing to the empowerment of women and is also aligned with the Department contribution towards implementation of the Gender Based Violence and Femicide National Strategy approved by the President.
Improved levels of environmental management education and awareness within communities which drives positive behavioural change	Events hosted	Number of events including Ministerial Public Participation Programme (PPP) hosted	18 events hosted	14 events hosted	14 events hosted	A total of 6 events were hosted	-8	Performance progress provided up to 30 June 2020 before revision of the target.	Due to COVID-19 with strict measures in place, no travelling and hosting of any events are allowed. These measures are put in place to ensure the safety and health of all employees and citizens to avoid being infected with the corona virus.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved levels of environmental management education and awareness within communities which drives positive behavioural change	Education and Awareness programme reports	Number of school in Which environmental education and awareness programmes are conducted	N/A	N/A	6 000 schools	No school in which environmental education and awareness programmes are conducted	-6 000	Performance progress provided up to 30 June 2020 before revision of the target.	This indicator with annual and quarterly targets should completely be removed due to schools not allowing any interaction and engagements with national, provincial, municipal and private organisations this financial year. Due to the severity of the COVID-19 virus stringent measures are in place to ensure the safety and health of all the learners, teachers and employees.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved levels of environmental management education and awareness within communities which drives positive behavioural change	Signed stakeholder Engagement reports	Number of stakeholder management engagements convened	A total of 5 Environmental Stakeholder Engagements convened	A total of 4 National Environmental Stakeholder Engagement Forums convened	8	8 Stakeholder engagements conducted: <ul style="list-style-type: none"> • International Union for Conservation of Nature (x2) • Hunting lobby (x 2) • Commercial fishing • Climate change dialogue(x2 adult and youth) • Forestry Management 	-	-	Due to COVID-19 strict measures were put in place to ensure no travelling and hosting of any stakeholder engagements dialogues to be hosted. These measures are put in place to ensure the safety and health of all employees and citizens to prevent any person to be infected with the corona virus.
Improved Human Resources Capacity Of The Sector	Teachers trained through Fundisa for change programme	Number of beneficiaries provided with skills development and training on environmental management	No young people recruited on the Environment Learnership programme in 2018/19 100 university students recruited on a Work Integrated Learning (WIL) programme	100 students placed in Work Integrated Learning Programme	Online Green Economy module deployed and piloted for 120 Economic Management (EMS) teachers and subjects advisors for Grade 7-9	North West University, Rhodes University and Wits University were consulted. The following Entities and NGOs (SANBI, WESSA, WWF, GreenMatter, Delta Environmental Centre) were consulted as members of Environmental Skills Planning (ESP) Forum and endorsed implementation of the Online Green Module. Provinces consulted and have supported implementation of Online Green Economy Module.	-100	Performance progress provided up to 30 June 2020 before revision of the target.	Budget cuts and challenges with COVID-19 impacts.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved human resources capacity of the sector	Trained Officials in environmental compliance and enforcement	Number of officials trained in environmental compliance and enforcement (includes Forestry and Fisheries; and Monitors)	1 966	720	720	No training	-720	Performance progress provided up to 30 June 2020 before revision of the target.	It is proposed that the annual target for 2020/21 be significantly reduced by 76% (550) due to the fact that the COVID 19 restrictions on large gatherings, interprovincial travel and social distancing has a direct impact on the capacity of the Department to deliver training sessions. In order to minimize the risk of the spread of Covid-19, training sessions will take place with smaller groups in compliance with the Covid-19 Lockdown In addition, the original estimated target included a significant percentage from external role-players, such as the South African Revenue Service (SARS) Customs and the South African Defence Force (SANDF), who are now unable to commit attendees to the training due to the organisational policies relating to the virus Other large events, such as the EMI Local Authority Indaba and Grade 5 EMI Field Ranger Summit, which has previously provided opportunities for short, 1 day training sessions, have been suspended by the Director-General for this FY..

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved compliance with environmental legislation and environmental threats mitigated	Inspected Environmental authorizations	Number of environmental authorisations inspected for compliance	173	160	165	Sixteen (16) Authorisations inspected.	-149	Performance progress provided up to 30 June 2020 before revision of the target.	Inspections require physical contact with the regulated community, as such will only be done in a phased approach after lockdown. During level three, it is still not possible to make up for the lost time in the first quarter.
	Environmental Performance Assessments	Number of Environmental Performance Assessments conducted	24	25	25	There were no Environmental Performance Assessments conducted during this quarter.	-25	Site visits could not be conducted due to the travelling restrictions as a result of the lockdown regulations. Also there were no facilities readily available for conducting EPA's, again as a result of the lockdown.	Most of the facilities targeted for these inspections are found in the COVID hotspots of eThekweni, and Cape Town. It is a risk to send teams to these areas considering the spread of the virus. Once the safety of travel can be ascertained, especially flights, and accommodation these will be re-looked at. The team will focus on facilities closer to the office where same day travel can be undertaken

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved compliance with environmental legislation and environmental threats mitigated	Inspections conducted for verification of the rhino horns and elephant tusks stockpiles	Number of inspections conducted for verification of the rhino horns and elephant tusks stockpiles	N/A	N/A	14	Three (3) Rhino horns verification conducted	-11	The performance reported was only for the first quarter hence the annual target was not achieved	Inspections require physical contact with the regulated community, as such will only be done in a phased approach after lockdown. During level three, it is still not possible to make up for the lost time in the first quarter.
	Finalised criminal cases	Number of criminal cases finalised and dockets handed over to the NPA for prosecution	41	46	46	One (1)	-45	The branch handed over 1 case to the NPA due to covid 19 lockdown	The reduction of the number by ten is due to limited interaction which investigators could have with potential witnesses, given the restrictions imposed by level 5 and 4 lockdown which occurred during Q1. The only activities that were done during this time related to onsite work such as delineating crime scenes where limited to no interaction occurred between investigators, suspects and/or witnesses. It is also expected that the current restrictions created by covid-19 will remain in place for the rest of the financial year.

PROGRAMME 3: OCEANS AND COASTS

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Growing ocean economy in the context of sustainable development	Jobs created	Number of jobs created through implementation of Operation Phakisa Ocean Economy programme	N/A	N/A	1 550	There was no milestone for the Quarter	-1 550	Performance progress provided up to 30 June 2020 before revision of the target.	Aquaculture Industry indicated that the impact of COVID-19 on current farms and markets, both local and international markets, but more especially the international markets, had been severe, hence an indication that no new jobs would be created. The focus in 2020/21 will be on sustaining the current 4 875 jobs in order to avoid job losses until the economic environment has improved to enable creation of new job opportunities.
Threats to environmental integrity managed and ecosystem conserved	Water Quality Trends Report	National Oceans and Coasts Water Quality Monitoring programme developed and implemented	National Oceans and Coasts Water Quality Monitoring Programme implemented in 20 priority areas in 4 Coastal provinces	Water quality monitoring programme implemented in 20 priority areas in 4 coastal Provinces	Water Quality Trends Report compiled	Draft water quality trend/ status reports produced for the Eastern Cape Region but not presented to Eastern Cape PCC, as it has not set date for this quarter for presentation to be made	-	Performance progress provided up to 30 June 2020 before revision of the target.	Due to travel restrictions associated with the Covid 19 lockdown, the National Pollution Laboratory can only sample in the EC (no travel to NC and KZN) and Coastal Monitors will sample in Overstrand (WC). Trend Reports will be based on the previous/ historical results without incorporating the latest data for NC and KZN.

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Threats to environmental integrity managed and ecosystem conserved	2nd MSP sub regional plan	Marine spatial planning and governance system developed and implemented	Marine Spatial Planning Bill submitted to National Council of Provinces and National Assembly and approved	First sub-regional MSP plan submitted to Director-General Committee for approval	MSP Sector Plans developed	<p>The draft National Data and Information Report was presented for approval by the Director-Generals at the ESEID Cluster Meeting on 12th March 2020.</p> <p>National Data and Information Report sent to MSP National WG members on the 20th of June and is now out for final comments and verification until the 8th of July 2020.</p>	-	Performance progress provided up to 30 June 2020 before revision of the target.	<p>The drafting and completion of MSP Sector Plans requires intensive multi sector consultations and multiple workshops with industries, provincial departments and municipalities. Due to the current restrictions, planned workshops have been postponed resulting in a delay in the drafting of the sector plans for all sectors in the South African ocean space.</p> <p>The drafting of the MSP Sector plans will be limited to Marine Spatial Planning National Working Group members and will be subjected to 6 public participation and workshops once public gathering restrictions have been lifted.</p>

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Threats to environmental integrity managed and ecosystem conserved	National estuaries management plans	Estuarine management strategy developed and implemented	Amendment of the National Estuarine Management Protocol drafted	<ul style="list-style-type: none"> Amended National Estuarine Management Protocol gazetted for public ncomment Richards Bay estuarine management plan submitted to Minister 	4 national estuarine management plans implemented: <ul style="list-style-type: none"> Buffalo Estuary Durban Bay Richards Bay Orange River Estuary 	2 estuaries project plans developed (Durban Bay and Buffalo EMPs) Annual estuaries project plans for Richards Bay and Orange River Estuary EMPs have not been developed. Approval of Richards bay delayed (internal delays).	-2	Performance progress provided up to 30 June 2020 before revision of the target.	The gazetting of the Richards Bay EMP was delayed. This has set back the targets and impacted the annual target. It has been revised to be more realistic. Orange River Mouth has been delayed with the postponement of the stakeholder engagement due to Covid19 lockdown regulations. ORM is in a remote region and a virtual meeting is likely not workable due to the inaccessibility to key stakeholders.
	Antarctic Strategy	Antarctic Strategy developed and implemented	Antarctic Strategy submitted to Cabinet for gazetting	Antarctic strategy submitted to Cabinet for gazetting for implementation	Antarctic Strategy submitted to Cabinet for final gazetting	Comments only received from Department of Public Works and Infrastructure (DPWI) and Department of International Relations and Coordination (DIRCO).	-	Performance progress provided up to 30 June 2020 before revision of the target.	Due to the COVID-19 Pandemic, the processing of the comments and finalised document was delayed. It will be presented to the ICTS cluster in quarter 3 and Cabinet in Quarter 4.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
A just transition to a low carbon economy and climate resilient society	Sector Jobs Resilience Plans	Number of sector jobs resilience plans developed and implemented	N/A	N/A	Sector Jobs Resilience Plans Developed for 5 value chains: <ul style="list-style-type: none"> • Coal • Agriculture • Tourism • Petrol based 	Inputs received from stakeholders used for Trade & Industry Policy Strategies (TIPs) to conclude the technical reports on Sector Jobs Resilience Plans (SJRP)	-5	Performance progress provided up to 30 June 2020 before revision of the target.	The changes made are to align with the language used in the MTSF Annual Target for 2020/2021.
	Low carbon growth strategy	Number of climate change strategies and plans developed and approved	N/A	N/A	Low carbon growth strategy submitted to Cabinet for approval	There was no milestone for the Quarter 1	-	Performance progress provided up to 30 June 2020 before revision of the target.	The annual target is not revised but a correct nomenclature of the Strategy to be submitted to Cabinet is provided
	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	Draft National Climate Change Adaptation Strategy	Draft National Climate Change Adaptation Strategy	Climate Adaptation Sector plans implemented: Agriculture (CSA Training conducted in 3 Province)	Draft CSA training manual in place and not yet finalized due to the interruption of training caused by COVID-19.	-	Performance progress provided up to 30 June 2020 before revision of the target.	The CSA training have a strong practical component. The trainings cannot be done virtually. Before the Lockdown there were already serious safety concerns raised by participants regarding social distancing and the number of people attending the training.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
A just transition to a low carbon economy and climate resilient society	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	Draft National Climate Change Adaptation Strategy	Draft National Climate Change Adaptation Strategy	Coastal: Training at 8 District Municipalities on coastal risk and vulnerability tool conducted	The Coastal Training tools have been developed and finalized.	-	Performance progress provided up to 30 June 2020 before revision of the target.	With the impacts of COVID-19 it will not be possible to cover 8 district municipalities for training due to travel and number restrictions. With the budget constrains we can have only one workshop that will cover 4 district municipalities in the fourth quarter.
					Water and Sanitation: 4 quarterly progress reports compiled	Water and sanitation: Implementation progress report Compiled.	-	Performance progress provided up to 30 June 2020 before revision of the target.	The target on Water and Sanitation: 4 quarterly progress reports compiled replaced with target on Review of the Biodiversity Sector Climate Change Response Plan/ Strategy With the impact of Covid 19 and direct commitment from DWS, we suggested change to the support of Biodiversity which is already confirmed, and we can ensure support for the delivery of all the quarterly targets.
Threats on environmental quality and human health mitigated	Established IMC and WG committee	Air Quality Management Plans (AQMPs) for priority areas developed and implemented	Annual plans of 3 Priority Area AQMPs implemented	N/A	Inter-Ministerial Committee (IMC) established	There was no milestone for quarter 1	-	None. There was no milestone for the first quarter of 2020/21.	A Working Group (composed of relevant DGs and Business Executives) is more suitable to achieving the desired goals of AQMP implementation

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Threats on environmental quality and human health mitigated	Highveld Priority Area (HPA) AQMP		N/A	N/A	2nd generation Highveld Priority Area (HPA) AQMP developed	The approval to advertise tender was delayed. The TOR are ready to be advertised	-	Performance progress provided up to 30 June 2020 before revision of the target.	Due to financial constraints and delays in the initiation of the procurement process due to COVID-19, the review of the 2nd generation AQMP will only be initiated and the draft baseline assessment report compiled.
International cooperation supportive of sa environmental /sustainable development priorities enhanced	Approved positions	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	2 Biodiversity positions: Ramsar COP14 ; IPBES 9	2 Biodiversity positions: Ramsar COP14 ; IPBES 9	4 Biodiversity positions: • CBD • COP15 • CPB CoPMOP10 • Nagoya COP MOP4 IPBES8	There was no milestone for the Quarter	-4	None. There was no milestone for the first quarter of 2020/21.	The United Nations is rescheduling, postponing and cancelling international meetings due to COVID-19. The CBD COP 15 and CPB MOP 10 and NP MOP 4 were supposed to be in October 2020 and have been postponed to dates yet to be determined.
An adequately capacitated local sphere of government which is able to effectively execute its environmental management function	Local Government Support interventions implemented	Number of local government support interventions implemented in line with the District Delivery Model	N/A	205	250 Municipal Councillors and/or officials trained	Training Needs analysis report completed	-250	Performance progress provided up to 30 June 2020 before revision of the target.	Since there are travel restrictions and social distancing it will be very difficult to access Municipal officials and have contact training with many officials in the room. Where appropriate virtual discussions will be held with Municipal officials who have connectivity.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
An adequately capacitated local sphere of government which is able to effectively execute its environmental management function	Highveld Priority Area (HPA) AQMP		N/A	N/A	2nd generation Highveld Priority Area (HPA) AQMP developed	The approval to advertise tender was delayed. The TOR are ready to be advertised	-	Performance progress provided up to 30 June 2020 before revision of the target.	Due to financial constraints and delays in the initiation of the procurement process due to COVID-19, the review of the 2nd generation AQMP will only be initiated and the draft baseline assessment report compiled.
International cooperation supportive of sa environmental /sustainable development priorities enhanced	Approved positions	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	2 Biodiversity positions: Ramsar COP14 ; IPBES 9	2 Biodiversity positions: Ramsar COP14 ; IPBES 9	4 Biodiversity positions: • CBD • COP15 • CPB CoPMOP10 • Nagoya COP MOP4 IPBES8	There was no milestone for the Quarter	-4	None. There was no milestone for the first quarter of 2020/21.	The United Nations is rescheduling, postponing and cancelling international meetings due to COVID-19. The CBD COP 15 and CPB MOP 10 and NP MOP 4 were supposed to be in October 2020 and have been postponed to dates yet to be determined.
An adequately capacitated local sphere of government which is able to effectively execute its environmental management function	Local Government Support interventions implemented	Number of local government support interventions implemented in line with the District Delivery Model	N/A	205	250 Municipal Councillors and/ or officials trained	Training Needs analysis report completed	-250	Performance progress provided up to 30 June 2020 before revision of the target.	Since there are travel restrictions and social distancing it will be very difficult to access Municipal officials and have contact training with many officials in the room. Where appropriate virtual discussions will be held with Municipal officials who have connectivity.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Ecosystems conserved, managed and sustainably used	National Joint Wetlands Management Framework	Number of interventions to ensure conservation of strategic water sources and wetlands developed and implemented	N/A	N/A	3 Interventions implemented: National Joint Wetlands Management Framework developed	Approval for price variation secured for appointment, which precedes the conclusion of the SLA.	.3	Performance progress provided up to 30 June 2020 before revision of the target.	Delay in the procurement processes due to COVID 19
	Designated Ramsar sites		N/A	N/A	2 wetlands of international significance (Ramsar sites) designated	Ramsar sites for designation identified • The Ingula Nature Reserve; and • The Berg River Estuarine System	-2	Performance progress provided up to 30 June 2020 before revision of the target.	The first targeted site for designation is one site in Cape Town and it is not currently feasible to undertake stakeholder consultation due to COVID 19 challenges in the province. The second site is based in the Free State and will be prioritized for designation
Biodiversity threats mitigated	Revised National Biodiversity Framework (NBF)	Number regulatory tools to ensure conservation And sustainable use of biodiversity developed and implemented	Revised National Biodiversity Framework submitted to Cabinet for approval	Revised National Biodiversity Framework (NBF) submitted for approval by Cabinet Cluster for implementation	Revised National Biodiversity Framework (NBF) finalised for implementation	Revised National Biodiversity Framework (NBF) not published for public comments	-	Change in approach led pursuance of cabinet approval prior to publishing for public comments.	To mitigate this, the tabling of the NBF will be done in Q2 & Q3. Due to the National COVID-19 Lockdown restrictions, the annual and quarterly targets need to be amended. The Lockdown restrictions in Q1 will have a knock-on effect. This is also due to the NBF needing Cabinet approval, which hasn't happened in Q1.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Biodiversity threats mitigated	Published BMPs	Number regulatory tools to ensure conservation And sustainable use of biodiversity developed and implemented	3 draft Biodiversity Management Plans (BMPs) developed: • Aloe ferox • Honeybush • Bontebok	3 Biodiversity Management Plans (BMPs) finalised Bontebok Aloe ferox Honeybush	2 Biodiversity Management Plans (BMPs) published for public comments: • Aloe ferox • Honeybush	The BMP for Aloe is being revised based on comments received from community consultation	-2	Performance progress provided up to 30 June 2020 before revision of the target.	The restrictions brought about by the National Lock down since March 2020 to manage the COVID-19 pandemic has made it impossible to commence with the work in the first quarter of 2020/21. The annual target has been adjusted to accommodate lost time.
Improved access, fair and equitable sharing of benefits	Cultivated hectares of land for indigenous species	Number of biodiversity economy initiatives implemented	3 biodiversity economy initiatives implemented: 515 hectares of Rooibos Species Cultivation implemented in Wupperthal, Western Cape	3 biodiversity economy initiatives implemented: 500 hectares of land for indigenous species identified and cultivated	6 Biodiversity economy initiatives Implemented: 500 hectares of land for indigenous species cultivated	Annual plan for mass cultivation of indigenous species developed.	-6	Performance progress provided up to 30 June 2020 before revision of the target.	The annual target has been adjusted to accommodate the time lost and to reprioritize what can done within the coming three quarters of 2020/21. The impact of COVID 19 will lead to no new cultivation of indigenous species due to economic status of the country.
	Trained Biodiversity entrepreneurs		A total of 200 entrepreneurs were trained for the 2018/2019 financial year	400 Biodiversity entrepreneurs trained	400 Biodiversity entrepreneurs trained	The following stakeholders were engaged in April 2020 to apply for the training opportunity: Conservation Management Authorities, Provinces and women, youth, communities, PDI on the database of wildlife	-400	Performance progress provided up to 30 June 2020 before revision of the target.	The restrictions brought about by the National Lock down since March 2020 to manage the COVID-19 pandemic has made it impossible to commence with the work in the first quarter of 2020/21. The number of beneficiaries to trained revised to accommodate social distancing requirements in line with Government's COVID-19 regulations

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved access, fair and equitable sharing of benefits	Jobs created	Number of biodiversity economy initiatives implemented	N/A	800 Jobs Created	There was no milestone for the Quarter	-800	None. There was no milestone for the first quarter of 2020/21		This target reflect planned employment opportunities which are already accounted for in the Environment Sector' Expanded Public Works Programme implemented and reported in Programme 6: Environmental Programmes. It is recommended that the target be removed from the APP in other to avoid duplicate reporting on the same outputs.
	Leaners trained in game meat processing		N/A	N/A	N/A	N/A	-	Performance progress provided up to 30 June 2020 before revision of the target.	It is recommended the annual target be added in the 2020/21 Annual Performance Plan. This area of work is part of the key interventions in the implementation of the biodiversity economy programme.
	Donated Heads of Game		National game donation for transformation in the wildlife sector approved	2 500 head of game for PDI's and communities secured	2 500 heads of game donated to PDI's and communities	Plan for game donation was developed.		Performance progress provided up to 30 June 2020 before revision of the target.	The game capture season is also coming to an end soon and this can therefore not be undertaken outside of the capture season

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved access, fair and equitable sharing of benefits	Approved Benefit Sharing Agreements	Number of benefit Sharing agreements concluded and approved	5 benefit sharing agreements approved	5 benefit sharing agreements approved	5 Benefit Sharing Agreements approved	4 Benefit Sharing agreements received and reviewed. 4 Ministerial submissions with benefit sharing agreements uploaded	-5	Performance progress provided up to 30 June 2020 before revision of the target.	It is recommended that the annual target be reduced downward from 5 to 3. This is a realistic projection in view of the number of agreements which department is anticipating to receive. The review of the annual target is also informed by the facts that much of the work in reviewing the agreements will be done under a restricted environment as a result of government regulations put in place to manage and mitigate the spread of Covid-19.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised	Full Time Equivalents (FTEs) created	Number of Full-Time Equivalents (FTE) created	26 929	30 499	30 665	2 009 FTEs (34%)	-28 656	Performance progress provided up to 30 June 2020 before revision of the target.	Annual target revised due to delays in the commencement of projects and budget cuts.
	Work Opportunities created	Number of Work Opportunities created	67 364	61 923	61 378	11 617 (99.5) Work opportunities	-49 761		The reduction of the number of work opportunities is necessitated by the delays on the start dates of planned projects. Project planning and commencement of projects has been negatively affected by the extensive restrictions brought about by government regulations to manage and mitigate the spread of the Covid-19 pandemic since March 2020. There are also some projects which had to be postponed to the next financial year due to budget cuts.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised	Competent Participants	Number of Participants on accredited-training programmes declared competent	N/A	14 036	22 231	There was no milestone for the Quarter	-22 231	None. There was no milestone for the first quarter of 2020/21	Accredited training programmes could not commence due to Covid- 19 restrictions. Furthermore, the budget was also reprioritised
	Hectares of invasive plant species cleared	Number of hectares receiving initial clearing of invasive plant species	63 632	147 612	154 275	1 883,12 (8%)	-15 2391,88	Performance progress provided up to 30 June 2020 before revision of the target.	The recommended reduction of the annual targets is necessitated by the delays on the start dates of planned projects. Project planning and commencement of projects has been negatively affected by the extensive restrictions brought about by government regulations to manage and mitigate the spread of the Covid-19 pandemic since March 2020.
		Number of hectares receiving follow-up clearing of invasive plant species	273 315	501 397	511 425	2080,24 (7%)	509 344,76		
		Number of discrete sites where biological control agents are released	N/A		561	0 (0%)	-561	Performance progress provided up to 30 June 2020 before revision of the target.	

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Infrastructure, adaptation and disaster risk reduction	Overnight visitor & staff Accommodation units and administrative buildings constructed/ renovated	Number of overnight visitor & staff accommodation units and administrative buildings constructed/ renovated	34	39	25	There was no milestone for the Quarter	-25	None. There was no milestone for the first quarter of 2020/21	This target increases, as there were structures that were completed in the last quarter of the previous financial year, which will be completed in this financial year
	Community parks created or rehabilitated	Number of community parks created or rehabilitated	N/A	N/A	8	There was no milestone for the Quarter	-8	None. There was no milestone for the first quarter of 2020/21	Annual target revised because of the reprioritisation of the budget.
Materials beneficiation through value added industries	Wooden products made from invasive biomass	Number of wooden products made from invasive biomass	27 729	N/A	40 000	0	-40 000	Performance progress provided up to 30 June 2020 before revision of the target.	The focus on this area has been scaled down and reprioritised. In 2020/21 work will be limited to undertaking deliverables which have already been contracted on and not entering into any new contracts.
Healthy, clean and safe coastal environment	Kilometres of accessible coastline cleaned	Number of kilometers of accessible coastline cleaned	2 116	2 116	2 116	1 732 (82%)	-384	Performance progress provided up to 30 June 2020 before revision of the target.	Project planning, implementation and monitoring. Target could only be reached if service providers can be appointed for all the affected projects. Furthermore, a rationalization of the programme, to incorporate "Clean and Green" work in adjacent communities, is now envisaged.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Threats on environmental quality and human health mitigated	Chemicals management legislative and regulatory instruments developed: Mercury Management plan and 8 products phased out	Number of chemicals management legislative and regulatory instruments developed and implemented	Draft Mercury Management National Action Plan for the Minamata Convention developed	Draft Mercury Management National Action Plan for the Minamata Convention Developed	Mercury Management National Implementation Plan for the Minamata Convention adopted	The Mercury NIP report has been submitted to MCCM members to solicit inputs and comments. So far comment received from the Cosmetics Industry and are incorporated into the report.	-	Performance progress provided up to 30 June 2020 before revision of the target.	Consultations will take longer because of the COVID19 and the adoption will take place in the next financial year.
	Percentage of waste diverted from the landfill sites	Percentage waste diverted from the landfill sites	N/A		10%	There was no milestone for the Quarter	-10%	None. There was no milestone for the first quarter of 2020/21	Some recycling facilities were not operating during level 5 and 4. Alert level 5 led to no waste diversion, low oil prices leading to low demand for plastic waste, and increased use of single use products
	Percentage reduction in waste generated during manufacturing and industrial process	Percentage reduction in waste generated during manufacturing and industrial process	N/A		7% reduction in waste generated during manufacturing	No progress	-7%	Performance progress provided up to 30 June 2020 before revision of the target.	Reduction of waste from manufacturing processes is a subcomponent of the overall waste diversion indicator (above). It is recommended that the target be removed from the Department's APP. Management will repriorities available resources on the other planned waste management interventions and waste diversion areas which have a higher potential for immediate gains.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Socio economic conditions improved (through circular economy and waste recycling)	Waste Economy Master Plan and its Implemented	Waste Economy Master Plan developed and implemented	N/A	N/A	Waste Economy Master Plan developed	Plan has not been approved, but under review by the Minister. The priority and first focus area is the Chemicals and Waste Phakisa alignment report and 3 feet plan considering other waste streams such as oil and batteries under formalised voluntary scheme	-	Performance progress provided up to 30 June 2020 before revision of the target.	Country was on Covid19 Lockdown and ability to move and convene stakeholders was restricted. The priority and first focus area is the Chemicals and Waste Phakisa alignment report and 3 feet plan.
	Jobs created	Number of jobs created in the waste management sector	952 Jobs	416 Jobs created	500 (CWE Phakisa)	No progress/ ongoing verification as reports are expected two weeks after the close of the quarter.	-	Performance progress provided up to 30 June 2020 before revision of the target.	Business and industry had halted all waste collection, recycling and beneficiation activities during COVID-19 lockdown which then has negatively affected job creation interventions linked to CWE Phakisa. This target will therefore be moved to the next financial year

PROGRAMME 8: FORESTRY MANAGEMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Sustainable production of state forests	Plantations	Number of hectares of temporary unplanted areas (TUPs), planted	N/A	N/A	1279,5 ha	There was no milestone for the Quarter	-1 279,5	Performance progress provided up to 30 June 2020 before revision of the target.	To be removed due to its high dependencies in terms of supply chain processes, rainfall patterns and limited time for implementation in this Financial Year.
	Hectares of land under silviculture	Number of hectares under silviculture (i.e. weeding, pruning, coppice reduction, thinning)	N/A	N/A	2169,5 ha	Zero performance	-2 169,5	Performance progress provided up to 30 June 2020 before revision of the target.	The recommended annual target is reduced due to the reprioritisation of the budget.
A transformed forestry sector	Jobs	Number of Jobs Created in the Forestry Sector	N/A	N/A	7550	Zero performance	-7 550	Performance progress provided up to 30 June 2020 before revision of the target.	It is recommended that the annual target be reduced due to the reprioritisation of the budget.
Indigenous forests sustainably managed and regulated	Mapped state indigenous forest management units	Number of State indigenous forest management units mapped	N/A	N/A	5 indigenous forest management units mapped	Zero performance	-5	Performance progress provided up to 30 June 2020 before revision of the target.	Due to budget cuts and travelling restrictions, the mapping will not be possible.
	Rehabilitated hectares of State Forests	Number of hectares in State forests rehabilitated (clearing of alien invasives)	N/A	N/A	300 ha rehabilitated	There was no milestone for the Quarter	-300	None. There was no milestone for the first quarter of 2020/21	This target is delayed due to Covid-19 restrictions.

PROGRAMME 8: FORESTRY MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Indigenous forests sustainably managed and regulated	Trained officials	Number of training Interventions on the provisions of the National Forests Act, 1998 (NFA)	N/A	N/A	10 training interventions on the provisions of the Act	Training not conducted in the first quarter	-10	Performance progress provided up to 30 June 2020 before revision of the target.	Due to lockdown restrictions in terms of travelling and budget cuts.
	Trained officials	Number of training interventions on the provisions of the National Veld and Forest Fires, 1998 (NVFFA)	N/A	N/A	10 training interventions on the provisions of the Act	Training not conducted in the first quarter	-10	Performance progress provided up to 30 June 2020 before revision of the target.	Due to lockdown restrictions in terms of travelling and budget cuts.
Threats on environmental quality and human health mitigated	Developed Strategy	National strategy for reducing emissions from deforestation and forest degradation (REDD+) developed	N/A	N/A	1 pilot study on National strategy for reducing emissions from deforestation and forest degradation (REDD+) conducted in the Eastern Cape Province	Report on Assessment of REDD+ activities in three selected pilot project sites developed.	-1	Performance progress provided up to 30 June 2020 before revision of the target.	Changes due to budget cuts as a Service Provider would need to be appointed to be able to deliver on this target.

NB: There were no revisions on the planned annual targets of Programme 9 in the revise Annual Performance Plan which was approved in July 2020.

ANNEXURE B: PROGRESS ON REVISED ANNUAL PERFORMANCE PLAN TABLED IN PARLIAMENT IN JULY 2020 (IMPLEMENTED FROM JULY –SEPTEMBER 2020)

NOTE: The table below provides progress against the annual targets which were on the revised 2020/21 Annual Performance Plan (APP) which was re-tabled in Parliament in July 2020 and implemented between July 2020 – 30 September 2020).

PROGRAMME 1 : ADMINISTRATION

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved contribution of the department to socio-economic transformation and empowerment of previously disadvantaged community (Black, women owned and township/ rural based enterprise)	BBBEE	Percentage of the Department procurement budget expenditure on BBBEE and black owned enterprises	70% (1 571 235 / 2 252 133)	75% (1 627 214 280/2 167 145 244)	90% BBBEE , of which 65% must be over 50% black owned	78% (449 315 362/ 579 435 663) (overall expenditure from BBBEE complaint companies) Women: 26% (144 754 451/ 579 435 663) Youth: 4% (24 313 339/ 579 435 663) Disability: 1% (4 138 356/ 579 435 663)	-12%	Suppliers not submitting original or certified copies of BEE Certificates. CSD do not verify BEE status.	The annual target is revised to ensure clear interpretation and enable accurate reporting. The first target measures the targeted expenditure (90%) on companies which are BBBEE are BBBEE (level 1 to 8) as a percentage of the Department's total procurement expenditure for the financial year. The second target measures the Department's expenditure on companies that are at least 51% ownership is black people as a percentage of the total BBBEE procurement expenditure. The targeted 65% of companies which have a 51% ownership of black people will during reporting be broken down to indicate ownership representation of women, youth, people with disabilities and military veterans.
						65 % of BBBEE Expenditure on companies that are at least 51% owned by black people (women, youth, PWD and MV) 3% (19 721 932/579 435 663)			

PROGRAMME 1 : ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Improved contribution of the department to socio-economic transformation and empowerment of previously disadvantaged community (Black, women owned and township/ rural based enterprise)	Expenditure on SMMEs (rural and township)	Percentage of the Department budget expenditure on rural and township enterprises	N/A	N/A	Baseline for township and rural enterprise for procurement of goods and services (less than R800K) established 30% of procurement on Rural and Township enterprises by 31 March 2021	3% (19 721 932/579 435 663)	-27%	The research conducted on the National Treasury Central Service Provider Database indicated that the company's information required to measure the target is not updated accordingly and regularly by the Service Providers	It is recommended that the annual target be revised to reflect a more realistic commitment in the view of the current low baseline. There are also other challenges which include the fact that when registering on National Treasury central procurement database, not all service providers are providing information as to whether they are based in rural or township areas. The absence of this declaration results in restrictions during reporting and impacts on performance.
An adequately skilled and transformed workforce which is representative of south africa' race and gender demographicsw	Compliant employment equity	Percentage compliance to the Employment Equity targets	44% (69/155)	43%(68/158*100)	58% Women employees	50% (1 835/3 637) women employees	-8%	The target could not be met due to high turnover rate (27 terminations) for Q2. There is also limited opportunity to advertise posts as DPSA currently only issues special vacancy circulars on a fortnight basis.	It is recommended that the 2020/21 annual target be revised to reflect a commitment to appoint women specifically on Senior Management positions. This priority is in line with government commitment to ensure equitable gender representation on senior management level and in decision making.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
A just transition to a low carbon economy and climate resilient society	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	Draft National Climate Change Adaptation Strategy	Draft National Climate Change Adaptation Strategy	Climate Adaptation Sector plans implemented: Agriculture (Assessment Report on the implementation of the CSA framework)	TOR developed. The inception meeting was not conducted.	-	Performance progress provided up to 30 September 2020 before revision of the target.	These projects are funded through the GIZ Climate Change Support Programme (CSP). The outbreak of the Covid-19 pandemic resulted in GIZ experiencing delays on procurement processes for appointment of a service provider to lead the work. The delays on procurement has affected the originally defined timelines of the projects.
					Health : Capacity building workshop on health risk and vulnerability tool conducted in 3 District Municipalities	TOR developed. Inception meeting was not held in this quarter due to the fact that a service provider was not appointed by GIZ	-		

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
A just transition to a low carbon economy and climate resilient society	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	Draft National Climate Change Adaptation Strategy	Draft National Climate Change Adaptation Strategy	Coastal: Training at 4 District Municipalities on coastal risk and vulnerability tool conducted	ToR for conducting training and capacity development on the CoVu Assessment Tool developed and finalised	-	Performance progress provided up to 30 September 2020 before revision of the target.	Following the outbreak of the Covid-19 pandemic, the only viable option has been to deliver the planned training through virtual platforms. There has however been challenges with the mechanisms of delivering this training virtually. The need for data and good network systems has been expressed as a challenge by some districts. Only two districts have indicated that they will have reasonable data and infrastructure to participate in the virtual training. This is being compounded by the delays in the procurement process by GIZ which has affected and extended the overall time lines of the project.
International cooperation supportive of sa environmental /sustainable development priorities enhanced	Approved positions	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	2 Climate change (UNFCCC; IPCC)	2 Climate change (UNFCCC; IPCC)	2 Climate change positions: UNFCCC, IPCC	There was no milestone for the Quarter	-2	None. There was no milestone for the second quarter of 2020/21.	The UNFCCC Congress of Parties (COP) meeting has been cancelled because of travel restrictions following the outbreak of Covid-19. A new date is yet to be announced by the UNFCCC Secretariat.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised Infrastructure, adaptation and disaster risk reduction	Work Opportunities created	Percentage of women benefiting from the implementation of Environmental Programmes (60% of WOs)	44 265 – 66% of youth benefited from implementation of Environmental Programmes)	53 192 youth benefited from implementation of Environmental programmes	60% of WOs	54% (6 475/11 926) women benefiting from the implementation of Environmental Programmes Cumulatively 53% (12 426/23 543) (Q1=5 954 and Q2=6 475)	6%	Much of September 2020 data is not captured because of the capture first had to work on a backlog of data from the first quarter which was delayed by Covid-19 restrictions	The existing contracts of project implementers compels the service providers to achieve a target of 55% women beneficiaries in their projects. The annual target is being revised because it had been proven to be a challenge to strictly enforce achievement of a percentage, which is higher than what is prescribed in the contracts. A higher target will be considered when entering into new contracts.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised Infrastructure, adaptation and disaster risk reduction	Work Opportunities created	Percentage of persons with disabilities (PWD) benefiting from implementation of Environmental Programmes	N/A	N/A	2%	1.6% (196/11926) persons with Cumulatively 1% (293/23 543) (Q1=97 and Q2=196)	0.4%	Performance progress provided up to 30 September 2020 before revision of the target.	The progress reported on this performance indicator is primarily sourced from the implementing agents who are responsible for implementing projects on behalf of the Department. Many of these implementers are not adequately implementing the reporting protocol and providing relevant supporting evidence as prescribed. There are also implementers who erroneously report other health conditions as disabilities. These factors and challenges have resulted in the reported numbers/progress being incomplete, not verifiable and therefore not reliable. It is recommended that the performance indicator be temporarily removed from the 2020/21 APP whilst Management undertakes further engagements with implementers and project managers to ensure that there is a clear understanding for reporting the indicator and that the department has adequate supporting evidence against the reported progress.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised Infrastructure, adaptation and disaster risk reduction	Biodiversity Economy Infrastructure facilities constructed	Number of Biodiversity Economy infrastructure facilities constructed	N/A	N/A	20	There was no milestone for the Quarter	-20	None. There was no milestone for the second quarter of 2020/21.	The annual target is revised due to the budget cuts of the subprogramme. The scope of work for infrastructure projects requires detailed planning and the focus will be on the development and approval of business plans for implementation of infrastructure projects which will commence in the 2021/22 financial year.
	Coastal infrastructure facilities constructed or renovated	Number of coastal infrastructure facilities constructed or renovated	N/A	N/A	7	There was no milestone for the Quarter	-7	None. There was no milestone for the second quarter of 2020/21.	The annual target is revised due to the budget cuts of the subprogramme. The scope of work for infrastructure projects requires detailed planning and the focus will be on the development and approval of business plans for implementation of infrastructure projects which will commence in the 2021/22 financial year.
Materials beneficiation through value added industries	Structures built with composite material using invasive biomass	Number of structures built with composite material using invasive biomass	N/A	N/A	100	0 structures built with composite material using invasive biomass	-100	Performance progress provided up to 30 September 2020 before revision of the target	The annual target is revised to 58 as this is the feasible target after budgets were cut and priorities were adjusted.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
Threats on environmental quality and human health mitigated	Percentage reduction in waste generated during manufacturing and industrial process	Percentage reduction in waste generated during manufacturing and industrial process	N/A	N/A	4% reduction in waste generated during manufacturing	There was no milestone for the Quarter	-4%	None. There was no milestone for the second quarter of 2020/21.	There is currently no legal framework which makes it mandatory for companies to report on waste diversion from their manufacturing processes. Notice No. R.921 of NEMWA: 2013 regarding the listing of waste management activities provides the basis for diversion of waste from landfilling through the licensing of recovery or recycling activities. However, Category A and B of the list excludes “recycling or recovery that takes place as an integral part of the internal manufacturing process within the same premises”. In order to pursue this target there will have to be a regulatory framework which makes it mandatory for companies to report on waste diversion from the manufacturing processes.

PROGRAMME 9: FISHERIES MANAGEMENT

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE 2018/19	AUDITED ACTUAL PERFORMANCE 2019/2020	ANNUAL TARGET 2020/21	ACTUAL ACHIEVEMENT 2020/2021 UNTIL DATE OF RE-TABLING	DEVIATION FROM PLANNED TARGET TO ACTUAL ACHIEVEMENT 2020/2021	REASONS FOR DEVIATIONS	REASONS FOR REVISIONS TO THE OUTPUTS / OUTPUT INDICATORS / ANNUAL TARGETS
A well-managed fisheries and aquaculture sector that sustains and improves economic growth and development	Reports on the number of compliance awareness initiatives	Number of compliance awareness initiatives conducted	N/A	N/A	10 initiatives per annum	3 awareness initiatives conducted Cumulatively 4/5 (Q1=1/2 and Q2=3/3)	-7	Performance progress provided up to 30 September 2020 before revision of the target	Compliance awareness raising is an initiative/ activity which is undertaken during joint enforcement operations conducted by the Department and other key partners. The number of joint enforcement operations conducted is a performance indicator which is already included and reported on in Programme 2 of the Department's 2020/21 APP. It is therefore recommended that the performance indicator : "number of compliance awareness initiatives conducted" be removed from the APP under Programme 9 in order to avoid duplicate performance reporting which will potentially result in audit findings.

NB: There were no revisions on the planned annual targets of Programme 2; 3 ; 5 and 8 in the revised Annual Performance Plan which was approved in November 2020.

ANNEXURE C: ACTUAL PROGRESS AGAINST THE FINAL 2020/21 ANNUAL PERFORMANCE PLAN INCORPORATING ALL AMENDMENTS

PROGRAMME 1: ADMINISTRATION

The purpose of the Programme is to provide strategic leadership, management and support services to the department. The programme is made-up of four subprogrammes which are as follows: (1) Management (2) Corporate Management Services (3) Financial Management Services (4) Office Accommodation

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Good governance, compliance with legislative requirements and effective financial management	Unqualified external audit opinion	External audit opinion	Adverse external audit opinion on 2017/18 Financial Statements and material findings on annual performance information	Qualified external audit opinion on the Department's financial Statements and audit findings on annual performance information for 2018/19 financial year	Unqualified external audit opinion	Qualified external audit opinion on the Department's 2019/20 financial Statements and material findings on annual performance information.	The Department has managed to reduce the value of new cases of irregular expenditure but could not accurately report on historical expenditure amounts on contracts declared irregular in the past. This is a result of the volumes of payments and distinction to be drawn between payments that are irregular and those that are not.	<ol style="list-style-type: none"> 1. Additional review capacity to be allocated and internal controls strengthened. 2. Strengthening of preventative measures through staff training and awareness campaigns. 3. Training of Implementing entities to ensure compliance 4. Consequence management
		Percentage expenditure	91% (6 728 716/7 430 532)	98% (7 358 154/7 483 671) expenditure spent	98 %	96% spend without the additional funds allocated for the Presidential Economic Stimulus(PES) and 84% spend inclusive of the PES (R8 300 073/ R9 937 801)	Expenditure on Presidential Employment Stimulus programme not realized as expected due to budget allocations added too late in the financial year to follow all SCM processes to enable spending to be made before financial year end.	Request for a special dispensation in the rollover of the PES funds over the normal rules discussed with National Treasury.
Improved contribution of the Department to socio-economic transformation and	Budget expenditure	Percentage of the Department budget expenditure on BBBEE and black owned enterprises	70% (1 571 235 / 2 252 133)	75% (1 627 214/2 167 145) expenditure spent on affirmative procurement	90% of total procurement expenditure on BBBEE compliant companies	88% (R2 604 883 388// R2 956 939 281)	There were challenges and delays with verification of BBBEEE certificates.	A workshop with DTI, National Treasury and the AGSA was arranged to clarify the status of BBBEE certificates issued by CPIC when printed by service

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved contribution of the Department to socio-economic transformation and	Budget expenditure	Percentage of the Department budget expenditure on BBBEE and black owned enterprises	70% (1 571 235 / 2 252 133)	75% (1 627 214/2 167 145) expenditure spent on affirmative procurement	90% of total procurement expenditure on BBBEE compliant companies	88% (R2 604 883 388//R2 956 939 281)	There were challenges and delays with verification of BBBEEE certificates.	providers and the acceptability of the electronic sworn affidavit. Department will now allocate points accordingly for the qualifying service providers. This will result in improved BBBEE % spend.
					65 % of BBBEE Expenditure on companies that are at least 51% owned by black people (women, youth, PWD and MV)	88% (R2 598 699 100/R2 956 939 281)	Planned target exceeded with an additional 23% with no impact on resources earmarked for other priorities	None
	SMMEs (rural and township)	Percentage of the Department budget expenditure on rural and township enterprises	N/A	N/A	5% of procurement on Rural and Township enterprises	8% (R245 784 527/R 2 956 939 281)	Planned target exceeded with an additional 3% with no impact on resources earmarked for other priorities	None
Supplier workshops	Number of rural and Township enterprises supplier workshops conducted	N/A	N/A	2	7 supplier workshops conducted to inform communities of the procurement opportunities available within the department and other supply chain management related matters in the following provinces: Kwa-Zulu Natal , Western Cape , Eastern Cape , Northern Cape , North West , Mpumalanga and Free State.	Planned target exceeded by 5 additional workshops with no impact on resources earmarked for other priorities.	None	

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
An adequately skilled and transformed workforce which is representative of South Africa' race and gender demographics	Vacancy rate	Percentage vacancy rate	10.4% (188/1 803*100) Vacancy Rate	Vacancy rate is at 12.3% (222/ 1 812) at 31 March 2020	10%	6.6% (271/4 090) vacancy rate	None	None
	Compliant employment equity	Percentage compliance to the Employment Equity targets	56.5% (905/1 600)	N/A	45% Women in SMS	45% (84/187) Women in SMS	None	None
			2.8% (46/1 615)	2.9% (46/1598)	2% People with disabilities	1.7% (62/3 556)	Limited applicants with disabilities who meet the requirements of advertised posts.	Continue engagements with the industry body – Disability SA. Develop and internal strategy to achieve compliance of the target by disclosure campaigns in branches in the next financial year.
Effective information communication and technology systems which are supportive of the organizations' core business and mandate	Information Communication and Technology Systems	Information Communication and Technology Systems developed and implemented	N/A	2 of 3 Modules tested (CITES + TOPS) - BABS use-case document revised.	<ul style="list-style-type: none"> • 3 CIPS PermitsModules operational • 1 CIPS module tested 	<ul style="list-style-type: none"> • 2 Permit Modules (TOPS & CITES) operational. • 1 Permit Module (BABS) under development. • 1 Permit Module's (EIA) Testing not concluded (in progress). 	BABS Permit Module delayed due to corrections required on Use-Case / Specifications by Service Provider. Two weeks focused User Acceptance Testing incomplete due to: Critical in-depth testing and complexities of the Permit Module. System errors and bugs experienced by SME's. Duration of resolving errors and bugs by the developer.	Accelerate the finalization of BABS development. Improved approach to fast-track and conclude the Testing and error/bug fixing to be developed and implemented for EIA and BABS. Focus on key processes and limitation of less critical items or additional requirements/scope.

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved levels of environmental management education and awareness within communities which drives positive behavioural change	Events hosted	Number of events including Ministerial Public Participation Programme (PPP) hosted	18 events hosted	A total of 14 Ministerial Public Participation Programme (PPP)	6 events hosted	12 events hosted	Planned target exceeded by six (6) additional events with no impact on resources earmarked for other priorities	None
	Environmental awareness campaigns implemented	Number of public education and awareness campaigns implemented	4 Environmental awareness campaigns implemented: <ul style="list-style-type: none"> • Rhino awareness • Waste Management awareness • Ocean Economy • Good Green Deeds awareness 	4 environmental awareness campaigns implemented across 4 thematic areas: <ul style="list-style-type: none"> • Air Quality Management: 8 activities conducted. • Biodiversity Economy: 9 activities conducted. • Climate Change: 4 activities conducted. • Good Green Deeds: 22 activities conducted. 	Public education and awareness campaigns implemented on 4 thematic areas: <ul style="list-style-type: none"> • Climate change and biodiversity conservation • Environmental protection and waste management • Fishing rights allocation process (FRAP 2021) • Oceans Phakisa, marine protection and sustainability 	Public education and awareness campaign were implemented on the planned 4 thematic areas: <ul style="list-style-type: none"> • Climate change and biodiversity conservation • Environmental protection and waste management • Fishing rights allocation process (FRAP 2021) • Oceans Phakisa, marine protection and sustainability 	None	None
	Signed stakeholder engagement reports	Number of stakeholder management engagements convened	N/A	N/A	2	5 stakeholder management engagements convened	Planned target exceeded by three (3) additional stakeholder management engagement with no impact on resources earmarked for other priorities	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved human resources capacity of the sector	Recruited interns	Number of beneficiaries provided with skills development and training on environmental management	113 Interns appointed	126 graduates appointed on a two year internship programme for the 2018/19 and 2019/20 financial years (two years as per DPSA Directive)	212 graduates recruited on Internship programme	230 graduates recruited on the Department's internship programme.	Planned target exceeded by additional of 18 graduates recruited on Internship with no impact on resources earmarked for other priorities	None
	Bursaries		42 part time bursary issued	30 full time bursaries issued	40 full-time bursaries issued	40 full-time bursaries issued.	None	None
	Students placed in Work Integrated Learning Programme		N/A	100 students placed in Work Integrated Learning Programme	250 students placed on Work Integrated Learning Programme	A database of 250 selected students has been created for placement on the work integrated learning programme. In addition, a total of twenty (20) employers have registered on the database to host the selected students.	Students and Employers were not available to participate in the placement due to COVID-19 concerns	Programme to be prioritised in the new financial year
	Teachers trained through Fundisa for change programme		134 teachers trained through Fundisa for change programme	Draft Training of Trainer CAPS programme developed and is awaiting endorsement by sector partners.	Online Green Economy module deployed and piloted for 120 Economic Management (EMS) teachers and subjects advisors for Grade 7-9	Online Green Economy module deployed. 130 teachers and subject advisors registered on the online Green Economy module and are due to complete the pilot training .	More education officials availed themselves to participate in the pilot project.	None

PROGRAMME 1: ADMINISTRATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved human resources capacity of the sector	Trained Officials in environmental compliance and enforcement	Number of officials trained in environmental compliance and enforcement (includes Forestry and Fisheries; and Monitors)	1 966	N/A	220	910 officials trained on environmental compliance and enforcement	Planned target exceeded by an 690 officials trained with no impact on resources earmarked for other priorities. It is not possible for DEA to accurately estimate the number of officials which will be available for training from the targeted audience. The more environmental management officials the better to deal with issues of compliance.	None

SUMMARY OF PROGRAMME PERFORMANCE: ADMINISTRATION

Summary of Programme Performance: The Annual Performance Plan of Programme 1 for the 2020/21 financial year had a total of 18 annual targets. Twelve (12) of these planned 18 targets were achieved (67%) and six (6) targets were partially achieved (33%).

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING

The purpose of the Programme Promote the environment legal regime and licensing system to ensure enforcement and compliance with environmental law. The programme is made-up of six sub-programmes which are: (1) Legal, Authorisations, Compliance and Enforcement Management (2) Compliance Monitoring (3) Integrated Environmental Authorisations (4) Enforcement (5) Corporate Legal Support and Litigation (6) Law Reform and Appeals

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved compliance with environmental legislation and environmental threats mitigated	Inspected Environmental Authorisations	Number of environmental authorisations inspected for compliance	173	N/A	120	151 authorizations were inspected	Planned target exceeded by an additional 31 authorizations with no impact on the resources earmarked for other priorities as the work was done with available internal human resources.	None
	Environmental Performance Assessments	Number of Environmental Performance Assessments conducted	24	30 Environmental Performance Assessments conducted	10	11 Environmental Performance Assessments conducted	Planned target exceeded by an additional 1 Environmental Performance Assessment with no impact on the resources earmarked for other priorities as the work was done with available internal human resources. There was also willingness of the facilities to participate in the Environmental Performance Assessments	None
	Inspections conducted for verification of the rhino horns and elephant tusks stockpiles	Number of inspections conducted for verification of the rhino horns and elephant tusks stockpiles	N/A	N/A	12	21 inspections conducted for verification of the rhino horns and elephant tusks stockpiles.	Planned target exceeded by an additional 9 inspections with no impact on the resources earmarked for other priorities as the work was done with available internal human resources.	None

PROGRAMME 2: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Improved compliance with environmental legislation and environmental threats mitigated	Finalised criminal cases	Number of criminal cases finalised and dockets handed over to the NPA for prosecution	41	56 environmental management criminal case	36	39 criminal cases finalised and dockets handed over to the National Prosecuting Authority for prosecution.	Planned target exceeded with 3 criminal cases with no impact on the resources earmarked for other priorities as the work was done with available internal human resources	None
	Administrative enforcement notices	Number of administrative enforcement notices issued	249	234 administrative enforcement notices issued for non-compliance with environmental legislation.	220	254 administrative enforcement notices issued to companies and individuals who were found to have transgressed environmental laws.	Planned target exceeded by 34 additional notices with no impact on the resources earmarked for other priorities as the work was done with available internal human resources.	None
	Joint enforcement operations	Number of joint enforcement operations conducted	66	N/A	45	59 joint enforcements operations were conducted	Planned target exceeded by an additional 14 joint enforcements operations with no impact on the resources earmarked for other priorities as the work was done with available internal human resources.	None
	Draft revised National Compliance and enforcement strategy	Integrated compliance and enforcement strategy reviewed and approved	Year 4 targets of NECES 2014 implemented	N/A	Draft revised NECES 2021 developed	Draft revised Multi-Term National Environmental Compliance Monitoring and Enforcement Strategy (NECES 2021) developed.	None	None

PROGRAMME 2: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES			
Aligned environmental management regulatory frameworks, systems, tools and instruments	Financial provisioning regulations	Number of Interventions for streamlining environmental authorisation/ management developed	Generic Electricity Grid Infrastructure EMPr gazetted for implementation Notice to gazette/publish the Minimum environmental requirements for public comment signed by the Minister	N/A	3 Environmental Management tools developed: Financial provisioning regulations for the mining sector gazetted for public comments	The proposed amendment to the Financial Provisioning Regulations have been finalized and are with Legal Services Unit for vetting.	The department was waiting for an external legal opinion which was received on the 17 February 2021, there was also a need to finalise the EIA Regulations in order align the two sets of regulations.	The regulations were finalized to include the legal opinion input and inputs from the EIA Regulations. The vetting of the Regulations is being prioritized. The gazetting of the Regulations for public comment will be done in quarter 1 of 2021/22 financial year.			
	Minimum requirements for the submission of EIAs								Minimum requirements for the submission of EIAs for shale gas installations prepared	None	None
	Gazetted Generic EMPrs								Generic EMPrs for Working for Programmes gazetted for implementation	Generic EMPrs for Working for Programmes gazetted for implementation	None
	Gazetted Strategic gas and electricity	Number of Strategic Environmental Assessments developed	N/A	SEA to locate strategic gas and electricity corridors finalised for gazetting for public comment.	2 SEA interventions: Strategic gas and electricity corridors gazetted for public comment	Strategic gas and electricity corridors gazetted for implementation.	None	None			
	Renewable energy development zones		N/A	N/A	Renewable energy development zones in previously mined areas gazetted for public comments	Renewable energy development zones in previously mined areas gazetted for implementation.					

SUMMARY OF PROGRAMME PERFORMANCE: LEGAL AUTHORISATIONS, COMPLIANCE AND ENFORCEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 2 for the 2020/21 financial year had a total of twelve (12) annual targets. Eleven (11) of the planned 12 targets were achieved (92%) and the remaining one (1) target was partially achieved (8%).

PROGRAMME 3: OCEANS AND COASTS

The purpose of the Programme is to Promote, manage and provide strategic leadership on oceans and coastal conservation. The programme is made-up of five sub-programmes which are as follows: (1) Oceans and Coasts Management (2) Integrated Coastal Management and Coastal Conservation (3) Oceans and Coastal Research (4) Oceans Economy & Project Management (5) Specialist Monitoring Services

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Growing ocean economy in the context of sustainable development	Oceans Economy Master Plan	Oceans Economy Master Plan approved	N/A	N/A	Oceans Economy Master Plan approved	<p>First draft of Oceans Economy Master Plan developed and the following stakeholder engagements conducted:</p> <ul style="list-style-type: none"> • Transnet/Transnet National Ports Authority consulted in respect of the issues raised by the industries: boatbuilding; ship repairs; rig repairs; aquaculture; fisheries and oil and gas. • Engagements with Aquaculture stakeholders regarding the Aquaculture Development Bill 	<p>Industry stakeholders raised constraints and issues which required resolution. Draft Master Plan requires further refinement and stakeholder consultation.</p>	<p>Fast-track the stakeholder engagement process. Engagement with all the relevant Labour Unions to be prioritised.</p>

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental integrity managed and ecosystem conserved	Water Quality Trends Report	National Oceans and Coasts Water Quality Monitoring programme developed and implemented	National Oceans and Coasts Water Quality Monitoring Programme implemented in 20 priority areas in 4 Coastal provinces	Water quality monitoring programme implemented in 23 priority sites in 4 Coastal Province as follows: <ul style="list-style-type: none"> • Eastern Cape: Kowie, Swartkops, Buffalo, Qholora and Mzimvubu (5 priority sites). • Kwa-Zulu Natal: Mhlathuze (Richards Bay), Tugela, Mvoti, Sipingo, Mahlongwane and Nkongweni (6 priority sites) • Western Cape: Rooiels Estuary, Buffels Estuary, Palmiet Estuary, Kleinmond Lagoon/ Klein River, Bot Estuary, Onrus, Uilkraal and Vermont Slipway (8 priority sites). • Northern Cape: Orange River; Port Nolloth; Buffels Estuary and Hondeklip Baai (4 priority sites). 	Consolidated National Water Quality Trends Report without the recent (2020/21) NC and KZN results prepared	Consolidated National Quality Status and Trends Report for the seasons starting from 2014 -2019 has been produced, covering all 4 Coastal Provinces (Western Cape, Kwa-Zulu Natal, Northern Cape and Eastern Cape)	Western Cape and Eastern Cape water quality reports compiled without 2020/21 water quality results.	2020/21 water quality results for all 4 Provinces will be processed and finalised in 2021/22 financial year.

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental integrity managed and ecosystem conserved	2nd MSP sub regional plan	Marine spatial planning and governance system developed and implemented	Marine Spatial Planning Bill submitted to National Council of Provinces and National Assembly and approved	Directors-General (DGs) Committee not established. The First sub-regional MSP plan was presented to a meeting of Director-Generals of the Economic Sectors, Employment and Infrastructure Development (ESEID) Cluster on 12 March 2020.	Submission of Draft MSP Sector Plans for Southern Marine Area plan to Director-General for approval	MSP Sector Plans have been developed by the sectors and includes maps and proposed zones with sector priorities. Draft Sector Plans were processed for approval. The following MSP Sector Plans were developed: <ul style="list-style-type: none"> • Marine Transport and Ports • Marine and Coastal Tourism • Naval Defence • Marine Research • Aquaculture • Marine and Coastal Biodiversity • Marine heritage 	None	None
	Management plans for declared Phakisa MPAs	Number of Management Plans for declared Marine Protected Areas developed and implemented	20 Marine Protected Areas were approved by Cabinet in quarter 3 2018/19 financial year for declaration	4 draft management plans for declared Management Plan developed for the Hluleka, Amathole, Child bank and Browns bank MPAs.	2 Management plans for declared Phakisa MPAs developed	• 2 Draft Management Plans for declared Phakisa MPAs developed (Amathole and Aliwal Shoal).	Public consultation on the draft plans delayed due to inability to physically consult rural communities during Lock-down Q1 and 2. Consultation undertaken in March 2021.	Inputs will be considered and integrated into the management plans and submitted for consideration and approval

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental integrity managed and ecosystem conserved	National estuaries management plans	Estuarine management strategy developed and implemented	Amendment of the National Estuarine Management Protocol drafted	Amended National Estuarine Management Protocol (NEMP) approved by Minister in March 2020 for publishing for public comments	National estuaries: • Richards Bay Annual Project Plan developed • Orange River Mouth, Buffalo and Durban Bay annual project plans implemented	National estuaries: • Richard Bay: Annual project plan developed • Orange River Mouth- Annual Project Plan partially implemented. • Buffalo River Mouth- Annual Project Plan partially implemented. • Durban Bay: Annual Project Plan partially implemented.	<ul style="list-style-type: none"> • Orange River Mouth: Tourism Development Plan not finalised • Buffalo City: Monitoring plans for monitoring waste water treatment works and storm-water inlets not finalised • Durban Bay: Compliance Monitoring partially done for excluded recent pollution incidents in Feb and March 2021 	Revision of targets within the mandate and control of DFFE and quarterly milestones to be effected (2021-22)
	Antarctic Strategy	Antarctic Strategy developed and implemented	Antarctic Strategy submitted to Cabinet for gazetting		Antarctic Strategy submitted to Cabinet Committee for final gazetting	Antarctic and Southern Ocean Strategy gazetted for implementation	None	None
Strengthened knowledge, science and policy interface	Research study	Percentage increase of the EEZ under marine protected areas	4 287.532 sq.km (0.4% of EEZ)	N/A	Research study conducted on additional 5% of oceans an coastal area protection	Research was undertaken during the year and a final report compiled on the potential additional 5% of oceans and coastal area to be protected.	None	None
	Peer-reviewed scientific publications	Number of peer reviewed Scientific publications (including theses and research policy reports)	22 peer-reviewed scientific publications	20 peer-reviewed scientific publications (including theses and research policy reports)	16 peer-reviewed scientific publications compiled	21 peer-reviewed scientific publications compiled	Planned target exceeded with Five (5) publications with no resources earmarked for other priorities were used.	None

PROGRAMME 3: OCEANS AND COASTS (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Relief and science voyages	Number of relief and science voyages to remote stations undertaken to SANAE, Gough and Marion Islands	3 relief voyages undertaken	3 relief voyages undertaken (Marion islands , Gough, SANAE Voyage)	3 relief voyages to SANAE, Gough and Marion Islands undertaken (per annum)	3 relief voyages to SANAE, Gough and Marion Islands undertaken.	None	None

SUMMARY OF PROGRAMME PERFORMANCE: OCEANS AND COASTS

Summary of Programme Performance: The Annual Performance Plan of Programme 3 for the 2020/21 financial year had a total of 9 annual targets. Five (5) of the planned 9 targets were achieved (56%). The remaining four (4) annual targets were partially achieved (44%).

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

The purpose of the Programme is to improve air and atmospheric quality; lead, support, inform, monitor and report on international, national and significant provincial and local responses to climate change; and promote sustainable development. The programme is made-up of eight sub-programmes which are as follows: (1) Climate Change, Air Quality and Sustainable Development Management (2) Climate Change Mitigation (3) Climate Change Adaptation (4) Air Quality Management (5) South African Weather Service (6) International Climate Change Relations and Negotiations (7) Climate Change Monitoring and Evaluation (8) Sustainable Development

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
A just transition to a low carbon economy and climate resilient society	Sector Jobs Resilience Plans	Number of sector jobs resilience plans developed and implemented	N/A	N/A	Five value chains Sector Job Resilience Plans refined (ready to use to inform the development and implementation of the sectoral transition plans)	Five value chains Sector Job Resilience Plans refined	None	None
	Low carbon growth strategy	Number of climate change strategies and plans developed and approved	N/A	N/A	Low Emission Development Strategy submitted to Cabinet for approval	Low Emission Development Strategy submitted to Cabinet for approval	None	None
	Updated NDCs			N/A	SA's NDCs updated	Updated South African Nationally Determined Contributions (NDCs) submitted to Cabinet for consideration. Cabinet recommended that the document be subjected to further stakeholder consultation. Stakeholder consultation to be facilitated and finalised in 2021/22 financial year.	None	None
	Report on GHG emission reductions	GHG emissions maintained within the emissions trajectory range	N/A	N/A	Report on GHG emission reductions compiled (emissions to be in the 398 and 614 Mt CO ₂ -eq range)	Report on GHG emission reductions compiled. (For 2017, the country has a Peak, Plateau and Decline target of 568 Mt. The updated GHG inventory indicates that GHG emissions stand at an estimated 512 Mt which is below the 2017 target by 56 Mt and within the 398-614 Mt PPD emissions target range)	None	None

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
A just transition to a low carbon economy and climate resilient society	National Climate Change Bill	Climate Change Regulatory Framework and tools developed and Implemented	The National Climate Change Response Bill was gazetted for public comments	The National Climate Change Response Bill was gazetted for public comments	National Climate Change Bill tabled in Parliament	Climate Change Bill submitted to State Law Advisor for pre-certifications. Consultations at NEDLAC concluded and Draft Cabinet submission prepared. Socio-Economic Impact Assessments Study approved by Presidency.	Extended consultations within NEDLAC has delayed the finalisation of the bill for submission to the state law advisor.	Climate change Bill will be tabled to Cabinet immediately upon receiving feedback from State Law Advisors.
	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	National Climate Change Adaptation Strategy and action plan not approved.	5 projects/ interventions implemented through civil society organisation with the support from the Government of Flanders	Agriculture (Draft Assessment Report on the implementation of the CSA framework)	Draft Assessment Report developed.	None	None
					Health: Capacity building workshop on health risk and vulnerability tool conducted in 2 District Municipalities	Capacity workshop conducted in collaboration with ACCESS (Applied Center for Climate and Earth System Science) on 02 March 2021 for all health districts.		
					Coastal: Training at 2 District Municipalities on coastal risk and vulnerability tool conducted	Coastal training conducted in 5 Coastal Municipalities and report prepared: <ul style="list-style-type: none"> • Sarah Baartman District Municipality • West Coast District Municipality • Namakwa District Municipality • City of Cape Town Metropolitan Municipality • eThekweni Metropolitan Municipality 	Training sessions were done virtually and therefore more municipalities could be trained. Due to the high demand for Coastal Climate Change Training, it was requested that at least 5 Local Municipalities be covered (3 district municipalities and 2 metropolitan Municipalities)	None

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
A just transition to a low carbon economy and climate resilient society	Climate Adaptation Sector plans implemented	Number of sector adaptation interventions implemented	National Climate Change Adaptation Strategy and action plan not approved.	5 projects/ interventions implemented through civil society organisation with the support from the Government of Flanders	Human Settlement: Capacity building workshop on human settlement risk and vulnerability tool conducted in 2 District Municipalities	Capacity building workshop on human settlement risk and vulnerability tool conducted in 2 District Municipalities namely Ehlanzeni and Garden Route Municipalities.	None	None
					Review of the Biodiversity Sector Climate Change Response Plan/ Strategy	Biodiversity Sector Climate Change Response Plan reviewed.	None	None
Threats on environmental quality and human health mitigated	NAQI	National Air Quality Indicator	NAQI : Equal to or less than 1.15	N/A	NAQI : Equals to or less than 1	National Air Quality Indicator: 1.3.	There was non-compliance to ambient air quality standards in the Republic. The data recovery has improved significantly, and this NAQI is a better reflection of quality of air in the republic.	Provinces and municipalities are putting in place targeted interventions to deal with non compliance to air quality standards.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats on environmental quality and human health mitigated	Established IMC and WG committee	Air Quality Management Plans (AQMPs) for priority areas developed and implemented	Annual plans of 3 Priority Area AQMPs implemented	N/A	Priority Area Working Group established (Communities, NGOs, industries)	Priority Areas working group established and a draft work plan for the Working Group has been developed in consultation with sector Departments.	None	None
	Vaal Triangle Airshed Priority Area (VTAPA) AQMP		N/A	N/A	2nd generation Vaal Triangle Airshed Priority Area (VTAPA) AQMP developed	Final Vaal Triangle Airshed Priority Area (VTAPA) AQMP developed.	None	None
	Highveld Priority Area (HPA) AQMP		N/A	N/A	Draft Baseline Assessment Report for the 2nd generation Highveld Priority Area compiled	Draft 2nd generation Highveld Priority Area (HPA) AQMP Baseline assessment report not developed. Appointment of the service provider finalised and SLA signed with the service provider.	The service provider's budget was higher than the proposed project budget. The service provider had challenges with some SCM requirements, and this delayed inception of project for baseline development.	Request to obtain approval for additional funds was initiated and budget increase obtained
	Ambient air quality monitoring stations reporting to the SAAQIS	Number of air quality monitoring stations reporting to SAAQIS meeting minimum data recovery standard of 75%	N/A	75 monitoring stations reporting to the SAAQIS	65 monitoring stations reporting to the SAAQIS meeting data recovery standard of 75%	34 monitoring stations reporting to the SAAQIS are meeting data recovery standard of 75%.	Each monitoring station requires 2 visits per month by technical personnel for equipment maintenance and repair. These visits were limited during the times of restricted movement of personnel for safety reasons. As such, faulty equipment could not be attended to on time, resulting in the loss of data until restrictions were lifted.	Work to repair faulty equipment resumed when restrictions were lifted. Department established a sector technical committee to assist municipalities in improving management of monitoring stations and data recovery. Challenges of monitoring stations resourcing in municipalities elevated for further strategic interventions.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Strengthened knowledge, science and policy interface	Published SAE 2019 Approved South African Environment -2020 text	Sector monitoring and evaluation studies and reports/ publications published	3rd SAE0 report not finalised. A draft report has been made available by the contracted service provider and is being reviewed by Management	N/A	Web-based environmental outlook - South African Environment (SAE 2019) - Published South African Environment -2020 text approved	Web-based environmental outlook – South African Environment (SAE 2019) – published. South African Environment - 2020 text approved	None	None
	Annual Report Card on key Essential Ocean and Coasts Variables or Indicators	Number of reports published on status of indicators of essential ocean variables for detecting ocean variability and climate change	Annual Report Card on key Ocean and Coasts indicators compiled	Annual South African Ocean and Coasts Environment Data Report Completed and ready for publication.	Annual Report Card on key Essential Ocean and Coasts variables/ indicators Compiled	Annual Report Card on key Essential Ocean and Coasts variables/indicators compiled.	None	None
	Published 2018 SoF report	State of the Forest Report (SoF) developed and published	N/A	N/A	2018 SoF finalised and published	2018 State of the Forests Report was finalised and approved for submission to Parliament and publication as contemplated in the National Forests Act, 1998 (Act No. 84 of 1998).	Delay in the printing and publication of the report.	The process of publication will be prioritized in 2021/22 financial year
	Published list of protected trees	Annual list of protected trees published	N/A	N/A	Annual list of protected trees published	Annual list of protected trees published	None	None
International cooperation supportive of SA Environmental /sustainable development priorities enhanced	Approved positions	Number of South Africa's International Environment and Sustainable Development negotiating positions developed and approved	9 positions approved: 2 Climate change	2 Climate change positions developed: UNFCCC, IPCC	1 Climate change position: IPCC	Position paper for the Intergovernment Panel on Climate Change (IPCC 53) meeting developed and approved.	None	None
			1 Chemical/ Waste Management)	4 Chemical/ Waste Management positions developed for the following conventions: Basel Rotterdam Stockholm Montreal MOP	1 Chemical/ Waste Management (Montreal MOP)	1 Chemical/ Waste Management (Montreal MOP)	None	None

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
International cooperation supportive of SA Environmental /sustainable development priorities enhanced	Donor Funds	Financial value of resources raised from international donors to support SA and African environment programmes	Total resources mobilized : US\$ 715 341 720	US\$ 65 138 427.9 raised	US\$ 40 million raised	Total resources mobilized : US\$ 715 341 720	Planned target exceeded by US\$ 35 022 684. Exceeding the target did not have any impact on resources earmarked for other priorities as the work is coordinated through existing internal human resource capacity. Mobilisation of more funds than estimated is a desired performance outcome	None
An adequately capacitated local sphere of government which is able to effectively execute its environmental management function	Local Government support interventions implemented	Number of local government support interventions implemented in line with the District Delivery Model	82% (14/17) of annual action plan for Local Government Support Strategy were implemented.	Environmental priorities incorporated in IDPs of 12 district municipalities: <ul style="list-style-type: none"> • Capricorn • West Rand • Ngaka Modiri Molema • Lejwaleputswa • Nkangala • Ehlanzeni • Amathole • Mopani • Waterberg • Chris Hani • King Cetshwayo Ugu 	1 Intervention: Environmental priorities incorporated in IDPs of 44 district municipalities	Environmental priorities were incorporated into the IDPs of the 44 District Municipalities.	None	None
			N/A	N/A	100 Municipal Councillors and/or officials trained	157 Municipal councillors and officials trained.	Planned target exceeded by an additional 57 Municipal Councillors and officials trained with no impact on resources earmarked for other priorities.	None

SUMMARY OF PROGRAMME PERFORMANCE: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 4 for the 2020/21 financial year had a total of 24 annual targets. Nineteen (19) of the planned 24 targets were achieved (79%), four (4) were partially achieved (17%) and the remaining one (1) target was not achieved (4%).

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

The purpose of the Programme is to ensure the regulation and management of biodiversity, heritage and conservation matters in a manner that facilitates sustainable economic growth and development. The programme is made-up of eight sub-programmes which are as follows: (1) Biodiversity and Conservation Management (2) Biodiversity Planning and Management (3) Protected Areas Systems Management (4) iSimangaliso Wetland Park Authority (5) South African National Parks (6) South African National Biodiversity Institute (7) Biodiversity Monitoring Specialist Services (8) Biodiversity Economy and Sustainable Use

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Land under conservation	Percentage of land under conservation	13.55% (16 523 975.19/121 991 200)	13.7% of land under conservation (16, 732 468 / 121,991,200ha)	14.2% (17, 343,142 / 121,991,200 ha)	16, 08% (19 615 823, 46 ha/ 121 991 200 ha) hectares of land is under formal conservation. 405 900, 36 ha of land was added to the national register of land under conservation in 2020/21.	Planned target exceeded with an additional 1.88% with no impact on resources earmarked for other priorities. Note: The national register of land under conservation is managed and updated on a continuous basis. The total number of hectares added to the national land register in 2020/21 includes declarations made in 2020/21, prior years declarations which were not added on the register and not reported in the 2019/20 annual report, withdrawals of declaration and/or corrections which were made on to the register during the financial year.	None
	Declared National Park	Number of national parks declared	N/A	1 national park identified and 1 intention to declare	1 national park declared	Planned 2020/21 annual not achieved. The annual target was achieved outside of the 2020/21 financial year as the Meerkat National Park was declared on 27 March 2020.	Note: The new national park was declared on 27 March 2020, a few days before the start of the 2020/21 financial year. The declaration of the planned new park was included as an output in the 2020/21 annual performance plan but the outstanding work was finalised earlier than anticipated.	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	National METT assessment report	Percentage of area of state managed protected areas assessed with a METT score above 67%	87.95% (5 632 293 of 6 403 379) of area of state managed protected areas assessed with a METT score above 67%	79% of area of state managed protected areas assessed with a METT score above 67%	81% (5 910 280 / 7 296 641ha) of area of state managed protected areas assessed with a METT score above 67%	The 2020 National METT assessment score has not been calculated for reporting. Management Authorities submitted their METT assessment reports on the new on-line METT System which was being implemented for the first time in the 2020/21 and a draft report was compiled.	The METT online reporting system was being implemented for the first time in 2020/21 and during this process Management identified a number of system challenges which have an impact on the quality, completeness and reliability of the reported data. The challenges experienced includes the difficulty with the system reflecting the audit data showing the online approval of the METT reports. Management has also issued a notice to all Authorities requiring them to ensure that in future all of their individual METT assessment reports are subjected to an internal audit process before being approved by the delegated authority and submitted to the Department. This is one of the important control improvements aimed at ensuring reliability of data. In the 2020 METT assessment process, not all Authorities had subjected their assessments to an internal audit process and it is only in the coming year (2021/22) that all Authorities will be able to comply with the newly prescribed control measure.	Management is currently working with the developer of the METT online reporting system to enable the system to show at approval step for audit purposes and to further make other enhancement informed by lessons learned during 2020/21 implementation of the new system.
	National Joint Wetlands Management Framework	Number of interventions to ensure conservation of strategic water sources and wetlands developed and implemented	N/A	N/A	3 Interventions implemented: Draft Joint National Wetlands Management Framework developed	Draft Joint National Wetlands Management Framework developed.	None	None
	Designated Ramsar sites		N/A	N/A	1 wetland of international significance (Ramsar site designated)	1 wetland (Ingula Nature Reserve) of international significance (Ramsar site) designated	None	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems conserved, managed and sustainably used	Delineated strategic water sources		N/A	N/A	11 strategic water sources delineated	22 strategic water sources delineated.	Planned target an additional 11 strategic water sources. There was no impact on resources earmarked for other priorities. The spatial methodology used to delineate the strategic water source areas covered all the 22 areas due to availability of the data for all the areas.	None
Biodiversity threats mitigated	High Level Panel's report	Number regulatory tools to ensure conservation and sustainable use of biodiversity developed and implemented	N/A	N/A	4 Tools: High Level Panel's report on the review of policies for the management, breeding hunting, trade and handling of elephant, lion, rhino and leopard compiled	High Level Panel's report on the review of policies for the management, Breeding hunting, trade and handling of elephant, lion, rhino and leopard compiled	None	None
	Draft NEMBA Bill approved by Cabinet		N/A	N/A	Draft NEMBA Bill published for public comments	NEMBA Bill was concluded and taken through Cabinet process and approved by ESIEID Cluster.	The draft NEMBA Bill had to be put in abeyance in order to allow for the incorporation of the High Level Panel recommendations.	The revised draft NEMBA Bill will be prioritized for further processing in the next financial year 2021/22.
	Revised National Biodiversity Framework (NBF)		Revised National Biodiversity Framework submitted to Cabinet for approval	Revised National Biodiversity Framework (NBF) submitted for approval by Cabinet Cluster for implementation	Revised National Biodiversity Framework published for public comments	Revised National Biodiversity Framework published for public comments.	None	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Biodiversity threats mitigated	Published BMP's		3 draft Biodiversity Management Plans (BMPs) developed: • Aloe ferox • Honeybush • Bontebok	3 Biodiversity Management Plans (BMPs) finalised Bontebok Aloe ferox Honeybush	2 draft Biodiversity Management Plans BMPs developed: • Aloe ferox	Draft Biodiversity Management Plan for Aloe ferox finalized and approved by the intergovernmental structures to be published for public participation.	None	None
					• Honeybush	Draft Biodiversity Management Plan for two Honeybush species finalized and approved by	None	None
Improved access, fair and equitable sharing of benefits	Cultivated hectares of land for indigenous species	Number of biodiversity economy initiatives implemented	5 biodiversity economy initiatives implemented: 515 hectares of Rooibos Species cultivation implemented in Wupperthal, Western Cape	3 biodiversity economy initiatives implemented: 500 hectares of land for indigenous species identified and cultivated	5 Biodiversity economy initiatives Implemented: Business Cases of 25 prioritised Species for mass cultivation drive developed	Business cases for 25 Species for mass cultivation developed.	None	None
	Trained Biodiversity entrepreneurs		A total of 200 entrepreneurs were trained for the 2018/2019 financial year	400 Biodiversity entrepreneurs trained	150 Biodiversity entrepreneurs trained	175 Biodiversity entrepreneurs trained.	Planned annual target exceeded by an additional 25 beneficiaries with no impact on resources earmarked for other priorities.	None

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Biodiversity threats mitigated	Implemented Initiatives of BioPANZA		1 of 3 planned initiatives of BioPANZA implemented: BioPANZA awareness and promotion undertaken	3 BioPANZA initiatives implemented: <ul style="list-style-type: none"> National awareness workshop conducted Market access model for the Bioprospecting/ Biotrade sector conducted Capacity building plan for industry associations developed 	2 BioPANZA initiatives Implemented: <ul style="list-style-type: none"> Market Access programme of work developed BioPANZA pipeline platform established 	2 BioPANZA initiatives implemented : <ul style="list-style-type: none"> Market access cluster programme of work developed Concept model developed to inform the establishment of the BioPANZA Small, Medium and Micro Enterprise (SMMEs) Platform. 	Finalization of the concept model required extensive consultation and voluntary cooperation of external stakeholders.	The development of the SMMEs platform is currently away and will be piloted once finalised.
	Donated Heads of Game		N/A	2 500 head of game for PDI's and communities secured	180 learners trained on game meat processing in 2020/21	Annual target not achieved. Progress towards full implementation of the Game Meat Processing and Packaging learnership programme has been delayed.	There were constraints with participation of all selected learners on the programme due to restrictions put in place during different levels of national lock-down to manage Covid-19.	Implementation of the training programme to continue in 2021/22.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Biodiversity threats mitigated	Interventions for Biodiversity Economy Nodes implemented		Implementation plans for 3 National Biodiversity Economy Nodes developed Khomani San, Pilanesburg-Madikwe & Wildlcoast Biodiversity Economy Nodes	Champions for 3 Biodiversity Economy Nodes appointed	Interventions for Biodiversity Economy Nodes implemented: • Champions for 3 Nodes appointed • Feasibility study for 3 Nodes conducted • Operational Plans for 3 Nodes developed	<ul style="list-style-type: none"> Champions for 3 Nodes appointed (Kruger; Zoar and Augrabies nodes) Feasibility study for 3 Nodes conducted (Kruger; Zoar and Augrabies nodes) Operational Plans for 3 Nodes developed (Kruger; Zoar and Augrabies nodes) 	None	None
	Approved Benefit Sharing Agreements	Number of benefit sharing agreements concluded and approved	4 benefit sharing agreements approved by Minister.	5 Benefit Sharing Agreements approved	3 Benefit Sharing Agreements approved	4 Benefit Sharing Agreements approved	Planned target exceeded by one (1) additional Benefit Sharing Agreement with no impact on resources earmarked for other priorities	None

SUMMARY OF PROGRAMME PERFORMANCE: BIODIVERSITY AND CONSERVATION

Summary of Programme Performance: The Annual Performance Plan of Programme 5 for the 2020/21 financial year had a total of 17 annual targets. Twelve (12) of the planned 17 targets were achieved (71%), two (2) was partially achieved (12%) and the remaining three (3) annual targets were not achieved (17%).

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

The purpose of the Programme is to implement the expanded public works programme and green economy projects in the environmental sector. The programme is made-up of Five (5) sub-programmes which are as follows: (1) Environmental Protection and Infrastructure Programme (2) Natural Resource Management (3) Green Fund (4) Environmental Programmes Management (5) Information Management and Sector Coordination.

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET ⁴	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised	Full Time Equivalents (FTEs) created	Number of Full Time Equivalents (FTEs) created	26 929	30 499	16 315	Total FTEs created : 19 613 • Programme : 15 095 FTEs • Presidential Employment Stimulus : 4 518 FTE's	None	None
	Work Opportunities created	Number of Work Opportunities created	67 364	61 923	44 208	Total work opportunities : 58 652 • Programme budget : 34 709 WOs • Presidential Employment Stimulus : 23 943		
		Percentage of women benefiting from the implementation of Environmental Programmes (60% of WOs)	36 819 (55%)	N/A	55%	51% (30 029/58 652) women	Less number of women participated on the Working on Fire programme during the reporting period and these impacted on the achievement of the target.	Recruitment of women participants on projects across all environmental programmes will continue in 2021/22 financial year
		Percentage of youth benefiting from implementation of Environmental Programmes (65% of WOs)	44 265 (66%)	53 192 youth benefited from implementation of Environmental programmes	65%	65% (38 160/58 652)	None	None

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET ⁴	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
More decent jobs created and sustained, with youth, women and persons with disabilities prioritised	Competent Participants	Number of Participants on accredited-training programmes declared competent	N/A	N/A	10 641	7 584 participants on accredited-training programmes were declared competent	Delays in getting the accreditation of participant completed by the relevant authorities (SETAs) and reported by the training service providers.	The outstanding declarations of accreditation will be finalised and certificates/ confirmations issued to participants.
Ecosystems rehabilitated and managed	Hectares of invasive plant species cleared	Number of hectares receiving initial clearing of invasive plant species	63 632	147 612	77 234	58 908,53 hectares	Inadequate project management capacity	Fast tracking migration into electronic project management system that allows capturing at source
		Number of hectares receiving follow-up clearing of invasive plant species	273 315	501 397	210 048	209 813,90 hectares	Inadequate project management capacity	Fast tracking migration into electronic project management system that allows capturing at source
		Number of discrete sites where biological control agents are released	N/A	N/A	308	347 discrete sites where biological control agents are released.	Planned target exceeded by additional of 39 sites where biological control agents are released with no impact on resources earmarked for other priorities	None
	Wetlands rehabilitated	Number of wetlands under rehabilitation	92	165	76	115 wetlands under rehabilitation.	Planned target exceeded by additional of 39 wetlands under rehabilitation with no impact on resources earmarked for other priorities	None
	Estuaries repaired	Number of estuaries under repair	N/A	N/A	2	1 estuary under repair	Planning work for the Orange river mouth estuary rehabilitation has been delayed.	Rehabilitation work on the Orange River mouth estuary will commence 2021/22 financial year.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET4	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Ecosystems rehabilitated and managed	Hectares of degraded land rehabilitated	Number of hectares of degraded land under rehabilitation (including riparian areas)	32 192	N/A	21 696	13 955,65 hectares of degraded land under rehabilitation	Delayed implementation due to COVID 19 lockdown levels and regulations. This resulted in most teams resuming implementation in the 3rd Quarter.	Teams were infield throughout December which resulted in increased production.
		Number of emerging invasive species or discrete populations targeted for early detection/rapid response	145	250	138	170 emerging invasive species or discrete populations targeted for early detection/rapid response.	Planned target exceeded by additional of 32 emerging invasive species or discrete populations targeted for early detection/rapid with no impact on resources earmarked for other priorities	None
Integrated fire management	Wild fires suppressed	Percentage of wild fires suppressed (provided there are not more than 2 400).	100%	100%	90%	100% (1 791/1 791) wild fires suppressed	Planned target exceeded with an additional 10% with no impact on resources earmarked for other priorities	None
Infrastructure, adaptation and disaster risk reduction	Biodiversity Economy infrastructure facilities constructed	Number of Biodiversity Economy infrastructure facilities constructed	N/A	N/A	20 business plans for construction of Biodiversity Economy infrastructure facilities approved	12 business plans approved for construction of Biodiversity Economy infrastructure facilities.	8 business plans are not approved due to outstanding submission of compulsory supporting documents.	Fast track the submission and review of documents.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET4	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Infrastructure, adaptation and disaster risk reduction	Overnight visitor & staff accommodation units and administrative buildings constructed/ renovated	Number of overnight visitor & staff accommodation units and administrative buildings constructed/ renovated	34	39	37	11 units established and renovated : 1 Staff Accommodation Unit Established, 1 Administrative Building and 9 Staff Accommodation Units renovated.	The below mentioned three Nature Reserves projects were targeted to achieve 26 buildings: LP-Masebe, NC-Mokala, LP-Tshivhase and NW- Bloemhoof <ul style="list-style-type: none"> • Limpopo: Masebe project was supposed to report 12 staff accommodation units, the buildings are completed but cannot be handed over and reported, this is due to delays on connection of electricity by Eskom. • Limpopo: Two accommodation units in Tshivhase not completed. Progress is at 80% as at 31 March. There was a shortage of steel material from suppliers, this caused delays during project implementation. 23 Buildings completed. The handover has not been finalised for these buildings due to delays in issuing occupancy certificates. The construction of the remaining 14 buildings will be finalised in the 2021/22 financial year. • Northern Cape: Mokala was supposed to report 5 accommodation facilities. The building are not completed, progress is 11% as at end March. Project implementation commenced very late, this is due to delays in procurement processes. 	Implementation of projects will be completed and handed over to owning entity in 2021/22 financial year.
	Community parks created or rehabilitated	Number of community parks created or rehabilitated	N/A	N/A	2	2 community parks created.	None	None
	Coastal infrastructure facilities constructed or renovated	Number of coastal infrastructure facilities constructed or renovated	N/A	N/A	7 business plans for construction of coastal infrastructure facilities approved	1 business plan for construction of coastal infrastructure approved	6 business plans not approved due to outstanding submission of compulsory supporting documents.	Fast track the submission and review of documents.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET4	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Materials beneficiation through value added industries	Wooden products made from invasive biomass	Number of wooden products made from invasive biomass	27 729	N/A	16 000	44 358 wooden products made from invasive biomass	Planned target exceeded by additional of 28 358 wooden products made from invasive biomass with no impact on resources earmarked for other priorities	None
	Structures built with composite material using invasive biomass	Number of structures built with composite material using invasive biomass	N/A	N/A	58	Planned target not achieved. No structures were built.	The Department of Basic Education has verbally informed DFFE that the ablutions facilities which were planned to be contracted will be constructed by contractors appointed by the DBSA and Mvula Trust, Written confirmation is awaited.	The department is in negotiations to ensure that composite material using invasive biomass will be used for the construction process.
	kilometres of accessible coastline cleaned	Number of kilometres of accessible coastline cleaned	2116	2 116	1 784	1806 kilometres of accessible coastline cleaned.	Planned target exceeded with additional 22km with no impact on resources earmarked for other priorities	None

SUMMARY OF PROGRAMME PERFORMANCE: ENVIRONMENTAL PROGRAMMES

Summary of Programme Performance: The Annual Performance Plan of Programme 6 for the 2020/21 financial year had a total of 20 annual targets. Ten (10) of the planned 20 targets were achieved (50%), seven (7) were partially achieved (35%) and remaining three (3) targets were not achieved (15%).

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

The purpose of the Programme is to formulate policies and administer legislation regarding the use of chemicals and waste management to improve regulation, monitoring, compliance and enforcement. The programme is made-up of six sub-programmes which are as follows: (1) Chemicals and Waste Management (2) Hazardous Waste Management and Licensing (3) Integrated Waste Management and Strategic Support (4) Chemicals and Waste Policy, Evaluation and Monitoring (5) Chemicals Management (6) Waste Bureau.

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2018/19)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	Chemicals management legislative and regulatory instruments developed: Mercury Management plan and 8 products phased out	Number of chemicals management legislative and regulatory instruments developed and implemented	Draft Mercury Management National Action Plan for the Minamata Convention developed	Mercury Management National Action Plan finalised.	Mercury Management National Implementation Plan for the Minamata Convention revised	Mercury Management National Implementation Plan for the Minamata Convention revised.	None	None
		Number of waste management legislative and regulatory instruments developed and implemented	N/A	Draft policy instrument of the phasing out of plastic straws developed	Draft Section 29 plan on management of waste tyres developed	Draft Section 29 plan on management of waste tyres developed.	None	None
		3 Industry Waste Management Plans received for the Paper and Packaging Industry, Electrical and Electronic Industry and Lighting Industry were assessed and recommendations processed for a decision		Notice of intention in terms of Section 18 for management of paper & packaging, e-waste and lighting waste published for public comments	Section 18 gazette for notice of intention for EPR regulation published for public comments.	None	None	
	Percentage of waste diverted from the landfill sites	Percentage waste diverted from the landfill sites	N/A	21,20% (36 090/170 266) waste tyres diverted from landfill sites.	5%	7,79% (5 261 819.00/67 522 816.32) of waste diverted from landfill sites.	Planned target exceeded with an additional 2.79% with no impact on resources earmarked for other priorities. The department was operating under Covid-19 lockdown and didn't anticipate the performance that will be over the estimated target. This can be attributed to the opening of the economy and the ability of the sector to start operating in the different relaxed levels of lockdown.	None

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Threats to environmental quality and integrity managed	Decreased HCFC consumption	Percentage decrease of HCFC consumption	Hydrochloro-fluorocarbons (HCFC) was decreased by 39.8% 047.1/5 140.2*100)	Annual progress: The baseline has been reduced by (Import =2897,2 export=426,87) = Consumption 2744,99/ 5140,2 x 100 = 53,4%	35% (1799.07 tonnes) HCFC consumption reduced by 35% - 1799.07 tons from baseline of 5140.20 tons (not exceed allowable 3441.13 tons	47% percentage of HCFC decrease (Quarter 1 – 4). 2432.79 tonnes / 5140.20 tonnes x 100 (Quantity reduction / Baseline x 100)	Planned target exceeded with an additional 12% with no impact on resources earmarked for other priorities. The 35% target is an international target set by the Montreal protocol and the South African industry understands the need for reduction. Covid-19 sales generally went down	None
Socio-economic conditions improved (through circular economy and waste recycling)	Waste Economy Master Plan and its Implemented	Waste Economy Master Plan developed and implemented	N/A		Draft chemicals and waste Phakisa alignment report and 3 feet plan approved	Chemicals and Waste Economy Phakisa alignment report and 3 feet plan approved.	None	None

SUMMARY OF PROGRAMME PERFORMANCE: CHEMICALS AND WASTE MANAGEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 7 for the 2020/21 financial year had a total of 6 annual targets. Six (6) of the planned 6 targets were achieved (100%).

PROGRAMME 8: FORESTRY MANAGEMENT

Purpose: Develop and facilitate the implementation of policies and targeted programmes to ensure proper management of forests and the sustainable use and protection of land and water. Manage agricultural risks and disasters. The programme is made-up of three sub-programmes which are as follows: (1) Forestry Management (2) Forestry Operations (3) Forestry Development And Regulation.

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Sustainable production of state forests	Hectares of land under silvicultural practice	Number of hectares under silvicultural practice (i.e. weeding, pruning, coppice reduction, thinning)	N/A	N/A	2100 ha	1 669,49 ha under silvicultural practice.	Shortage of vehicles for workers due to lapsing of Phakisa World contract impacted on non-achievement of target Most of the current personnel have underlying conditions and cannot work during Covid-19. Work is done with the use of EPWP workers, however the budget was insufficient.	Forest Support Programme (FSP) job creation will be utilized in silvicultural activities from 2021/22. Follow-up to be done in April on the approval of vehicle contract.
	Refurbished nurseries	Number of Nurseries refurbished	N/A	N/A	3 (Ulundi, Upington and Mahikeng)	3 Refurbishment Plans have been developed and approved. Implementation has not been done yet.	High vandalism at Ulundi, Mahikeng and Upington nurseries due to lack of security/human capacity.	Appointment of Armed Private Security Company to be finalized in the 2021/22 financial year.
A transformed forestry sector	Developed hectares for afforestation	Number of hectares approved for afforestation	N/A	N/A	Afforestation Roadmap developed	Afforestation Roadmap developed	None	None
	Jobs	Number of jobs created in the forestry sector	N/A	N/A	2 100	2 118 of jobs created in the forestry sector	Planned target exceeded with additional 18 jobs created in the forestry sector with no impact on resources earmarked for other priorities	None
	Plantations handed over to communities	Number of plantations handed over to communities	N/A	N/A	Appropriate model approved	Appropriate model approved	None	None
Indigenous forests sustainably managed and regulated	Rehabilitated hectares of State forests	Number of hectares in State forests rehabilitated (clearing of alien invasive)	N/A	N/A	200 ha rehabilitated	200.45 ha of state indigenous forests rehabilitated.	Planned target exceeded by additional 0.45 ha of state indigenous with no impact on resources earmarked for other priorities	None

PROGRAMME 8: FORESTRY MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2018/19)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Indigenous forests sustainably managed and regulated	Transferred State indigenous forest	Number of State indigenous forest transferred to conservation authorities	N/A	N/A	Indigenous forest transfer policy developed	Draft policy for transfer of Indigenous forest developed.	Some stages of policy development could not be finalized, due to consultation with the intergovernmental stakeholders could not be concluded as physical verification of the assets (indigenous patches) was necessary. Unfortunately, some of key officials could not travel to assist on the process due to comorbidities as stipulated on COVID 19 protocols.	Consultation with Intergovernmental Stakeholders will be done in 2021/22 Financial Year.
	Approved National Forests legislation and regulations	National Forests legislation and regulations reviewed and approved	N/A	N/A	NFA amendment Bill approved by Parliament	National Forest Act (NFA) amendment Bill not approved. Parliament Select Committee briefing was planned to take place 02 February 2021 but the NFA Bill was taken out from the Committee schedule	The Bill was not finalised due to the prioritisation of other legislation in Parliament.	The target is beyond the department's control.
	Approved National Veld and Forest Fires legislation and regulation	National Veld and Forest Fires legislation and regulation reviewed and approved	N/A	N/A	NVFFA Amendment Bill approved by Parliament	National Veld and Forest Fires (NVFFA) Amendment Bill not approved. Social and Economic Impact Assessment study (SEIAS) conducted and certificate issued in February 2021. NVFFA Pre-Certification issued by Office of the Chief State Law Advisor in February 2021.	The Amendment Bill had to be taken through the SEIAS and Pre-Certification (the request was made in September 2020).	The NVFFA Bill will be prioritised for further processing in the next financial year 2021/22, that is, tabled in both Cabinet and Parliament.
Threats on environmental quality and human health mitigated	Trees planted	Number of trees planted outside forests footprint	N/A	N/A	40 000 Trees planted	40 398 trees planted.	Planned target exceeded by additional 398 trees with no impact on resources earmarked for other priorities	None

SUMMARY OF PROGRAMME PERFORMANCE: FORESTRY MANAGEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 8 for the 2020/21 financial year had a total of 10 annual targets. Five (5) of the planned 10 targets were achieved (50%), four (4) were partially achieved (40%) and the remaining 1 target was not achieved (10%).

PROGRAMME 9: FISHERIES MANAGEMENT

Purpose: Ensure the sustainability utilisation and orderly access to the marine living resources through improved management and regulation. The programme is made-up of six sub-programmes which are as follows: (1) Fisheries Management (2) Aquaculture And Economic Development (3) Monitoring, Control And Surveillance (4) Marine Resource Management (5) Fisheries Research And Development (6) Marine Living Resources Fund.

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES / EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Effective and enabling regulatory framework for the management and development of marine and freshwater living resources (oceans, coasts, rivers, and dams.)	Aquaculture Development Bill	Aquaculture regulatory framework developed and finalised	Bill approved by Cabinet in May 2018	N/A	Aquaculture Development Bill / Act revived	Aquaculture Development Bill / Act not revived.	Delays related to additional comments received from the industry which needed to be considered and responded to by the Department. The delays experienced during Q3 affected Q4 targets and as such, this has resulted in the planned Q4 targets being missed.	The process with consultation has started, and the Bill will be re-introduced to Parliament
	Revised General Policy on the allocation of Fishing Rights	Fisheries Management policies developed and approved	Final list of successful WCRL Nearshore application published. Provisional list of successful WCRL Offshore application published. Appeals finalised in 3 sectors	N/A	Revised General Policy on the Allocation of Fishing Rights approved	Revised General Policy on the Allocation of Fishing Rights not yet approved.	Delays in Supply Chain Management processes and stoppage by the Government Printers in publication and gazetting of Bids resulted in delays in re-advertising the Bids for the appointment of service providers.	Bids have been advertised on the Departmental Websites and e-Tender. The department secured the services of Advocates through the State Attorney to assist with Stage 1 of FRAP. Process of completion of Phase 1 of the Socio- Economic Impact Assessment in underway.
	Final report on NEDLAC consultation	National Freshwater (inland) Wild Capture Fisheries Policy developed and approved	Stakeholder consultation process	N/A	NEDLAC consultation on National Freshwater (inland) Wild Capture Fisheries Policy finalised	NEDLAC consultation on National Freshwater (inland) Wild Capture Fisheries Policy finalised.	None.	None.

PROGRAMME 9: FISHERIES MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Effective and enabling regulatory framework for the management and development of marine and freshwater living resources (oceans, coasts, rivers, and dams.)	Approved Revenue models	Marine Living Resources Fund (MLRF) revenue model developed and approved	N/A	N/A	New MLRF revenue streams/fees gazetted	Draft gazette for new MLRF revenue streams/fees prepared and is on route for approval.	Capacity Challenges, target has dependencies not in control of the Department.	No corrective measures can be implemented as these processes have legal implications if shortened.
A well-managed fisheries and aquaculture sector that sustains and improves economic growth and development	Final reports on research studies	Number of aquaculture research studies conducted to improve competitiveness and sustainability of the aquaculture sector	26	N/A	5 research studies conducted <ul style="list-style-type: none"> • Research study on new candidate species (clams) • Research study on diagnostics for existing, new and emerging pathogens • Research study on food safety for new aquaculture species (sea urchins) • Research study for climate change and aquaculture • Research study on aquaculture animal disease prevention 	1 research study conducted and four are underway.	<ul style="list-style-type: none"> • Study 1: A gap in data remains due to restriction of travel and undertaking field samples during Q1. • Study 2: The PCR runs to optimise analytical sensitivity was constrained due to shortage in reagents (procurement and contamination in Q3) and the student being booked off as a result of COVID-19 in January 2021. • Study 3: The last objective in terms of testing food safety parameters on farms was delayed due to limitation of animals as a result of COVID-19 lock-down and delays in terms of building and implementing the pilot on-farm sites. • Study 5: COVID-19 and the national lock-down that was implemented at the end of March 2020 resulted in a shift in some of the deliverables for this project. 	<ul style="list-style-type: none"> • Study 1: Further sampling will be undertaken to obtain a full picture of spawning cycle and relationship with environmental variables. This will be done simultaneously with spawning trials. • Study 2: Further reagents have been ordered to undertake further PCR runs to improve analytical sensitivity. • Study 3: Samples taken from the Lab will be used as control samples. Enough animals are available to undertake farm trials in Buffelsjags once the system is built in May 2021. • Study 5: The samples taken from the trout will be further analysed to ascertain cause of high mortality. New fish will be sourced once this is clear and feed trial completed.

PROGRAMME 9: FISHERIES MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
	Projects in production phase	Number of Operation Phakisa registered aquaculture projects in production phase	N/A	N/A	4	4 Operation Phakisa registered aquaculture projects in production phase: <ul style="list-style-type: none"> • Mika Growers (Pty) Ltd • MMM Agriconsult (Pty) Ltd • Xesibe Aquaculture (Pty) Ltd • Blue Lagoon Products (Pty) Ltd 	None	None
	Functional proclaimed fishing harbours	Number of proclaimed fishing harbours which are functional	12 proclaimed fishing harbours operational	N/A	12 proclaimed fishing harbours operational	12 proclaimed fishing harbours operational	None	None
	Inspection reports on the number of inspections conducted	Number of inspections conducted in the 6 priority fisheries (hake; abalone; rock lobster; line fish, squid and pelagic)	6486	N/A	5500 inspections conducted per annum	5 886 inspections conducted in the 6 priority fisheries	Planned target exceeded with additional 386 inspections with no impact on resources earmarked for other priorities	None
	Inspections conducted on verifications of right holders and verifications documents	Number of verifications of right holders conducted	276	N/A	280 verifications.	295 verifications of right holders conducted.	Planned target exceeded with additional 15 verifications with no impact on resources earmarked for other priorities.	None

PROGRAMME 9: FISHERIES MANAGEMENT (CONTINUED)

OUTCOMES	OUTPUT	OUTPUT INDICATOR	AUDITED ACTUAL PERFORMANCE (2018/19)	AUDITED ACTUAL PERFORMANCE (2019/20)	ANNUAL TARGET 2020/21	PROGRESS/ ACHIEVEMENT AGAINST TARGET	COMMENTS (CHALLENGES /EXPLANATIONS ON VARIANCES)	CORRECTIVE MEASURES
Socio-economic conditions for fishing communities Improved	Developed implementation plan for Small-scale aquaculture support programme	Small-scale aquaculture support programme developed and implemented.	N/A	N/A	Small-scale Aquaculture Support Programme Implementation Plan developed and approved	Small-Scale Aquaculture Support Programme Implementation Plan developed and approved.	None	None
	Allocated Small-Scale fishing rights	Number of small scale fishing cooperatives allocated fishing rights	Support to register Small-Scale fisheries cooperatives.	N/A	Small-Scale Fishing rights allocated to cooperatives in Western Cape	Small scale fishing rights not allocated.	The department took a decision to apply to High Court to review and set aside the entire process of verification and recognition of small-scale fishers in the Western Cape.	The department will await the outcomes of the court process. Should the court order be granted in favour of the department, a new verification and recognition process, rights allocation and appeals process will be facilitated.
	Draft Integrated Development Support Strategy	Integrated Development Support programme for small-scale fishers developed and implemented	N/A	N/A	Draft Integrated Development Support Strategy developed	Integrated Development Support Strategy Approved.	None	None
	Approved Alternative Livelihoods concept plan	Alternative Livelihood Strategy developed for fishing communities	N/A	N/A	Alternative Livelihoods concept plan approved	Alternative Livelihoods Strategy approved	None	None

SUMMARY OF PROGRAMME PERFORMANCE: FISHERIES MANAGEMENT

Summary of Programme Performance: The Annual Performance Plan of Programme 9 for the 2020/21 financial year had a total of 13 annual targets. Eight (8) of the planned 13 targets were achieved (62%), three (3) were partially achieved (23%) and the remaining 2 targets were not achieved (15%).

REPORTING ON THE INSTITUTIONAL RESPONSE TO THE COVID-19 PANDEMIC

In response to the Covid-19 pandemic, Management of the Department has implemented a number of internal control measures to ensure compliance with the Department of Health Covid-19 protocols in order to manage and mitigate the spread of the virus. This measure included the following:

- Setting up of internal structures, COVID 19 Steering Committee and expanded the scope of the Occupational Health and Safety Committee to manage matters related to Covid-19.
- Purchasing of various personal protective equipment to relevant employees depending on nature the job functions. These included hand sanitizers, face masks, both cloth and disposable, temperature screening equipment, reviewing sitting arrangements to ensure appropriate social distancing.
- Screening of visitors and employees at reception points of Department offices.
- Raising awareness on the COVID 19 and frequent communication messages disseminated to employees.
- Recording of Covid 19 cases and providing support to employees who contracted Covid-19 virus and tracking as well as reporting on recovery and mortality rates.
- Implementing rotations schedules during different national lock-down levels to ensure that only appropriate number of employees are at work on each working at the respective offices of the Department.
- Weekly health and safety assessments in various facilities to measure compliance.

LINKING PERFORMANCE WITH BUDGETS

PROGRAMME 1: ADMINISTRATION

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Management	112 117	95 869	16 248	56 007	56 007	0
Corporate Management Services	466 684	442 469	24 215	511 096	511 096	0
Financial Management Services	112 844	112 388	456	93 175	93 175	0
Office Accommodation	464 926	373 304	91 622	251 604	249 955	1 649
Total	1 156 571	1 024 030	132 541	911 882	910 233	1 649

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Regulatory Compliance And Sector Monitoring Management	14 632	14 632	0	15 336	15 336	0
Compliance	41 288	38 757	2 531	43 434	43 434	0

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Integrated Environmental Authorisations	49 059	47 433	1 626	61 665	61 665	0
Enforcement	63 770	60 263	3 507	64 838	64 445	393
Litigation And Legal Support	19 315	17 470	1 845	12 554	12 554	0
Law Reform And Appeals	16 269	14 076	2 193	22 668	22 668	0
Total	204 333	192 631	11 702	220 495	220 102	393

PROGRAMME 3: OCEANS AND COASTS

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Oceans And Coasts Management	16 391	12 305	4 086	23 448	23 448	0
Integrated Coastal Management And Coastal Conservation	37 729	37 551	178	27 381	27 381	0
Oceans And Coastal Research	109 330	104 185	5 145	105 402	100 902	4 500
Oceans Economy And Project Management	44 623	36 489	8 134	94 186	62 662	31 524
Specialist Monitoring Services	269 760	242 982	26 778	244 228	244 228	0
Total	477 833	433 512	44 321	494 645	458 621	36 024

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY & SUSTAINABLE DEVELOPMENT

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Climate Change, Air Quality And Sustainable Development Management	9 793	3 715	6 078	10 410	10 410	0
Climate Change Mitigation	0	0	0	9 594	9 594	0
Climate Change Adaptation	8 988	8 988	0	10 356	10 356	0
Air Quality Management	49 661	49 661	0	49 959	49 959	0
South African Weather Service	340 038	340 038	0	204 074	204 074	0

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
International Climate Change Relations And Reporting	10 072	10 072	0	12 918	12 918	0
Climate Change Monitoring And Evaluation	26 422	26 422	0	5 961	5 961	0
International Governance And Resource Mobilisation	49 620	42 674	6 946	146 979	146 979	0
Knowledge And Information Management	30 309	30 309	0	0	0	0
Environmental Sector Performance	78 734	78 734	0	0	0	0
Total	603 637	590 613	13 024	450 251	450 251	0

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Biodiversity And Conservation Management	14 169	14 114	55	24 192	24 192	0
Biodiversity Management And Permitting	35 116	30 076	5 040	31 856	31 856	0
Protected Areas Systems Management	33 022	21 541	11 481	26 659	26 610	49
Isimangaliso Wetland Park Authority	149 786	149 786	0	36 076	36 076	0
South African National Parks	1 235 339	1 235 339	0	277 224	277 224	0
South African National Biodiversity Institute	358 865	358 865	0	344 079	344 079	0
Biodiversity Monitoring Specialist Services	15 625	13 016	2 609	22 778	22 778	0
Biodiversity Economy And Sustainable Use	65 643	28 560	37 083	34 032	34 032	0
Total	1 907 565	1 851 297	56 268	796 896	796 847	49

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Environmental Protection And Infrastructure Programme	1 491 415	893 678	597 737	1 536 799	1 536 799	0
Natural Resource Management	2 184 638	1 763 004	421 634	2 131 149	2 115 577	15 572
Green Fund	32 286	0	32 286	61 000	21 325	39 675
Environmental Programmes Management	147 963	109 545	38 418	201 291	201 291	0
Information Management And Sector Coordination	58 428	56 943	1 485	66 539	66 539	0
Total	3 914 730	2 823 170	1 091 560	3 996 778	3 941 531	55 247

PROGRAMME 7: CHEMICALS & WASTE MANAGEMENT

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Chemicals And Waste Management	47 801	17 279	30 522	16 742	16 742	0
Hazardous Waste Management And Licensing	56 226	25 951	30 275	73 863	73 863	0
Integrated Waste Management And Strategic Support	80 374	17 243	63 131	20 288	20 288	0
Chemicals And Waste Policy, Evaluation And Monitoring	18 299	15 006	3 293	16 619	16 619	0
Chemicals Management	20 625	20 589	36	24 241	24 241	0
Waste Bureau	383 413	300 279	83 134	460 971	437 309	23 662
Total	606 738	396 347	210 391	612 724	589 062	23 662

PROGRAMME 8: FORESTRY MANAGEMENT

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Forestry Management	2 825	2 825	0	0	0	0
Forestry Operations	522 653	478 965	43 688	0	0	0
Forestry Development And Regulation	68 425	34 135	34 290	0	0	0
Total	593 903	515 925	77 978	0	0	0

PROGRAMME 9: FISHERIES MANAGEMENT

SUBPROGRAMME	2020/2021			2019/2020		
	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE	FINAL APPROPRIATION	ACTUAL EXPENDITURE	UNDER EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000
Fisheries Management	60 900	60 900	0	0	0	0
Aquaculture And Economic Development	40 113	40 113	0	0	0	0
Monitoring, Control And Surveillance	89 629	89 629	0	0	0	0
Marine Resource Management	26 907	26 907	0	0	0	0
Fisheries Research And Development	61 175	61 175	0	0	0	0
Marine Living Resources Fund	193 767	193 767	0	0	0	0
Total	472 491	472 491	0	0	0	0

5. TRANSFER PAYMENTS

Transfer payments to Public Entities

NAME OF PUBLIC ENTITY	SERVICES RENDERED BY THE PUBLIC ENTITY	AMOUNT TRANSFERRED TO THE PUBLIC ENTITY	AMOUNT SPENT BY THE PUBLIC ENTITY	ACHIEVEMENTS OF THE PUBLIC ENTITY
South African Weather Service (SAWS)	Weather services, climate and related products (disseminating weather services product)	343 038	343 038	Weather forecasts, equipment upgrade
iSimangaliso Wetland Park Authority	Heritage Site Management	258 267	258 267	Management of the iSimangaliso Wetland ecosystem, job creation through EPWP projects, clearing alien invasive plants
South African National Parks (SANParks)	Conservation management through the national parks system	1 550 839	1 550 839	Management of National Parks and conservation of ecosystems, job creation through EPWP projects, clearing alien invasive plants
South African National Biodiversity Institute (SANBI)	Biodiversity Management	476 044	476 044	Management of Biological Gardens, Biosecurity, Management of National Zoological Garden, clearing alien invasive plants, job creation through EPWP projects
Marine Living Resources Fund (MLRF)	Protecting marine and coastal ecosystems	193 767	193 767	Conservation of marine ecosystems, management of sustainable utilisation of marine living resources, aquaculture development.

TRANSFER PAYMENTS TO ALL ORGANISATIONS OTHER THAN PUBLIC ENTITIES

Transfer payments made for the period 1 April 2020 to 31 March 2021

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE USED	DID THE DEPT. COMPLY WITH S38(1)(J) OF THE PFMA	AMOUNT TRANSFERRED R'000	AMOUNT SPENT BY THE ENTITY R'000	REASONS FOR THE FUNDS UNSPENT BY THE ENTITY
National Regulator for Compulsory Specifications	Departmental Agency	Financial Contribution	Yes	12 743	12 743	n/a
Forest Sector Charter Council	Public corporation	Financial Contribution	Yes	5 206	5 206	n/a
Various Private Enterprises	Private enterprises	Implementation of the Recycling Enterprise Support Programme	Yes	2 749	2 749	n/a
Global Environmental Fund	Foreign Government	International membership fee	Exempted	23 500	23 500	n/a
Various International Bodies	Foreign Government	International membership fee	Exempted	9 388	9 388	n/a
National Association for Clean Air	Non-profit organisation	Financial contribution	Yes	1 400	1 400	n/a
KwaZulu-Natal Conservation Board	Non-profit organisation	Financial contribution	Yes	1 287	1 287	n/a
African World Heritage Fund	Non-profit organisation	Financial contribution	Yes	1 000	1 000	n/a
Environmental Assessment Practitioner Association of South Africa	Non-profit organisation	Financial contribution	Yes	2 583	2 583	n/a
Various Municipalities	Municipalities	Vehicle Licences	Yes	101	101	n/a
Social Benefits	Households	Leave gratuity and severance packages	Yes	9 882	9 882	n/a
Other transfers	Households	Bursaries non-employees, claims against the state and donations	Yes	859	859	-

Transfer payments which were budgeted for in the period 1 April 2020 to 31 March 2021, but no transfer payments were made

NAME OF TRANSFEREE	TYPE OF ORGANISATION	PURPOSE FOR WHICH THE FUNDS WERE TO BE USED	AMOUNT BUDGETED FOR (R'000)	AMOUNT TRANSFERRED (R'000)	REASONS WHY FUNDS WERE NOT TRANSFERRED
Development Bank of South Africa	Public Corporation	Implementation of Green Fund projects	28 137	0	The function was transferred to the Development Bank of South Africa. Sufficient funds transferred in previous periods for implementation of Green Fund projects.
Various Private Enterprises	Private enterprises	Implementation of the Recycling Enterprise Support Programme	5 873	2 749	Not all funds transferred due to non-compliance of beneficiaries and process not finalised for transfer before 31 March 2021

6. CONDITIONAL GRANTS

None

7. DONOR FUNDS

DONOR FUNDS RECEIVED

Donor Fund: Botswana: Benguela Coastal Current Large Marine Ecosystem Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	Botswana
Full amount of the funding	R375 908,16
Period of commitment	Project closed
Purpose of funding	To give effect to the Strategic Action Programme by establishing a Benguela Current Commission in order to establish a formal institutional structure for co-operation between the contracting parties that will facilitate the understanding, protection, conservation and sustainable use of the Benguela Current Large Marine Ecosystem by the contracting parties and to further the objectives recorded in the Strategic Action Programme.
Expected outputs	Established Benguela Current Commission
Actual outputs achieved	The Benguela Current Commission was established and stationed in Swakopmund, Namibia
Amount received (R'000)	R375 908,16
Amount spent by the department (R'000)	R00,00
Reasons for the funds unspent	Donor funded project completed in previous period, final amount received from RDP Account, National Treasury and project closed.
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Benguela Coastal Current Large Marine Ecosystem Programme

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	R1 142 349,03
Period of commitment	Project closed
Purpose of funding	To give effect to the Strategic Action Programme by establishing a Benguela Current Commission in order to establish a formal institutional structure for co-operation between the contracting parties that will facilitate the understanding, protection, conservation and sustainable use of the Benguela Current Large Marine Ecosystem by the contracting parties and to further the objectives recorded in the Strategic Action Programme.
Expected outputs	Established Benguela Current Commission
Actual outputs achieved	The Benguela Current Commission was established and stationed in Swakopmund, Namibia
Amount received (R'000)	R1 196 133,38 (The amount of R53 784,35 returned to RDP Account, National Treasury for refunding donor)
Amount spent by the department (R'000)	R00,00
Reasons for the funds unspent	Donor funded project completed, final amount received from RDP Account, National Treasury and project closed.
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Germany: Climate Support Programme

NAME OF DONOR	GERMANY
Full amount of the funding	EU 318 025,91
Period of commitment	1 April 2017 – 29 March 2019 (extended till 31 June 2021)
Purpose of funding	Finance the near-term priority flagship programme unit and an IT-expert for the implementation of the climate change web-based monitoring and evaluation system.
Expected outputs	Implementation of climate change web-based monitoring and evaluation system
Actual outputs achieved	Climate change web-based monitoring and evaluation system implemented
Amount received (R'000)	R4 272 000,00
Amount spent by the department (R'000)	R1 857 000,00
Reasons for the funds unspent	Donor funded project to be completed in 2021/2022 financial year, final amount to be received from Donor and RDP Account, National Treasury to close project in next period.
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Germany: Strengthening Ecosystem Based Adaptation Project

NAME OF DONOR	GERMANY
Full amount of the funding	R1 300 000,00
Period of commitment	7 November 2017 – 31 March 2020 (extended till 30 April 2021)
Purpose of funding	Provide support to the coordination and implementation of the South African Ecosystem-based Adaptation Strategy
Expected outputs	Strengthening Ecosystem Based Adaptation
Actual outputs achieved	Implemented South African Ecosystem-based Adaptation Strategy
Amount received (R'000)	R650 000,00
Amount spent by the department (R'000)	R1 172 000,00
Reasons for the funds unspent	Donor funded project to be completed in 2021/2022 financial year, final amount to be received from Donor and RDP Account, National Treasury to close project in next period.
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Australia: Funding Agreement in Relation to South Africa Land Sector

NAME OF DONOR	AUSTRALIA
Full amount of the funding	R7 262 000
Period of commitment	March 2013 to February 2015 (extended to 31 March 2017)
Purpose of funding	Land sector measurements reporting and verification capacity building project
Expected outputs	Established MRV capacity building project
Actual outputs achieved	Established MRV capacity building project
Amount received (R'000)	R24 000,00 from RDP account, National Treasury to close the project
Amount spent by the department (R'000)	R00,00 (Project finalised in previous periods)
Reasons for the funds unspent	Donor funded project completed in 2016/2017 financial year, amount of R24 000 requested from RDP account at National Treasury. Project closed.
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: Flanders: Adaptive Capacity Facility

NAME OF DONOR	FLANDERS
Full amount of the funding	Euro 3 999 942
Period of commitment	12 November 2018 – 11 November 2024
Purpose of funding	To enhance climate resilience by reducing human vulnerability and building human adaptive capacity through implementation of transformative and systemic climate change responses.
Expected outputs	To enhance knowledge and understanding of systemic and transformative climate change responses by stakeholders in South Africa.
Actual outputs achieved	Project in process
Amount received (R'000)	R2 549 000,00
Amount spent by the department (R'000)	R2 544 000,00
Reasons for the funds unspent	Funds received spent during 2020/21 financial year. Further tranche expected to be transferred by Donor during 2021/22 financial year.
Monitoring mechanism by the donor	Reporting on progress to Donor

Donor Fund: United Nations: Implementation of the Stockholm Convention on POPs

NAME OF DONOR	UNITED NATIONS
Full amount of the funding	US\$ 60 900
Period of commitment	Project ended 30 April 2009
Purpose of funding	Enabling activities for the development of a National Plan for Implementation of the Stockholm Convention on POPs in South Africa
Expected outputs	National Plan for Implementation of the Stockholm Convention on POPs in South Africa
Actual outputs achieved	National Plan developed and implemented
Amount received (R'000)	R654 000,00 received from RDP account at National Treasury to close the project
Amount spent by the department (R'000)	R0,00 Expenditure incurred in previous periods
Reasons for the funds unspent	Funds received from RDP account at National Treasury and project closed.
Monitoring mechanism by the donor	Project closed.

Donor Fund: United Nations Development Programme: Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species in South Africa: target: Rhinoceros

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R30 304 738
Period of commitment	May 2014 – April 2019 (extended till 31 December 2019)
Purpose of funding	Improve the effectiveness of efforts to combat wildlife crime in South Africa's protected areas system, focussed on the rhinoceros, through improved forensic technologies and capacity strengthened data catering, sharing and analysis systems at national level to support law efforts along the whole trafficking chain.
Expected outputs	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species in South Africa: target: Rhinoceros.
Actual outputs achieved	Strengthened Law Enforcement Capabilities to Combat Wildlife Crime especially with regards to Rhinoceros
Amount received (R'000)	R1 172 000,00
Amount spent by the department (R'000)	R00,00
Reasons for the funds unspent	Funds received from RDP account at National Treasury and project closed.
Monitoring mechanism by the donor	Project closed.

Donor Fund: United Nations Development Programme: Payment for Watershed Services

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R646 718,30
Period of commitment	Not specified
Purpose of funding	Payment for Watershed Services
Expected outputs	Watershed Services
Actual outputs achieved	Watershed Services delivered
Amount received (R'000)	R00,00
Amount spent by the department (R'000)	R24 000,00 The balance in departmental account paid to RDP Account at National Treasury
Reasons for the funds unspent	Balance of R24 000,00 was returned to RDP Account at National Treasury to pay back to Donor
Monitoring mechanism by the donor	Project closed.

Donor Fund: United Nations Environment Programme: Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions

NAME OF DONOR	UNITED NATIONS ENVIRONMENT PROGRAMME
Full amount of the funding	USD 35,048,00
Period of commitment	2009
Purpose of funding	Regional Awareness Raising Workshop on enhancing cooperation and coordination for the implementation of the Basel, Rotterdam and Stockholm Conventions
Expected outputs	Regional Awareness Raising Workshop
Actual outputs achieved	Regional Awareness Raising Workshop held 28-30 October 2009
Amount received (R'000)	R00,00 Funds requested. R346 000,00 requested not received in time 2020/21 financial year
Amount spent by the department (R'000)	R0,00 Expenditure incurred in previous periods. Project finalised
Reasons for the funds unspent	Funds received from RDP account at National Treasury and project closed.
Monitoring mechanism by the donor	R346 000,00 requested not received in time 2020/21 financial year. Account to be closed during 2021/2022 financial year.

Donor Fund: United Nations Development Programme: South Africa: Enabling Activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	R21 768 282
Period of commitment	August 2014 – June 2019
Purpose of funding	Undertake national stocktaking and stockholder consultations to review work carried out under previous climate change enabling activities and identify gaps and propose relevant activities to be undertaken within the framework of preparing the TNC under the UNFCCC.
Expected outputs	Preparation of Third National Communications (TNC) and Biennial Update (BUR)
Actual outputs achieved	Implemented activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)
Amount received (R'000)	R11 442 000,00
Amount spent by the department (R'000)	R0,00 Expenditure incurred in previous periods. Project finalised
Reasons for the funds unspent	Funds received from RDP account at National Treasury and project closed.
Monitoring mechanism by the donor	Final report to Donor and project closed.

Donor Fund: United Nations Development Programme: 6th Session of the African-Eurasian Migrating Waterbirds Meeting of Parties

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Name of donor	United Nations Development Programme
Full amount of the funding	USD 108,350
Period of commitment	15 June 2015 – 30 September 2015
Purpose of funding	Facilitating the 6th national dialogue of the African-Eurasian Migrating Waterbirds Meeting of Parties
Expected outputs	Hosting of the 6th Session of the African-Eurasian Migrating Waterbirds
Actual outputs achieved	6th Session of the African-Eurasian Migrating Waterbirds hosted
Amount received (R'000)	R00,00
Amount spent by the department (R'000)	R4 000,00 The balance in departmental account paid to RDP Account at National Treasury.
Reasons for the funds unspent	Balance of R4 000,00 was returned to RDP Account at National Treasury to pay back to Donor
Monitoring mechanism by the donor	Project closed.

Donor Fund: Norway: Capacity Development within the South African National Greenhouse Gas Inventory Unit

NAME OF DONOR	NORWAY
Full amount of the funding	R7 961 186,77
Period of commitment	1 March 2016 – December 2018 (Extended till 31 March 2020)
Purpose of funding	Building capacity of (GHG) inventory unit to establish a national system of data collection and monitoring and evaluation system.
Expected outputs	Capacity development within the South African National Greenhouse Gas Inventory Unit
Actual outputs achieved	Capacity developed within the South African National Greenhouse Gas Inventory Unit
Amount received (R'000)	R1 581 000,00 received from RDP Account at National Treasury to close the project
Amount spent by the department (R'000)	R00,00 spent. Expenditure already incurred in previous periods as project finalised
Reasons for the funds unspent	Project finalised and no further expenditure to be incurred.
Monitoring mechanism by the donor	Project finalised and closed.

Donor Fund: United Nations Development Programme: Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	USD 4 886 009
Period of commitment	7 February 2019 – 31 August 2024
Purpose of funding	Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa
Expected outputs	Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa
Actual outputs achieved	Project in progress
Amount received (R'000)	R11 798 000,00 received from RDP Account at National Treasury
Amount spent by the department (R'000)	R11 927 000,00
Reasons for the funds unspent	Project to continue in future reporting periods
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: Capacity Building Initiative for Transparency (CBIT) Project

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	USD 1 100 000
Period of commitment	4 March 2019 – 31 January 2023
Purpose of funding	Building capacity initiative for Transparency (CBIT) Project
Expected outputs	Capacity Building Initiative for Transparency (CBIT) Project
Actual outputs achieved	Project in progress
Amount received (R'000)	R00,00 Funds requested from RDP Account at National Treasury not received before 31 March 2021
Amount spent by the department (R'000)	R839 000,00
Reasons for the funds unspent	Project to continue in future reporting periods
Monitoring mechanism by the donor	Reporting to Donor

Donor Fund: United Nations Development Programme: 6th National Report to the Convention on Biodiversity

NAME OF DONOR	UNITED NATIONS DEVELOPMENT PROGRAMME
Full amount of the funding	USD 150 000
Period of commitment	25 July 2018 – 31 December 2019 (Extended till 30 June 2020)
Purpose of funding	Support to produce the Sixth National Report to the Convention on Biological Diversity (CBD)
Expected outputs	Sixth National Report to the Convention on Biological Diversity (CBD)
Actual outputs achieved	Sixth National Report to the Convention on Biological Diversity (CBD)
Amount received (R'000)	R1 604 000,00
Amount spent by the department (R'000)	R1 604 000,00
Reasons for the funds unspent	Project finalised and report submitted
Monitoring mechanism by the donor	Project finalised and report submitted

Donor Fund: European Union: iThemba Phakama People PPP Model for Human Development and Inclusive Environmental Economic Growth

NAME OF DONOR	EUROPEAN UNION
Full amount of the funding	R23 274 000,00
Period of commitment	2019/2020 – 2021/2022
Purpose of funding	To facilitate empowering youth and women living in historically disadvantaged communities to contribute towards the environmental protection, conservation and sustainability by providing skills development, sustainable livelihoods and capacity for sustainable income generating activities and improving their lives through the environmental economy.
Expected outputs	Enhanced environmental education, empowerment and job creation to transition to an environmentally sustainable future
Actual outputs achieved	Project in progress
Amount received (R'000)	R00,00
Amount spent by the department (R'000)	R6 355 000,00
Reasons for the funds unspent	Project in progress
Monitoring mechanism by the donor	Reporting to Donor

PART C

GOVERNANCE



PART C: GOVERNANCE

1. INTRODUCTION

The Department is Committed to maintain the highest standards of governance as this is fundamental to the management of public finances and resources. As required in terms of the provisions of the Public Finances Management Act, the department has developed and implemented effective, efficient and transparent systems of financial, risk management, internal control and maintains a system of internal audit under the control and direction of an audit committee complying with and operating in accordance with relevant regulations and instructions.

2. RISK MANAGEMENT

The Department follows an integrated approach towards enterprise risk management and has adopted the Public Service Risk Management Framework in developing the approved Risk Management Policy and Strategy. During the planning process, strategic and operational risks are identified. Risks are continuously monitored to determine, amongst other things, new and emerging risks for the Department. The Risk Management and Ethics Committee provides assurance to the Director-General and the Audit and Risk Committee that risks are managed effectively. The Audit and Risk Committee provides independent and objective assurance on the effectiveness of enterprise risk management. Progress on risk action plans is monitored quarterly by the Risk Management Unit in line with the Risk Appetite Statement of the Department. The analysis and status of the risks are presented to the Risk Management and Ethics Committee, Audit and Risk Committee and Senior Managers of the Department. Risk management surveys are conducted annually to determine the progress/ understanding of risk management in the organisation. There is progress in the management of risks as some of the high risk areas have moved to a medium/ low impact. Business units have made risk management part of their reporting.

The table below provide details on the 2020/21 strategic risks, mitigation plans and progress

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
1	Environmental sustainability and resilience compromised	Inadequate implementation of the National Climate Change Response strategy.	<ul style="list-style-type: none"> Finalisation of the National Climate Change Response Act 	<ul style="list-style-type: none"> Climate Change Bill submitted to State Law Advisor for Pre-certifications. Consultations at NEDLAC concluded and Draft Cabinet Submission Developed. Socio-Economic Impact Assessments Study approved by Presidency.
		Inadequate transformation of the biodiversity sector	<ul style="list-style-type: none"> Enhanced partnerships training and capacity building projects to mitigate wildlife crime 	<ul style="list-style-type: none"> 8 (Cape Nature, Isimangaliso, ECPTA, DENC, SANParks, NWPB, LEDET, MP) of the 11 Management Authorities submitted data online and 3 (FS, GDARD, EKZN Wildlife) Management Authorities submitted the data manually/ offline.
		Inadequate management of hazardous chemicals	<ul style="list-style-type: none"> Implementation of national chemicals awareness strategy and promotion of compliance 	<ul style="list-style-type: none"> 3 joint awareness and compliance promotion of servicing technicians held in Pretoria, Kempton Park and Durban
		Inadequate systems and resources to implement and monitor existing legislation (regulatory frameworks)	<ul style="list-style-type: none"> Amended National Estuarine Management Protocol gazetted for public comments. Management planning and MPA's gazetted Marine spatial planning and governance system developed and implemented 	<ul style="list-style-type: none"> The NEMP submitted for final gazette.

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
2.	Failure of corporate governance and adverse audit opinions	<ul style="list-style-type: none"> Inadequate / limited internal controls for organisational performance Poor cooperation and implementation of controls by stakeholders (Branches) Capacity of responsible officials to implement the controls Failure of systems of performance management controls Increased numbers of sustained audit findings 	<ul style="list-style-type: none"> Quarterly verification of performance information Improvements on systems of internal control for performance information Implementation of all agreed internal audit recommendations 	<ul style="list-style-type: none"> Quarterly performance information verified, feedback provided to branches and systems of internal controls were improved. Implementation of internal and external audit recommendations and other improvements based on audit lessons facilitated
3.	Inadequate project management	<ul style="list-style-type: none"> Inadequate project management policy Inadequate contract governance model Failure to comply with accounting requirements (MCS) Misalignment between MOA and required objectives of EPWP, and misalignment between the contracts/MOA and the what it is that we are measuring (lack of compliance) Inappropriate contract administration/ management, misalignment between invoices paid and APO and duplicate payments Lack of enforcement of penalties Delays in the implementation of projects Inadequate capacity Inadequate skills Not achieving socioeconomic targets Poor quality of work by implementers Delays in the implementation of projects Underspending of budgets 	<ul style="list-style-type: none"> Goods and services approach for all new contracts Articulate MCS requirements in the APP and in the allocation letters w.r.t Public Entities Development and implementation of project management policy for the Branch Existing contracts to conform to the Goods & Services contracting (Legal Capacity sourced to allow for addendums and Accountants sourced for financial compliance) Improved contract management and administration processes and management Segregation of duties to be clarified and documented 	<ul style="list-style-type: none"> 13 % achieved (46 of 361 Active Projects) during the reporting period. (24) EP Quality Verifications and (22) Quality Assessment reports completed and send to EPIP and NRM management with recommended corrective actions to be implemented within 30 working days. 120 (33%) Cumulative Verifications & Assessments out of 361 Projects excluding Infrastructure projects

RISK NO	STRATEGIC RISK IDENTIFIED	RISK CAUSES	PLANNED MITIGATION	PROGRESS ON THE IMPLEMENTATION OF THE MITIGATION PLANS
4.	ICT services disrupted/security breaches	<ul style="list-style-type: none"> Planned maintenance and upgrades Unplanned power outages SLA's with service providers (office hours) not in line with 24/7 availability required by business Non-compliance to approved IT standards and policies Dependencies on other service providers (SITA) Systems having vulnerabilities (outstanding security patches) Unsupported (Antiquated) systems present in the environment Lack of comprehensive monitoring and vulnerability assessment tool (currently being done by SITA) Internal and external threats 	<ul style="list-style-type: none"> Regular integrity testing of backups Testing of the disaster recovery plan Implementation of the Patch Management Policy Internal initiatives for managing security (firewall, anti-virus) Review firewall logs Implement firewall rules improvements Conduct bi-annual vulnerability scans and implement recommendations 	<ul style="list-style-type: none"> Integrity testing of backups done Disaster Recovery Plan approved
5	Irregular expenditure	Non-compliance to the SCM Regulations, policies and procedures	<ul style="list-style-type: none"> Monitoring the procurement plan to ensure timeous initiation of procurement processes to avoid possible extension of current contracts Training of the SCM personnel and all Bid committee members in SCM regulations, policies, procedures and other prescripts (including the Construction Industry Development Board Act No 38 of 2000) Subject 100% of the SCM transactions to a compliance test through a checklist designed for >R500K and 	<ul style="list-style-type: none"> Contract register monitored, sent to branches and reminded branches to submit close out reports if contracts have ended or prepared in advance for contracts prior to end of the contract to avoid possible extension after the contract has ended. All reported Irregular Expenditure cases investigated and processes followed based on the process of appointing the audit firms to serve as the determination committee for Irregular, Fruitless and Wasteful Expenditure on progress to augment the capacity of the current determination Committee to conclude on the cases 100% compliance with the checklist and continuously improve the checklist Checklists have been reviewed to address previous internal & external audit findings raised with regard to compliance to processes.

3. FRAUD AND CORRUPTION.

The Department has an approved Fraud and Corruption Policy which includes a Fraud Prevention Strategy and Response Plan as well as an Investigation Protocol, which is implemented effectively through awareness campaigns and investigations. The Department implemented an internal Whistleblower email to encourage any person to report suspected fraud and corruption. The Department supports the National Anti-Corruption Hotline (NACH) which is administered by the Public Service Commission and employees are encouraged to make confidential disclosures

in relation to suspected fraud and corruption to the Hotline as an alternative to the internal reporting facilities. The Environmental Hotline administered by the Department, is used for the reporting of allegations of environmental crimes. Extensive awareness drives to communicate the various mechanisms to report allegations of fraud and corruption have been conducted with employees and other stakeholders including the installation of posters at strategic entry points to the department and the inclusion of the National Anti-Corruption Hotline number on Supply Chain Management Order Forms. Awareness campaigns have proved to be effective and have improved the participation of employees in uncovering and dealing with fraud and/or corruption. All allegations of fraud and corruption are either investigated or have been referred to the external organisations responsible for further investigation. The Department has internal investigative capacity and has investigated allegations, with some assistance from external service providers in complex cases. In order to provide assurance on the handling of allegations, the outcomes of investigations are communicated to the Public Service Commission, where applicable, and information regarding the outcomes of the investigations are appropriately disseminated, subject to legal constraints.

4. MINIMISING CONFLICT OF INTEREST

As part of Human Resource Management practice during the recruitment and selection process, members of the selection panel are also required to declare their relationship or any potential conflict of interest, which may arise from knowing or previous association with candidates being assessed. Furthermore, the department uses the e-disclosure system which is linked to the Companies And Intellectual Property Commission (CIPC) to verify the submitted financial declarations by employees.

Submission of financial disclosure by DFFE officials

SMS MEMBERS SMS MEMBERS	DEPUTY DIRECTOR & OSD	SUPPLY CHAIN MANAGEMENT & FINANCE SECTION
187	291	98

SCM process implemented to minimise conflict of interest are as follows:

- SCM practitioners and role players sign code of conduct on an annual basis, records are kept in this regard.
- Bid Committees Sign disclosure of interest during bid specifications/evaluations and adjudication meetings.
- SCM practitioners are to declare any business, commercial and financial interests and or activities undertaken for financial gain that may raise a possible conflict of interest.
- SCM practitioners and Bid Committee members are required to recuse themselves from the SCM process where they are conflicted.

5. CODE OF CONDUCT

The Department has for purposes of interpretation and (or) application adopted in line with

appropriate framework, the Public Service Code of Conduct for implementation. Newly recruited employees in the Department orientated through the Code during induction sessions with the aim of enhancing awareness and compliance thereof. Periodic information sharing sessions are conducted throughout for senior manager, middle managers and lower level employees. Normal disciplinary measures and procedures are followed in accordance with the disciplinary code to address any contravention of the Code of Conduct including any other policies. Ethical conduct under all circumstances and at all times remain underpinned by the Department's Values as is also recognised in the Departmental Batho Pele Awards Scheme

6. HEALTH SAFETY AND ENVIRONMENTAL ISSUES

The Department has an approved internal Occupational Health and Safety Policy which is aimed at safeguarding employees and all visitors/clients through providing and maintaining as far as reasonably practical, a working environment that is safe and without risks. In order to ensure such an environment, the department continues to monitor compliance and implement preventative measures in order to maintain safe offices and equipment that pose no risk to the health of employees and visitors/clients. Health and safety standard operating procedures have been developed and safety notices displayed in the workplace.

Health and Safety Representatives, Fire Marshals and First Aiders were trained and officially appointed as emergency team for the Department in the Head Office as well and Regional Offices. Additional emergency team members were appointed in the financial year 2020/21. Continuous training is provided to employees in this regard.

Compliance Inspections are conducted in the workplace to identify and minimise hazards that will affect and expose employees and visitors/clients to health risks. Fire equipment is serviced annually and routine inspections are conducted on all the equipment to ensure that it is in working order. Continuous testing of systems is conducted to ensure its effectiveness during evacuation. Weekly walk-through inspections are conducted to verify compliance and to ensure measures are in place with regards to the management of the COVID-19 pandemic in the workplace.

Departmental Health and Safety Committees were established and are coordinated in various Branches to ensure proper implementation and compliance to the Act and regulations. Health and safety meetings were held weekly and monthly to monitor compliance with health and safety protocols.

Emergency evacuation drills were conducted to ensure that all employees are aware of the exit routes and to test the state of readiness in case of emergencies that will warrant the department to evacuate all occupants Capacity has been put in place to enforce and to monitor the implementation of the Health and Safety in the workplace. Incidents and injuries are recorded and reported to the Health and Safety Committee.

7. PORTFOLIO COMMITTEE SITTINGS

The Portfolio Committee exercises oversight over the service delivery performance of departments. Dates of meetings scheduled with Portfolio Committee on Forestry, Fisheries and the Environment in 2020/21 as follows: A total of all 27 meetings were honoured. 1 (One) oversight visits completed in addition to 7 Provincial Legislature Briefings and 7 Public Hearings.

FIRST TERM	SECOND TERM	THIRD TERM	FOURTH TERM
	July 10	October 6, 13, 20, 27	January 26
May 7, 15	August 18, 19, 20, 26	November 3, 10, 17, 24	February 2, 23
June 2, 4, 9, 17	September 1, 2		March 2, 9, 16

The department has a management system which ensures that all matters raised by the Portfolio Committee are attended to and addressed within the agreed timeframe following the meeting in which they were raised. All matters raised by the committee during the financial year under review were addressed. The department addresses matters raised in a number of ways depending on the nature of the issues.

The intervention by management includes the following:

- Providing clarity on issues raised during briefings or providing feedback/progress during the next meeting with the committee e.g. Department and Entities Annual and Quarterly Reports, poaching of South Africa's biodiversity & law enforcement efforts, small-scale and commercial fisheries programme, and Fishing Rights Allocation Process (FRAP-2020)
- Submission of progress reports to address matters raised during committee briefings e.g. on the High Level Panel to review policies, regulatory measures, practices and policy positions that are related to hunting, trade, captive keeping, management and handling of elephant, lion, leopard and rhinoceros.

8. SCOPA RESOLUTIONS

RESOLUTION NO.	SUBJECT	DETAIL	RESPONSE BY DEPARTMENT	RESOLVED (YES/NO)
None				

9. PRIOR MODIFICATIONS TO AUDIT REPORTS

PRIOR MODIFICATIONS TO AUDIT REPORTS		
NATURE OF QUALIFICATION, DISCLAIMER, ADVERSE OPINION AND MATTERS OF NON-COMPLIANCE	FINANCIAL YEAR IN WHICH IT FIRST AROSE	PROGRESS MADE IN CLEARING / RESOLVING THE MATTER*
<p>Irregular expenditure: The extent of payments made to contractors which has been identified as irregularly appointed by the department could not be verified. The department did not maintain accurate and complete records of the related expenditure incurred on separate contractual agreements with contractors to determine the irregular expenditure disclosed in the financial statements</p>	2017/18	The Department revisited the population to identify and report on all cases of irregular expenditure. Supported by payment reports, necessary corrections were effected to ensure accuracy.

PRIOR MODIFICATIONS TO AUDIT REPORTS

NATURE OF QUALIFICATION, DISCLAIMER, ADVERSE OPINION AND MATTERS OF NON-COMPLIANCE	FINANCIAL YEAR IN WHICH IT FIRST AROSE	PROGRESS MADE IN CLEARING / RESOLVING THE MATTER*
<p>Irregular expenditure: The department did not implement adequate internal control systems to identify and record all instances of irregular expenditure in both the current and prior years.</p>	<p>2017/18</p>	<p>Adhoc determination committee was established to perform, assessment, determination and investigation by conducting a test to identify possible irregularities in transactions processed and to confirm the allegations of irregular expenditure; conducting informal assessment to examine or analyse the particulars of non-compliance in order to establish the facts and to determine the loss(es) related to the transaction; analysing the particulars of non-compliance in order to establish the facts about the transaction and to determine whether any fraudulent, corrupt or criminal act/s has taken place;</p> <p>The Department revisited the population to identify and report on all cases of irregular expenditure. A central point has been established to report all alleged cases of irregular expenditure for recording and processing in terms of the framework.</p>
<p>Immovable tangible capital assets: Insufficient appropriate audit evidence obtained to confirm the disposals of immovable tangible capital assets that was constructed through the expanded public works programme (EPWP).</p>	<p>2019/20</p>	<p>The department and recipient entities are in a process to validate (value determination is still in progress) the asset handover certificates as required and prescribed by section 42 of the PFMA, once the PFMA S42 requirements are met and the transferring and receiving Accounting Officer signs off the information in the asset register will be updated.</p>
<p>NON-COMPLIANCE</p>		
<p>Material amendments made to the Annual Financial Statements submitted for audit (section 30 (1)(b) of the PFMA)</p> <p>The Annual Financial Statements were not submitted within the prescribed timeframe as required by section 40 (1)(c)(i) of the PFMA.</p> <p>Expenditure management: Effective and appropriate steps were not taken to prevent unauthorized, fruitless, and wasteful and irregular expenditure.</p> <p>Effective internal controls were not in place for approval and processing of payments, as required by treasury regulation 8.1.1.</p> <p>Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3.</p> <p>Payments were made before goods and services were received, in contravention of treasury regulation 15.10.1.2(c).</p>	<p>2017/18</p> <p>2019/20</p>	<p>Developed and implemented AFS Project Plan with clear responsibilities set for providing information, completing working papers and first and second reviewers. Compliance to timelines set in AFS Project Plan still a huge challenge.</p> <p>The Annual Financial Statements were submitted on 31 May 2021 as required by section 40 (1)(c) (i) of the PFMA.</p> <p>Compliance checklists were implemented, internal controls strengthened through segregation of duties and delegations of authorities, coupled with regular training of officials. Forms and templates for procurement below R500K and above R500K were modified to ensure that evaluation results include preference points.</p> <p>The functionality criteria have been amended to ensure that it is both objective and transparent. BEC reports are checked to ensure that scoring is in line with the criteria as advertised.</p> <p>Internal controls strengthened through segregation of duties and delegations of authorities.</p>

NON-COMPLIANCE

		<p>The Turnaround strategy was developed to establish principles for the payment of creditors within 30 days of receipt of a valid invoice which will ensure that DFFE compliance with all relevant prescripts relating to the payment of creditors.</p> <p>Project managers signs to confirm that services were rendered satisfactorily and in good condition for every invoice processed.</p>
<p>Procurement and contract management The preference point system was not applied in some of the procurement of goods and services above R30 000 as required by section 2(a) of the PPPFA and Treasury Regulations 16A6.3(b).</p> <p>Some of the contracts and quotations were awarded to bidders based on preference points that were not calculated in accordance with the requirements of the Preferential Procurement Policy Framework Act and its regulations.</p>	<p>2018/19</p>	<p>Guidance from DTI in a form of workshop was sought on acceptability of various acceptable methods of claiming B-BBEE points and correct application was effected for all procurement of goods and services above R30 000.</p>
<p>Strategic planning and performance management Specific information systems were not implemented to enable the monitoring of progress made towards achieving targets, core objectives and service delivery as required by public service regulation 25(1)(e)(i) and (iii).</p>	<p>2018/19</p>	<p>The Department developed an action plan to address all audit findings and recommendations. All the planned corrective measures which related to performance indicators and targets in the annual performance plan were effected in the 2020/21 APP. Management continues to work with the AGSA Team to ensure that the identified corrective measures are sufficient and adequately address the relevant audit findings. Performance Monitoring systems on areas of work which had audit findings have been enhanced.</p>
<p>Consequence management Insufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular, fruitless and wasteful expenditure as required by section 38(1)(h)(iii) of the PFMA.</p>	<p>2018/19</p>	<p>Ongoing forensic investigation instituted on tenders. Consequence management effected.</p> <p>Adhoc determination committee was established to perform, assessment, determination and investigation by conducting a test to identify possible irregularities in transactions processed and to confirm the allegations of irregular expenditure; conducting informal assessment to examine or analyse the particulars of non-compliance in order to establish the facts and to determine the loss(es) related to the transaction; analysing the particulars of non-compliance in order to establish the facts about the transaction and to determine whether any fraudulent, corrupt or criminal act/s has taken place; Where investigations reveal that there are officials liable, necessary steps were taken to discipline or counsel them. In some instances where necessary, cases were subjected to forensic investigation and opening of case with SAPS. Supporting documents to that effect were kept. More cases are however still undergoing investigations and as a result, consequence management will be effected upon conclusion.</p>

10. INTERNAL CONTROL UNIT

The Department does not have an internal control unit. However, a section performing the minimum internal financial controls has been established.

11. INTERNAL AUDIT AND RISK COMMITTEE REPORT DEPARTMENT OF FISHERIES, FORESTRY AND THE ENVIRONMENT

We are pleased to present our report for the financial year ended 31 March 2021.

12 AUDIT COMMITTEE REPORT

Audit and Risk Committee Members and Attendance

The Audit and Risk Committee consists of four (4) external and four (4) ex-officio members listed hereunder.

During the year under review eight (8) meetings were held which included four (4) special meetings of the ARC.

NAME OF MEMBER	INTERNAL OR EXTERNAL MEMBERS	POSITION IN THE DEPARTMENT	NUMBER OF MEETINGS ATTENDED
Prof DP vd Nest	• External	-	8
Dr P Hanekom	• External	-	6
Ms S Padayachy	• External	-	8
Ms F Tshikhudo	• External	-	8
Ms N Ngcaba	• Internal	Former Director -General	1
Ms I Abader	• Internal	Acting Director -General	6
Ms N Tshabalala	• Internal	Director-General	1
Mr R Sedumo	• Internal	CFO	8

The Auditor-General of South Africa, National Treasury, Internal Audit and the Accounting Officer were also invited to these meetings.

1 Audit & Risk Committee Responsibility

The Audit and Risk Committee reports that it has discharged its responsibilities arising from section 38(1) (a) of the PFMA and Treasury Regulations 3.1. The Audit and Risk Committee has adopted an appropriate formal term of reference as its Audit & Risk Committee Charter, has regulated its affairs in compliance with this Charter and has discharged all of its responsibilities as contained therein.

The effectiveness of internal control

As per the reports from assurance providers to the audit committee, controls have been operating as intended in some of the areas in the Department. Some areas exist where the internal control system is adequate but not effective, as identified during the internal audits conducted. The Auditor-General again identified a number of control deficiencies/non-adherence to controls during their audit. During the financial year the Audit and Risk Committee met with management on a quarterly basis to track their progress in resolving outstanding internal control issues previously raised by the Auditor-General and Internal Audit.

The Audit and Risk Committee has considered and evaluated the Internal Audit reports and is satisfied with management's responses and action plans to address the internal control matters identified through Internal Audit.

The quality of in-year management and monthly/quarterly reports submitted in terms of the PFMA

The Audit and Risk Committee has received quarterly reports prepared and issued by the Department during the year under review, it is however clear from the results of the audit by the Auditor-General that some of the performance information reported was incorrect.

Evaluation of Annual Financial Statements

The Audit and Risk Committee has:

- Reviewed and discussed the annual financial statements to be included in the annual report, with the Auditor-General South Africa and the Accounting Officer,
- Reviewed the Department's compliance with legal and regulatory provisions and noted a number of cases of non-compliance;
- Reviewed the information on pre-determined objectives to be included in the annual report and has noted with concern the findings from the audit of performance information by the Auditor-General; and
- Reviewed the quality and timeliness of the financial information availed to the Audit and Risk Committee for oversight purposes during the year.

The Department's performance in terms of finances and pre-determined objectives has been reported at each Audit and Risk Committee meeting. The annual financial statements were not submitted timeously to the Auditor-General. After an initial review of the financial statements, a decision was taken to request an extension for the submission to allow for additional time to clear outstanding matters and to allow for the audit of the changes to the financial statements. The department experienced capacity constraints during the compilation of the financial statements. This resulted to numerous adjustments being done to the financial statements that were submitted to the Auditor-General.

Although there has been improvement in the disclosure of environmental projects, management was still not able to clear the qualified opinion on the financial statements by the AG. The Audit Committee will continue to monitor the improvement in the disclosure of expenditure on environmental projects according to MCS.

Internal Audit

The Internal Audit Directorate conducts its affairs in terms of an approved Internal Audit Charter and Methodology. During the year under review, a reasonable amount of internal audit work was performed in the Department. A risk-based internal audit plan was developed and implemented by the Internal Audit Function for 2020/21. Progress on the execution of the plan was monitored and reported at each Audit and Risk Committee meeting.

Our review of the findings of the internal audit work revealed certain weaknesses which

were then raised with management. A system to track the implementation of internal audit recommendations is in place to ensure that the internal control environment is reviewed and enhanced to improve executing processes.

The Audit and Risk Committee is therefore satisfied that the Internal Audit Directorate is operating effectively and that it has provided reasonable assurance on controls around the risks pertinent to the Department in its final audit reports.

Risk Strategy

The Audit and Risk Committee confirmed that the Department has a Risk Management and Ethics Committee which serves as the risk committee for the Department. The Department has a risk management strategy and fraud prevention plan that is implemented and reported to the Audit and Risk Committee at each meeting. Risk assessments in the Department are conducted annually and strategies are formulated to mitigate the identified risks, which are also reported to the Audit and Risk Committee. The Department has developed a combined assurance plan to assist in the monitoring of the mitigation of key strategic and operational risks.

Auditor-General South Africa

Although there has been an improvement in the audit outcome, the Audit and Risk Committee noted the Auditor-General's report and opinion with concern. The major findings were as a result of insufficient review of submitted figures, lack of evidence to support figures in the financial statements. A number of material misstatements were also corrected because of the audit.

Conclusion

The Auditor-General's opinion is concerning and management is in process of further adapting systems and processes to be able to comply with the Modified Cash Standards as to achieve an unqualified audit opinion from the Auditor-General. The Audit and Risk Committee is involved in the process and is monitoring the progress made in this regard. Management has been requested to do an assessment of the skills and capacity in the department, specifically in finance and EP to assess what is required to submit better quality financial statements. The committee has also requested that interim financial statements be submitted and an interim audit be conducted. Additional internal audit effort will have to be directed to the quality of performance information. The department has developed a sound improvement plan, but the implementation if the plan was insufficient. A proper root-cause analysis has been requested.

The audit committee is aware that management and the auditors have spent long hours to resolve issues and there is a commitment to ensure an improved audit outcome. We wish to thank the Director-General, management and the Auditor-General.



Prof. D.P. Van der nest

CHAIRPERSON OF THE AUDIT & RISK COMMITTEE

Date: 15 October 2021

13. B-BBEE COMPLIANCE PERFORMANCE INFORMATION

HAS THE DEPARTMENT / PUBLIC ENTITY APPLIED ANY RELEVANT CODE OF GOOD PRACTICE (B-BBEE CERTIFICATE LEVELS 1 – 8) WITH REGARDS TO THE FOLLOWING:		
CRITERIA	RESPONSE YES/NO	DISCUSSION (INCLUDE A DISCUSSION ON YOUR RESPONSE AND INDICATE WHAT MEASURES HAVE BEEN TAKEN TO COMPLY)
Determining qualification criteria for the issuing of licences, concessions or other authorisations in respect of economic activity in terms of any law?	NO	
Determining qualification criteria for the sale of state-owned enterprises?	NO	
Developing criteria for entering into partnerships with the private sector.	YES	<p>The department entered into Public Private Partnership (PPP) on 26 June 2012 following the bid that was advertised in terms of the new SCM regulations.</p> <p>Project: DFFE PPP Head office Project</p> <p>Successful Bidder: Imvelo Concessions Company (RF) (PTY) LTD</p> <p>Unitary Payment: R95.5m per annum (Vat inclusive)</p> <p>Government Capital Contribution: R366m</p> <p>Contract Period: 25 Years(excluding construction period of two years)</p> <p>As per above, the building is managed by a private party, known as Imvelo Concessions (RF) PTY LTD, providing a full service, inclusive of furniture and other assets as well as maintenance of such assets for a period of 25 years in terms of the Public Private Partnership Agreement signed on 26 June 2012.</p> <p>In light of the above, the private party bears the sole responsibility to procure, supply and maintain the assets (including provision of furniture) for the DFFE head office during the contract period. Such assets will then revert to government at the end of the contract. The entire expenditure for the service to be rendered by the Private Party is catered for in the unitary payment as agreed in the Public Private Partnership Agreement. Therefore no other assets (including furniture) will be procured by the department outside the parameters of the Public Private Partnership Agreement for the DFFE new head office.</p>

HAS THE DEPARTMENT / PUBLIC ENTITY APPLIED ANY RELEVANT CODE OF GOOD PRACTICE (B-BBEE CERTIFICATE LEVELS 1 – 8) WITH REGARDS TO THE FOLLOWING:

CRITERIA	RESPONSE YES/NO	DISCUSSION (INCLUDE A DISCUSSION ON YOUR RESPONSE AND INDICATE WHAT MEASURES HAVE BEEN TAKEN TO COMPLY)
Developing and implementing a preferential procurement policy?	YES	<p>The department has developed and implemented the Preferential Procurement Policy since 2001. This policy is derived from the Preferential Procurement Policy Framework Act 5 of 2001 as well as its regulations. The Preference System requires the protection and advancement of persons or categories of persons historically disadvantaged. To this end, the department introduced a BEE policy, which was so designed to create entry levels, as a point of departure, for the targeted groups, i.e. HDI, Women and physically challenged persons to enter the mainstream economy. This policy cut across all Business Units of the department, more especially those, whose operations are dependent upon procurement requirements.</p> <p>The policy further enables DFFE to support its shareholder's social and constitutional responsibilities, by facilitating the following in the Terms of Reference (TOR):</p> <ul style="list-style-type: none"> • Reducing complexities for prospective BEE suppliers. • Creating critical mass for Black Economic Empowerment • Positioning Historically Disadvantaged Enterprises and individuals to be able to compete • Support BEE joint venture initiatives • Support partnerships and linkages • Formulating innovative strategies for fast track BEE execution <p>Over and above this, DFFE decided to target at least 65% of the available department budget for goods/services to be spend on BEE. The department utilizes the 80/20 and 90/10 principle also to empower the HDI, Women and people living with disabilities. In this policy, the preference also include black ownership, set asides etc.</p>
Determining criteria for the awarding of incentives, grants and investment schemes in support of Broad Based Black Economic Empowerment?	YES	<p>The Department invest in Recycling Enterprise Support Programme (RESP) as an incentive programme, to provide financial support in the form of grants payments of up to R5 million (five million Rands) per project, to 51% black owned emerging enterprises in the waste recycling sector with the intent of encouraging their growth and expansion in the recycling economy.</p> <p>This Department also invest in Wildlife Economy Programme, to provide financial and technical support for development of infrastructure and businesses to Black Game Framers including Communal Association Properties and Community Trusts who acquired land through land reform programmes.</p> <p>In 2020/2021 financial year, there was no spending on RESP and Wildlife economy investment schemes.</p>



Customer center
senior free building



PART D

HUMAN RESOURCE
MANAGEMENT



PART D

1. INTRODUCTION

The need to attract and retain the best Human Resource talent in the labour market is an important element in enabling DFFE to achieve its strategic goals and long term vision of a prosperous and equitable society living in harmony with the natural environment. The Department is committed to implementing effective Human Resources Management strategies and interventions to position itself as an employer of choice. The Department implements a number of key programmes to build capacity and enhances skills development, with a particular focus on the youth. In 2020/21 the deserving youth students received funding for full-time bursaries to study at Universities in various fields of environmental management, and young unemployed graduates were recruited in the Department's annual internship programme for a period of 24 months. These are important annual interventions aimed at sustaining our talent base.

2. HUMAN RESOURCES OVERVIEW -2020/21

The Department has a comprehensive Human Resource Plan which is implemented on an ongoing basis and reviewed regularly to ensure alignment with the overall strategy of the organization and changes in the environment. The key Human Resource Management interventions also include implementation of a Performance Management and Development System (PMDS) which is aimed at the development of our employees and ensuring sustained organisation performance. The Department has an Employee Wellness Programme which offers on-going support to employees in effectively dealing with the demands of the work environment and other personal/societal factors which may have an impact on employee health and performance.

HR OVERSIGHT APRIL 2020 TO MARCH 2021 - NATIONAL DEPARTMENTS - ENVIRONMENT FORESTRY AND FISHERIES

TABLE 3.1.1 - Personnel expenditure by programme for the period 1 April 2020 and 31 March 2021

PROGRAMME	TOTAL EXPENDITURE (R'000)	PERSONNEL EXPENDITURE (R'000)	TRAINING EXPENDITURE (R'000)	PROFESSIONAL AND SPECIAL SERVICES EXPENDITURE (R'000)	PERSONNEL EXPENDITURE AS A % OF TOTAL EXPENDITURE	AVERAGE PERSONNEL COST PER EMPLOYEE (R'000)	EMPLOYMENT (INCLUDING PERIODICAL - AND ABNORMAL APPOINTMENTS)
Prog1: Administration	1 024 030,00	372 220,00	904,00	0,00	36,30	418,00	898,00
Prog2:Regulatory Compliance & Sector Monitoring	192 631,00	144 818,00	69,00	0,00	75,20	655,00	255,00
Prog3: Oceans & Coast	433 512,00	147 771,00	173,00	0,00	34,10	663,00	257,00
Prog4:Climate Change & Air Quality	590 613,00	168 316,00	63,00	0,00	28,50	715,00	239,00
Prog5:Biodiversity & Conservation	1 851 297,00	74 836,00	310,00	0,00	4,00	706,00	108,00
Prog6:Environmental Programmes	2 823 170,00	262 078,00	643,00	0,00	9,30	617,00	426,00
Prog7:Chem&Waste Management	396 347,00	108 604,00	84,00	0,00	27,40	759,00	143,00
Prog8:Forestry Management	515 925,00	406 203,00	8,00	0,00	78,70	298,00	1 363,00
Prog9:Fisheries Management	472 491,00	277 972,00	0,00	0,00	58,80	539,00	516,00
Total as on Financial Systems (BAS)	8 300 016,00	1 962 818,00	2 254,00	0,00	352,30	5 370,00	4 205,00

TABLE 3.1.2 - Personnel costs by salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	PERSONNEL EXPENDITURE INCLUDING TRANSFERS (R'000)	% OF TOTAL PERSONNEL COST	AVERAGE PERSONNEL COST PER EMPLOYEE (R)	TOTAL PERSONNEL COST FOR DEPARTMENT INCLUDING GOODS AND SERVICES (R'000)	NUMBER OF EMPLOYEES
01 Lower skilled (Levels 1-2)	39 175,00	2,00	173 341,00	1 990 487,00	226,00
02 Skilled (Levels 3-5)	228 163,00	11,50	234 736,00	1 990 487,00	972,00
03 Highly skilled production (Levels 6-8)	485 335,00	24,40	413 755,00	1 990 487,00	1 173,00
04 Highly skilled supervision (Levels 9-12)	764 513,00	38,40	762 987,00	1 990 487,00	1 002,00
05 Senior management (Levels >= 13)	230 259,00	11,60	1 265 159,00	1 990 487,00	182,00
11 Contract (Levels 3-5)	14 206,00	0,70	72 851,00	1 990 487,00	195,00
12 Contract (Levels 6-8)	90 966,00	4,60	318 063,00	1 990 487,00	286,00
13 Contract (Levels 9-12)	33 812,00	1,70	690 041,00	1 990 487,00	49,00
14 Contract (Levels >= 13)	39 101,00	2,00	1 221 906,00	1 990 487,00	32,00
18 Contract Other	37 288,00	1,90	690 519,00	1 990 487,00	54,00
Total	1 962 818,00	98,80	5 843 358,00	19 904 870,00	4 171,00

TABLE 3.1.3 Salaries, Overtime, Home Owners Allowance and Medical Aid by programme for the period 1 April 2020 and 31 March 2021

PROGRAMME	SALARIES (R'000)	SALARIES AS A % OF PERSONNEL COSTS	OVERTIME (R'000)	OVERTIME AS A % OF PERSONNEL COSTS	HOA (R'000)	HOA AS A % OF PERSONNEL COSTS	MEDICAL AID (R'000)	MEDICAL AID AS A % OF PERSONNEL COSTS	TOTAL PERSONNEL COST PER PROGRAMME (R'000)
Prog1: Administration	330 275,00	66,80	2 372,00	0,50	7 098,00	1,40	13 108,00	2,70	494 121,00
Prog2:Regulatory Compliance & Sector Monitoring	126 191,00	56,70	31,00	0,00	2 746,00	1,20	5 366,00	2,40	222 485,00
Prog3: Oceans & Coast	132 937,00	24,00	2 577,00	0,50	2 182,00	0,40	4 342,00	0,80	554 236,00
Prog4:Climate Change & Air Quality	147 081,00	62,80	1,00	0,00	3 515,00	1,50	6 311,00	2,70	234 137,00
Prog5:Biodiversity & Conservation	66 501,00	84,90	6,00	0,00	1 088,00	1,40	2 120,00	2,70	78 302,00
Prog6:Environmental Programmes	228 273,00	84,60	49,00	0,00	5 161,00	1,90	10 020,00	3,70	269 875,00
Prog7:Chem&Waste Management	96 563,00	88,30	58,00	0,10	1 915,00	1,80	2 583,00	2,40	109 394,00
Prog8:Forestry Management	339 856,00	1 231,50	75,00	0,30	24 295,00	88,00	29 084,00	105,40	27 598,00
Prog9:Fisheries Management	239 445,00	71 051,90	12 887,00	3 824,00	6 506,00	1 930,60	14 702,00	4 362,60	337,00
Total	1 707 122,00	85,80	18 056,00	0,90	54 506,00	2,70	87 636,00	4,40	1 990 485,00

TABLE 3.1.4 Salaries, Overtime, Home Owners Allowance and Medical Aid by salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	SALARIES (R'000)	SALARIES AS A % OF PERSONNEL COSTS	OVERTIME (R'000)	OVERTIME AS A % OF PERSONNEL COSTS	HOA (R'000)	HOA AS A % OF PERSONNEL COSTS	MEDICAL AID (R'000)	MEDICAL AID AS A % OF PERSONNEL COSTS	TOTAL PERSONNEL COST PER SALARY BAND (R'000)
01 Lower skilled (Levels 1-2)	26 993,00	68,80	0,00	0,00	3 767,00	9,60	4 963,00	12,70	39 220,00
02 Skilled (Levels 3-5)	169 530,00	74,20	491,00	0,20	17 258,00	7,60	18 653,00	8,20	228 472,00
03 Highly skilled production (Levels 6-8)	374 377,00	76,40	11 199,00	2,30	17 707,00	3,60	35 085,00	7,20	489 782,00
04 Highly skilled supervision (Levels 9-12)	724 206,00	92,40	5 983,00	0,80	12 407,00	1,60	25 969,00	3,30	783 810,00
05 Senior management (Levels >= 13)	204 796,00	88,30	0,00	0,00	2 935,00	1,30	2 498,00	1,10	231 955,00
11 Contract (Levels 3-5)	13 844,00	94,80	201,00	1,40	0,00	0,00	0,00	0,00	14 603,00
12 Contract (Levels 6-8)	90 276,00	98,30	124,00	0,10	32,00	0,00	103,00	0,10	91 845,00
13 Contract (Levels 9-12)	32 498,00	95,30	0,00	0,00	17,00	0,00	89,00	0,30	34 084,00
14 Contract (Levels >= 13)	37 149,00	94,60	0,00	0,00	115,00	0,30	126,00	0,30	39 265,00
18 Contract Other	33 453,00	89,30	58,00	0,20	268,00	0,70	150,00	0,40	37 449,00
Total	1 707 122,00	872,40	18 056,00	5,00	54 506,00	24,70	87 636,00	33,60	1 990 485,00

3.2 Employment and vacancies

TABLE 3.2.1 Employment and vacancies by programme as on 31 March 2021

PROGRAMME	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE (INCLUDES FROZEN POSTS)	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Prog 1:Administration, Permanent	855,00	748,00	12,50	388,00
Prog2: Regulatory, Compliance And Sector Monitoring, Permanent	213,00	189,00	11,30	29,00
Prog3: Oceans And Coast , Permanent	168,00	150,00	10,70	72,00
Prog4:Climate Change And Air Quality Management, Permanent	191,00	172,00	9,90	13,00
Prog5: Biodiversity And Conservation, Permanent	103,00	93,00	9,70	14,00
Prog6: Environmental Programmes, Permanent	440,00	395,00	10,20	15,00
Prog7:Chemicals And Waste Management, Permanent	93,00	84,00	9,70	61,00
Prog8: Forestry, Permanent	1 557,00	1 280,00	17,80	0,00
Prog9: Fisheries , Permanent	470,00	445,00	5,30	26,00
Total	4 090,00	3 556,00	13,00	618,00

TABLE 3.2.2 Employment and vacancies by salary band as on 31 March 2021

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE (INCLUDES FROZEN POSTS)	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
01 Lower Skilled (Levels 1-2), Permanent	750,00	670,00	10,70	2,00
02 Skilled (Levels 3-5), Permanent	700,00	556,00	20,60	33,00
03 Highly Skilled Production (Levels 6-8), Permanent	1 293,00	1 162,00	10,10	468,00
04 Highly Skilled Supervision (Levels 9-12), Permanent	1 128,00	984,00	12,80	75,00
05 Senior Management (Levels >= 13), Permanent	219,00	184,00	15,20	40,00
Total	4 090,00	3 556,00	13,00	618,00

TABLE 3.2.3 Employment and vacancies by critical occupations as on 31 March 2021

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE (INCLUDES FROZEN POSTS)	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Administrative Related, Permanent	336,00	249,00	25,90	45,00
Agricul Animal Oceanography Forestry & Other Scien, Permanent	161,00	152,00	5,60	11,00
Agriculture Related, Permanent	147,00	107,00	27,20	0,00
All Artisans In The Building Metal Machinery Etc., Permanent	16,00	16,00	0,00	7,00
Architects Town And Traffic Planners, Permanent	3,00	3,00	0,00	0,00
Archivists Curators And Related Professionals, Permanent	1,00	1,00	0,00	0,00
Auxiliary And Related Workers, Permanent	50,00	43,00	14,00	1,00
Biochemistry Pharmacol. Zoology & Life Scie.techni, Permanent	1,00	1,00	0,00	0,00
Building And Other Property Caretakers, Permanent	41,00	29,00	29,20	0,00
Bus And Heavy Vehicle Drivers, Permanent	13,00	11,00	15,40	0,00
Chemical And Physical Science Technicians, Permanent	13,00	12,00	7,60	12,00
Chemists, Permanent	2,00	2,00	0,00	0,00
Cleaners In Offices Workshops Hospitals Etc., Permanent	34,00	15,00	55,90	0,00
Client Inform Clerks(Switchb Recept Inform Clerks), Permanent	4,00	4,00	0,00	0,00
Communication And Information Related, Permanent	2,00	2,00	0,00	0,00
Community Development Workers, Permanent	25,00	22,00	12,00	0,00
Computer Programmers., Permanent	1,00	1,00	0,00	1,00
Computer System Designers And Analysts., Permanent	6,00	6,00	0,00	0,00
Economists, Permanent	2,00	2,00	0,00	0,00

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE (INCLUDES FROZEN POSTS)	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Electrical And Electronics Engineering Technicians, Permanent	1,00	1,00	0,00	1,00
Engineering Sciences Related, Permanent	3,00	1,00	66,70	0,00
Engineers And Related Professionals, Permanent	7,00	7,00	0,00	0,00
Farm Hands And Labourers, Permanent	19,00	15,00	21,00	0,00
Farming Forestry Advisors And Farm Managers, Permanent	86,00	64,00	25,60	4,00
Finance And Economics Related, Permanent	29,00	28,00	3,40	0,00
Financial And Related Professionals, Permanent	25,00	23,00	8,00	1,00
Financial Clerks And Credit Controllers, Permanent	70,00	40,00	42,90	0,00
Fishing Mate/Masters, Permanent	11,00	9,00	18,00	0,00
Food Services Aids And Waiters, Permanent	13,00	12,00	7,70	3,00
Forestry Labourers, Permanent	605,00	605,00	0,00	0,00
General Legal Administration & Rel. Professionals, Permanent	30,00	10,00	66,70	2,00
Horticulturists Foresters Agricul.& Forestry Techn, Permanent	36,00	29,00	19,40	0,00
Housekeepers Laundry And Related Workers, Permanent	2,00	2,00	0,00	0,00
Human Resources & Organisat Developm & Relate Prof, Permanent	80,00	70,00	12,50	3,00
Human Resources Clerks, Permanent	36,00	32,00	11,00	0,00
Human Resources Related, Permanent	3,00	3,00	0,00	0,00
Information Technology Related, Permanent	34,00	31,00	8,80	0,00
Language Practitioners Interpreters & Other Commun, Permanent	34,00	31,00	8,80	2,00
Legal Related, Permanent	1,00	1,00	0,00	0,00
Librarians And Related Professionals, Permanent	2,00	2,00	0,00	0,00
Library Mail And Related Clerks, Permanent	10,00	6,00	40,00	0,00
Light Vehicle Drivers, Permanent	4,00	4,00	0,00	1,00
LOGISTICAL SUPPORT PERSONNEL, Permanent	54,00	50,00	7,40	0,00
MATERIAL-RECORDING AND TRANSPORT CLERKS, Permanent	40,00	36,00	10,00	0,00
MECHANICAL ENGINEERING THECHNICIANS, Permanent	8,00	7,00	12,50	8,00
MEDICAL SPECIALISTS, Permanent	2,00	2,00	0,00	2,00
MESSENGERS PORTERS AND DELIVERERS, Permanent	35,00	29,00	17,10	0,00

CRITICAL OCCUPATION	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF POSTS FILLED	VACANCY RATE (INCLUDES FROZEN POSTS)	NUMBER OF EMPLOYEES ADDITIONAL TO THE ESTABLISHMENT
Motor Vehicle Drivers, Permanent	17,00	16,00	5,90	12,00
Motorised Farm And Forestry Plant Operators, Permanent	46,00	39,00	15,20	0,00
Natural Sciences Related, Permanent	408,00	367,00	10,00	8,00
Nature Conservation And Oceanographical Rel.techni, Permanent	1,00	1,00	0,00	0,00
Other Administrat & Related Clerks And Organisers, Permanent	486,00	470,00	3,30	417,00
Other Administrative Policy And Related Officers, Permanent	41,00	38,00	7,30	0,00
Other Information Technology Personnel., Permanent	16,00	16,00	0,00	0,00
Other Occupations, Permanent	4,00	4,00	0,00	1,00
Physicists, Permanent	1,00	1,00	0,00	0,00
Professional Nurse, Permanent	2,00	2,00	0,00	2,00
Quantity Surveyors & Rela Prof Not Class Elsewhere, Permanent	4,00	3,00	25,00	0,00
Regulatory Inspectors, Permanent	206,00	200,00	2,90	24,00
Safety Health And Quality Inspectors, Permanent	14,00	9,00	35,70	0,00
Secretaries & Other Keyboard Operating Clerks, Permanent	105,00	91,00	13,30	12,00
Security Guards, Permanent	142,00	142,00	0,00	0,00
Security Officers, Permanent	163,00	120,00	26,40	0,00
Senior Managers, Permanent	253,00	172,00	32,00	38,00
Ship_s Deck Officers And Pilots, Permanent	17,00	16,00	5,90	0,00
Trade Labourers, Permanent	19,00	17,00	10,50	0,00
Water Plant And Related Operators, Permanent	7,00	4,00	42,90	0,00
Total	4 090,00	3 556,00	13,00	618,00

3.3 Filling of SMS Posts

Table 3.3.1 SMS post information as on 31 March 2021

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department	1	1	1	0	0
Salary Level 16	0	0	0	0	0
Salary Level 15	10	6	0,60%	4	0,40%
Salary Level 14	45	41	0,90%	4	0,10%
Salary Level 13	163	136	0,80%	27	0,20%
Total	219	184	10.5%	35	0.7%

Table 3.3.2 SMS post information as on 30 September 2020

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS POSTS FILLED	% OF SMS POSTS FILLED	TOTAL NUMBER OF SMS POSTS VACANT	% OF SMS POSTS VACANT
Director-General/ Head of Department	1	0	0	1	0
Salary Level 16	3	2	0,67%	1	0,33%
Salary Level 15	10	5	0,50%	5	0,50%
Salary Level 14	43	41	0,95%	2	0,04%
Salary Level 13	155	137	0,88%	18	0,11%
Total	204	174	2,34%	25	0,65%

Table 3.3.3 Advertising and filling of SMS posts for the period 1 April 2020 and 31 March 2021

SMS LEVEL	ADVERTISING	FILLING OF POSTS	
	NUMBER OF VACANCIES PER LEVEL ADVERTISED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL FILLED IN 6 MONTHS OF BECOMING VACANT	NUMBER OF VACANCIES PER LEVEL NOT FILLED IN 6 MONTHS BUT FILLED IN 12 MONTHS
Director-General/ Head of Department	1	0	1
Salary Level 16			
Salary Level 15	5	1	0
Salary Level 14	5	0	1
Salary Level 13	22	4	4
Total	32	5	6

Table 3.3.4 Reasons for not having complied with the filling of funded vacant SMS posts - Advertised within 6 months and filled within 12 months after becoming vacant for the period 1 April 2020 and 31 March 2021

REASONS FOR VACANCIES NOT ADVERTISED WITHIN SIX MONTHS
COVID-19 restrictions had an impact on the turn-around time for filling of vacancies.

REASONS FOR VACANCIES NOT FILLED WITHIN TWELVE MONTHS
COVID-19 restrictions had an impact on the turn-around time for filling of vacancies.

Table 3.3.5 Disciplinary steps taken for not complying with the prescribed timeframes for filling SMS posts within 12 months for the period 1 April 2020 and 31 March 2021

REASONS FOR VACANCIES NOT ADVERTISED WITHIN SIX MONTHS
COVID-19 restrictions had an impact on the turn-around time for filling of vacancies.

REASONS FOR VACANCIES NOT FILLED WITHIN TWELVE MONTHS
COVID-19 restrictions had an impact on the turn-around time for filling of vacancies.

3.4 Job Evaluation

Table 3.4.1 Job Evaluation by Salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	NUMBER OF POSTS ON APPROVED ESTABLISHMENT	NUMBER OF JOBS EVALUATED	% OF POSTS EVALUATED BY SALARY BANDS	NUMBER OF POSTS UPGRADED	% OF UPGRADED POSTS EVALUATED	NUMBER OF POSTS DOWNGRADED	% OF DOWNGRADED POSTS EVALUATED
1. Lower Skilled (Levels 1-2)	311	250	6.11	0	0	0	0
2. Skilled (Levels 3-5)	1119	527	12.8	8	0.17	0	0
3. Highly Skilled Production (Levels 6-8)	13054	600	14.68	0	0	0	0
4. Highly Skilled Supervision (Levels 9-12)	1137	1130	27.63	0	0	0	0
5. Senior Management Service Band A	164	164	4.01	0	0	0	0
6. Senior Management Service Band B	42	42	1.02	0	0	0	0
7. Senior Management Service Band C	10	10	0.24	0	0	0	0
8. Senior Management Service Band D	1	1	0.02	0	0	0	0
Total	4 089,00	2 724,00	66,60	8,00	100,00	0,00	0,00

Note: Permanent Posts

Posts not evaluated during this year did not require evaluation as they were still within the 5 year validity period since the previous evaluation. The Minister and Deputy Minister posts are not included in this category even though are classified as permanent posts on the establishment

Table 3.4.2 Profile of employees whose positions were upgraded due to their posts being upgraded for the period 1 April 2020 and 31 March 2021

GENDER	AFRICAN	ASIAN	COLOURED	WHITE	TOTAL
Female	5,00	0,00	0,00	0,00	0,00
Male	3,00	0,00	0,00	0,00	0,00
Total	8,00	0,00	0,00	0,00	0,00
Employees with a Disability	0,00	0,00	0,00	0,00	0,00

Table 3.4.3 Employees with salary levels higher than those determined by job evaluation by occupation for the period 1 April 2020 and 31 March 2021

OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION LEVEL	REASON FOR DEVIATION	NO OF EMPLOYEES IN DEPARTMENT
Chief Director	4,00	14,00	15,00	Retention	
Director	2,00	13,00	14,00	Retention	
Deputy Director: Admini	2,00	11,00	12,00	Retention	
Dd: International Marine Cooperation.	1,00	11,00	12,00	Grade Progression	
Environmental Officer Control Grade A	1,00	10,00	13,00	Retention	
Asd: Monitoring L10	1,00	1,00	11,00	Retention	
Assistant Director: Technical And Info Serv L10	1,00	10,00	11,00	Osd	
Assistant Director: Financial Management L9	1,00	9,00	10,00	Post Upgrade	
Senior Forestry Regulation Officer.	1,00	9,00	10,00	No Reason Indicated	
Principal Forestry Scientist L10	1,00	9,00	10,00	Grade Progression	
Assistant Director: Planning And Auditing	1,00	9,00	10,00	Grade Progression	
Business Economist: Principal L9	1,00	9,00	10,00	Grade Progression	
Project Coordinator L8	1,00	8,00	11,00	Transfer From Ministry	
Senior Forestry Development Officer L8	1,00	8,00	9,00	Occupational Adjustment	
Senior Forestry Scientist L8	1,00	8,00	11,00	Osd Mms	
Senior Forestry Scientist L8	1,00	8,00	11,00	Osd Mms	
Senior Forestry Development Officer L8	1,00	8,00	9,00	Occupational Adjustment	
Senior Forestry Development Officer L8	1,00	8,00	9,00	Occupational Adjustment	
Senior Forester L8	1,00	8,00	9,00	Occupational Adjustment	
Senior Forestry Development Officer L8	1,00	8,00	9,00	Occupational Adjustment	

Table 3.4.3 - Profile of employees who have salary levels higher than those determined by job evaluation for the period 1 April 2020 and 31 March 2021 (Continued)

OCCUPATION	NUMBER OF EMPLOYEES	JOB EVALUATION LEVEL	REMUNERATION LEVEL	REASON FOR DEVIATION	NO OF EMPLOYEES IN DEPARTMENT
Chief Supply Chain Management Clerk.	1,00	7,00	8,00	Grade Progression	
Chief Accounting Clerk L7	1,00	7,00	8,00	Grade Progression	
Principal Telecom Operator L7	1,00	7,00	8,00	Grade Progression	
Chief Administrative Clerk L7	3,00	7,00	8,00	Grade Progression	
Human Resource Practitioner L7	1,00	7,00	8,00	Grade Progression	
Human Resources Officer: Senior L7	1,00	7,00	8,00	Grade Progression	
Administrative Officer L7	4,00	7,00	8,00	Grade Progression	
Environmental Officer Production Grade A	3,00	7,00	9,00		
Office Administrator L7	1,00	7,00	8,00	Grade Progression	
Artisan Foreman L7	1,00	7,00	8,00	Grade Progression	
Senior Marine Conservation Inspector.	1,00	7,00	8,00	Grade Progression	
Provisioning Administration Officer L7	1,00	7,00	8,00	Grade Progression	
Marine Research Assistant L7	4,00	7,00	8,00	Grade Progression	
Provisioning Administration Clerk: Chief L7	1,00	7,00	8,00	Grade Progression	
State Accountant L7	1,00	7,00	8,00	Grade Progression	
Provisioning Administrative Clerk	1,00	6,00	7,00	Grade Progression	
Senior Supply Chain Management Clerk	15,00	6,00	7,00	Grade Progression	
Accounting Clerk	7,00	6,00	7,00	Grade Progression	

3.5 Employment changes

Table 3.5.1 Annual turnover rates by salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	APPOINTMENTS	TERMINATIONS	TURNOVER RATE
1.Lower Skilled (Levels 1-2) Permanent	227,00	0,00	1,00	0,40
2. Skilled (Levels 3-5) Permanent	1 056,00	5,00	88,00	8,30
3. Highly Skilled Production (Levels 6-8) Permanent	1 190,00	31,00	44,00	3,70
4. Highly Skilled Supervision (Levels 9-12) Permanent	1 012,00	22,00	36,00	3,60
5. Senior Management Service Band A Permanent	127,00	4,00	2,00	1,60
6. Senior Management Service Band B Permanent	38,00	2,00	2,00	5,30
7. Senior Management Service Band C Permanent	11,00	0,00	3,00	27,30
8. Senior Management Service Band D Permanent	2,00	0,00	0,00	0,00
9. Other Permanent	58,00	5,00	8,00	13,80
11. Contract (Levels 3-5) Permanent	136,00	169,00	109,00	80,10
12. Contract (Levels 6-8) Permanent	298,00	22,00	33,00	11,10
13. Contract (Levels 9-12) Permanent	46,00	20,00	17,00	37,00
14 .Contract Band A Permanent	15,00	4,00	1,00	6,70
15. Contract Band B Permanent	9,00	2,00	2,00	22,20
16. Contract Band C Permanent	6,00	0,00	2,00	33,30
17. Contract Band D Permanent	1,00	0,00	1,00	100,00
Total	4 232,00	286,00	349,00	8,20

Table 3.5.2 Annual turnover rates by critical occupation for the period 1 April 2020 and 31 March 2021

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	APPOINTMENTS	TERMINATIONS	TURNOVER RATE
Administrative Related Permanent	327,00	14,00	26,00	8,00
Agricul Animal Oceanography Forestry & Other Scien Permanent	152,00	3,00	3,00	2,00
Agriculture Related Permanent	113,00	0,00	5,00	4,40
All Artisans In The Building Metal Machinery Etc. Permanent	13,00	5,00	2,00	15,40
Architects Town And Traffic Planners Permanent	3,00	0,00	0,00	0,00
Archivists Curators And Related Professionals Permanent	1,00	0,00	0,00	0,00
Auxiliary And Related Workers Permanent	61,00	7,00	3,00	4,90
Biochemistry Pharmacol. Zoology & Life Scie.techni Permanent	1,00	0,00	0,00	0,00
Building And Other Property Caretakers Permanent	43,00	0,00	4,00	9,30
Bus And Heavy Vehicle Drivers Permanent	12,00	0,00	1,00	8,30
Chemical And Physical Science Technicians Permanent	12,00	8,00	8,00	66,70
Chemists Permanent	2,00	0,00	0,00	0,00
Cleaners In Offices Workshops Hospitals Etc. Permanent	31,00	0,00	2,00	6,50
Client Inform Clerks(Switchb Recept Inform Clerks) Permanent	4,00	0,00	0,00	0,00
Communication And Information Related Permanent	2,00	0,00	0,00	0,00
Community Development Workers Permanent	22,00	3,00	2,00	9,10
Computer Programmers. Permanent	1,00	0,00	0,00	0,00
Computer System Designers And Analysts. Permanent	6,00	0,00	1,00	16,70
Economists Permanent	2,00	0,00	0,00	0,00
Electrical And Electronics Engineering Technicians Permanent	1,00	1,00	1,00	100,00
Engineering Sciences Related Permanent	1,00	0,00	0,00	0,00
Engineers And Related Professionals Permanent	7,00	0,00	0,00	0,00
Farm Hands And Labourers Permanent	16,00	0,00	1,00	6,30
Farming Forestry Advisors And Farm Managers Permanent	62,00	1,00	7,00	11,30
Finance And Economics Related Permanent	24,00	1,00	1,00	4,20
Financial And Related Professionals Permanent	24,00	0,00	0,00	0,00
Financial Clerks And Credit Controllers Permanent	76,00	0,00	4,00	5,30
Fishing Mate/Masters Permanent	11,00	0,00	2,00	18,20

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	APPOINTMENTS	TERMINATIONS	TURNOVER RATE
Food Services Aids And Waiters Permanent	14,00	1,00	0,00	0,00
Forestry Labourers Permanent	684,00	0,00	61,00	8,90
General Legal Administration & Rel. Professionals Permanent	14,00	4,00	0,00	0,00
Head Of Department/Chief Executive Officer Permanent	1,00	0,00	1,00	100,00
Horticulturists Foresters Agricul.& Forestry Techn Permanent	31,00	0,00	2,00	6,50
Housekeepers Laundry And Related Workers Permanent	2,00	0,00	0,00	0,00
Human Resources & Organisat Developm & Relate Prof Permanent	66,00	6,00	4,00	6,10
Human Resources Clerks Permanent	36,00	0,00	2,00	5,60
Human Resources Related Permanent	3,00	0,00	0,00	0,00
Information Technology Related Permanent	31,00	0,00	0,00	0,00
Language Practitioners Interpreters & Other Commun Permanent	43,00	0,00	3,00	7,00
Legal Related Permanent	1,00	0,00	0,00	0,00
Librarians And Related Professionals Permanent	2,00	0,00	0,00	0,00
Library Mail And Related Clerks Permanent	17,00	0,00	2,00	11,80
Light Vehicle Drivers Permanent	4,00	0,00	0,00	0,00
Logistical Support Personnel Permanent	61,00	2,00	2,00	3,30
Material-Recording And Transport Clerks Permanent	38,00	0,00	2,00	5,30
Mechanical Engineering Thechnicians Permanent	4,00	4,00	1,00	25,00
Medical Specialists Permanent	1,00	1,00	0,00	0,00
Messengers Porters And Deliverers Permanent	29,00	1,00	1,00	3,40
Motor Vehicle Drivers Permanent	14,00	2,00	0,00	0,00
Motorised Farm And Forestry Plant Operators Permanent	44,00	0,00	5,00	11,40
Natural Sciences Related Permanent	369,00	12,00	7,00	1,90
Nature Conservation And Oceanographical Rel.techni Permanent	1,00	0,00	0,00	0,00
Other Administrat & Related Clerks And Organisers Permanent	736,00	176,00	128,00	17,40
Other Administrative Policy And Related Officers Permanent	36,00	2,00	2,00	5,60
Other Information Technology Personnel. Permanent	15,00	0,00	0,00	0,00
Other Occupations Permanent	4,00	0,00	0,00	0,00

CRITICAL OCCUPATION	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	APPOINTMENTS	TERMINATIONS	TURNOVER RATE
Physicists Permanent	1,00	0,00	0,00	0,00
Professional Nurse Permanent	2,00	1,00	1,00	50,00
Quantity Surveyors & Rela Prof Not Class Elsewhere Permanent	4,00	0,00	1,00	25,00
Regulatory Inspectors Permanent	208,00	1,00	8,00	3,80
Safety Health And Quality Inspectors Permanent	10,00	1,00	2,00	20,00
Secretaries & Other Keyboard Operating Clerks Permanent	140,00	15,00	9,00	6,40
Security Guards Permanent	162,00	0,00	10,00	6,20
Security Officers Permanent	112,00	1,00	4,00	3,60
Senior Managers Permanent	214,00	11,00	15,00	7,00
Ship_s Deck Officers And Pilots Permanent	17,00	2,00	3,00	17,60
Trade Labourers Permanent	27,00	0,00	0,00	0,00
Water Plant And Related Operators Permanent	4,00	0,00	0,00	0,00
Total	4 232,00	286,00	349,00	8,20

Table 3.5.3 Reasons why staff left the department for the period 1 April 2020 and 31 March 2021

TERMINATION TYPE	NUMBER	% OF TOTAL RESIGNATIONS
01 Death, Permanent	34,00	9,70
02 Resignation, Permanent	67,00	19,20
03 Expiry of contract, Permanent	151,00	43,30
07 Dismissal-misconduct, Permanent	1,00	0,30
09 Retirement, Permanent	96,00	27,50
Total	349,00	100,00
Total number of employees who left as a % of total employment		8.40

Table 3.5.4 Promotions by critical occupation for the period 1 April 2019 and 31 March 2020

OCCUPATION	EMPLOYEES 1 APRIL 2020	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY LEVEL PROMOTIONS AS A % OF EMPLOYEES BY OCCUPATION	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A % OF EMPLOYEES BY OCCUPATION
Motorised Farm And Forestry Plant Operators	44,00	0,00	0,00	0,00	0,00
Natural Sciences Related	369,00	7,00	1,90	262,00	71,00
Nature Conservation And Oceanographical Rel.techni	1,00	0,00	0,00	0,00	0,00
Other Administrat & Related Clerks And Organisers	736,00	2,00	0,30	202,00	27,40
Other Administrative Policy And Related Officers	36,00	1,00	2,80	8,00	22,20
Other Information Technology Personnel.	15,00	0,00	0,00	3,00	20,00
Other Occupations	4,00	0,00	0,00	1,00	25,00
Physicists	1,00	0,00	0,00	0,00	0,00
Professional Nurse	2,00	0,00	0,00	0,00	0,00
Quantity Surveyors & Rela Prof Not Class Elsewhere	4,00	0,00	0,00	1,00	25,00
Regulatory Inspectors	208,00	0,00	0,00	2,00	1,00
Safety Health And Quality Inspectors	10,00	0,00	0,00	4,00	40,00
Secretaries & Other Keyboard Operating Clerks	140,00	0,00	0,00	91,00	65,00
Security Guards	162,00	0,00	0,00	0,00	0,00
Security Officers	112,00	0,00	0,00	38,00	33,90
Senior Managers	214,00	3,00	1,40	110,00	51,40
Ship_s Deck Officers And Pilots	17,00	0,00	0,00	0,00	0,00
Trade Labourers	27,00	0,00	0,00	0,00	0,00
Water Plant And Related Operators	4,00	0,00	0,00	0,00	0,00
Total	4 232,00	41,00	1,00	1 196,00	28,30

Table 3.5.5 Promotions by salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	PROMOTIONS TO ANOTHER SALARY LEVEL	SALARY LEVEL PROMOTIONS AS A % OF EMPLOYEES BY SALARY BAND	PROGRESSIONS TO ANOTHER NOTCH WITHIN A SALARY LEVEL	NOTCH PROGRESSION AS A % OF EMPLOYEES BY SALARY BAND
01 Lower Skilled (Levels 1-2), Permanent	227,00	0,00	0,00	0,00	0,00
02 Skilled (Levels 3-5), Permanent	1 056,00	0,00	0,00	56,00	5,30
03 Highly Skilled Production (Levels 6-8), Permanent	1 190,00	9,00	0,80	481,00	40,40
04 Highly Skilled Supervision (Levels 9-12), Permanent	1 012,00	23,00	2,30	527,00	52,10
05 Senior Management (Levels >= 13), Permanent	178,00	9,00	5,10	101,00	56,70
09 Other, Permanent	58,00	0,00	0,00	0,00	0,00
11 Contract (Levels 3-5), Permanent	136,00	0,00	0,00	3,00	2,20
12 Contract (Levels 6-8), Permanent	298,00	0,00	0,00	9,00	3,00
13 Contract (Levels 9-12), Permanent	46,00	0,00	0,00	8,00	17,40
14 Contract (Levels >= 13), Permanent	31,00	0,00	0,00	11,00	35,50
Total	4 232,00	41,00	1,00	1 196,00	28,30

3.6 Employment equity

Table 3.6.1 Total number of employees (including employees with disabilities) in each of the following occupational categories as on 31 March 2021

OCCUPATIONAL CATEGORY	MALE,				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
01 - Senior Officials And Managers	78,00	18,00	13,00	15,00	64,00	4,00	11,00	15,00	218,00
02 - Professionals	199,00	27,00	9,00	39,00	208,00	25,00	10,00	30,00	547,00
03 - Technicians And Associate Professionals	377,00	43,00	8,00	40,00	422,00	32,00	13,00	42,00	977,00
04 - Clerks	336,00	37,00	4,00	9,00	623,00	49,00	9,00	19,00	1 086,00
05 - Service Shop And Market Sales Workers	268,00	33,00	2,00	27,00	121,00	9,00	1,00	2,00	463,00
07 - Craft And Related Trade Workers	9,00	6,00	0,00	0,00	0,00	1,00	0,00	0,00	16,00
08 - Plant And Machine Operators And Assemblers	71,00	8,00	0,00	1,00	3,00	0,00	0,00	0,00	83,00
09 - Labourers And Related Workers	367,00	9,00	0,00	0,00	398,00	6,00	0,00	1,00	781,00
Total	1 705,00	181,00	36,00	131,00	1 839,00	126,00	44,00	109,00	4 171,00
Employees with disabilities	1,00	0,00	0,00	0,00	0,00	0,00	1,00	0,00	2,00

Table 3.6.2 Total number of employees (including employees with disabilities) in each of the following occupational bands as on 31 March 2021

OCCUPATIONAL BAND	MALE,				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
01 Top Management, Permanent	2,00	1,00	1,00	1,00	4,00	0,00	0,00	2,00	11,00
02 Senior Management, Permanent	66,00	14,00	9,00	8,00	49,00	4,00	8,00	13,00	171,00
03 Professionally qualified and experienced specialists and mid-management, Permanent	390,00	32,00	15,00	64,00	389,00	37,00	19,00	56,00	1 002,00
04 Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	361,00	92,00	7,00	47,00	563,00	60,00	10,00	33,00	1 173,00
05 Semi-skilled and discretionary decision making, Permanent	508,00	15,00	0,00	0,00	436,00	11,00	1,00	1,00	972,00
06 Unskilled and defined decision making, Permanent	124,00	2,00	0,00	0,00	97,00	3,00	0,00	0,00	226,00
07 Not Available, Permanent	31,00	2,00	0,00	1,00	20,00	0,00	0,00	0,00	54,00
08 Contract (Top Management), Permanent	1,00	1,00	0,00	1,00	2,00	0,00	0,00	0,00	5,00
09 Contract (Senior Management), Permanent	5,00	3,00	3,00	5,00	5,00	0,00	4,00	2,00	27,00
10 Contract (Professionally Qualified), Permanent	25,00	2,00	0,00	2,00	19,00	0,00	0,00	1,00	49,00
11 Contract (Skilled Technical), Permanent	115,00	13,00	1,00	2,00	147,00	6,00	1,00	1,00	286,00
12 Contract (Semi-Skilled), Permanent	77,00	4,00	0,00	0,00	108,00	5,00	1,00	0,00	195,00
Total	1 705,00	181,00	36,00	131,00	1 839,00	126,00	44,00	109,00	4 171,00

Table 3.6.3 Recruitment for the period 1 April 2020 to 31 March 2021

OCCUPATIONAL BAND	MALE,				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
02 Senior Management, Permanent	2,00	0,00	0,00	0,00	4,00	0,00	0,00	0,00	6,00
03 Professionally qualified and experienced specialists and mid-management, Permanent	11,00	2,00	0,00	1,00	5,00	0,00	2,00	1,00	22,00
04 Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	10,00	0,00	0,00	0,00	20,00	1,00	0,00	0,00	31,00
05 Semi-skilled and discretionary decision making, Permanent	1,00	0,00	0,00	0,00	4,00	0,00	0,00	0,00	5,00
07 Not Available, Permanent	2,00	2,00	0,00	0,00	1,00	0,00	0,00	0,00	5,00
09 Contract (Senior Management), Permanent	0,00	0,00	2,00	1,00	0,00	0,00	3,00	0,00	6,00
10 Contract (Professionally qualified), Permanent	11,00	2,00	0,00	1,00	6,00	0,00	0,00	0,00	20,00
11 Contract (Skilled technical), Permanent	8,00	0,00	0,00	2,00	11,00	0,00	1,00	0,00	22,00
12 Contract (Semi-skilled), Permanent	66,00	3,00	0,00	0,00	94,00	5,00	1,00	0,00	169,00
Total	111,00	9,00	2,00	5,00	145,00	6,00	7,00	1,00	286,00
Employees with disabilities	1,00	0,00	0,00	0,00	0,00	0,00	1,00	0,00	2,00

Table 3.6.4 Promotions for the period 1 April 2019 to 31 March 2020

OCCUPATIONAL BAND	MALE,				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
01 Top Management, Permanent	1,00	0,00	1,00	1,00	4,00	0,00	0,00	0,00	7,00
02 Senior Management, Permanent	36,00	7,00	8,00	5,00	29,00	3,00	6,00	9,00	103,00
03 Professionally qualified and experienced specialists and mid-management, Permanent	198,00	17,00	7,00	24,00	244,00	16,00	10,00	34,00	550,00
04 Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	142,00	15,00	2,00	5,00	283,00	28,00	3,00	12,00	490,00
05 Semi-skilled and discretionary decision making, Permanent	26,00	4,00	0,00	0,00	22,00	4,00	0,00	0,00	56,00
08 Contract (Top Management), Permanent	0,00	0,00	0,00	0,00	1,00	0,00	0,00	0,00	1,00
09 Contract (Senior Management), Permanent	4,00	1,00	0,00	1,00	3,00	0,00	0,00	1,00	10,00
10 Contract (Professionally qualified), Permanent	3,00	0,00	0,00	0,00	4,00	0,00	0,00	1,00	8,00
11 Contract (Skilled technical), Permanent	2,00	0,00	0,00	0,00	7,00	0,00	0,00	0,00	9,00
12 Contract (Semi-skilled), Permanent	0,00	0,00	0,00	0,00	3,00	0,00	0,00	0,00	3,00
Total	412,00	44,00	18,00	36,00	600,00	51,00	19,00	57,00	1 237,00
Employees with disabilities	4,00	1,00	0,00	36,00	600,00	51,00	19,00	57,00	1 237,00

Table 3.6.5 Terminations for the period 1 April 2020- to 31 March 2021

OCCUPATIONAL BAND	MALE,				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
01 Top Management, Permanent	0,00	0,00	1,00	0,00	2,00	0,00	0,00	0,00	3,00
02 Senior Management, Permanent	1,00	0,00	1,00	1,00	1,00	0,00	0,00	0,00	4,00
03 Professionally qualified and experienced specialists and mid-management, Permanent	15,00	0,00	0,00	5,00	12,00	1,00	2,00	1,00	36,00
04 Skilled technical and academically qualified workers, junior management, supervisors, foremen, Permanent	15,00	5,00	1,00	2,00	17,00	3,00	0,00	1,00	44,00
05 Semi-skilled and discretionary decision making, Permanent	37,00	0,00	0,00	0,00	51,00	0,00	0,00	0,00	88,00
06 Unskilled and defined decision making, Permanent	1,00	0,00	0,00	0,00	0,00	0,00	0,00	0,00	1,00
07 Not Available, Permanent	5,00	0,00	1,00	0,00	1,00	0,00	0,00	1,00	8,00
08 Contract (Top Management), Permanent	1,00	0,00	0,00	1,00	1,00	0,00	0,00	0,00	3,00
09 Contract (Senior Management), Permanent	1,00	0,00	0,00	1,00	0,00	0,00	1,00	0,00	3,00
10 Contract (Professionally qualified), Permanent	5,00	1,00	0,00	2,00	7,00	0,00	1,00	1,00	17,00
11 Contract (Skilled technical), Permanent	15,00	1,00	0,00	2,00	13,00	0,00	0,00	2,00	33,00
12 Contract (Semi-skilled), Permanent	34,00	1,00	1,00	1,00	70,00	1,00	0,00	1,00	109,00
Total	130,00	8,00	5,00	15,00	175,00	5,00	4,00	7,00	349,00
Employees with disabilities	0,00	0,00	0,00	0,00	1,00	0,00	1,00	1,00	3,00

Table 3.6.6 Disciplinary action for the period 1 April 2020 to 31 March 2021

DISCIPLINARY ACTION	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Correctional counselling	0	0	0	0	0	0	0	0	0
Verbal warning	0	0	0	0	0	0	0	0	0
Written warning	1	0	0	0	1	0	0	0	2
Final written warning	5	0	0	0	1	0	0	0	6
Suspended without pay	5	0	0	0	1	0	0	0	6
Fine	0	0	0	0	0	0	0	0	0
Demotion	0	0	0	0	0	0	0	0	0
Dismissal	1	0	0	1	0	0	0	0	2
Case withdrawn	0	0	0	0	0	0	0	0	0
Resignation	0	1	0	0	0	0	0	0	1

Table 3.6.7 Skills development for the period 1 April 2020 to 31 March 2021

DISCIPLINARY ACTION	MALE				FEMALE				TOTAL
	AFRICAN	COLOURED	INDIAN	WHITE	AFRICAN	COLOURED	INDIAN	WHITE	
Professionals	10	0	0	1	7	0	2	1	22
Technicians and associate professionals	3	0	0	0	0	0	0	0	3
Clerks	5	0	0	0	2	0	0	1	8
Service and sales workers	9	0	0	0	24	0	0	0	33
Skilled agriculture and fishery workers	0	0	0	0	1	0	0	0	1
Craft and related trades workers	0	0	0	0	0	0	0	0	0
Plant and machine operators and assemblers	0	0	0	0	0	0	0	0	0
Elementary occupations	0	0	0	0	0	0	0	0	0
Total	27	0	0	1	34	0	2	2	67
Employees with disabilities									

3.7 Signing of performance agreements by SMS members

Table 3.7.1 Signing of Performance Agreements by SMS members as on 31 May 2021

SMS LEVEL	TOTAL NUMBER OF FUNDED SMS POSTS	TOTAL NUMBER OF SMS MEMBERS	TOTAL NUMBER OF SIGNED PERFORMANCE AGREEMENTS	SIGNED PERFORMANCE AGREEMENTS AS % OF TOTAL NUMBER OF SMS MEMBERS
Director-General/ Head of Department	1	1	1*	100%
Salary Level 16			0	0%
Salary Level 15	10	12	12	92%
Salary Level 14	45	47	43	91%
Salary Level 13	163	148	146	99%
Total	219	208	200	96%

*Note: The permanent Director-General (DG) of the Department left office on 31 March 2020. Minister entered into a performance agreement for the 2020/21 financial year with the Acting DG until 31 January 2021 when a new permanent DG was appointed and assumed duty on 01 February, two months before the end of the 2020/21 financial year. Table 3.7.2 Reasons for not having concluded Performance agreements for all SMS members as on 31 March 2020

REASONS FOR NOT HAVING CONCLUDED PERFORMANCE AGREEMENTS FOR ALL SMS MEMBERS AS ON 31 MARCH 2021

Two SMS members were on grace period of three months as per SMS handbook chapter 4.
 One non-compliance
 One Labour Relation matter
 One affected by organisational restructuring or redesign.
 One affected by acting position on rotational bases.

Table 3.7.3 Disciplinary steps taken against SMS members for not having concluded Performance agreements as on 31 March 2021

REASONS
None

3.8 Performance Rewards

Table 3.8.1 Performance Rewards by race, gender and disability for the period 1 April 2020 to 31 March 2021

RACE & GENDER	BENEFICIARY PROFILE			COST	
	NUMBER OF EMPLOYEES	NUMBER OF BENEFICIARIES	% OF TOTAL WITHIN GROUP	TOTAL COST	AVERAGE COST PER EMPLOYEE
African Female	1817	784	43,15%	3834,70	4891,00
African Male	1686	585	34,70%	2879,75	4923,00
Asian Female	43	26	60,47%	139,45	5363,00
Asian Male	35	22	62,86%	119,75	5443,00
Coloured Female	123	61	49,59%	291,20	4774,00
Coloured Male	180	68	37,78%	331,20	4871,00
White Female	99	61	61,62%	329,05	5394,00
White Male	123	46	37,40%	252,80	5496,00
Employees with a disability	65	43	66,15%	215,05	5001,00

RACE & GENDER	BENEFICIARY PROFILE			COST	
	NUMBER OF EMPLOYEES	NUMBER OF BENEFICIARIES	% OF TOTAL WITHIN GROUP	TOTAL COST	AVERAGE COST PER EMPLOYEE
Total	4171	1696	40,66%	8392,95	46156,00

Table 3.8.2 Performance Rewards by salary band for personnel below Senior Management Service for the period 1 April 2020 to 31 March 2021

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A % OF THE TOTAL PERSONNEL EXPENDITURE
	NUMBER OF EMPLOYEES	NUMBER OF BENEFICIARIES	% OF TOTAL WITHIN GROUP	TOTAL COST	AVERAGE COST PER EMPLOYEE	
01 Lower Skilled (Levels 1-2)	226	0	0,00%	0,00	0,00	0,00%
02 Skilled (Levels 3-5)	972	74	7,61%	293,95	3972,00	3,90%
03 Highly Skilled Production (Levels 6-8)	1173	565	48,17%	2614,95	4628,00	34,72%
04 Highly Skilled Supervision (Levels 9-12)	1002	681	67,96%	3625,80	5324,00	48,15%
09 Other	54	0	0,00%	0,00	0,00	0,00%
11 Contract (Levels 3-5)	195	0	0,00%	0,00	0,00	0,00%
12 Contract (Levels 6-8)	286	215	75,17%	921,70	4287,00	12,24%
13 Contract (Levels 9-12)	49	13	26,53%	74,15	5704,00	0,98%
Total	3957	1548	39,12%	7530,55	23915,00	100,00%

Table 3.8.3 Performance Rewards by critical occupation for the period 1 April 2020 to 31 March 2021

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE
Financial clerks and credit controllers	28	70	40,00%	147,50	5 268,00
Human resources clerks	1	32	3,13%	4,40	4 400,00
Motorised farm and forestry plant operators	0	39	0,00%	0,00	0,00
Security officers	43	109	39,45%	173,90	4 044,00
Human resources & organisat developm & relate prof	45	70	64,29%	231,90	5 153,00
All artisans in the building metal machinery etc.	3	16	18,75%	15,15	5 050,00
Messengers porters and deliverers	8	29	27,59%	30,70	3 838,00
Safety health and quality inspectors	9	9	100,00%	41,85	4 650,00
Fishing mate/masters	0	9	0,00%	0,00	0,00

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE
Finance and economics related	17	28	60,71%	86,35	5 079,00
Logistical support personnel	43	60	71,67%	203,60	4 735,00
Natural sciences related	329	367	89,65%	1 794,85	5 455,00
Chemists	0	2	0,00%	0,00	0,00
Other administrat & related clerks and organisers	430	787	54,64%	1 885,25	4 384,00
Housekeepers laundry and related workers	0	2	0,00%	0,00	0,00
Auxiliary and related workers	27	63	42,86%	108,60	4 022,00
Other occupations	1	4	25,00%	5,00	5 000,00
Legal related	0	1	0,00%	0,00	0,00
Nature conservation and oceanographical rel.Techni	0	1	0,00%	0,00	0,00
Agricul animal oceanography forestry & other scien	71	152	46,71%	358,55	5 050,00
Financial and related professionals	16	23	69,57%	83,15	5 197,00
Building and other property caretakers	0	39	0,00%	0,00	0,00
Water plant and related operators	0	4	0,00%	0,00	0,00
Architects town and traffic planners	3	3	100,00%	15,90	5 300,00
Administrative related	221	315	70,16%	1 128,85	5 108,00
Communication and information related	1	2	50,00%	6,35	6 350,00
Secretaries & other keyboard operating clerks	92	141	65,25%	431,05	4 685,00
Physicists	0	1	0,00%	0,00	0,00
Library mail and related clerks	11	16	68,75%	45,55	4 141,00
Cleaners in offices workshops hospitals etc.	0	29	0,00%	0,00	0,00
Human resources related	0	3	0,00%	0,00	0,00
Forestry labourers	0	623	0,00%	0,00	0,00
Chemical and physical science technicians	0	12	0,00%	0,00	0,00
Safety health and quality inspectors	9	9	100,00%	41,85	4 650,00
Fishing mate/masters	0	9	0,00%	0,00	0,00
Finance and economics related	17	28	60,71%	86,35	5 079,00

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE
Logistical support personnel	43	60	71,67%	203,60	4 735,00
Natural sciences related	329	367	89,65%	1 794,85	5 455,00
Chemists	0	2	0,00%	0,00	0,00
Other administrat & related clerks and organisers	430	787	54,64%	1 885,25	4 384,00
Housekeepers laundry and related workers	0	2	0,00%	0,00	0,00
Auxiliary and related workers	27	63	42,86%	108,60	4 022,00
Other occupations	1	4	25,00%	5,00	5 000,00
Legal related	0	1	0,00%	0,00	0,00
Nature conservation and oceanographical rel.Techni	0	1	0,00%	0,00	0,00
Agricul animal oceanography forestry & other scien	71	152	46,71%	358,55	5 050,00
Financial and related professionals	16	23	69,57%	83,15	5 197,00
Building and other property caretakers	0	39	0,00%	0,00	0,00
Water plant and related operators	0	4	0,00%	0,00	0,00
Architects town and traffic planners	3	3	100,00%	15,90	5 300,00
Administrative related	221	315	70,16%	1 128,85	5 108,00
Architects town and traffic planners	3	3	100,00%	15,90	5 300,00
Administrative related	221	315	70,16%	1 128,85	5 108,00
Architects town and traffic planners	3	3	100,00%	15,90	5 300,00
Administrative related	221	315	70,16%	1 128,85	5 108,00
Architects town and traffic planners	3	3	100,00%	15,90	5 300,00
Administrative related	221	315	70,16%	1 128,85	5 108,00
Communication and information related	1	2	50,00%	6,35	6 350,00
Secretaries & other keyboard operating clerks	92	141	65,25%	431,05	4 685,00
Physicists	0	1	0,00%	0,00	0,00
Library mail and related clerks	11	16	68,75%	45,55	4 141,00
Cleaners in offices workshops hospitals etc.	0	29	0,00%	0,00	0,00
Human resources related	0	3	0,00%	0,00	0,00

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE
Forestry labourers	0	623	0,00%	0,00	0,00
Chemical and physical science technicians	0	12	0,00%	0,00	0,00
Computer programmers.	0	1	0,00%	0,00	0,00
Trade labourers	0	27	0,00%	0,00	0,00
Language practitioners interpreters & other commun	37	41	90,24%	181,85	4 915,00
Regulatory inspectors	2	200	1,00%	11,20	5 600,00
General legal administration & rel. Professionals	14	20	70,00%	81,10	5 793,00
Archivists curators and related professionals	0	1	0,00%	0,00	0,00
Material-recording and transport clerks	8	36	22,22%	30,70	3 838,00
Farm hands and labourers	0	15	0,00%	0,00	0,00
Other administrative policy and related officers	10	38	26,32%	49,85	4 985,00
Professional nurse	0	2	0,00%	0,00	0,00
Bus and heavy vehicle drivers	0	11	0,00%	0,00	0,00
Senior managers	146	216	67,59%	846,80	5 800,00
Farming forestry advisors and farm managers	0	56	0,00%	0,00	0,00
Client inform clerks(switchb recept inform clerks)	1	4	25,00%	5,75	5 750,00
Computer system designers and analysts.	4	6	66,67%	19,55	4 888,00
Economists	0	2	0,00%	0,00	0,00
Engineers and related professionals	7	7	100,00%	34,70	4 957,00
Other information technology personnel.	5	16	31,25%	25,90	5 180,00
Light vehicle drivers	1	4	25,00%	3,95	3 950,00
Electrical and electronics engineering technicians	0	1	0,00%	0,00	0,00
Engineering sciences related	1	1	100,00%	6,35	6 350,00
Engineers and related professionals	7	7	100,00%	34,70	4 957,00
Other information technology personnel.	5	16	31,25%	25,90	5 180,00
Light vehicle drivers	1	4	25,00%	3,95	3 950,00
Electrical and electronics engineering technicians	0	1	0,00%	0,00	0,00

CRITICAL OCCUPATION	BENEFICIARY PROFILE			COST	
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE
Engineering sciences related	1	1	100,00%	6,35	6 350,00
Medical specialists	0	2	0,00%	0,00	0,00
Motor vehicle drivers	0	16	0,00%	0,00	0,00
Security guards	0	152	0,00%	0,00	0,00
Food services aids and waiters	9	17	52,94%	37,35	4 150,00
Horticulturists foresters agricul.& Forestry techn	0	29	0,00%	0,00	0,00
Quantity surveyors & rela prof not class elsewhere	1	3	33,33%	4,85	4 850,00
Mechanical engineering technicians	0	7	0,00%	0,00	0,00
Community development workers	20	22	90,91%	91,35	4 568,00
Ship_s deck officers and pilots	0	16	0,00%	0,00	0,00

Table 3.8.4 Performance related rewards (cash bonus), by salary band for Senior Management Service for the period 1 April 2019 to 31 March 2020

SALARY BAND	BENEFICIARY PROFILE			COST		TOTAL COST AS A % OF THE TOTAL PERSONNEL EXPENDITURE	PERSONNEL COST SMS (R'000)
	NUMBER OF BENEFICIARIES	NUMBER OF EMPLOYEES	% OF TOTAL WITHIN OCCUPATION	TOTAL COST	AVERAGE COST PER EMPLOYEE		
Band A	105	151	69,54%	573,60	5462,90	68,98%	175951,79
Band B	29	47	61,70%	162,85	5615,50	19,59%	64977,53
Band C	11	13	84,62%	95,05	8640,90	11,43%	24726,37
Band D	0	3	0,00%	0,00	0,00	0,00%	5564,40
Total	145	214	67,76%	831,50	19719,30	100,00%	271220,08

3.9 Foreign workers

The tables below summarise the employment of foreign nationals in the department in terms of salary band and major occupation.

Table 3.9.1 Foreign workers by salary band for the period 1 April 2020 and 31 March 2021

SALARY BAND	01 APRIL 2020		31 MARCH 2021		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL
Highly skilled production (Levels 6-8)	0,00	0,00	0,00	0,00	0,00	0,00
Highly skilled supervision (Levels 9-12)	10,00	55,60	10,00	71,40	0,00	0,00
Other	1,00	5,60	1,00	7,10	0,00	0,00
Senior management (Levels 13-16)	2,00	11,10	2,00	14,30	0,00	0,00

SALARY BAND	01 APRIL 2020		31 MARCH 2021		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL
Skilled (Levels 3-5)	5,00	27,80	1,00	7,10	- 4,00	100,00
Total	18,00	100,00	14,00	100,00	- 4,00	100,00

Table 3.9.2 Foreign workers by major occupation for the period 1 April 2020 and 31 March 2021

MAJOR OCCUPATION	01 APRIL 2020		31 MARCH 2021		CHANGE	
	NUMBER	% OF TOTAL	NUMBER	% OF TOTAL		
Administrative office workers	5,00	27,80	1,00	7,10	- 4,00	100,00
Professionals and managers	13,00	72,20	13,00	92,90	0,00	0,00
Technicians and associated professionals	0,00	0,00	0,00	0,00	0,00	0,00
Total	18,00	100,00	14,00	100,00	- 4,00	100,00

3.10 Leave utilisation 2

Table 3.10.1 Sick leave for the period 1 January 2020 to 31 December 2021

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING SICK LEAVE	% OF TOTAL EMPLOYEES USING SICK LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)	TOTAL NUMBER OF EMPLOYEES USING SICK LEAVE	TOTAL NUMBER OF DAYS WITH MEDICAL CERTIFICATION
Contract (Levels 13-16)	26	65,40	8	0,60	3	125	1 337	17
Contract (Levels 3-5)	96	57,30	39	2,90	2	47	1 337	55
Contract (Levels 6-8)	79	73,40	21	1,60	4	103	1 337	58
Contract (Levels 9-12)	70	80,00	15	1,10	5	226	1 337	56
Contract Other	114	76,30	25	1,90	5	330	1 337	87
Highly skilled production (Levels 6-8)	2 588	77,90	511	38,20	5	3 809	1 337	2 015
Highly skilled supervision (Levels 9-12)	1 790	72,70	387	28,90	5	5 258	1 337	1 302
Lower skilled (Levels 1-2)	329	86,90	78	5,80	4	191	1 337	286
Senior management (Levels 13-16)	316	79,70	68	5,10	5	1 482	1 337	252
Skilled (Levels 3-5)	986	91,50	185	13,80	5	769	1 337	902
Total	6 394	78,70	1 337	100,00	5	12 339	1 337	5 030

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Note: Includes contracts

Table 3.10.2 Disability leave (temporary and permanent) for the period 1 January 2020 to 31 December 2020

SALARY BAND	TOTAL DAYS	% DAYS WITH MEDICAL CERTIFICATION	NUMBER OF EMPLOYEES USING DISABILITY LEAVE	% OF TOTAL EMPLOYEES USING DISABILITY LEAVE	AVERAGE DAYS PER EMPLOYEE	ESTIMATED COST (R'000)	TOTAL NUMBER OF DAYS WITH MEDICAL CERTIFICATION	TOTAL NUMBER OF EMPLOYEES USING DISABILITY LEAVE
Highly skilled production (Levels 6-8)	173	100,00	2	14,30	87	316	173	14
Highly skilled supervision (Levels 9-12)	387	100,00	6	42,90	65	1 051	387	14
Senior management (Levels 13-16)	37	100,00	2	14,30	19	179	37	14
Skilled (Levels 3-5)	126	100,00	4	28,60	32	106	126	14
Total	723	100,00	14	100,00	52	1 651	723	14

Table 3.10.3 Annual Leave for the period 1 January 2020 to 31 December 2020

SALARY BAND	TOTAL DAYS TAKEN	AVERAGE PER EMPLOYEE	NUMBER OF EMPLOYEES USING ANNUAL LEAVE
Contract (Levels 13-16)	418	12	35
Contract (Levels 3-5)	1 245	6	192
Contract (Levels 6-8)	2 245	8	267
Contract (Levels 9-12)	396	12	33
Contract Other	822	14	58
Highly skilled production (Levels 6-8)	16 751	14	1 183
Highly skilled supervision (Levels 9-12)	16 215	16	1 026
Lower skilled (Levels 1-2)	2 144	9	227
Senior management (Levels 13-16)	3 444	19	184
Skilled (Levels 3-5)	10 820	11	1 014
Total	54 500	13	4 219

Table 3.10.4 Capped leave for the period 1 January 2020 to 31 December 2020

SALARY BAND	TOTAL DAYS OF CAPPED LEAVE TAKEN	AVERAGE NUMBER OF DAYS TAKEN PER EMPLOYEE	AVERAGE CAPPED LEAVE PER EMPLOYEE AS AT END OF PERIOD	NUMBER OF EMPLOYEES USING CAPPED LEAVE	TOTAL NUMBER OF CAPPED LEAVE AVAILABLE AT END OF PERIOD	NUMBER OF EMPLOYEES AS AT END OF PERIOD
Contract (Levels 13-16)	0	0	18	0	18	1
Contract (Levels 3-5)	0	0	0	0	0	0
Contract (Levels 6-8)	0	0	0	0	0	0
Contract (Levels 9-12)	0	0	0	0	0	0
Contract Other	0	0	0	0	0	0
Highly skilled production (Levels 6-8)	22	4	74	5	17 755	239
Highly skilled supervision (Levels 9-12)	25	3	67	9	11 090	165
Lower skilled (Levels 1-2)	0	0	0	0	0	0
Senior management (Levels 13-16)	0	0	29	0	1 174	41
Skilled (Levels 3-5)	96	5	93	19	70 115	756
Total	143	4	83	33	100 152	1 202

Table 3.10.5 Leave payouts for the period 1 April 2020 and 31 March 2021

REASON	TOTAL ESTIMATED AMOUNT (R'000)	NUMBER OF EMPLOYEES	ESTIMATED AVERAGE PER EMPLOYEE (R)
Annual - Discounting With Resignation (Work Days)	3 059	97	31 536
Annual - Discounting: Contract Expiry (Work Days)	94	7	13 429
Annual - Gratuity: Death/Retirement/Medical Retirement(Work	1 361	43	31 651
Capped - Gratuity: Death/Retirement/Medical Retirement(Work	3 481	35	99 457
Total	7 996		
Leave Payouts (Actual) Allowance Codes - 0060, 0168, 0625, 0422, 0567, 0698, 0699, 0701	7 945	147	

3.11 Hiv/aids & health promotion programmes

Table 3.11.1 Steps taken to reduce the risk of occupational exposure

UNITS/CATEGORIES OF EMPLOYEES IDENTIFIED TO BE AT HIGH RISK OF CONTRACTING HIV & RELATED DISEASES (IF ANY)	KEY STEPS TAKEN TO REDUCE THE RISK
None	Conduct HTC quarterly distribution of condoms and information pamphlets Conduct gender dialogues on HIV issues Observe HIV awareness and provide counselling

Table 3.11.2 Details of Health Promotion and HIV/AIDS Programmes (tick the applicable boxes and provide the required information)

QUESTION	YES	NO	DETAILS, IF YES
1. Has the department designated a member of the SMS to implement the provisions contained in Part VI E of Chapter 1 of the Public Service	x		Mr Sandy Nyathi -Dir: Transformation, Employee Health and Wellness
2. Does the department have a dedicated unit or have you designated specific staff members to promote health and well being of your employees? If so, indicate the number of employees who are involved in this task and the annual budget that is available.	X		There are seven (7) dedicated staff members to promote Health and Wellbeing of employees. Wellbeing of Employees. The annual budget available is R1196 000
3. Has the department introduced an Employee Assistance or Health Promotion Programme for your employees? If so, indicate the key elements/services of this Programme.	X		The key functional pillars of the EHW programme are: <ul style="list-style-type: none"> • Wellness management. • Health and Productivity Management. • HIV and TB Management. • Safety Health Environment Risk and Quality Management
4. Has the department established (a) committee(s) as contemplated in Part VI E.5 (e) of Chapter 1 of the Public Service Regulations, 2001? If so, please provide the names of the members of the committee and the stakeholder(s) that they represent.	X		<ul style="list-style-type: none"> • OHS committee and Wellness Champions
"5. Has the department reviewed its employment policies and practices to ensure that these do not unfairly discriminate against employees on the basis of their HIV status? If so, list the employment policies/practices so reviewed."	X		<ul style="list-style-type: none"> • THE Department has 4 approved Wellness policies Namely: HIV and TB Management Policy; Health and Productivity Management Policy, Wellness Management Policy and OHS/SHERQ policy
6. Has the department introduced measures to protect HIV-positive employees or those perceived to be HIV-positive from discrimination? If so, list the key elements of these measures.	X		<ul style="list-style-type: none"> • Care and support services for HIV infected and affected employees are available Counselling services are in place, and condoms are distributed in bathroom on a regular bases HIV and TB Management Policy in the workplace in place
7. Does the department encourage its employees to undergo Voluntary Counselling and Testing? If so, list the results that you have achieved.	X		<ul style="list-style-type: none"> • GEMS conduct HCT services on quarterly basis to all DFFE offices and reports are provided
8. Has the department developed measures/indicators to monitor & evaluate the impact of its health promotion programme? If so list these measures/ indicators	X		<ul style="list-style-type: none"> • Operational plans on the 4 pillars of EHW programmes are submitted to DPSA on annual bases • Monthly and quarterly reports are provided to management on the implementation of EHW programmes • Quarterly EHW integrated implementation reports are submitted to DPSA

3.12 Labour relations

Table 3.12.1 Collective agreements for the period 1 April 2020 and 31 March 2021

Total number of collective agreements	None
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The following table summarises the outcome of disciplinary hearings conducted within the department for the year under review.

Table 3.12.2 Misconduct and disciplinary hearings finalised for the period 1 April 2020 and 31 March 2021

OUTCOMES OF DISCIPLINARY HEARINGS	NUMBER	% OF TOTAL
Correctional counselling	0	0%
Verbal warning	1	6%
Written warning	0	0%
Final written warning	6	35%
Suspended without pay	6	35%
Fine	0	0%
Demotion	0	0%
Dismissal	2	12%
Not guilty	1	6%
Case withdrawn	1	6%
Resignation	0	0%
Total	17	100%

Table 3.12.3 Types of misconduct addressed at disciplinary hearings for the period 1 April 2020 and 31 March 2021

TYPE OF MISCONDUCT	NUMBER	% OF TOTAL
Wilfully or negligently mismanages finances	0	0%

Table 3.12.4 Grievances logged for the period 1 April 2020 and 31 March 2021

GRIEVANCES	NUMBER	% OF TOTAL
Number of grievances substantiated (Upheld)	12	58%
Number of grievances unsubstantiated (dismissed)	9	42%
Total	21	100%

Table 3.12.5 Disputes logged with Councils for the period 1 April 2020 and 31 March 2021

DISPUTES	NUMBER	% OF TOTAL
Number of disputes upheld	3	60
Number of disputes dismissed	2	40
Total number of disputes lodged	5	100

Table 3.12.6 Strike actions for the period 1 April 2020 and 31 March 2021

STRIKES	NUMBER	% OF TOTAL
Total number of persons working days lost	0,00	0%
Total costs working days lost	0,00	0%
Amount recovered as a result of no work no pay (R'000)	0,00	0%

Table 3.12.7 Precautionary suspensions for the period 1 April 2020 and 31 March 2021

SUSPENSIONS	NUMBER	% OF TOTAL
Number of people suspended	0	0%
Number of people who's suspension exceeded 30 days	0	0%
Average number of days suspended	0	0%
Cost of suspension (R'000)	R0	0%

3.13 Skills development

This section highlights the efforts of the department with regard to skills development.

Table 3.13.1 Training needs identified for the period 1 April 2020 and 31 March 2021

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	92		29		
Legislators, senior officials and managers	Male	121		67		
Professionals	Female	274		357		
Professionals	Male	277		300		
Technicians and associate professionals	Female	509		154		
Technicians and associate professionals	Male	465		160		
Clerks	Female	687		269		
Clerks	Male	379		115		
Service and sales workers	Female	132		29		
Service and sales workers	Male	328		67		
Skilled agriculture and fishery workers	Female	0		0		
Skilled agriculture and fishery workers	Male	0		0		
Craft and related trades workers	Female	1		0		
Craft and related trades workers	Male	15		6		
Plant and machine operators and assemblers	Female	3		1		
Plant and machine operators and assemblers	Male	80		10		
Elementary occupations	Female	404		13		
Elementary occupations	Male	375		10		
Gender sub totals	Female	2102		852		
Gender sub totals	Male	2040		735		
Total	4142			1587	0,00	0,00

Table 3.13.2 Training provided for the period 1 April 2020 and 31 March 2021

OCCUPATIONAL CATEGORY	GENDER	NUMBER OF EMPLOYEES AT BEGINNING OF PERIOD	LEARNERSHIPS	SKILLS PROGRAMMES & OTHER SHORT COURSES	OTHER FORMS OF TRAINING	TOTAL
Legislators, senior officials and managers	Female	92		10		
Legislators, senior officials and managers	Male	121		12		
Professionals	Female	274		0		
Professionals	Male	277		3		
Technicians and associate professionals	Female	509		3		
Technicians and associate professionals	Male	465		5		
Clerks	Female	687		24		
Clerks	Male	379		9		
Service and sales workers	Female	132		1		
Service and sales workers	Male	328		0		
Skilled agriculture and fishery workers	Female	0		0		
Skilled agriculture and fishery workers	Male	0		0		
Craft and related trades workers	Female	1		0		
Craft and related trades workers	Male	15		0		
Plant and machine operators and assemblers	Female	3		0		
Plant and machine operators and assemblers	Male	80		0		
Elementary occupations	Female	404		0		
Elementary occupations	Male	375		0		
Gender sub totals	Female	2102		0		
Gender sub totals	Male	2040		0		
Total		4142		67		

3.14 Injury on duty

The following tables provide basic information on injury on duty.

Table 3.14.1 Injury on duty for the period 1 April 2020 and 31 March 2021

NATURE OF INJURY ON DUTY	NUMBER	% OF TOTAL
Required basic medical attention only	6,00	0,00
Temporary Total Disablement	0,00	0,00
Permanent Disablement	0,00	0,00
Fatal	0,00	0,00
Total	6,00	

3.15 Utilisation of consultants

Table 3.15.1 Report on consultant appointments using appropriated funds for the period 1 April 2020 and 31 March 2021

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	TOTAL CONTRACT VALUE IN RAND
E 1527: The Appointment Of A Service Provider To Assist The Department With The Survey Of The Extent Of Single Use Plastics Waste And Preferred/Possible Policy Options	1	6 Months	867 537,00
E1532: The Appointment Of A Consultant To Provide Consultancy Services To Persons With Special Needs To Allow Compliance With The Environmental Impact Assessment Regulations	2	4 Years	5 008 997,50
E 1534: The Appointment Of A Qualified Service Provider To Render Services By Developing A Framework For The Management Of Wetlands In South Africa For The Department Of Environment, Forestry And Fisheries For A Period Of 14 Months	1	14 Months	800 000,00
E1536: The Appointment Of A Service Provider For The Geotechnical Investigations Of The Tidal Pool Location, Beach Infrastructure Development Project, Second Beach, Port St Johns	2	3 Months	1 354 748,00
E1545: Appointment Of The Service Provider (S) To Develop Situational Analysis Report For Amathole Biosphere; Conduct Stakeholder Consultation, Develop Management Framework And Compile Proposed Amathole Biosphere Reserve Nomination Dossier Within Amathole District Municipalityfor A Period Of 3 Years	1	6 Months	1 014 760,00
E1556: The Appointment Of A Service Provider To Undertake A Source Apportionment Study In The Highveld Priority Area For A Period Of 36 Months Based On Annual Performance Review	4	36 Months	28 063 044,95
E 1561: the appointment of the service provider for the appointment of a service provider(s) to develop a game meat industry strategy for south africa.	4	6 Months	1 806 075,00
E1563: the appointment of a service provider(s) to render services to the department of environmental affairs to review the waste management by-laws for eight (8) metropolitan municipalities in support of operation phakisa: chemicals and waste economy under the separation of waste at source initiative render	1	12 Months	1 396 295,00
E1566: the appointment of service provider to conduct a detailed feasibility study and develop a business case for the establishment of refuse derived fuel plants to address absorbent hygiene product waste and other related residual waste streams	2	10 Months	2 584 560,00

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	TOTAL CONTRACT VALUE IN RAND
E1567: the appointment of a qualified service provider to render services to the department of environment, forestry and fisheries by developing a national assessment report on the linkages between migration and desertification, land degradation and drought	2	18 Months	1 995 132,70
E1568: the appointment of the service provider(s) to conduct feasibility studies to determine the optimal economic benefits that communities can derive from kruger national park biodiversity economy node in mpumalanga and limpopo province and subsequently develop project-based bankable business plans for a period of seven months. Branch: biodiversity and conservation	2	7 Months	1 000 000,00
E1570: The Appointment Of The Service Provider(S) To Conduct Feasibility Studies To Determine The Optimal Economic Benefits That Communities Can Derive From Augrabies Biodiversity Economy Node In Northern Cape Province And Subsequently Develop Project-Based Bankable Business Plans For A Period Of Seven Months. Branch: Biodiversity And Conservation	2	7 Months	604 890,00
E1571: the appointment of the service provider to assist the Department of Forestry, Fisheries and the Environment (DFFE) with the second-generation highveld priority area air quality management plan for a period of twenty-four months	2	24 Months	3 461 960,00
E1574: the appointment of an independent service provider to conduct a socio-economic impact assessment study on the implementation of the recycling enterprise support programme	1	12 Months	1 346 125,00
E1576: The Appointment Of A Transaction Advisor To Provide Advisory Services For Developing A Business Case For The Establishment Of A National Waste Beneficiation And Discretionary Fund For A Period Of Eight (8) Months	1	8 Months	1 864 380,00
E1577: The Appointment Of A Transaction Advisory Team To Review And Refine Action Plans And Business Cases For Chemical And Waste Phakisa 20 Prioritised Initiatives	2	8 Months	2 498 835,00
E 1592: To Appoint Suitably Qualified Project Management Company To Render Project Management Services To The Department Of Environment, Forestry And Fisheries For Planning And Implementation Of Game Meat Processing Learnership Programme Targeting Youth For A Period Of Three (3) Years Covering Kwazulu Natal, Eastern Cape, Northern Cape And Western Cape Provinces.	2	3 Years	1 222 788,10
Sita 0026: The Appointment Of A Service Provider To Design, Redevelop And Implement The South African Waste Information Centre (Sawic) Portal And Specified Associated Applications, Including Support And Maintenance For The Department Of Environmental Affairs (Dea).	1	48 Months	12 821 350,00
Q 140-20-21: The Appointment Of A Service Provider To Render Professional Services To The Department Of Environmental Affairs, With Regards To Conducting An Ethics Risk Assessment, Developing An Ethics Strategy And Implementation Plan.	1	6 Months	468116,7

Table 3.15.2 Analysis of consultant appointments using appropriated funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
E1545: appointment of the service provider (s) to develop situational analysis report for amathole biosphere; conduct stakeholder consultation, develop management framework and compile proposed amathole biosphere reserve nomination dossier within amathole district municipality for a period of 3 years	100,00	100,00	1,00
E1556: the appointment of a service provider to undertake a source apportionment study in the highveld priority area for a period of 36 months based on annual performance review	100,00	100,00	4,00
E1568: The Appointment Of The Service Provider(S) To Conduct Feasibility Studies To Determine The Optimal Economic Benefits That Communities Can Derive From Kruger National Park Biodiversity Economy Node In Mpumalanga And Limpopo Province And Subsequently Develop Project-Based Bankable Business Plans For A Period Of Seven Months. Branch: Biodiversity And Conservation	100,00	100,00	2,00
E1570: The Appointment Of The Service Provider(S) To Conduct Feasibility Studies To Determine The Optimal Economic Benefits That Communities Can Derive From Augrabies Biodiversity Economy Node In Northern Cape Province And Subsequently Develop Project-Based Bankable Business Plans For A Period Of Seven Months. Branch: Biodiversity And Conservation	100,00	100,00	2,00
E1571: The Appointment Of The Service Provider To Assist the Department of Forestry, Fisheries and the Environment (DFFE) With The Second-Generation Highveld Priority Area Air Quality Management Plan For A Period Of Twenty-Four Months	100,00	100,00	2,00
E 1592: To Appoint Suitably Qualified Project Management Company To Render Project Management Services To The Department Of Environment, Forestry And Fisheries For Planning And Implementation Of Game Meat Processing Learnership Programme Targeting Youth For A Period Of Three (3) Years Covering Kwazulu Natal, Eastern Cape, Northern Cape And Western Cape Provinces.	100,00	100,00	2,00

Table 3.15.3 Report on consultant appointments using Donor funds for the period 1 April 2020 and 31 March 2021

PROJECT TITLE	TOTAL NUMBER OF CONSULTANTS THAT WORKED ON THE PROJECT	DURATION: WORK DAYS	DONOR AND CONTRACT VALUE IN RAND
None	None	None	None

Table 3.15.4 Analysis of consultant appointments using Donor funds, in terms of Historically Disadvantaged Individuals (HDIs) for the period 1 April 2020 and 31 March 2021

PROJECT TITLE	PERCENTAGE OWNERSHIP BY HDI GROUPS	PERCENTAGE MANAGEMENT BY HDI GROUPS	NUMBER OF CONSULTANTS FROM HDI GROUPS THAT WORK ON THE PROJECT
None	None	None	None



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PART E

FINANCIAL INFORMATION



PART E FINANCIAL INFORMATION

REPORT OF THE AUDITOR-GENERAL TO PARLIAMENT ON VOTE NO.32: DEPARTMENT OF ENVIRONMENT, FORESTRY AND FISHERIES

REPORT ON THE AUDIT OF THE FINANCIAL STATEMENTS

QUALIFIED OPINION

1. I have audited the financial statements of the Department of Environment, Forestry and Fisheries set out on pages 184 to 280, which comprise the appropriation statement, statement of financial position as at 31 March 2021, the statement of financial performance, statement of changes in net assets and cash flow statement for the year then ended, as well as notes to the financial statements, including a summary of significant accounting policies.
2. In my opinion, except for the effects and possible effects of the matters described in the basis for qualified opinion section of this auditor's report, the financial statements present fairly, in all material respects, the financial position of the Department of Environment, Forestry and Fisheries as at 31 March 2021, and its financial performance and cash flows for the year then ended, in accordance with the Modified Cash Standard (MCS) as prescribed by National Treasury and the requirements of the Public Finance Management Act 1 of 1999 (PFMA) and the Division of Revenue Act 4 of 2020 (Dora).

BASIS FOR QUALIFIED OPINION

Irregular expenditure

3. I was unable to obtain the extent of payments made to contractors that have been identified as irregularly appointed by the department following procurement transactions in previous financial periods. The department did not maintain accurate and complete records of the related expenditure incurred on separate contractual agreements with contractors to determine the irregular expenditure disclosed in the financial statements. I could not confirm the amounts by alternative means. Consequently, I was unable to determine whether any adjustment was necessary to irregular expenditure, stated at R4,38 billion (2019-20: R3,35 billion) in note 26 to the financial statements.
4. The department did not include the particulars of all irregular expenditure in the notes to the financial statements, as required by section 40(3)(b)(i) of the PFMA. The department did not implement adequate internal control systems to identify and record all instances of irregular expenditure incurred in both the current and prior years. This resulted in the irregular expenditure disclosure being understated. I was unable to quantify the full extent of the misstatement of irregular expenditure to be disclosed as it was impracticable to do so. Consequently, I was unable to determine the extent of adjustments necessary to the balance of irregular expenditure disclosure, stated at R4,38 billion (2019-20: R3,35 billion) in note 26 to the financial statements.

Capital commitments

5. The department did not implement appropriate systems and controls to record capital commitments, stated at R82,54 million (2019 20: R172,72 million) in note 21 to the financial statements, as required by MCS chapter 14, Provisions and contingent liabilities. Not all ongoing contracts relating to capital expenditure were included in the listing of capital commitments. This was because the department did not have a centralised contract register indicating commodities that were contracted for. As a result, capital commitments was understated by R132,46 million (2019 20: R119,28 million).

Public-private partnership

6. The department did not disclose the indexed components of the contract fees paid in accordance with MCS chapter 3, Financial statement presentation. According to the contract the department entered into, the entire unitary amount paid is indexed to the South African Consumer Price Index (CPI) and should be disclosed as the indexed component in full. As a result, the indexed component disclosed in note 30 to the financial statements was understated by R164,28 million (2019-20: R157,49 million) and the fixed component was overstated by the same amount.
7. I was unable to obtain sufficient appropriate audit evidence for the public-private partnership-related disclosures and for the classification of the indexed unitary payment between capital expenditure and goods and services, or the necessity thereof. This is because the department did not provide a breakdown of the components paid for through the indexed unitary fee. The department also did not provide the related assumptions and calculations of how it accounts for the underlying finance leases to substantiate the classification of the unitary fee as capital expenditure. I was unable to confirm these amounts by alternative means. Consequently, I was unable to determine whether any further adjustments were necessary to the payment for finance lease expenditure, stated at R164,28 million (2019-20: R157,49 million) in note 9.3 and in the public-private partnership-related disclosure in note 30, and to the future obligation, stated at R4,69 billion (2019-20: R5,14 billion) in note 30.1 to the financial statements.

Capital work-in progress

8. The department did not adequately separate expenditure for capital assets and goods and services in accordance with MCS chapter 11, Capital Assets when recording capital work-in-progress, stated at R429,86 million (2019-20: R548,85 million) in note 35.4 to the financial statements. The department did not consider whether expenditure incurred during the acquisition of immovable tangible capital assets was directly attributable to construct or acquire the assets. As a result, the department incorrectly accounted for indirect costs relating to the construction of capital assets within capital work-in-progress. Additionally, the opening balance included immovable capital assets that were completed in the prior year, as evidenced by completion certificates. The standard requires that completed capital work-in-progress, as per note 35.4 to the financial statements, be transferred as non-cash additions to the immovable tangible capital assets as per note 35.1. Consequently, the

amount for immovable tangible capital work-in-progress assets was overstated by R172,18 million (2019 20: R149,50 million).

Context for the opinion

9. I conducted my audit in accordance with the International Standards on Auditing (ISAs). My responsibilities under those standards are further described in the auditor-general's responsibilities for the audit of the financial statements section of my report.
10. I am independent of the department in accordance with the International Ethics Standards Board for Accountants' International code of ethics for professional accountants (including International Independence Standards) (IESBA code) as well as other ethical requirements that are relevant to my audit in South Africa. I have fulfilled my other ethical responsibilities in accordance with these requirements and the IESBA code.
11. I believe that the audit evidence I have obtained is sufficient and appropriate to provide a basis for my qualified opinion.

Emphasis of matters

12. I draw attention to the matters below. My opinion is not modified in respect of these matters.

Restatement of corresponding figures

13. As disclosed in note 37 to the financial statements, the corresponding figures for 31 March 2020 were restated as a result of errors in the financial statements of the department at, and for the year ended, 31 March 2021.

Transferred functions still subject to a measurement period

14. As disclosed in notes 33 and 40 to the financial statements, the amounts relating to assets acquired, liabilities assumed and any consideration of the amounts recognised or recorded in the financial statements for the transfer of the forestry function, were still subject to a measurement period ending 31 March 2022 as allowed by MCS. As a result, my audit did not include the impact of these items on the financial statements.

Underspending of a vote

15. As disclosed in the appropriation statement, the department materially underspent the budget by R1,64 billion on programmes 1 – administration, programme 6 – environmental programmes, programme 7 – chemical and waste and programme 8 – forestry management.

Subsequent events

16. I draw attention to note 32 in the financial statements, which deals with subsequent events and specifically the effects of the contract extension resulting a deviation from procurement process, which was approved by the National Treasury.

Other matters

17. I draw attention to the matters below. My opinion is not modified in respect of this matter.
18. The following other matter paragraphs will be included in my auditor's report to draw the users' attention to matters regarding the audit, the auditor's responsibilities and the auditor's report:

Unaudited supplementary schedules

19. The supplementary information set out on pages 281 to 296 does not form part of the financial statements and is presented as additional information. I have not audited these schedules and, accordingly, I do not express an opinion on them.

RESPONSIBILITIES OF THE ACCOUNTING OFFICER FOR THE FINANCIAL STATEMENTS

20. The accounting officer is responsible for the preparation and fair presentation of the financial statements in accordance with the MCS and the requirements of the PFMA and Dora, and for such internal control as the accounting officer determines is necessary to enable the preparation of financial statements that are free from material misstatement, whether due to fraud or error.
21. In preparing the financial statements, the accounting officer is responsible for assessing the department's ability to continue as a going concern, disclosing, as applicable, matters relating to going concern and using the going concern basis of accounting unless the appropriate governance structure either intends to liquidate the department or to cease operations, or has no realistic alternative but to do so.

AUDITOR-GENERAL'S RESPONSIBILITIES FOR THE AUDIT OF THE FINANCIAL STATEMENTS

22. My objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes my opinion. Reasonable assurance is a high level of assurance but is not a guarantee that an audit conducted in accordance with the ISAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.
23. A further description of my responsibilities for the audit of the financial statements is included in the annexure to this auditor's report.

REPORT ON THE AUDIT OF THE ANNUAL PERFORMANCE REPORT

Introduction and scope

24. In accordance with the Public Audit Act 25 of 2004 (PAA) and the general notice issued

in terms thereof, I have a responsibility to report on the usefulness and reliability of the reported performance information against predetermined objectives for selected programme presented in the annual performance report. I performed procedures to identify material findings but not to gather evidence to express assurance.

25. My procedures address the usefulness and reliability of the reported performance information, which must be based on the department's approved performance planning documents. I have not evaluated the completeness and appropriateness of the performance indicators included in the planning documents. My procedures do not examine whether the actions taken by the department enabled service delivery. My procedures do not extend to any disclosures or assertions relating to the extent of achievements in the current year or planned performance strategies and information in respect of future periods that may be included as part of the reported performance information. Accordingly, my findings do not extend to these matters.

26. I evaluated the usefulness and reliability of the reported performance information in accordance with the criteria developed from the performance management and reporting framework, as defined in the general notice, for the following selected programme presented in the department's annual performance report for the year ended 31 March 2021:

PROGRAMME	PAGES IN THE ANNUAL PERFORMANCE REPORT
Programme 5 – Biodiversity and Conservation	93– 98

27. I performed procedures to determine whether the reported performance information was properly presented and whether performance was consistent with the approved performance planning documents. I performed further procedures to determine whether the indicators and related targets were measurable and relevant, and assessed the reliability of the reported performance information to determine whether it was valid, accurate and complete.

28. The material findings on the usefulness and reliability of the performance information of the selected programmes are as follows:

PROGRAMME 5 – BIODIVERSITY AND CONSERVATION

Number of biodiversity economy initiatives implemented

29. The approved changes to the targets listed below in the initial approved annual performance plan were not disclosed in the annual performance report.

INITIAL TARGET	REVISED TARGET
500 hectares of land for indigenous species identified and cultivated	Business cases of 25 prioritised species
400 biodiversity entrepreneurs trained	150 biodiversity entrepreneurs trained

3 BioPanza initiatives implemented: <ul style="list-style-type: none"> National awareness workshop hosted - Market access model for the bioprospecting / biotrade sector developed Capacity building plan for industry associations developed 800 Jobs created 	2 BioPanza initiatives implemented: <ul style="list-style-type: none"> Market access programme of work developed BioPanza pipeline platform established
2 500 heads of game donated to PDI's and communities	180 learners trained on game meat processing in 2021

30. I was unable to obtain sufficient appropriate audit evidence for the reported achievements of targets relating to this indicator. This was due to the lack of accurate and complete records. I was unable to confirm the reported achievements by alternative means. Consequently, I was unable to determine whether any adjustments were required to the reported achievements in the annual performance report for the targets listed below:

PLANNED TARGET	REPORTED ACHIEVEMENT
Business cases of 25 prioritised species	Draft business case for 25 species for mass cultivation developed
150 biodiversity entrepreneurs trained	175 biodiversity beneficiaries trained
Interventions for biodiversity economy nodes implemented: <ul style="list-style-type: none"> Champions for 3 nodes appointed Feasibility study for 3 nodes conducted Operational plans for 3 nodes developed 	Champions for 3 Nodes appointed (Kruger; Zoar and Augrabies nodes) Feasibility study for 3 Nodes conducted (Kruger; Zoar and Augrabies nodes) Operational Plans for 3 Nodes developed (Kruger; Zoar and Augrabies nodes)

Percentage of land under conservation

31. The achievement of 16,08% was reported against a target 14,2% in the annual performance report. However, information provided relating to historically declared land materially differed from the reported achievement, while I was unable to obtain sufficient appropriate audit evidence in instances of newly declared conservation land. This was due to the lack of readily available accurate and complete records to substantiate reported performance. I was unable to further confirm the reported achievement by alternative means. Consequently, I was unable to determine whether any further adjustments were required to the reported achievement.

Other matters

32. I draw attention to the matters below.

Achievement of planned targets

33. Refer to the annual performance report on page 93 to 98 for information on the achievement of planned targets for the year and management explanations provided for the under achievement of targets. This information should be considered in the context of the qualified opinions expressed on the usefulness and reliability of the reported performance information in paragraphs 29-31 of this report.

Adjustment of material misstatements

34. I identified material misstatements in the annual performance report submitted for auditing. These material misstatements were on the reported performance information of programme 5: biodiversity and conservation. As management subsequently corrected only some of the misstatements, I raised material findings on the usefulness and reliability of the reported performance information. Those that were not corrected are reported above.

REPORT ON THE AUDIT OF COMPLIANCE WITH LEGISLATION

Introduction and scope

35. In accordance with the PAA and the general notice issued in terms thereof, I have a responsibility to report material findings on the department's compliance with specific matters in key legislation. I performed procedures to identify findings but not to gather evidence to express assurance.

36. The material findings on compliance with specific matters in key legislation are as follows:

Annual financial statements, performance and annual reports

37. The financial statements submitted for auditing were not prepared in accordance with the prescribed financial reporting framework, as required by section 40(1)(a) and (b) of the PFMA.

38. Material misstatements of expenditure and disclosure items identified by the auditors in the submitted financial statements were corrected and the supporting records were provided subsequently, but the uncorrected material misstatements and supporting records that could not be provided resulted in the financial statements receiving a qualified opinion.

Expenditure management

39. Effective and appropriate steps were not taken to prevent irregular expenditure of R1,03 billion, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. As reported in the basis for qualified opinion, the full extent of the irregular expenditure could not be quantified. The majority of the irregular expenditure disclosed in the financial

statements was due to the department's incorrect application of the objective assessment against predetermined functionality criteria as prescribed by the Preferential Procurement Policy Framework Act 5 of 2000 (PPPFA) when evaluating competitive bids.

40. Effective steps were not taken to prevent fruitless and wasteful expenditure of R3,11 million disclosed in note 27 to the financial statements, as required by section 38(1)(c)(ii) of the PFMA and treasury regulation 9.1.1. The majority of the fruitless and wasteful expenditure disclosed in the financial statements was caused by funds allocated to implementing agents not being spent in accordance with the approved memorandum of understanding.

41. Payments were not made within 30 days or an agreed period after receipt of an invoice, as required by treasury regulation 8.2.3.

Procurement and contract management

42. Some quotations were awarded to bidders based on preference points that were not allocated and calculated in accordance with the requirements of the Preferential Procurement Policy Framework Act and its regulations. Similar non-compliance was also reported in the prior year.

43. Some quotations were awarded to bidders that did not score the highest points in the evaluation process, as required by section 2(1)(f) of Preferential Procurement Policy Framework Act and Preferential Procurement Regulations.

44. Some bid documentation for procurement of commodities designated for local content and production did not stipulate the minimum threshold for local production and content, as required by the 2017 preferential procurement regulation 8(2).

45. Some commodities designated for local content and production were procured from suppliers who did not submit a declaration on local production and content, as required by the 2017 preferential procurement regulation.

Strategic planning and performance management

46. Specific information systems were not implemented to enable monitoring of progress made towards achieving targets, core objectives and service delivery, as required by public service regulation 25(1)(e)(i) and (iii).

Consequence management

47. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were taken against officials who had incurred irregular expenditure, as required by section 38(1)(h)(iii) of the PFMA. This was because most or a large number of the transactions incurring irregular expenditure had not been investigated.

48. I was unable to obtain sufficient appropriate audit evidence that disciplinary steps were

taken against officials who had incurred fruitless and wasteful expenditure, as required by section 38(1)(h)(iii) of the PFMA. This was because investigations into fruitless and wasteful expenditure were not performed.

Other information

49. The accounting officer is responsible for the other information. The other information comprises the information included in the annual report, which includes the audit committee's report. The other information does not include the financial statements, the auditor's report and those selected programmes presented in the annual performance report that have been specifically reported on in the auditor's report.
50. My opinion on the financial statements and findings on the reported performance information and compliance with legislation do not cover the other information and I do not express an audit opinion or any form of assurance conclusion on them.
51. In connection with my audit, my responsibility is to read the other information and, in doing so, consider whether the other information is materially inconsistent with the financial statements and the selected programmes presented in the annual performance report, or my knowledge obtained during the audit or otherwise appears to be materially misstated.
52. I did not receive the other information prior to the date of this auditor's report. When I do receive and read this information, if I conclude that there is a material misstatement therein, I am required to communicate the matter to those charged with governance and request that the other information be corrected. If the other information is not corrected, I may have to retract this auditor's report and re-issue an amended report as appropriate. However, if it is corrected this will not be necessary.

Internal control deficiencies

53. I considered internal control relevant to my audit of the financial statements, reported performance information and compliance with applicable legislation; however, my objective was not to express any form of assurance on it. The matters reported below are limited to the significant internal control deficiencies that resulted in the basis for the qualified opinion, the findings on the annual performance report and the findings on compliance with legislation included in this report.
54. The department developed a plan to address internal and external audit findings, but the appropriate level of management did not monitor adherence to all components of the plan in a timely manner. Implementation of the plan was also initiated very late in the financial year, which resulted in only some of the previous year's misstatements being addressed.
55. The department did not ensure that monitoring and timeous review procedures were in place for quality assurance verification of the financial statements and the annual performance report before submitting it for auditing. As a result, several matters leading to material

misstatements of the financial statements and annual performance report were identified during the audit.

56. The department did not have a proper records management system that maintained information to support the reported performance in the annual performance report and, in some instances, information relating to supply chain management. This included information that related to the collection, collation, verification, storage and reporting of actual performance information.
57. The department did not implement proper review controls in time to ensure that irregular expenditure reported in the financial statement note was complete, relevant, accurate and supported by information that is accessible and available at the point of submission of the financial statements. Similarly, preventative controls were not properly implemented to ensure that irregular expenditure was not incurred.
58. As indicated in paragraph 37 and 38 of this report, the financial statements contained numerous misstatements that required correction. This was mainly due to complex transactions that the department had entered into during the preceding years without the staff obtaining a full understanding of the requirements of the financial reporting framework to account for these transactions.
59. Management did not review and monitor compliance, and institute consequence management to ensure adherence to applicable legislation. As a result, the department transgressed key legislative requirements that, in some instances, led to repeat non-compliance findings being reported.
60. The ICT framework had not been approved because of the several stakeholder committees at which the governance framework was required to be presented for approval before being finalised for circulation within the department. In addition, the ICT strategic committee terms of reference were not reviewed due to a lack of management oversight.

Other reports

61. I draw attention to the following engagements conducted by various parties which had, or could have, an impact on the matters reported in the department's financial statements, reported performance information, compliance with applicable legislation and other related matters. These reports did not form part of my opinion on the financial statements or my findings on the reported performance information or compliance with legislation.
62. Independent consultants were investigating an allegation of maladministration and procurement irregularities at the request of the department, covering the period 2018 to 2021. The outcomes of most of the investigations are expected by 31 March 2022.

Auditor-General



AUDITOR-GENERAL
SOUTH AFRICA

Auditing to build public confidence

22 October 2021

ANNEXURE – AUDITOR-GENERAL'S RESPONSIBILITY FOR THE AUDIT

1. As part of an audit in accordance with the ISAs, I exercise professional judgement and maintain professional scepticism throughout my audit of the financial statements and the procedures performed on reported performance information for selected programmes and on the department's compliance with respect to the selected subject matters.

Financial statements

2. In addition to my responsibility for the audit of the financial statements as described in this auditor's report, I also:
 - identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error; design and perform audit procedures responsive to those risks; and obtain audit evidence that is sufficient and appropriate to provide a basis for my opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations or the override of internal control
 - obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the department's internal control
 - evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by the accounting officer
 - conclude on the appropriateness of the accounting officer's use of the going concern basis of accounting in the preparation of the financial statements. I also conclude, based on the audit evidence obtained, whether a material uncertainty exists relating to events or conditions that may cast significant doubt on the ability of the Department of Environment, Forestry and Fisheries to continue as a going concern. If I conclude that a material uncertainty exists, I am required to draw attention in my auditor's report to the related disclosures in the financial statements about the material uncertainty or, if such disclosures are inadequate, to modify my opinion on the financial statements. My conclusions are based on the information available to me at the date of this auditor's report. However, future events or conditions may cause a department to cease operating as a going concern

- evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and determine whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

Communication with those charged with governance

3. I communicate with the accounting officer regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that I identify during my audit.
4. I also provide the accounting officer with a statement that I have complied with relevant ethical requirements regarding independence, and communicate with them all relationships and other matters that may reasonably be thought to bear on my independence and, where applicable, actions taken to eliminate threats or safeguards applied.

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

APPROPRIATION PER PROGRAMME									
	2020/21							2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
PROGRAMME	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Administration	1 185 588	(29 017)	-	1 156 571	1 024 030	132 541	88,5%	911 882	910 233
2. Regulatory Compliance and Sector Monitoring	198 952	5 381	-	204 333	192 631	11 702	94,3%	220 495	220 102
3. Oceans and Coasts	469 889	7 944	-	477 833	433 512	44 321	90,7%	494 645	458 621
4. Climate Change, Air	540 905	62 732	-	603 637	590 613	13 024	97,8%	450 251	450 251
5. Development Biodiversity and Conservation	1 915 523	(7 958)	-	1 907 565	1 851 297	56 268	97,1%	796 896	796 847
6. Environmental Programmes	3 932 349	(17 619)	-	3 914 730	2 823 170	1 091 560	72,1%	3 996 778	3 941 531
7. Chemicals and Waste Management	608 781	(2 043)	-	606 738	396 347	210 391	65,3%	612 724	589 062
8. Forestry Management	662 173	(68 270)	-	593 903	515 925	77 978	86,9%	-	-
9. Fisheries Management	423 641	48 850	-	472 491	472 491	-	100,0%	-	-
Total	9 937 801	-	-	9 937 801	8 300 016	1 637 785	83,5%	7 483 671	7 366 647

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

	2020/21			2019/20	
	FINAL APPROPRIATION	ACTUAL EXPENDITURE		FINAL APPROPRIATION	ACTUAL EXPENDITURE
Reconciliation With Statement Of Financial Performance					
Add					
Departmental Receipts	77 535			108 517	
Nrf Receipts	-			-	
Aid Assistance	45 232			-	
Actual Amounts Per Statement Of Financial Performance (Total Revenue)	10 060 568			7 592 188	
Add					
Aid Assistance					
Prior Year Unauthorised Expenditure Approved Without Funding			26 298		2 710
Actual Amounts Per Statement Of Financial Performance (Total Expenditure)			8 326 314		7 369 357

APPROPRIATION PER ECONOMIC CLASSIFICATION									
	2020/21							2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Economic Classification									
Current Payments	6 600 377	(75 513)	-	6 524 864	5 09 472	1 432 392	78,0%	4 797 112	4 747 858
Compensation Of Employees	1 933 918	-	-	1 933 918	1 962 817	(28 899)	101,5%	1 237 539	1 263 948
Salaries And Wages	1 720 832	(39 108)	-	1 681 724	1 707 122	(25 398)	101,5%	1 099 886	1 123 623
Social Contributions	213 086	39 108	-	252 194	255 695	(3 501)	101,4%	137 653	140 325
Goods And Services	4 621 128	(75 513)	-	4 545 615	3 089 747	1 455 868	68,0%	3 527 107	3 451 444
Administrative Fees	46 426	174 141	-	220 567	220 567	-	100,0%	207 771	207 771
Advertising	25 807	(16 374)	-	9 433	7 174	2 259	76,1%	26 725	24 538
Minor Assets	4 789	(2 998)	-	1 791	1 791	-	100,0%	3 430	3 430
Audit Costs: External	10 632	5 062	-	15 694	15 694	-	100,0%	14 169	14 169
Bursaries: Employees	3 510	(968)	-	2 542	2 542	-	100,0%	2 649	2 649

APPROPRIATION PER ECONOMIC CLASSIFICATION

2020/21								2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Catering: Departmental Activities	6 566	(4 146)	-	2 420	2 420	-	100,0%	10 557	10 557
Communication (G&S)	26 170	21 268	-	47 438	47 438	-	100,0%	19 451	19 451
Computer Services	111 224	18 152	-	129 376	129 376	-	100,0%	83 617	83 224
Consultants: Business And Advisory Services	157 204	100 506	-	257 710	209 910	47 800	81,5%	253 977	224 591
Infrastructure And Planning Services	619	(619)	-	-	-	-	-	-	-
Laboratory Services	1 974	2 429	-	4 403	4 403	-	100,0%	113	113
Scientific And Technological Services	50 430	(3 943)	-	46 487	-	46 487	-	-	-
Legal Services	-	29 373	-	29 373	29 373	-	100,0%	11 967	11 967
Contractors	116 244	114 574	-	230 818	205 838	24 980	89,2%	265 462	265 462
Agency And Support / Outsourced Services	3 209 541	(661 017)	-	2 548 524	1 448 553	1 099 971	56,8%	1 610 243	1 566 546
Entertainment	116	(112)	-	4	4	-	100,0%	19	19
Fleet Services (Including Government Motor Transport)	14 632	3 655	-	18 287	18 287	-	100,0%	6 398	6 398
Inventory: Clothing Material And Accessories	5 184	(3 362)	-	1 822	1 822	-	100,0%	1 752	1 752
Inventory: Farming Supplies	4 957	(4 957)	-	-	-	-	-	-	-
Inventory: Food And Food Supplies	425	(425)	-	-	-	-	-	-	-
Inventory: Fuel, Oil And Gas	1 769	11 910	-	13 679	13 679	-	100,0%	17 569	17 569
Inventory: Materials And Supplies	127	(127)	-	-	-	-	-	-	-
Inventory: Other Supplies	797	88 353	-	89 150	89 150	-	100,0%	115 007	115 007
Consumable Supplies	130 124	(39 879)	-	90 245	30 822	59 423	34,2%	32 126	32 126
Consumable: Stationery, Printing And Office Supplies	16 921	(10 253)	-	6 668	4 349	2 319	65,2%	7 565	7 565
Operating Leases	314 178	(10 995)	-	303 183	211 334	91 849	69,7%	94 030	94 030
Property Payments	29 072	10 926	-	39 998	39 998	-	100,0%	8 588	8 588

APPROPRIATION PER ECONOMIC CLASSIFICATION

2020/21								2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transport Provided: Departmental Activity	194	302	-	496	496	-	100,0%	254	254
Travel And Subsistence	164 080	(69 524)	-	94 556	67 935	26 621	71,8%	201 878	201 878
Training And Development	33 047	188 631	-	221 678	216 390	5 288	97,6%	417 388	417 388
Operating Payments	101 556	1 089	-	102 645	63 931	38 714	62,3%	67 660	67 660
Venues And Facilities	28 512	(15 926)	-	12 586	4 905	7 681	39,0%	38 327	38 327
Rental And Hiring	4 301	(259)	-	4 042	1 566	2 476	38,7%	8 415	8 415
Interest And Rent On Land	45 331	-	-	45 331	39 908	5 423	88,0%	32 466	32 466
Interest (Incl. Interest On Unitary Payments (Ppp))	-	-	-	-	-	-	-	9	9
Rent On Land	45 331	-	-	45 331	39 908	5 423	88,0%	32 457	32 457
Transfers And Subsidies	2 935 357	(5 248)	-	2 930 109	2 892 653	37 456	98,7%	2 192 427	2 126 306
Provinces And Municipalities	874	101	-	975	101	874	10,4%	152	152
Provinces	-	21	-	21	21	-	100,0%	3	3
Provincial Revenue Funds	-	21	-	21	21	-	100,0%	3	3
Municipalities	874	80	-	954	80	874	8,4%	149	149
Municipal Agencies And Funds	874	80	-	954	80	874	8,4%	149	149
Departmental Agencies And Accounts	2 857 708	(22 000)	-	2 835 708	2 834 698	1 010	100,0%	1 994 815	1 994 362
Social Security Funds	-	-	-	-	-	-	-	5 053	5 053
Departmental Agencies	2 857 708	(22 000)	-	2 835 708	2 834 698	1 010	100,0%	1 989 762	1 989 309
Foreign Governments And International Organisations	23 512	9 388	-	32 900	32 888	12	100,0%	34 772	34 772
Public Corporations And Private Enterprises	39 216	-	-	39 216	7 955	31 261	20,3%	98 650	32 982
Public Corporations	33 343	-	-	33 343	5 206	28 137	15,6%	29 650	4 810
Other Transfers To Public Corporations	33 343	-	-	33 343	5 206	28 137	15,6%	29 650	4 810

APPROPRIATION PER ECONOMIC CLASSIFICATION

2020/21								2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Private Enterprises	5 873	-	-	5 873	2 749	3 124	46,8%	69 000	28 172
Other Transfers To Private Enterprises	5 873	-	-	5 873	2 749	3 124	46,8%	69 000	28 172
Non-Profit Institutions	6 396	-	-	6 396	6 270	126	98,0%	8 496	8 496
Households	7 651	7 263	-	14 914	10 741	4 173	72,0%	55 542	55 542
Social Benefits	7 158	6 897	-	14 055	9 882	4 173	70,3%	8 141	8 141
Other Transfers To Households	493	366	-	859	859	-	100,0%	47 401	47 401
Payments For Capital Assets	402 067	80 728	-	482 795	314 858	167 937	65,2%	480 364	478 715
Buildings And Other Fixed Structures	343 970	308	-	344 278	219 465	124 813	63,7%	341 783	340 134
Buildings	163 970	308	-	164 278	164 278	-	100,0%	159 138	157 489
Other Fixed Structures	180 000	-	-	180 000	55 187	124 813	30,7%	182 645	182 645
Machinery And Equipment	49 938	67 285	-	117 223	82 258	34 965	70,2%	104 743	104 743
Transport Equipment	30 148	54 423	-	84 571	56 659	27 912	67,0%	58 370	58 370
Other Machinery And Equipment	19 790	12 862	-	32 652	25 599	7 053	78,4%	46 373	46 373
Heritage Assets	-	275	-	275	275	-	100,0%	-	-
Biological Assets	25	-	-	25	-	25	-	-	-
Software And Other Intangible Assets	8 134	12 860	-	20 994	12 860	8 134	61,3%	33 838	33 838
Payments For Financial Assets	-	33	-	33	33	-	100,0%	13 768	13 768
Total	9 937 801	-	-	9 937 801	8 300 016	1 637 785	83,5%	7 483 671	7 366 647

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 1: ADMINISTRATION									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Management	171 943	(59 826)	-	112 117	95 869	16 248	85,5%	56 007	56 007
2. Corporate Management Services	420 596	46 088	-	466 684	442 469	24 215	94,8%	511 096	511 096
3. Financial Management Services	128 123	(15 279)	-	112 844	112 388	456	99,6%	93 175	93 175
4. Office Accommodation	464 926	-	-	464 926	373 304	91 622	80,3%	251 604	249 955
Total	1 185 588	(29 017)	-	1 156 571	1 024 030	132 541	88,5%	911 882	910 233
Economic Classification									
Current Payments	1 013 487	(35 569)	-	977 918	845 377	132 541	86,4%	729 827	729 827
Compensation Of Employees	401 239	(29 017)	-	372 222	372 222	-	100,0%	376 446	376 446
Salaries And Wages	356 506	(26 231)	-	330 275	330 275	-	100,0%	336 572	336 572
Social Contributions	44 733	(2 786)	-	41 947	41 947	-	100,0%	39 874	39 874
Goods And Services	612 248	(6 552)	-	605 696	473 155	132 541	78,1%	353 381	353 381
Administrative Fees	6 772	(2 717)	-	4 055	4 055	-	100,0%	10 194	10 194
Advertising	12 595	(6 413)	-	6 182	3 923	2 259	63,5%	19 905	19 905
Minor Assets	875	(131)	-	744	744	-	100,0%	2 038	2 038
Audit Costs: External	10 632	5 062	-	15 694	15 694	-	100,0%	14 169	14 169
Bursaries: Employees	1 951	(26)	-	1 925	1 925	-	100,0%	2 127	2 127
Catering: Departmental Activities	2 463	(528)	-	1 935	1 935	-	100,0%	6 063	6 063
Communication	8 451	30 962	-	39 413	39 413	-	100,0%	14 248	14 248
Computer Services	96 772	16 962	-	113 734	113 734	-	100,0%	69 619	69 619
Consultants: Business And Advisory Services	21 972	(10 195)	-	11 777	11 777	-	100,0%	12 934	12 934
Laboratory Services	27	(27)	-	-	-	-	-	17	17
Scientific And Technological Services	826	(826)	-	-	-	-	-	-	-
Legal Services	-	19 448	-	19 448	19 448	-	100,0%	877	877

PROGRAMME 1: ADMINISTRATION

PROGRAMME 1: ADMINISTRATION									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Contractors	1 208	(77)	-	1 131	1 131	-	100,0%	2 952	2 952
Agency And Support / Outsourced Services	55 181	(26 831)	-	28 350	6 394	21 956	22,6%	985	985
Entertainment	9	(6)	-	3	3	-	100,0%	4	4
Fleet Services (Including Government Motor Transport)	5 742	605	-	6 347	6 347	-	100,0%	4 295	4 295
Inventory: Other Supplies	-	220	-	220	220	-	100,0%	-	-
Consumable Supplies	4 387	3 450	-	7 837	7 837	-	100,0%	8 757	8 757
Consumable: Stationery, Printing And Office Supplies	4 622	(272)	-	4 350	2 031	2 319	46,7%	3 575	3 575
Operating Leases	299 179	(21 813)	-	277 366	185 517	91 849	66,9%	90 853	90 853
Property Payments	13 199	18 840	-	32 039	32 039	-	100,0%	8 396	8 396
Transport Provided: Departmental Activity	163	123	-	286	286	-	100,0%	144	144
Travel And Subsistence	28 419	(17 262)	-	11 157	11 157	-	100,0%	49 716	49 716
Training And Development	18 099	(11 906)	-	6 193	905	5 288	14,6%	5 758	5 758
Operating Payments	4 734	322	-	5 056	5 056	-	100,0%	7 543	7 543
Venues And Facilities	10 546	(3 516)	-	7 030	636	6 394	9,0%	12 730	12 730
Rental And Hiring	3 424	-	-	3 424	948	2 476	27,7%	5 482	5 482
Transfers And Subsidies	1 028	1 279	-	2 307	2 307	-	100,0%	6 120	6 120
Provinces And Municipalities	-	13	-	13	13	-	100,0%	70	70
Provinces	-	5	-	5	5	-	100,0%	3	3

PROGRAMME 1: ADMINISTRATION

PROGRAMME 1: ADMINISTRATION									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Provincial Revenue Funds	-	5	-	5	5	-	100,0%	3	3
Municipalities	-	8	-	8	8	-	100,0%	67	67
Municipal Agencies And Funds	-	8	-	8	8	-	100,0%	67	67
Households	1 028	1 266	-	2 294	2 294	-	100,0%	6 050	6 050
Social Benefits	1 028	607	-	1 635	1 635	-	100,0%	5 151	5 151
Other Transfers To Households	-	659	-	659	659	-	100,0%	899	899
Payments For Capital Assets	171 073	5 260	-	176 333	176 333	-	100,0%	175 898	174 249
Buildings And Other Fixed Structures	163 970	308	-	164 278	164 278	-	100,0%	159 138	157 489
Buildings	163 970	308	-	164 278	164 278	-	100,0%	159 138	157 489
Machinery And Equipment	7 103	4 677	-	11 780	11 780	-	100,0%	16 746	16 746
Transport Equipment	2 006	(2 006)	-	-	-	-	-	-	-
Other Machinery And Equipment	5 097	6 683	-	11 780	11 780	-	100,0%	16 746	16 746
Heritage Assets	-	275	-	275	275	-	100,0%	-	-
Software And Other Intangible Assets	-	-	-	-	-	-	-	14	14
Payments For Financial Assets	-	13	-	13	13	-	100,0%	37	37
Total	1 185 588	(29 017)	-	1 156 571	1 024 030	132 541	88,5%	911 882	910 233

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING									
SUB PROGRAMME	2020/21							2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Regulatory Compliance And Sector Monitoring Management	8 096	6 536	-	14 632	14 632	-	100,0%	15 336	15 336
2. Compliance	36 993	4 295	-	41 288	38 757	2 531	93,9%	43 434	43 434
3. Integrated Environmental Authorisations	53 914	(4 855)	-	49 059	47 433	1 626	96,7%	61 665	61 665
4. Enforcement	63 966	(196)	-	63 770	60 263	3 507	94,5%	64 838	64 445
5. Litigation And Legal Support	14 885	4 430	-	19 315	17 470	1 845	90,4%	12 554	12 554
6. Law Reform And Appeals	21 098	(4 829)	-	16 269	14 076	2 193	86,5%	22 668	22 668
Total	198 952	5 381	-	204 333	192 631	11 702	94,3%	220 495	220 102
Economic Classification									
Current Payments	192 984	2 151	-	195 135	184 303	10 832	94,4%	210 751	210 358
Compensation Of Employees	139 437	5 381	-	144 818	144 818	-	100,0%	146 495	146 495
Salaries And Wages	126 993	(802)	-	126 191	126 191	-	100,0%	128 166	128 166
Social Contributions	12 444	6 183	-	18 627	18 627	-	100,0%	18 329	18 329
Goods And Services	53 547	(3 230)	-	50 317	39 485	10 832	78,5%	64 256	63 863
Administrative Fees	147	(48)	-	99	99	-	100,0%	518	518
Advertising	1 908	(1 268)	-	640	640	-	100,0%	813	813
Minor Assets	123	105	-	228	228	-	100,0%	300	300
Bursaries: Employees	39	78	-	117	117	-	100,0%	50	50
Catering: Departmental Activities	310	(242)	-	68	68	-	100,0%	638	638
Communication	1 063	(686)	-	377	377	-	100,0%	426	426
Computer Services	7 811	(1 526)	-	6 285	6 285	-	100,0%	8 725	8 332
Consultants: Business And Advisory Services	2 932	4 435	-	7 367	7 367	-	100,0%	13 143	13 143
Laboratory Services	1 947	2 455	-	4 402	4 402	-	100,0%	96	96
Scientific And Technological Services	8 887	-	-	8 887	-	8 887	-	-	-
Legal Services	-	5 570	-	5 570	5 570	-	100,0%	6 071	6 071
Contractors	399	(321)	-	78	78	-	100,0%	455	455
Agency And Support / Outsourced Services	6	(6)	-	-	-	-	-	-	-
Entertainment	11	(11)	-	-	-	-	-	3	3

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING

SUB PROGRAMME	2020/21							2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Fleet Services (Including Government Motor Transport)	42	16	-	58	58	-	100,0%	9	9
Consumable Supplies	1 480	669	-	2 149	2 149	-	100,0%	2 002	2 002
Consumable: Stationery, Printing And Office Supplies	1 221	(428)	-	793	793	-	100,0%	494	494
Operating Leases	387	710	-	1 097	1 097	-	100,0%	821	821
Travel And Subsistence	17 346	(6 884)	-	10 462	8 517	1 945	81,4%	21 041	21 041
Training And Development	1 854	(1 785)	-	69	69	-	100,0%	1 597	1 597
Operating Payments	2 071	(1 323)	-	748	748	-	100,0%	1 315	1 315
Venues And Facilities	3 540	(2 719)	-	821	821	-	100,0%	5 638	5 638
Rental And Hiring	23	(21)	-	2	2	-	100,0%	101	101
Transfers And Subsidies	2 678	312	-	2 990	2 990	-	100,0%	5 221	5 221
Provinces And Municipalities	-	2	-	2	2	-	100,0%	5	5
Municipalities	-	2	-	2	2	-	100,0%	5	5
Municipal Agencies And Funds	-	2	-	2	2	-	100,0%	5	5
Non-Profit Institutions	2 583	-	-	2 583	2 583	-	100,0%	4 809	4 809
Households	95	310	-	405	405	-	100,0%	407	407
Social Benefits	95	310	-	405	405	-	100,0%	399	399
Other Transfers To Households	-	-	-	-	-	-	-	8	8
Payments For Capital Assets	3 290	2 918	-	6 208	5 338	870	86,0%	4 523	4 523
Machinery And Equipment	3 290	1 835	-	5 125	4 255	870	83,0%	847	847
Transport Equipment	230	2 671	-	2 901	2 901	-	100,0%	-	-
Other Machinery And Equipment	3 060	(836)	-	2 224	1 354	870	60,9%	847	847
Software And Other Intangible Assets	-	1 083	-	1 083	1 083	-	100,0%	3 676	3 676
Payments For Financial Assets	-	-	-	-	-	-	-	-	-
Total	198 952	5 381	-	204 333	192 631	11 702	94,3%	220 495	220 102

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 3: OCEANS AND COASTS									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Oceans And Coasts Management	16 155	236	-	16 391	12 305	4 086	75,1%	23 448	23 448
2. Integrated Coastal Management And Coastal Conservation	30 308	7 421	-	37 729	37 551	178	99,5%	27 381	27 381
3. Oceans And Coastal Research	114 135	(4 805)	-	109 330	104 185	5 145	95,3%	105 402	100 902
4. Oceans Economy And Project Management	38 435	6 188	-	44 623	36 489	8 134	81,8%	94 186	62 662
5. Specialist Monitoring Services	270 856	(1 096)	-	269 760	242 982	26 778	90,1%	244 228	244 228
Total	469 889	7 944	-	477 833	433 512	44 321	90,7%	494 645	458 621
Economic Classification									
Current Payments	459 051	2 904	-	461 955	427 056	34 899	92,4%	469 806	433 782
Compensation Of Employees	139 826	7 944	-	147 770	147 770	-	100,0%	135 215	135 215
Salaries And Wages	131 132	1 805	-	132 937	132 937	-	100,0%	123 054	123 054
Social Contributions	8 694	6 139	-	14 833	14 833	-	100,0%	12 161	12 161
Goods And Services	319 225	(5 040)	-	314 185	279 286	34 899	88,9%	334 582	298 558
Administrative Fees	230	(183)	-	47	47	-	100,0%	774	774
Advertising	4 296	(4 296)	-	-	-	-	-	2 568	381
Minor Assets	482	(390)	-	92	92	-	100,0%	284	284
Bursaries: Employees	54	31	-	85	85	-	100,0%	96	96
Catering: Departmental Activities	301	(267)	-	34	34	-	100,0%	1 132	1 132
Communication	2 165	416	-	2 581	2 581	-	100,0%	514	514
Computer Services	528	(41)	-	487	487	-	100,0%	2 119	2 119
Consultants: Business And Advisory Services	38 657	11 295	-	49 952	26 052	23 900	52,2%	77 117	47 780
Scientific And Technological Services	992	(992)	-	-	-	-	-	-	-

PROGRAMME 3: OCEANS AND COASTS

PROGRAMME 3: OCEANS AND COASTS									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Legal Services	-	1 797	-	1 797	1 797	-	100,0%	489	489
Contractors	4 880	(3 432)	-	1 448	1 448	-	100,0%	4 056	4 056
Agency And Support / Outsourced Services	193 248	(11 110)	-	182 138	179 655	2 483	98,6%	165 739	161 239
Entertainment	6	(6)	-	-	-	-	-	7	7
Fleet Services (Including Government Motor Transport)	1 070	(250)	-	820	820	-	100,0%	741	741
Inventory: Clothing Material And Accessories	1 380	442	-	1 822	1 822	-	100,0%	1 752	1 752
Inventory: Food And Food Supplies	274	(274)	-	-	-	-	-	-	-
Inventory: Fuel, Oil And Gas	104	(104)	-	-	-	-	-	-	-
Inventory: Other Supplies	654	(654)	-	-	-	-	-	-	-
Consumable Supplies	18 813	(2 014)	-	16 799	16 160	639	96,2%	19 034	19 034
Consumable: Stationery, Printing And Office Supplies	1 616	(1 324)	-	292	292	-	100,0%	1 806	1 806
Operating Leases	378	(43)	-	335	335	-	100,0%	398	398
Property Payments	197	(197)	-	-	-	-	-	-	-
Transport Provided: Departmental Activity	-	116	-	116	116	-	100,0%	110	110
Travel And Subsistence	14 600	(3 787)	-	10 813	6 942	3 871	64,2%	18 707	18 707
Training And Development	964	417	-	1 381	1 381	-	100,0%	5 225	5 225
Operating Payments	30 551	12 549	-	43 100	39 094	4 006	90,7%	29 431	29 431
Venues And Facilities	2 767	(2 723)	-	44	44	-	100,0%	1 622	1 622
Rental And Hiring	18	(16)	-	2	2	-	100,0%	861	861
Interest And Rent On Land	-	-	-	-	-	-	-	9	9
Interest (Incl. Interest On Unitary Payments (Ppp))	-	-	-	-	-	-	-	9	9

PROGRAMME 3: OCEANS AND COASTS

PROGRAMME 3: OCEANS AND COASTS									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Transfers And Subsidies	401	3 733	-	4 134	4 134	-	100,0%	12 840	12 840
Provinces And Municipalities	-	10	-	10	10	-	100,0%	14	14
Municipalities	-	10	-	10	10	-	100,0%	14	14
Municipal Agencies And Funds	-	10	-	10	10	-	100,0%	14	14
Foreign Governments And International Organisations	-	3 308	-	3 308	3 308	-	100,0%	11 272	11 272
Households	401	415	-	816	816	-	100,0%	1 554	1 554
Social Benefits	401	415	-	816	816	-	100,0%	1 324	1 324
Other Transfers To Households	-	-	-	-	-	-	-	230	230
Payments For Capital Assets	10 437	1 307	-	11 744	2 322	9 422	19,8%	11 995	11 995
Machinery And Equipment	2 303	1 307	-	3 610	2 322	1 288	64,3%	4 760	4 760
Transport Equipment	-	-	-	-	-	-	-	-	-
Other Machinery And Equipment	2 303	1 307	-	3 610	2 322	1 288	64,3%	4 760	4 760
Software And Other Intangible Assets	8 134	-	-	8 134	-	8 134	-	7 235	7 235
Payments For Financial Assets	-	-	-	-	-	-	-	4	4
Total	469 889	7 944	-	477 833	433 512	44 321	90,7%	494 645	458 621

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

SUB PROGRAMME	2020/21							2019/20	
	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Climate Change, Air Quality And Sustainable Development Management	11 067	(1 274)	-	9 793	3 715	6 078	37,9%	10 410	10 410
2. Climate Change Mitigation	7 440	(7 440)	-	-	-	-	-	9 594	9 594
3. Climate Change Adaptation	9 669	(681)	-	8 988	8 988	-	100,0%	10 356	10 356
4. Air Quality Management	43 534	6 127	-	49 661	49 661	-	100,0%	49 959	49 959
5. South African Weather Service	340 038	-	-	340 038	340 038	-	100,0%	204 074	204 074
6. International Climate Change Relations And Reporting	11 375	(1 303)	-	10 072	10 072	-	100,0%	12 918	12 918
7. Climate Change Monitoring And Evaluation	6 731	19 691	-	26 422	26 422	-	100,0%	5 961	5 961
8. International Governance And Resource Mobilisation	83 105	(33 485)	-	49 620	42 674	6 946	86,0%	146 979	146 979
9. Knowledge And Information Management	27 946	2 363	-	30 309	30 309	-	100,0%	-	-
10. Environmental Sector Performance	-	78 734	-	78 734	78 734	-	100,0%	-	-
Total	540 905	62 732	-	603 637	590 613	13 024	97,8%	450 251	450 251
Economic Classification									
Current Payments	174 980	59 653	-	234 633	221 609	13 024	94,4%	213 819	213 819
Compensation Of Employees	105 583	62 732	-	168 315	168 315	-	100,0%	150 660	150 660
Salaries And Wages	99 298	47 782	-	147 080	147 080	-	100,0%	133 261	133 261
Social Contributions	6 285	14 950	-	21 235	21 235	-	100,0%	17 399	17 399
Goods And Services	69 397	(3 079)	-	66 318	53 294	13 024	80,4%	63 159	63 159
Administrative Fees	204	(203)	-	1	1	-	100,0%	657	657
Advertising	1 273	(506)	-	767	767	-	100,0%	923	923
Minor Assets	82	19	-	101	101	-	100,0%	84	84

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Bursaries: Employees	-	-	-	-	-	-	-	223	223
Catering: Departmental Activities	751	(731)	-	20	20	-	100,0%	468	468
Communication	994	(749)	-	245	245	-	100,0%	406	406
Computer Services	833	6 286	-	7 119	7 119	-	100,0%	2 780	2 780
Consultants: Business And Advisory Services	44 371	4 356	-	48 727	35 703	13 024	73,3%	14 538	14 538
Scientific And Technological Services	415	(415)	-	-	-	-	-	-	-
Legal Services	-	1 221	-	1 221	1 221	-	100,0%	55	55
Contractors	36	83	-	119	119	-	100,0%	302	302
Agency And Support / Outsourced Services	-	553	-	553	553	-	100,0%	510	510
Entertainment	21	(21)	-	-	-	-	-	3	3
Inventory: Other Supplies	-	413	-	413	413	-	100,0%	-	-
Consumable Supplies	-	413	-	413	413	-	100,0%	-	-
Consumable: Stationery, Printing And Office Supplies	196	70	-	266	266	-	100,0%	768	768
Operating Leases	1 191	(903)	-	288	288	-	100,0%	173	173
Travel And Subsistence	10 940	(5 492)	-	5 448	5 448	-	100,0%	26 962	26 962
Training And Development	889	(820)	-	69	69	-	100,0%	1 461	1 461
Operating Payments	3 786	(3 348)	-	438	438	-	100,0%	2 895	2 895
Venues And Facilities	2 969	(2 669)	-	300	300	-	100,0%	8 738	8 738
Rental And Hiring	155	(155)	-	-	-	-	-	916	916
Transfers And Subsidies	365 068	2 161	-	367 229	367 229	-	100,0%	229 233	229 233
Departmental Agencies And Accounts	340 038	-	-	340 038	340 038	-	100,0%	204 074	204 074
Departmental Agencies	340 038	-	-	340 038	340 038	-	100,0%	204 074	204 074

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Foreign Governments And International Organisations	23 500	1 868	-	25 368	25 368	-	100,0%	23 500	23 500
Non-Profit Institutions	1 400	-	-	1 400	1 400	-	100,0%	1 400	1 400
Households	130	293	-	423	423	-	100,0%	259	259
Social Benefits	130	293	-	423	423	-	100,0%	259	259
Payments For Capital Assets	857	903	-	1 760	1 760	-	100,0%	7 179	7 179
Machinery And Equipment	857	(381)	-	476	476	-	100,0%	2 004	2 004
Other Machinery And Equipment	857	(381)	-	476	476	-	100,0%	2 004	2 004
Software And Other Intangible Assets	-	1 284	-	1 284	1 284	-	100,0%	5 175	5 175
Payments For Financial Assets	-	15	-	15	15	-	100,0%	20	20
Total	540 905	62 732	-	603 637	590 613	13 024	97,8%	450 251	450 251

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 5: BIODIVERSITY AND CONSERVATION									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Biodiversity And Conservation Management	22 716	(8 547)	-	14 169	14 114	55	99,6%	24 192	24 192
2. Biodiversity Management And Permitting	30 266	4 850	-	35 116	30 076	5 040	85,6%	31 856	31 856
3. Protected Areas Systems Management	43 749	(10 727)	-	33 022	21 541	11 481	65,2%	26 659	26 610
4. Isimangaliso Wetland Park Authority	149 786	-	-	149 786	149 786	-	100,0%	36 076	36 076
5. South African National Parks	1 235 339	-	-	1 235 339	1 235 339	-	100,0%	277 224	277 224
6. South African National Biodiversity Institute	358 865	-	-	358 865	358 865	-	100,0%	344 079	344 079
7. Biodiversity Monitoring Specialist Services	13 776	1 849	-	15 625	13 016	2 609	83,3%	22 778	22 778
8. Biodiversity Economy And Sustainable Use	61 026	4 617	-	65 643	28 560	37 083	43,5%	34 032	34 032
Total	1 915 523	(7 958)	-	1 907 565	1 851 297	56 268	97,1%	796 896	796 847
Economic Classification									
Current Payments	163 106	(9 936)	-	153 170	101 201	51 969	66,1%	135 119	135 070
Compensation Of Employees	82 793	(7 958)	-	74 835	74 835	-	100,0%	82 610	82 610
Salaries And Wages	79 112	(12 611)	-	66 501	66 501	-	100,0%	73 907	73 907
Social Contributions	3 681	4 653	-	8 334	8 334	-	100,0%	8 703	8 703
Goods And Services	80 313	(1 978)	-	78 335	26 366	51 969	33,7%	52 509	52 460
Administrative Fees	134	(134)	-	-	-	-	-	81	81
Advertising	949	(236)	-	713	713	-	100,0%	1 651	1 651
Minor Assets	178	70	-	248	248	-	100,0%	51	51
Bursaries: Employees	-	10	-	10	10	-	100,0%	36	36
Catering: Departmental Activities	722	(457)	-	265	265	-	100,0%	923	923

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Communication	644	(548)	-	96	96	-	100,0%	185	185
Computer Services	41	(41)	-	-	-	-	-	-	-
Consultants: Business And Advisory Services	8 682	6 098	-	14 780	9 972	4 808	67,5%	5 497	5 448
Scientific And Technological Services	609	(609)	-	-	-	-	-	-	-
Laboratory Services	-	1	-	1	1	-	100,0%	-	-
Legal Services	-	150	-	150	150	-	100,0%	2 509	2 509
Contractors	5 150	(4 684)	-	466	14	452	3,0%	146	146
Agency And Support / Outsourced Services	32 419	39	-	32 458	-	32 458	-	-	-
Entertainment	-	-	-	-	-	-	-	1	1
Consumable Supplies	185	(66)	-	119	119	-	100,0%	363	363
Consumable: Stationery, Printing And Office Supplies	302	(150)	-	152	152	-	100,0%	293	293
Operating Leases	258	(141)	-	117	117	-	100,0%	224	224
Property Payments	-	-	-	-	-	-	-	-	-
Transport Provided: Departmental Activity	9	85	-	94	94	-	100,0%	-	-
Travel And Subsistence	21 947	(1 886)	-	20 061	7 097	12 964	35,4%	23 273	23 273
Training And Development	441	1 269	-	1 710	1 710	-	100,0%	1 807	1 807
Operating Payments	4 216	(617)	-	3 599	3 599	-	100,0%	9 277	9 277
Venues And Facilities	3 063	205	-	3 268	1 981	1 287	60,6%	5 705	5 705
Rental And Hiring	364	(336)	-	28	28	-	100,0%	487	487
Transfers And Subsidies	1 751 227	3 127	-	1 754 354	1 750 055	4 299	99,8%	661 105	661 105
Departmental Agencies And Accounts	1 743 990	-	-	1 743 990	1 743 990	-	100,0%	657 379	657 379

PROGRAMME 5: BIODIVERSITY AND CONSERVATION

2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Departmental Agencies	1 743 990	-	-	1 743 990	1 743 990	-	100,0%	657 379	657 379
Foreign Governments And International Organisations	-	3 127	-	3 127	3 127	-	100,0%	-	-
Non-Profit Institutions	2 413	-	-	2 413	2 287	126	94,8%	2 287	2 287
Households	4 824	-	-	4 824	651	4 173	13,5%	1 439	1 439
Social Benefits	4 331	293	-	4 624	451	4 173	9,8%	339	339
Other Transfers To Households	493	(293)	-	200	200	-	100,0%	1 100	1 100
Payments For Capital Assets	1 190	(1 154)	-	36	36	-	100,0%	672	672
Machinery And Equipment	1 190	(1 154)	-	36	36	-	100,0%	672	672
Other Machinery And Equipment	1 190	(1 154)	-	36	36	-	100,0%	672	672
Payments For Financial Assets	-	5	-	5	5	-	100,0%	-	-
Total	1 915 523	(7 958)	-	1 907 565	1 851 297	56 268	97,1%	796 896	796 847

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Environmental Protection And Infrastructure Programme	1 574 570	(83 155)	-	1 491 415	893 678	597 737	59,9%	1 536 799	1 536 799
2. Natural Resource Management	2 156 487	28 151	-	2 184 638	1 763 0034	421 634	80,7%	2 131 149	2 115 577
3. Green Fund	32 286	-	-	32 286	-	32 286	-	61 000	21 325
4. Environmental Programmes Management	105 463	42 500	-	147 963	109 545	38 418	74,0%	201 291	201 291
5. Information Management And Sector Coordination	63 543	(5 115)	-	58 428	56 943	1 485	97,5%	66 539	66 539
Total	3 932 349	(17 619)	-	3 914 730	2 823 170	1 091 560	72,1%	3 996 778	3 941 531
Economic Classification									
Current Payments	3 153 778	(67 110)	-	3 086 668	2 150 205	936 463	69,7%	2 609 118	2 578 711
Compensation Of Employees	279 697	(17 619)	-	262 078	262 078	-	100,0%	260 809	260 809
Salaries And Wages	259 575	(31 302)	-	228 273	228 273	-	100,0%	228 310	228 310
Social Contributions	20 122	13 683	-	33 805	33 805	-	100,0%	32 499	32 499
Goods And Services	2 874 081	(49 491)	-	2 824 590	1 888 127	936 463	66,8%	2 348 309	2 317 902
Administrative Fees	35 576	176 499	-	212 075	212 075	-	100,0%	195 381	195 381
Advertising	1 652	(1 652)	-	-	-	-	-	2	2
Minor Assets	1 359	(994)	-	365	365	-	100,0%	612	612
Bursaries: Employees	269	(244)	-	25	25	-	100,0%	117	117
Catering: Departmental Activities	1 634	(1 571)	-	63	63	-	100,0%	942	942
Communication	5 579	(1 940)	-	3 639	3 639	-	100,0%	3 320	3 320
Computer Services	3 494	(1 979)	-	1 515	1 515	-	100,0%	374	374
Consultants: Business And Advisory Services	14 292	89 237	-	103 529	103 529	-	100,0%	115 289	115 289

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Scientific And Technological Services	120	(120)	-	-	-	-	-	-	-
Legal Services	-	291	-	291	291	-	100,0%	741	741
Contractors	27 787	(21 765)	-	6 022	6 022	-	100,0%	8 831	8 831
Agency And Support / Outsourced Services	2 664 104	(509 957)	-	2 154 147	1 219 169	934 978	56,6%	1 429 296	1 398 889
Entertainment	35	(35)	-	-	-	-	-	1	1
Fleet Services (Including Government Motor Transport)	2 849	(404)	-	2 445	2 445	-	100,0%	1 255	1 255
Inventory: Food And Food Supplies	51	(51)	-	-	-	-	-	-	-
Inventory: Fuel, Oil And Gas	522	13 157	-	13 679	13 679	-	100,0%	17 569	17 569
Inventory: Other Supplies	143	88 374	-	88 517	88 517	-	100,0%	115 007	115 007
Consumable Supplies	44 321	(44 091)	-	230	230	-	100,0%	827	827
Consumable: Stationery, Printing, And Office Supplies	2 093	(1 590)	-	503	503	-	100,0%	956	956
Operating Leases	6 941	(6 040)	-	901	901	-	100,0%	1 334	1 334
Property Payments	1 658	(1 574)	-	84	84	-	100,0%	192	192
Travel and subsistence	42 375	(25 730)	-	16 645	15 160	1 485	91,1%	46 564	46 564
Training and development	3 950	204 975	-	208 925	208 925	-	100,0%	391 561	391 561
Operating payments	8 645	2 322	-	10 967	10 967	-	100,0%	15 091	15 091
Venues and facilities	4 632	(4 610)	-	22	22	-	100,0%	2 749	2 749
Rental and hiring	-	1	-	1	1	-	100,0%	298	298
Transfers and subsidies	595 517	(21 292)	-	574 225	545 078	29 147	94,9%	1 151 127	1 126 287
Provinces and municipalities	-	70	-	70	70	-	100,0%	63	63
Provinces	-	10	-	10	10	-	100,0%	-	-
Provincial Revenue Funds	-	10	-	10	10	-	100,0%	-	-

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Municipalities	-	60	-	60	60	-	100,0%	63	63
Municipal agencies and funds	-	60	-	60	60	-	100,0%	63	63
Departmental agencies and accounts	567 170	(22 000)	-	545 170	544 160	1 010	99,8%	1 120 889	1 120 889
Social security funds	-	-	-	-	-	-	-	5 053	5 053
Departmental agencies	567 170	(22 000)	-	545 170	544 160	1 010	99,8%	1 115 836	1 115 836
Public corporations and private enterprises	28 137	-	-	28 137	-	28 137	-	29 650	4 810
Public corporations	28 137	-	-	28 137	-	28 137	-	29 650	4 810
Other transfers to public corporations	28 137	-	-	28 137	-	28 137	-	29 650	4 810
Households	210	638	-	848	848	-	100,0%	525	525
Social benefits	210	638	-	848	848	-	100,0%	361	361
Other transfers to households	-	-	-	-	-	-	-	164	164
Payments for capital assets	183 054	70 783	-	253 837	127 887	125 950	50,4%	222 826	222 826
Buildings and other fixed structures	180 000	-	-	180 000	55 187	124 813	30,7%	140 209	140 209
Other fixed structures	180 000	-	-	180 000	55 187	124 813	30,7%	140 209	140 209
Machinery and equipment	3 054	61 001	-	64 055	62 918	1 137	98,2%	67 344	67 344
Transport equipment	-	53 758	-	53 758	53 758	-	100,0%	46 401	46 401
Other machinery and equipment	3 054	7 243	-	10 297	9 160	1 137	89,0%	20 943	20 943
Software and other intangible assets	-	9 782	-	9 782	9 782	-	100,0%	15 273	15 273
Payments for financial assets	-	-	-	-	-	-	-	13 707	13 707
Total	3 932 349	(17 619)	-	3 914 730	2 823 170	1 091 560	72,1%	3 996 778	3 941 531

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Chemical And Waste Management	48 059	(258)	-	47 801	17 279	30 522	36,1%	16 742	16 742
2. Hazardous Waste Management And Licensing	58 919	(2 693)	-	56 226	25 951	30 275	46,2%	73 863	73 863
3. Integrated Waste Management And Strategic Support	82 907	(2 533)	-	80 374	17 243	63 131	21,5%	20 288	20 288
4. Chemicals And Waste Policy, Evaluation And Monitoring	17 636	663	-	18 299	15 006	3 293	82,0%	16 619	16 619
5. Chemicals Management	17 847	2 778	-	20 625	20 589	36	99,8%	24 241	24 241
6. Waste Bureau	383 413	-	-	383 413	300 279	83 134	78,3%	460 971	437 309
Total	608 781	(2 043)	-	606 738	396 347	210 391	65,3%	612 724	589 062
Economic Classification									
Current Payments	589 190	(4 186)	-	585 004	378 216	206 788	64,7%	428 672	446 291
Compensation Of Employees	70 163	(2 043)	-	68 120	108 605	(40 485)	159,4%	85 304	111 713
Salaries And Wages	65 057	(5 478)	-	59 579	96 563	(36 984)	162,1%	76 616	100 353
Social Contributions	5 106	3 435	-	8 541	12 042	(3 501)	141,0%	8 688	11 360
Goods And Services	473 696	(2 143)	-	471 553	229 703	241 850	48,7%	310 911	302 121
Administrative Fees	298	908	-	1 206	1 206	-	100,0%	166	166
Advertising	693	(13)	-	680	680	-	100,0%	863	863
Minor Assets	54	(41)	-	13	13	-	100,0%	61	61
Catering: Departmental Activities	385	(350)	-	35	35	-	100,0%	391	391
Communication (G&S)	555	(251)	-	304	304	-	100,0%	352	352
Consultants: Business And Advisory Services	22 372	(976)	-	21 396	15 328	6 068	71,6%	15 459	15 459
Scientific And Technological Services	38 231	(631)	-	37 600	-	37 600	-	-	-
Legal Services	-	896	-	896	896	-	100,0%	1 225	1 225

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Contractors	73 239	147 931	-	221 170	196 642	24 528	88,9%	248 720	248 720
Agency And Support / Outsourced Services	256 662	(139 764)	-	116 898	8 802	108 096	7,5%	13 713	4 923
Entertainment	12	(12)	-	-	-	-	-	-	-
Fleet Services	-	104	-	104	104	-	100,0%	98	98
Consumable Supplies	59 621	(558)	-	59 063	279	58 784	0,5%	375	375
Consumable: Stationery, Printing And Office Supplies	1 135	(908)	-	227	227	-	100,0%	268	268
Operating Leases	90	7	-	97	97	-	100,0%	103	103
Travel And Subsistence	14 993	(5 428)	-	9 565	3 209	6 356	33,5%	15 615	15 615
Training And Development	706	(622)	-	84	84	-	100,0%	9 979	9 979
Operating Payments	3 338	(2 171)	-	1 167	749	418	64,2%	2 108	2 108
Venues And Facilities	995	(532)	-	463	463	-	100,0%	1 145	1 145
Rental And Hiring	317	268	-	585	585	-	100,0%	270	270
Interest And Rent On Land	45 331	-	-	45 331	39 908	5 423	88,0%	32 457	32 457
Rent On Land	45 331	-	-	45 331	39 908	5 423	88,0%	32 457	32 457
Transfers And Subsidies	18 766	1 432	-	20 198	17 074	3 124	84,5%	126 781	85 500
Departmental Agencies And Accounts	12 743	-	-	12 743	12 743	-	100,0%	12 473	12 020
Departmental Agencies	12 743	-	-	12 743	12 743	-	100,0%	12 473	12 020
Foreign Governments And International Organisations	-	1 085	-	1 085	1 085	-	100,0%	-	-
Public Corporations And Private Enterprises	5 873	-	-	5 873	2 749	3 124	46,8%	69 000	28 172
Private Enterprises	5 873	-	-	5 873	2 749	3 124	46,8%	69 000	28 172
Other Transfers To Private Enterprises	5 873	-	-	5 873	2 749	3 124	46,8%	69 000	28 172
Households	150	347	-	497	497	-	100,0%	45 308	45 308

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT

2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Social Benefits	150	347	-	497	497	-	100,0%	308	308
Other Transfers To Households	-	-	-	-	-	-	-	45 000	45 000
Payments For Capital Assets	825	711	-	1 536	1 057	479	68,8%	57 271	57 271
Buildings And Other Fixed Structures	-	-	-	-	-	-	-	42 436	42 436
Other Fixed Structures	-	-	-	-	-	-	-	42 436	42 436
Machinery And Equipment	825	-	-	825	346	479	41,9%	12 370	12 370
Transport Equipment	-	-	-	-	-	-	-	11 969	11 969
Other Machinery And Equipment	825	-	-	825	346	479	41,9%	401	401
Software And Other Intangible Assets	-	711	-	711	711	-	100,0%	2 465	2 465
Payments for financial assets	-	-	-	-	-	-	-	-	-
Total	608 781	(2 043)	-	606 738	396 347	210 391	65,3%	612 724	589 062

APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 8: FORESTRY MANAGEMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Forestry Management									
2. Forestry Operations	11 916	(9 091)	-	2 825	2 825	-	100,0%	-	-
2. Forestry Operations	477 984	44 669	-	522 653	478 965	43 688	91,6%	-	-
3. Forestry Development And Regulation	172 273	(103 848)	-	68 425	34 135	34 290	49,9%	-	-
Total	662 173	(68 270)	-	593 903	515 925	77 978	86,9%	-	-
Economic Classification									
Current Payments	623 927	(71 517)	-	552 410	506 534	45 876	91,7%	-	-
Compensation Of Employees	485 306	(67 517)	-	417 789	406 203	11 586	97,2%	-	-
Salaries And Wages	393 968	(42 526)	-	351 442	339 856	11 586	96,7%	-	-
Social Contributions	91 338	(24 991)	-	66 347	66 347	-	100,0%	-	-
Goods And Services	138 621	(4 000)	-	134 621	100 331	34 290	74,5%	-	-
Administrative Fees	3 065	19	-	3 084	3 084	-	100,0%	-	-
Advertising	2 441	(1 990)	-	451	451	-	100,0%	-	-
Minor Assets	1 636	(1 636)	-	-	-	-	-	-	-
Bursaries: Employees	1 197	(817)	-	380	380	-	100,0%	-	-
Communication (G&S)	6 719	(5 936)	-	783	783	-	100,0%	-	-
Computer Services	1 745	(1 509)	-	236	236	-	100,0%	-	-
Consultants: Business And Advisory Services	3 926	(3 744)	-	182	182	-	100,0%	-	-
Infrastructure And Planning Services	619	(619)	-	-	-	-	-	-	-
Scientific And Technological Services	350	(350)	-	-	-	-	-	-	-
Contractors	3 545	(3 161)	-	384	384	-	100,0%	-	-
Agency And Support / Outsourced Services	7 921	26 059	-	33 980	33 980	-	100,0%	-	-

PROGRAMME 8: FORESTRY MANAGEMENT

PROGRAMME 8: FORESTRY MANAGEMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Entertainment	22	(21)	-	1	1	-	100,0%	-	-
Fleet Services (Including Government Motor Transport)	4 929	3 584	-	8 513	8 513	-	100,0%	-	-
Inventory: Clothing Material And Supplies	3 804	(3 804)	-	-	-	-	-	-	-
Inventory: Farming Supplies	4 957	(4 957)	-	-	-	-	-	-	-
Inventory: Food And Food Supplies	100	(100)	-	-	-	-	-	-	-
Inventory: Fuel, Oil And Gas	1 143	(1 143)	-	-	-	-	-	-	-
Inventory: Materials And Supplies	127	(127)	-	-	-	-	-	-	-
Consumable Supplies	1 121	2 661	-	3 782	3 782	-	100,0%	-	-
Consumable: Stationery, Printing, And Office Supplies	4 741	(4 678)	-	63	63	-	100,0%	-	-
Operating Leases	6 654	16 393	-	23 047	23 047	-	100,0%	-	-
Property Payments	14 018	(6 143)	-	7 875	7 875	-	100,0%	-	-
Transport Provided: Departmental Activity	22	(22)	-	-	-	-	-	-	-
Travel And Subsistence	13 460	(3 055)	-	10 405	10 405	-	100,0%	-	-
Training And Development	6 144	(2 897)	-	3 247	3 247	-	100,0%	-	-
Operating Payments	44 215	(6 645)	-	37 570	3 280	34 290	8,7%	-	-
Venues And Facilities	-	638	-	638	638	-	100,0%	-	-
Transfers And Subsidies	6 905	3 247	-	10 152	9 266	886	91,3%	-	-
Provinces And Municipalities	874	6	-	880	6	874	0,7%	-	-
Provinces	-	6	-	6	6	-	100,0%	-	-
Provincial Revenue Funds	-	6	-	6	6	-	100,0%	-	-
Municipalities	874	-	-	874	-	874	-	-	-
Municipal Agencies And Funds	874	-	-	874	-	874	-	-	-

PROGRAMME 8: FORESTRY MANAGEMENT

PROGRAMME 8: FORESTRY MANAGEMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
Foreign Governments And International Organisations	12	-	-	12	-	12	-	-	-
Public Corporations And Private Enterprises	5 206	-	-	5 206	5 206	-	100,0%	-	-
Public Corporations	5 206	-	-	5 206	5 206	-	100,0%	-	-
Other Transfers To Public Corporations	5 206	-	-	5 206	5 206	-	100,0%	-	-
Households	813	3 241	-	4 054	4 054	-	100,0%	-	-
Social Benefits	813	3 241	-	4 054	4 054	-	100,0%	-	-
Payments For Capital Assets	31 341	-	-	31 341	125	31 216	0,4%	-	-
Machinery And Equipment	31 316	-	-	31 316	125	31 191	0,4%	-	-
Transport Equipment	27 912	-	-	27 912	-	27 912	-	-	-
Other Machinery And Equipment	3 404	-	-	3 404	125	3 279	3,7%	-	-
Biological Assets	25	-	-	25	-	25	-	-	-
Payments For Financial Assets									
Total	662 173	(68 270)	-	593 903	515 925	77 978	86,9%	-	-

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 9: FISHERIES MANAGEMENT									
2020/21								2019/20	
SUB PROGRAMME	ADJUSTED APPROPRIATION	SHIFTING OF FUNDS	VIREMENT	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	EXPENDITURE AS % OF FINAL APPROPRIATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE
	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000
1. Fisheries Management	2 146	58 754	-	60 900	60 900	-	100,0%	-	-
2. Aquaculture and Economic Development	28 635	11 478	-	40 113	40 113	-	100,0%	-	-
3. Monitoring, Control and Surveillance	178 268	(88 639)	-	89 629	89 629	-	100,0%	-	-
4. Marine Resource Management	15 589	11 318	-	26 907	26 907	-	100,0%	-	-
5. Fisheries Research and Development	5 236	55 939	-	61 175	61 175	-	100,0%	-	-
6. Marine Living Resources Fund	193 767	-	-	193 767	193 767	-	100,0%	-	-
Total	423 641	48 850	-	472 491	472 491	-	100,0%	-	-
Economic Classification									
Current Payments	229 874	48 097	-	277 971	277 971	-	100,0%	-	-
Compensation Of Employees	229 874	48 097	-	277 971	277 971	-	100,0%	-	-
Salaries And Wages	209 191	30 255	-	239 446	239 446	-	100,0%	-	-
Social Contributions	20 683	17 842	-	38 525	38 525	-	100,0%	-	-
Transfers And Subsidies	193 767	753	-	194 520	194 520	-	100,0%	-	-
Departmental Agencies And Accounts	193 767	-	-	193 767	193 767	-	100,0%	-	-
Departmental Agencies	193 767	-	-	193 767	193 767	-	100,0%	-	-
Households	-	753	-	753	753	-	100,0%	-	-
Social Benefits	-	753	-	753	753	-	100,0%	-	-
Payments For Financial Assets	-	-	-	-	-	-	-	-	-
Total	423 641	48 850	-	472 491	472 491	-	100,0%	-	-

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

1. DETAIL OF TRANSFERS AND SUBSIDIES AS PER APPROPRIATION ACT (AFTER VIREMENT):

Detail of these transactions can be viewed in the note on Transfers and subsidies, disclosure notes and Annexure 1 (A-G) to the Annual Financial Statements.

2. DETAIL OF SPECIFICALLY AND EXCLUSIVELY APPROPRIATED AMOUNTS VOTED (AFTER VIREMENT):

Detail of these transactions can be viewed in note 1 (Annual Appropriation) to the Annual Financial Statements.

3. DETAIL ON PAYMENTS FOR FINANCIAL ASSETS

Detail of these transactions per programme can be viewed in the note on Payments for financial assets to the Annual Financial Statements.

4. EXPLANATIONS OF MATERIAL VARIANCES FROM AMOUNTS VOTED (AFTER VIREMENT):

4.1 PER PROGRAMME	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE R'000	VARIANCE AS A % OF FINAL APPROPRIATION
1. Administration	1 156 571	1 024 030	132 541	11
2. Regulatory Compliance And Sector Monitoring	204 333	192 631	11 702	6
3. Oceans And Coasts	477 833	433 512	44 321	9
4. Climate Change, Air Quality And Sustainable Development	603 637	590 613	13 024	2
5. Biodiversity And Conservation	1 907 565	1 851 297	56 268	3
6. Environmental Programmes	3 914 730	2 823 170	1 091 560	28
7. Chemicals And Waste Management	606 738	396 347	210 391	35
8. Forestry Management	593 903	515 925	77 978	13
9. Fisheries Management	472 491	472 491	0	0
Total	9 937 801	8 300 016	1 637 786	16

An underspending of R1,638 billion occurred in the financial year. The main reason for the underspending includes the allocation of funds for the Presidential Stimulus Programme in the Adjusted Estimates of Expenditure process during October 2020. Due to the late stage of allocation, the processes to be followed to procure services to enable implementation and payment took time and some were only completed near financial year end. This resulted in the funds not spent at 31 March 2021 on the Presidential Stimulus Programme.

PROGRAMME1: ADMINISTRATION	1 156 571	1 024 030	132 541	11
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An underspending of R132,541 million occurred due to Covid-19 implications on International Travel and Communications events. IT data lines not installed as planned due to various restrictions as well as funds allocated for Office Accommodation not utilised due to dispute between the Department and DPW on invoices payable.

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

PROGRAMME 2: REGULATORY COMPLIANCE AND SECTOR MONITORING	204 333	192 631	11 702	6
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An underspending of R11,702 million due to COVID-19 travel restrictions impacted on Compliance, Enforcement and Integrated Environmental projects not progressed as planned.

PROGRAMME 3: OCEANS AND COASTS	477 833	433 512	44 321	9
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An underspending of R44,320 million due to COVID-19 restrictions that impacted the Oceans and Coastal Research projects, Oceans Economy Projects as well as Oceans Specialist Monitoring Services.

PROGRAMME 4: CLIMATE CHANGE, AIR QUALITY AND SUSTAINABLE DEVELOPMENT	603 637	590 613	13 024	2
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An underspending of R13,024 million due to COVID-19 restrictions on travel to other countries for Climate Change discussions and conferences. Virtual meetings in most cases occurred.

PROGRAMME 5: BIODIVERSITY AND CONSERVATION	1 907 565	1 851 297	56 268	3
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An underspending of R56,268 million due to Biodiversity Economy projects delayed as a result of COVID-19 implications and delays in procurement process. Implementation later than expected and payment therefor only expected at later stage.

PROGRAMME 6: ENVIRONMENTAL PROGRAMMES	3 914 730	2 823 170	1 091 560	28
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An underspending of R1,092 billion due to Presidential Stimulus Programme expenditure slower than anticipated due to late allocation of funds and delay due to procurement processes to be followed before implementation.

PROGRAMME 7: CHEMICALS AND WASTE MANAGEMENT	606 738	396 347	210 391	35
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An underspending of R210,392 million due to funds allocated during the financial year towards Waste Picker Grant and PPE to assist with the Waste Pickers in loss of income due to COVID-19 related implications and provide them with protective clothing and gear to protect against the virus. The process to procure the PPE and set up the Grant according to requirements and verification process with Department of Home Affairs of Waste Picker beneficiaries took longer than anticipated and were only in place at year end. The expenditure could therefore not be incurred. The Asbestos Rehabilitation programme was delayed due to cancelling of the tender and re-advertisement required. This process still ongoing and expenditure not incurred during 2020/2021. The Waste Bureau operations were also hampered partly due to the COVID-19 restrictions and decrease experience in cost incurred for the 2020/21 financial year.

PROGRAMME 8: FORESTRY MANAGEMENT	593 903	515 925	77 978	13
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An underspending of R77,978 million reported due to COVID-19 restrictions that impacted on the operations in the plantations.

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

4.2 PER ECONOMIC CLASSIFICATION	FINAL APPROPRIATION	ACTUAL EXPENDITURE	VARIANCE	VARIANCE AS A % OF FINAL APPROPRIATION
	R'000	R'000	R'000	R'000
Current Payments				
Compensation Of Employees	1 933 918	1 962 817	(28 899)	(1)
Goods And Services	4 545 615	3 138 728	1 455 865	32
Interest And Rent On Land	45 331	39 908	5 423	12
Transfers And Subsidies				
Provinces And Municipalities	975	101	874	90
Departmental Agencies And Accounts	2 835 708	283 4698	1 010	0
Public Corporations And Private Enterprises	39 216	7 955	31 261	80
Foreign Governments And International Organisations	32 900	32 888	12	0
Non-Profit Institutions	6 396	6 270	126	2
Households	14 914	10 741	4 173	28
Payments For Capital Assets				
Buildings And Other Fixed Structures	344 278	219 465	124 813	36
Machinery And Equipment	117 223	82 258	34 965	59
Heritage Assets	275	275	0	0
Biological Assets	25	0	25	100
Software And Other Intangible Assets	20 994	12 860	8 134	99
Payments For Financial Assets	33	33	0	0
Total	9 937 801	8 300 016	1 637 785	16

An underspending of R1,638 billion occurred in the financial year. The main reason for the underspending includes the allocation of funds for the Presidential Stimulus Programme in the Adjusted Estimates of Expenditure process during October 2020. Due to the late stage of allocation, the processes to be followed to procure services to enable implementation and payment took time and some processes were only completed near financial year end. This resulted in the funds not spent at 31 March 2021 on the Presidential Stimulus Programme budgeted under Goods and Services.

CURRENT EXPENDITURE				
Compensation of employees	1 933 918	1 962 817	(28 899)	(1)

An overspending of R28,899 million due to payment of officials on contract to manage and control the operations of the Waste Management Bureau as well as the Waste Phakisa under Programme 7: Chemicals and Waste Management included. The Youth Environmental Programme appointees also appointed on contract was covered within the Compensation of Employees ceiling during the 2020/2021 financial year by managing the vacant posts in the department. A saving of R11,586 on Compensation of Employees under Programme 8: Forestry Management not utilised was due to vacant posts not filled in the plantations during the financial year because of COVID-19 implications on the filling process. Compensation funds were shifted between programmes to correct funds incorrectly allocated during the ENE and AENE budget process to cover the Compensation of Employees cost in the department.

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

GOODS AND SERVICES	4 545 615	3 089 747	1 455 868	32
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An underspending of R1,456 billion occurred mainly due to funds allocated in the AENE process for the Presidential Stimulus Programme for an amount of R1,8 billion. The procurement process delayed the implementation of the identified projects as it could only commence after October 2020 and resulted in funds not spent timely at 31 March 2021.

INTEREST AND RENT ON LAND	45 331	39 908	5 423	12
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An underspending of R5,423 million due to outstanding waste depots invoices not received for payment during the 2020/21 financial year.

Transfers and subsidies

PROVINCES AND MUNICIPALITIES	975	101	874	90
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An underspending due to allocations for vehicle licences not utilised due to the lease agreement for vehicles in the plantations ended and the new service provider not appointed during the financial year therefore the planned vehicle licences for forestry vehicles not paid.

PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES	39 216	7 955	31 261	80
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An underspending due to no payment effected to the DBSA for the Green Fund due to the project transferred to DBSA as from 1 April 2020. Not all funds allocated towards the Recycling and Enterprise Programme paid due to verification awaited.

NON-PROFIT INSTITUTIONS	6 396	6 270	126	2
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An underspending due to amount over projected for the financial year. The actual contract agreement amounts lesser than budgeted.

HOUSEHOLDS	14 914	10 741	4 173	28
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An underspending due to allocations higher than actual expenditure incurred on leave gratuity, Bursaries non-employees, Donations and Gifts.

PAYMENTS FOR CAPITAL ASSETS				
Buildings and other fixed structures	344 278	219 465	124 813	36

An underspending due to Infrastructure projects under the EPWP programme progressed slower than anticipated due to COVID-19 implications on the programmes.

NOTES TO THE APPROPRIATION STATEMENT FOR THE YEAR ENDED 31 MARCH 2021

MACHINERY AND EQUIPMENT	117 223	82 258	34 995	30
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An underspending due to purchase of machinery and equipment for the plantations delayed due to COVID-19 restrictions.

BIOLOGICAL ASSETS	25	0	25	100
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An underspending due to Biological asset tender only awarded late in March and purchase only expected in new financial year.

SOFTWARE AND OTHER INTANGIBLE ASSETS	20 994	12 860	8 134	39
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An underspending due to expenditure on purchase of software and other intangible assets only expected later due to delay in implementation.

STATEMENT OF FINANCIAL PERFORMANCE FOR THE YEAR ENDED 31 MARCH 2021

	NOTE	2020/21	2019/20
		R'000	R'000
REVENUE			
Annual Appropriation	1	9 937 801	7 483 671
Departmental Revenue	2	77 535	108 517
Aid Assistance	3	45 232	-
Total Revenue		10 060 568	7 592 188
EXPENDITURE			
Current Expenditure			
Compensation Of Employees	4	1 962 817	1 263 948
Goods And Services	5	3 089 747	3 451 444
Interest And Rent On Land	6	39 908	32 466
Aid Assistance	3	26 298	2 710
Total Current Expenditure		5 118 770	4 750 568
Transfers And Subsidies			
Transfers And Subsidies	8	2 892 653	2 126 306
Total Transfers And Subsidies		2 892 653	2 126 306
Expenditure For Capital Assets			
Tangible Assets	9	301 998	444 877
Intangible Assets	9	12 860	33 838
Total Expenditure For Capital Assets		314 858	478 715
Payments For Financial Assets	7	33	13 768
Total Expenditure		8 326 314	7 369 357
Surplus For The Year		1 734 254	222 831
Reconciliation Of Net Surplus/(Deficit) For The Year			
Voted Funds		1 637 785	117 024
Annual Appropriation		1 637 785	117 024
Departmental Revenue And Nrf Receipts	16	77 535	108 517
Aid Assistance	3	18 934	(2 710)
Surplus For The Year		1 734 254	222 831

STATEMENT OF FINANCIAL POSITION AS AT 31 MARCH 2021

	NOTE	2020/21	2019/20
		R'000	R'000
ASSETS			
Current Assets		1 706 562	661 173
Unauthorised Expenditure	10	-	-
Cash And Cash Equivalents	11	1 674 343	149 049
Prepayments And Advances	12	79	475
Receivables	13	17 973	478 548
Aid Assistance Receivable	3	14 167	33 101
Non-Current Assets		37 249	239 656
Receivables	13	37 249	33 925
Loans	14	-	205 731
Total Assets		1 743 811	900 829
Liabilities			
Current Liabilities		1 723 260	208 860
Voted Funds To Be Surrendered To The Revenue Fund	15	1 637 785	126 225
Departmental Revenue And Nrf Receipts To Be Surrendered To The Revenue Fund	16	5 233	5 659
Payables	17	80 242	76 976
Total Liabilities		1 723 260	208 860
Net Assets		20 551	691 969
Represented By:			
Recoverable Revenue		20 551	691 969
Total		20 551	691 969

STATEMENT OF CHANGING NET ASSETS FOR THE YEAR ENDED 31 MARCH 2021

NET ASSETS	NOTE	2020/21	2019/20
		R'000	R'000
Recoverable revenue			
Opening balance		691 969	891 118
Transfers:		(671 418)	(199 149)
Irrecoverable amounts written off	7.2	(33)	(1 627)
Debts recovered (included in departmental receipts)		(88)	(813)
Debts raised		(671 297)	(196 709)
Closing balance		20 551	691 969
Total		20 551	691 969

CASH FLOWS FROM OPERATING ACTIVITIES FOR THE YEAR ENDED 31 MARCH 2021

	NOTE	2020/21	2019/20
		R'000	R'000
CASH FLOWS FROM OPERATING ACTIVITIES			
Receipts		10 058 481	7 592 068
Annual appropriated funds received	1.1	9 937 801	7 483 671
Departmental revenue received		69 008	100 987
Interest received	2.3	6 440	7 410
Aid assistance received	3	45 232	-
Net (increase)/decrease in working capital		464 237	233 143
Surrendered to Revenue Fund		(204 186)	269 649
Current payments		(5 118 770)	(4 750 559)
Interest paid	6	-	(9)
Payments for financial assets	7	(33)	(13 768)
Transfers and subsidies paid	8	(2 892 653)	(2 126 306)
Net cash flow available from operating activities	18	2 307 076	1 204 218
CASH FLOWS FROM INVESTING ACTIVITIES			
Payments for capital assets	9	(314 858)	(478 715)
Proceeds from sale of capital assets	2.4	2 087	120
(Increase)/decrease in loans		205 731	(1 694)
(Increase)/decrease in non-current receivables	13	(3 324)	(1 994)
Net cash flows from investing activities		(110 364)	(482 283)
CASH FLOWS FROM FINANCING ACTIVITIES			
Increase/(decrease) in net assets		(671 418)	(199 149)
Net cash flows from financing activities		(671 418)	(199 149)
Net increase/(decrease) in cash and cash equivalents		1 525 294	522 786
Cash and cash equivalents at beginning of period		149 049	(373 737)
Cash and cash equivalents at end of period	19	1 674 343	149 049

ACCOUNTING POLICIES FOR THE YEAR ENDED 31 MARCH 2021

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

1	<p>Basis of preparation The financial statements have been prepared in accordance with the Modified Cash Standard.</p>
2	<p>Going concern The financial statements have been prepared on a going concern basis.</p>
3	<p>Presentation currency Amounts have been presented in the currency of the South African Rand (R) which is also the functional currency of the department.</p>
4	<p>Rounding Unless otherwise stated financial figures have been rounded to the nearest one thousand rand (R'000).</p>
5	<p>Foreign currency translation Cash flows arising from foreign currency transactions are translated into South African rand using the spot exchange rates prevailing at the date of payment / receipt.</p>
6	<p>Comparative information</p>
6.1	<p>Prior period comparative information Prior period comparative information has been presented in the current year's financial statements. Where necessary figures included in the prior period financial statements have been reclassified to ensure that the format in which the information is presented is consistent with the format of the current year's financial statements.</p>
6.2	<p>Current year comparison with budget A comparison between the approved, final budget and actual amounts for each programme and economic classification is included in the appropriation statement.</p>
7	<p>Revenue</p>
7.1	<p>Appropriated funds Appropriated funds comprise of departmental allocations as well as direct charges against the revenue fund (i.e. statutory appropriation). Appropriated funds are recognised in the statement of financial performance on the date the appropriation becomes effective. Adjustments made in terms of the adjustments budget process are recognised in the statement of financial performance on the date the adjustments become effective. The net amount of any appropriated funds due to / from the relevant revenue fund at the reporting date is recognised as a payable / receivable in the statement of financial position.</p>
7.2	<p>Departmental revenue Departmental revenue is recognised in the statement of financial performance when received and is subsequently paid into the relevant revenue fund, unless stated otherwise. Any amount owing to the relevant revenue fund at the reporting date is recognised as a payable in the statement of financial position.</p>
7.3	<p>Accrued departmental revenue. Accruals in respect of departmental revenue (excluding tax revenue) are recorded in the notes to the financial statements when:</p> <ul style="list-style-type: none"> • it is probable that the economic benefits or service potential associated with the transaction will flow to the department; and • the amount of revenue can be measured reliably. <p>The accrued revenue is measured at the fair value of the consideration receivable. Accrued tax revenue (and related interest and / penalties) is measured at amounts receivable from collecting agents. Write-offs are made according to the department's debt write-off policy.</p>

Summary of significant accounting policies

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The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

8	Expenditure
8.1	Compensation of employees
8.1.1	Salaries and wages Salaries and wages are recognised in the statement of financial performance on the date of payment.
8.1.2	Social contributions Social contributions made by the department in respect of current employees are recognised in the statement of financial performance on the date of payment. Social contributions made by the department in respect of ex-employees are classified as transfers to households in the statement of financial performance on the date of payment.
8.2	Other expenditure Other expenditure (such as goods and services, transfers and subsidies and payments for capital assets) is recognised in the statement of financial performance on the date of payment. The expense is classified as a capital expense if the total consideration paid is more than the capitalisation threshold.
8.3	Accruals and payables not recognised. Accruals and payables not recognised are recorded in the notes to the financial statements at cost at the reporting date.
8.4	Leases
8.4.1	Operating leases Operating lease payments made during the reporting period are recognised as current expenditure in the statement of financial performance on the date of payment. The operating lease commitments are recorded in the notes to the financial statements.
8.4.2	Finance leases Finance lease payments made during the reporting period are recognised as capital expenditure in the statement of financial performance on the date of payment. The finance lease commitments are recorded in the notes to the financial statements and are not apportioned between the capital and interest portions. Finance lease assets acquired at the end of the lease term are recorded and measured at the lower of: <ul style="list-style-type: none"> • cost, being the fair value of the asset; or • the sum of the minimum lease payments made, including any payments made to acquire ownership at the end of the lease term, excluding interest.
9	Aid Assistance
9.1	Aid assistance received. Aid assistance received in cash is recognised in the statement of financial performance when received. In-kind aid assistance is recorded in the notes to the financial statements on the date of receipt and is measured at fair value. Aid assistance not spent for the intended purpose and any unutilised funds from aid assistance that are required to be refunded to the donor are recognised as a payable in the statement of financial position.
9.2	Aid assistance paid. Aid assistance paid is recognised in the statement of financial performance on the date of payment. Aid assistance payments made prior to the receipt of funds are recognised as a receivable in the statement of financial position.

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

10	Cash and cash equivalents Cash and cash equivalents are stated at cost in the statement of financial position. Bank overdrafts are shown separately on the face of the statement of financial position as a current liability. For the purposes of the cash flow statement, cash and cash equivalents comprise cash on hand, deposits held, other short-term highly liquid investments and bank overdrafts.
11	Prepayments and advances Prepayments and advances are recognised in the statement of financial position when the department receives or disburses the cash. Prepayments and advances are initially and subsequently measured at cost. Prepayments and advances are expensed in terms of the Expanded Public Works Programme when contractually agreed between the Department and the Implementing Entities to implement projects.
12	Loans and receivables Loans and receivables are recognised in the statement of financial position at cost plus accrued interest, where interest is charged, less amounts already settled or written-off. Write-offs are made according to the department's write-off policy.
13	Financial assets
13.1	Financial assets (not covered elsewhere) A financial asset is recognised initially at its cost-plus transaction costs that are directly attributable to the acquisition or issue of the financial asset. At the reporting date, a department shall measure its financial assets at cost, less amounts already settled or written-off, except for recognised loans and receivables, which are measured at cost plus accrued interest, where interest is charged, less amounts already settled or written-off.
13.2	Impairment of financial assets Where there is an indication of impairment of a financial asset, an estimation of the reduction in the recorded carrying value, to reflect the best estimate of the amount of the future economic benefits expected to be received from that asset, is recorded in the notes to the financial statements.
14	Payables Payables recognised in the statement of financial position are recognised at cost.
15	Capital Assets
15.1	Immovable capital assets Immovable assets reflected in the asset register of the department are recorded in the notes to the financial statements at cost or fair value where the cost cannot be determined reliably. Immovable assets acquired in a non-exchange transaction are recorded at fair value at the date of acquisition. Immovable assets are subsequently carried in the asset register at cost and are not currently subject to depreciation or impairment. Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use. Additional information on immovable assets not reflected in the assets register is provided in the notes to financial statements.
15.2	Movable capital assets Movable capital assets are initially recorded in the notes to the financial statements at cost. Movable capital assets acquired through a non-exchange transaction is measured at fair value as at the date of acquisition. Where the cost of movable capital assets cannot be determined reliably, the movable capital assets are measured at fair value and where fair value cannot be determined; the movable assets are measured at R1. All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1. Movable capital assets are subsequently carried at cost and are not subject to depreciation or impairment. Subsequent expenditure that is of a capital nature forms part of the cost of the existing asset when ready for use.

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

15.3	<p>Intangible assets</p> <p>Intangible assets are initially recorded in the notes to the financial statements at cost. Intangible assets acquired through a non-exchange transaction are measured at fair value as at the date of acquisition.</p> <p>Internally generated intangible assets are recorded in the notes to the financial statements when the department commences the development phase of the project.</p> <p>Where the cost of intangible assets cannot be determined reliably, the intangible capital assets are measured at fair value and where fair value cannot be determined; the intangible assets are measured at R1.</p> <p>All assets acquired prior to 1 April 2002 (or a later date as approved by the OAG) may be recorded at R1.</p> <p>Intangible assets are subsequently carried at cost and are not subject to depreciation or impairment.</p> <p>Subsequent expenditure of a capital nature forms part of the cost of the existing asset when ready for use.</p>
15.4	<p>Project Costs: Work-in-progress</p> <p>Expenditure of a capital nature is initially recognised in the statement of financial performance at cost when paid.</p> <p>Amounts paid towards capital projects are separated from the amounts recognised and accumulated in work-in-progress until the underlying asset is ready for use. Once ready for use, the total accumulated payments are recorded in an asset register. Subsequent payments to complete the project are added to the capital asset in the asset register.</p> <p>Where the department is not the custodian of the completed project asset, the asset is transferred to the custodian subsequent to completion.</p>
16	<p>Provisions and Contingents</p>
16.1	<p>Provisions</p> <p>Provisions are recorded in the notes to the financial statements when there is a present legal or constructive obligation to forfeit economic benefits because of events in the past and it is probable that an outflow of resources embodying economic benefits or service potential will be required to settle the obligation and a reliable estimate of the obligation can be made. The provision is measured as the best estimate of the funds required to settle the present obligation at the reporting date.</p>
16.2	<p>Contingent liabilities</p> <p>Contingent liabilities are recorded in the notes to the financial statements when there is a possible obligation that arises from past events, and whose existence will be confirmed only by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department or when there is a present obligation that is not recognised because it is not probable that an outflow of resources will be required to settle the obligation or the amount of the obligation cannot be measured reliably.</p>
16.3	<p>Contingent assets</p> <p>Contingent assets are recorded in the notes to the financial statements when a possible asset arises from past events, and whose existence will be confirmed by the occurrence or non-occurrence of one or more uncertain future events not within the control of the department.</p>
16.4	<p>Capital commitments.</p> <p>Capital commitments are recorded at cost in the notes to the financial statements.</p>
17	<p>Unauthorised expenditure</p> <p>Unauthorised expenditure is recognised in the statement of financial position until such time as the expenditure is either:</p> <ul style="list-style-type: none">• approved by Parliament or the Provincial Legislature with funding and the related funds are received; or• approved by Parliament or the Provincial Legislature without funding and is written off against the appropriation in the statement of financial performance; or• transferred to receivables for recovery. <p>Unauthorised expenditure is measured at the amount of the confirmed unauthorised expenditure.</p>

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

18	<p>Fruitless and wasteful expenditure</p> <p>Fruitless and wasteful expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the total value of the fruitless and or wasteful expenditure incurred.</p> <p>Fruitless and wasteful expenditure is removed from the notes to the financial statements when it is resolved or transferred to receivables or written off.</p> <p>Fruitless and wasteful expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
19	<p>Irregular expenditure</p> <p>Irregular expenditure is recorded in the notes to the financial statements when confirmed. The amount recorded is equal to the value of the irregular expenditure incurred unless it is impracticable to determine, in which case reasons therefor are provided in the note.</p> <p>Irregular expenditure is removed from the note when it is either condoned by the relevant authority, transferred to receivables for recovery, not condoned and removed or written-off.</p> <p>Irregular expenditure receivables are measured at the amount that is expected to be recoverable and are de-recognised when settled or subsequently written-off as irrecoverable.</p>
20	<p>Changes in accounting estimates and errors</p> <p>Changes in accounting estimates are applied prospectively in accordance with MCS requirements.</p> <p>Correction of errors is applied retrospectively in the period in which the error has occurred in accordance with MCS requirements, except to the extent that it is impracticable to determine the period-specific effects or the cumulative effect of the error. In such cases the department shall restate the opening balances of assets, liabilities and net assets for the earliest period for which retrospective restatement is practicable.</p>
21	<p>Events after the reporting date</p> <p>Events after the reporting date that are classified as adjusting events have been accounted for in the financial statements. The events after the reporting date that are classified as non-adjusting events after the reporting date have been disclosed in the notes to the financial statements.</p>
22	<p>Principal-Agent arrangements</p> <p>The department is party to a principal-agent arrangement for payments made to beneficiaries and service providers of the Waste Bureau and the EPWP Programme. The South African Post Office provided this service until the agreement came to an end. Nedbank was appointed as the new agent to assist the Department in payments to beneficiaries. In terms of the arrangement the department is the principal and is responsible for the validity, accuracy and completeness of amounts paid to service providers or beneficiaries. All related revenues, expenditures, assets and liabilities have been recognised or recorded in terms of the relevant policies listed herein. Additional disclosures have been provided in the notes to the financial statements where appropriate.</p>
23	<p>Departures from the MCS requirements</p> <p>The department has not deviated from the Modified Cash Standards.</p>
24	<p>Capitalisation reserve</p> <p>The capitalisation reserve comprises of financial assets and/or liabilities originating in a prior reporting period, but which are recognised in the statement of financial position for the first time in the current reporting period. Amounts are recognised in the capitalisation reserves when identified in the current period and are transferred to the National Revenue Fund when the underlying asset is disposed, and the related funds are received.</p>
25	<p>Recoverable revenue</p> <p>Amounts are recognised as recoverable revenue when a payment made in a previous financial year becomes recoverable from a debtor in the current financial year. Amounts are either transferred to the National Revenue Fund when recovered or are transferred to the statement of financial performance when written-off.</p>
26	<p>Related party transactions</p> <p>Related party transactions within the Minister/MEC's portfolio are recorded in the notes to the financial statements when the transaction is not at arm's length.</p> <p>The number of individuals and the full compensation of key management personnel is recorded in the notes to the financial statements.</p>

Summary of significant accounting policies

The financial statements have been prepared in accordance with the following policies, which have been applied consistently in all material aspects, unless otherwise indicated. Management has concluded that the financial statements present fairly the department's primary and secondary information.

The historical cost convention has been used, except where otherwise indicated. Management has used assessments and estimates in preparing the annual financial statements. These are based on the best information available at the time of preparation.

Where appropriate and meaningful, additional information has been disclosed to enhance the usefulness of the financial statements and to comply with the statutory requirements of the Public Finance Management Act (PFMA), Act 1 of 1999 (as amended by Act 29 of 1999), and the Treasury Regulations issued in terms of the PFMA and the annual Division of Revenue Act.

27	<p>Inventories</p> <p>At the date of acquisition, inventories are recognised at cost in the statement of financial performance.</p> <p>Where inventories are acquired as part of a non-exchange transaction, the inventories are measured at fair value as at the date of acquisition.</p> <p>Inventories are subsequently measured at the lower of cost and net realisable value or were intended for distribution (or consumed in the production of goods for distribution) at no or a nominal charge, the lower of cost and current replacement value.</p> <p>The cost of inventories is assigned by using the weighted average cost basis.</p> <p>The inventory chapter of the Modified Cash Standard is not yet effective.</p>
28	<p>Public-Private Partnerships</p> <p>Public Private Partnerships are accounted for based on the nature and or the substance of the partnership. The transaction is accounted for in accordance with the relevant accounting policies.</p> <p>A summary of the significant terms of the PPP agreement, the parties to the agreement, and the date of commencement thereof together with the description and nature of the concession fees received, the unitary fees paid, rights and obligations of the department are recorded in the notes to the financial statements.</p>
29	<p>Employee benefits</p> <p>The value of each major class of employee benefit obligation (accruals, payables not recognised and provisions) is disclosed in the Employee benefits note.</p>
30	<p>Transfers of functions</p> <p>Transfers of functions are accounted for by the acquirer by recognising or recording assets acquired and liabilities assumed at their carrying amounts at the date of transfer.</p> <p>Transfers of functions are accounted for by the transferor by derecognising or removing assets and liabilities at their carrying amounts at the date of transfer.</p>

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

1. ANNUAL APPROPRIATION

1.1 Annual Appropriation

Included are funds appropriated in terms of the Appropriation Act (and the Adjustments Appropriation Act) for National Departments (Voted funds):

	2020/21			2019/20		
	FINAL APPROPRIATION	ACTUAL FUNDS RECEIVED	FUNDS NOT REQUESTED/ NOT RECEIVED	FINAL APPROPRIATION	APPROPRIATION RECEIVED	FUNDS NOT REQUESTED / NOT RECEIVED
	R'000	R'000	R'000	R'000	R'000	R'000
Administration	1 156 571	1 156 571	-	911 882	911 882	-
Regulatory Compliance and Sector Monitoring	204 333	204 333	-	220 495	220 495	-
Oceans and Coasts	477 833	477 833	-	494 645	494 645	-
Climate Change, Air Quality, and sustainable Development	603 637	603 637	-	450 251	450 251	-
Biodiversity and Conservation	1 907 565	1 907 565	-	796 896	796 896	-
Environmental Programmes	3 914 730	3 914 730	-	3 996 778	3 996 778	-
Chemicals and Waste Management	606 738	606 738	-	612 724	612 724	-
Forestry Management	593 903	593 903	-	-	-	-
Fisheries Management	472 491	472 491	-	-	-	-
Total	9 937 801	9 937 801	-	7 483 671	7 483 671	-

The department requested all funds allocated to the department from the National Revenue Fund for 2020/21 as well as 2019/20.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

2. DEPARTMENTAL REVENUE

	NOTE	2020/21	2019/20
		R'000	R'000
Sales of goods and services other than capital assets	2.1	40 745	2 940
Fines, penalties, and forfeits	2.2	341	4 033
Interest, dividends and rent on land	2.3	6 440	7 410
Sales of capital assets	2.4	2 087	120
Transactions in financial assets and liabilities	2.5	27 922	94 014
Departmental revenue collected		77 535	108 517

2.1 Sales of goods and services other than capital assets

	NOTE	2020/21	2019/20
		R'000	R'000
Sales of goods and services produced by the department	2	40 744	2 940
Sales by market establishment		997	252
Administrative fees		2 797	2 301
Other sales		36 950	387
Sales of scrap, waste, and other used current goods		1	-
Total		40 745	2 940

Administrative fees received consist mainly of environmental and waste management authorisation license fees, fauna and flora licences, game licences, marine recreational fees and permits, hiking trails and Trading licence fees.

2.2 Fines, penalties, and forfeits

	NOTE	2020/21	2019/20
		R'000	R'000
Interest	2	341	4 033
Total		341	4 033

Current year fines received includes Domestic Fines of R131 000 and Environmental Authorisation fine of R210 000. Previous year amount includes administration fines of R4m, consisting of rectification fines issued in terms of Section 24G of the NEMA.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

2.3 Interest, dividends and rent on land

	NOTE	2020/21	2019/20
		R'000	R'000
Interest	2	6 440	7 410
Total		6 440	7 410

Interest received is predominantly made up of amounts paid by implementing agents on funds held by implementers prior to spending.

2.4 Sales of capital assets

	NOTE	2020/21	2019/20
		R'000	R'000
Tangible assets	2	2 087	120
Machinery and equipment		2 087	120
Total		2 087	120

Sale of capital assets include R1,952m received for sales of eco-furniture programme products coffins, furniture. The rest of the capital asset sales are for redundant computer hardware purchases by officials of the department.

2.5 Transactions in financial assets and liabilities

	NOTE	2020/21	2019/20
		R'000	R'000
Receivables	2	535	10 892
Other Receipts including Recoverable Revenue		27 387	83 122
Total		27 922	94 014

Included in the current financial year is mainly an amount of R3,3m for unspent funds of closed out projects being undertaken by implementing agents of the department, MLRF (R7,6m) for Funding of Marine Anti-Poaching project and EFP factory sales eco furniture amounting to (R4, 3m) and Marion Island and Antarctica operations voyage R4, 5m.

Included in the previous year is mainly an amount of R27, 7m for unspent funds of closed out projects being undertaken by implementing agents of the department, EFP factory sales eco furniture (R4, 7m), charter income from AMSOL Weddell sea expedition (R26, 1m) operation Phakisa (R5, 2m).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

3. AID ASSISTANCE

	NOTE	2020/21	2019/20
		R'000	R'000
Opening Balance		(33 101)	(30 391)
Transferred from statement of financial performance		18 934	(2 710)
Closing Balance		(14 167)	(33 101)

The figure Transferred from statement of financial performance is a net figure comprised to revenue for aid assistance of R45, 232m (R nil for 19/20) and the related expenditure of R26,298m (R2,7m for 2019/20)

3.1 Analysis of balance by source

	NOTE	2020/21	2019/20
		R'000	R'000
Aid assistance from RDP		(14 167)	(33 101)
Closing balance	3	(14 167)	(33 101)

3.2 Analysis of balance

	NOTE	2020/21	2019/20
		R'000	R'000
Aid assistance receivable		(14 167)	(33 101)
Closing balance	3	(14 167)	(33 101)

3.3 Aid assistance expenditure per economic classification

	NOTE	2020/21	2019/20
		R'000	R'000
Current	3	26 298	2 710
Total aid assistance expenditure		26 298	2 710

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

4. COMPENSATION OF EMPLOYEES

4.1 Salaries and Wages

	NOTE	2020/21	2019/20
		R'000	R'000
Basic salary		1 378 824	885 133
Performance award		8 409	17 139
Service Based		2 846	492
Compensative/circumstantial		26 873	20 094
Other non-pensionable allowances		290 170	200 765
Total		1 707 122	1 123 623

4.2 Social contributions

	NOTE	2020/21	2019/20
		R'000	R'000
Employer contributions			
Pension		167 383	101 940
Medical		87 636	38 028
Bargaining council		427	323
Insurance		249	34
Total		255 695	140 325
Total compensation of employees		1 962 817	1 263 948
Average number of employees		4 090	1 817

Increase in Compensation of Employees mainly due to incorporation of Fisheries Management and Forestry Management officials to DFFE as from 1 April 2020

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

5. GOODS AND SERVICES

	NOTE	2020/21	2019/20
		R'000	R'000
Administrative fees		220 567	207 771
Advertising		7 174	24 538
Minor assets	5.1	1 791	3 430
Bursaries (employees)		2 542	2 649
Catering		2 420	10 557
Communication		47 438	19 451
Computer services	5.2	129 376	83 224
Consultants: Business and advisory services		209 910	224 591
Laboratory services		4 403	113
Legal services		29 373	11 967
Contractors		205 838	265 462
Agency and support / outsourced services		1 448 553	1 566 546
Entertainment		4	19
Audit cost – external	5.3	15 694	14 169
Fleet services		18 287	6 398
Inventory	5.4	104 651	134 328
Consumables	5.5	35 171	39 691
Operating leases		211 334	94 030
Property payments	5.6	39 998	8 588
Rental and hiring		1 566	8 415
Transport provided as part of the departmental activities		496	254
Travel and subsistence	5.7	67 935	201 878
Venues and facilities		4 905	38 327
Training and development		216 390	417 388
Other operating expenditure	5.8	63 931	67 660
Total		3 089 747	3 451 444

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

The majority of the Department's expenses relate to projects incurred by implementing entities classified according to the nature of the expenses broadly, administrative fees (management fees), outsourced services, inventory and training and development.

The decrease in Agency and support/outsourced services figure to R1,4 billion from the prior year is attributable to the decreased number of projects undertaken in 2020/21.

5.1 Minor assets

	NOTE	2020/21	2019/20
	5	R'000	R'000
Tangible assets		1 715	3 422
Machinery and equipment		1 715	3 422
Intangible assets		76	8
Software		76	8
Total		1 791	3 430

Minor items purchased 2020/21 includes mainly measuring and analysis equipment, firefighting equipment, Library books, Computer peripherals, office furniture, survey equipment.

5.2 Computer services

	NOTE	2020/21	2019/20
	5	R'000	R'000
SITA computer services		40 204	32 132
External computer service providers		89 172	51 092
Total		129 376	83 224

SITA computer services includes help desk, information services, internet, mainframe time, software licences main system. External computer service providers provided the department with EDMS licences.

5.3 Audit cost – External

	NOTE	2020/21	2019/20
	5	R'000	R'000
Regularity audits		11 863	11 481
Investigations		3 831	2 688
Total		15 694	14 169

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

5.4 Inventory

	NOTE	2020/21	2019/20
	5	R'000	R'000
Clothing material and accessories		1 822	1 752
Fuel, oil and gas		13 679	17 569
Other supplies	5.4.1	89 150	115 007
Total		104 651	134 328

5.4.1 Other supplies

	NOTE	2020/21	2019/20
	5.4	R'000	R'000
Assets for distribution		59 753	77 695
School furniture		53 307	65 189
Other assets for distribution		6 446	12 506
Other		29 397	37 312
Total		89 150	115 007

Inventory costs include amounts for the Eco Furniture project, including amounts incurred by implementing entities to deliver the processed raw materials to the factories. Inventory also includes amounts incurred for the school ablution blocks incurred in the Value-Added Industries.

Other assets for distribution are represented by costs for the manufacture of wood blankets from invasive biomass.

Included in other is an amount of R29,3m (R37,3 m) for ablution blocks built at schools across the country to be transferred to respective provincial departments within the next year.

5.5 Consumables

	NOTE	2020/21	2019/20
	5	R'000	R'000
Consumable supplies		30 822	32 125
Uniform and clothing		3 987	5 452
Household supplies		6 507	8 425
Building material and supplies		4	-
Communication accessories		56	125
IT consumables		748	1 028
Other consumables		19 520	17 095
Stationery, printing, and office supplies		4 349	7 566
Total		35 171	39 691

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

Household supplies comprises largely of expenses in respect of groceries amounting to R4,3m 2020/21 (R5, 1m; 2019/20).
 Other consumables comprise largely of expenses in respect of fuel suppliers R8,9m, gardening and farming suppliers R2,3m, medical and kit suppliers R3,4m, and hardware suppliers R1,3m.
 Stationery, printing, and office supplies comprises largely of expenses in respect of government printing amounting to R689 thousand, 2020/21 (R1,5m;2019/20), stationery amounting to R1,7m; 2020/21 (R2,6m; 2019/20) and printing paper amounting to R747 thousand; 2020/21 (R1,6m; 2019/20).

5.6 Property payments

	NOTE	2020/21	2019/20
	5	R'000	R'000
Municipal services		25 686	5 871
Property management fees		5 623	308
Property maintenance and repairs		266	942
Other		8 423	1 467
Total		39 998	8 588

5.7 Travel and subsistence

	NOTE	2020/21	2019/20
	5	R'000	R'000
Local		63 643	165 300
Foreign		4 292	36 578
Total		67 935	201 878

5.8 Other operating expenditure

	NOTE	2020/21	2019/20
	5	R'000	R'000
Professional bodies, membership, and subscription fees		7 299	8 793
Resettlement costs		424	618
Other		56 208	58 249
Total		63 931	67 660

Professional bodies, membership, and subscription fees for 2020/21 includes mainly membership fees for International Union for Conservation of Nature and Natural Resources and annual membership contribution: Benguela Current Convention Secretariat as a State Member to gain access to a network/get information in return for subscribing to the international organisation.
 Other consists mainly of charter services and landing rights R48, 5m for 2020/21 (R43, 7m; 2019/20), printing and publications services R5,5m for 2020/21 (R11, 8m; 2019/20) and courier and delivery services R1, 2m for 2020/21 (R2, 1m; 2019/20).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

6. INTEREST AND RENT ON LAND

	NOTE	2020/21	2019/20
	5	R'000	R'000
Interest paid		-	9
Rent on land		39 908	32 457
Total		39 908	32 466

The rent on land is in respect of leases for depots for the storage and pre-processing of waste tyres under the Waste Management Bureau waste tyre initiative.

7. PAYMENTS FOR FINANCIAL ASSETS

	NOTE	2020/21	2019/20
	5	R'000	R'000
Extension of loans for policy purposes		-	12 124
Other material losses written off	7.1	-	18
Debts written off	7.2	33	1 626
Total		33	13 768

The Department and the Development Bank of South Africa (DBSA) have concluded an agreement to transfer the assets and liabilities of the Green Fund programme with effect from 1 April 2020. The DBSA took accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department.

7.1 Other material losses written off

Nature of losses	NOTE	2020/21	2019/20
	7	R'000	R'000
Pension fund		-	18
Total		-	18

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

7.2 Debts written off

	NOTE	2020/21	2019/20
	7	R'000	R'000
Other debt written off		33	1 626
Total debt written off		33	1 626

8. TRANSFERS AND SUBSIDIES

	NOTE	2020/21	2019/20
	8	R'000	R'000
Provinces and municipalities	41	101	152
Departmental agencies and accounts	Annexure 1A	2 834 698	1 994 362
Foreign governments and international organisations	Annexure 1C	32 888	34 772
Public corporations and private enterprises	Annexure 1B	7 955	32 982
Non-profit institutions	Annexure 1D	6 270	8 496
Households	Annexure 1E	10 741	55 542
Total		2 892 653	2 126 306

Foreign governments and international organisations include mainly membership and subscription fees for international organisations for the purpose of financial supporting: Government contribution towards the trust fund for various conservation organisations.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

9. EXPENDITURE FOR CAPITAL ASSETS

	NOTE	2020/21	2019/20
		R'000	R'000
Tangible assets		301 998	444 877
Buildings and other fixed structures	30&35.1	219 465	340 134
Heritage assets	33.1	275	-
Machinery and equipment	33.1	82 258	104 743
Intangible assets		12 860	33 838
Software	34.1	12 860	33 838
Total		314 858	478 715

Buildings and other fixed structures comprise the Expanded Public Works Programme (EPWP) infrastructure projects. These are classified as capital work-in-progress during construction and will be transferred to the project beneficiaries when the construction is complete subject to the requisite processes and documentation in terms of legislation.
Included in 2019/20 for Machinery and equipment is an amount of R7m for the emergency procurement of Video and audio-conferencing equipment as well as an amount of R1,1m for computer equipment as a response to the Covid-19 threat in SA.

9.1 Analysis of funds utilised to acquire capital assets – 2020/21

	VOTED FUNDS	AID ASSISTANCE	TOTAL
	R'000	R'000	R'000
Tangible assets	301 998	-	301 998
Buildings and other fixed structures	219 465	-	219 465
Heritage assets	275		275
Machinery and equipment	82 258	-	82 258
Intangible assets	12 860	-	12 860
Software	12 860	-	12 860
Total	314 858	-	314 858

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

9.2 Analysis of funds utilised to acquire capital assets – 2019/20

	VOTED FUNDS	AID ASSISTANCE	TOTAL
	R'000	R'000	R'000
Tangible assets	444 877	-	444 877
Buildings and other fixed structures	340 134	-	340 134
Machinery and equipment	104 743	-	104 743
Intangible assets	33 838	-	33 838
Software	33 838	-	33 838
Total	478 715	-	478 715

9.3 Finance lease expenditure included in Expenditure for capital assets.

	NOTE	2020/21	2019/20
		R'000	R'000
Tangible assets			
Buildings and other fixed structures		164 278	157 489
Total		164 278	157 489

10. UNAUTHORISED EXPENDITURE

10.1 Reconciliation of unauthorised expenditure

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		-	16 588
Prior period error		-	(149 273)
As restated		-	(132 685)
Unauthorised expenditure – discovered in current year (as restated)		-	132 685
Closing balance		-	-
Analysis of closing balance			
Unauthorised expenditure awaiting authorisation		-	-
Total		-	-

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

10.2 Analysis of unauthorised expenditure awaiting authorisation per economic classification

	NOTE	2020/21	2019/20
		R'000	R'000
Transfers and subsidies		-	-
Total		-	-

10.3 Analysis of unauthorised expenditure awaiting authorisation per type

	NOTE	2020/21	2019/20
		R'000	R'000
Unauthorised expenditure relating to overspending a main division within a vote		-	-
Unauthorised expenditure incurred not in accordance with the purpose of the vote or main division		-	-
Total		-	-

10.4 Prior period error

NATURE OF PRIOR PERIOD ERROR	2019/20
	R'000
Relating to 2018/19 (affecting the opening balance)	(16 588)
Unauthorised expenditure incorrectly classified	(16 588)
Relating 2019/20	(132 685)
Unauthorised expenditure incorrectly classified	(132 685)
Total	(149 273)

A test was conducted to assess whether the expenditure meets the definition of Unauthorised expenditure that was identified during the 2018/19 and 2019/20 financial year audit due to classification from goods and services to Transfer Payment. During the examination or analyse of the particulars of the expenditure it was ascertained that the Unauthorised expenditure amounting to R149m did not meet the relevant definition. However, an amount of R95m was then disclosed as irregular expenditure as the transaction has been processed in contravention of legislation and the same transaction has a financial implication.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

11. CASH AND CASH EQUIVALENTS

	NOTE	2020/21	2019/20
		R'000	R'000
Consolidated Paymaster General Account		1 658 562	134 327
Cash receipts		9	-
Disbursements		-	48
Cash on hand		264	222
Cash with Commercial bank		15 508	14 452
Total		1 674 343	149 049

12. PREPAYMENTS AND ADVANCES

	NOTE	2020/21	2019/20
		R'000	R'000
Travel and subsistence		79	475
Total		79	475

12.1 Prepayments (Expensed)

	NOTE	AMOUNT AS AT 1 APRIL 2020	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2021
		R'000	R'000	R'000	R'000	R'000
Goods and services		117 164	(1 446 567)	84 184	1 311 692	66 473
Capital assets		51 685	(62 686)	1 003	41 631	31 633
Total		168 849	(1 509 253)	85 187	1 353 323	98 106
	NOTE	BALANCE AS AT 1 APRIL 2019	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2020
		R'000	R'000	R'000	R'000	R'000
Goods and services		51 342	(1 319 228)	9 082	1 375 968	117 164
Capital assets		44 466	(89 070)	(1 185)	97 474	51 685
Total		95 808	(1 408 298)	7 897	1 473 442	168 849

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

The prepayments comprise payments to Nedbank, as the financial institution that disburses funds to EPWP participants in a form of wage allowance and Waste Bureau Management (WBM) operations as well as other Implementing Agents including Working on Fire and Other Institutions for multi-year projects.

These prepayments are made by the Department in terms of the contractual relationship entered with implementing agents to ensure that there is sufficient liquidity for the projects. The expenditure was expensed in the current financial year in the statement of financial performance according to the nature of the expense as follows:

Expenditure for EPWP implementing agents.

- Management fees
- Outsourced services
- Training non-employees
- Attributable cost capital expenditure on infrastructure projects.

12.2 Advances paid (Expensed) balance

	NOTE	AMOUNT AS AT 1 APRIL 2020	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2021
		R'000	R'000	R'000	R'000	R'000
National departments		16 838	(10 386)	(38)	-	6 414
Public entities		1 015 978	(750 284)	18 809	349 824	634 327
Total		1 032 816	(760 670)	18 771	349 824	640 741

Advances paid (Expensed) balance

	NOTE	BALANCE AS AT 1 APRIL 2019	LESS: RECEIVED IN THE CURRENT YEAR	ADD OR LESS: OTHER	ADD: CURRENT YEAR PREPAYMENTS	AMOUNT AS AT 31 MARCH 2020
		R'000	R'000	R'000	R'000	R'000
National departments		15 881	(19 324)	16 438	3 843	16 838
Public entities		575 874	(799 504)	25 120	1 214 488	1 015 978
Total		591 755	(818 828)	41 558	1 218 331	1 032 816

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

The advances comprise payments to GCIS for facilitating media communication services for the Department as well as other Public Entities including Postbank and Other Institutions for multi-year projects. Advances are made by the Department in terms of the contractual relationship entered with implementing agents (public sector entities) to ensure that there is sufficient liquidity for the projects. The expenditure was expensed in the current financial year in the statement of financial performance according to the nature of the expense as follows:

Expenditure for EPWP implementing agents.

- Management fees
- Outsourced services
- Training non-employees
- Attributable cost capital expenditure on infrastructure projects

Advances are also reflective of amounts transferred to the Department's public entities for capital infrastructure.

13. RECEIVABLES

		2020/21			2019/20		
		CURRENT	NON-CURRENT	TOTAL	CURRENT	ON-CURRENT	TOTAL
	NOTE	R'000	R'000	R'000	R'000	R'000	R'000
Claims recoverable	13.1	11 553	14 943	26 496	4 397	15 427	19 824
Trade receivables	13.2	3 777	12 353	16 130	466 732	11 529	478 261
Recoverable expenditure	13.3	828	7 472	8 300	1 904	5 596	7 500
Staff debt	13.4	1 050	2 464	3 514	361	1 357	1 718
Fruitless and wasteful expenditure	13.6	720	17	737	5 142	-	5 142
Other receivables	13.5	45	-	45	12	16	28
Total		17 973	37 249	55 222	478 548	33 925	512 473

13.1 Claims recoverable

	NOTE	2020/21	2019/20
	13 AND ANNEX 4	R'000	R'000
National departments		6 742	4 891
Provincial departments		43	2
Foreign governments		562	114
Public entities		13 970	9 638
Local governments		5 179	5 179
Total		26 496	19 824

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

The figure for National department includes an amount of R5m (R4m; 2019/20) largely for Department of Agriculture Forestry and Fisheries in respect of court order settlement claim.

The figure for public entities includes an amount of R16,1m (2020/21) (R9 6m; 2019/20) for the National Research Foundation (NRF) in respect of scientists' salaries for the South African Antarctica Programme (SANAP), Marine Living Resources Fund for Marine Anti-Poaching as well as other expenditure relating claims.

The local governments figure includes an amount of R5, 1m for funds collected by PCO during COP17/CITES 2016.

13.2 Trade receivables

	NOTE	2020/21	2019/20
	13	R'000	R'000
Implementing Entities		12 163	11 529
Green Fund		-	396 018
Post Bank		3 612	70 524
Recycling Projects (Waste Bureau)		190	190
Working-on-Fire		165	-
Total		16 130	478 261

Implementing Entities represent debt handed over for re-collection by legal section.

The Department and the Development Bank of South Africa (DBSA) have concluded an agreement to transfer the assets and liabilities of the Green Fund programme with effect from 1 April 2020. The DBSA took accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department.

The Post Bank receivable is the balance at the end of the agreement with Post Office (30 November 2020) not utilised to be paid back to the department.

13.3 Recoverable expenditure (disallowance accounts)

	NOTE	2020/21	2019/20
	13	R'000	R'000
Departmental Suspense Account		8 284	7 482
Control Account		16	18
Total		8 300	7 500

The Department suspense account includes an amount of R8,3m; 2020/21 (R7, 4m; 2019/20) in respect of damaged vehicles.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

13.4 Staff debt

	NOTE	2020/21	2019/20
	13	R'000	R'000
Debt Accounts		3 514	1 718
Total		3 514	1 718

13.5 Other receivables

	NOTE	2020/21	2019/20
	13	R'000	R'000
Salary related		45	28
Total		45	28

13.6 Fruitless and wasteful expenditure

	NOTE	2020/21	2019/20
	13	R'000	R'000
Opening balance		5 142	-
Less amount recovered		(5 048)	(100)
Less amount written off		(13)	-
Transfers from note 28 Fruitless and Wasteful expenditure		656	5 242
Total		737	5 142

13.7 Impairment of receivables

	NOTE	2020/21	2019/20
	13	R'000	R'000
Estimate of impairment of receivables		22 089	20 039
Total		22 089	20 039

Included in 2020/21 is an amount of R 13 m; 2020/21 (R 12, 8 m; 2019/20) for departmental debts impairments, R5, 1m for claims recoverable (City of Johannesburg) for both financial years and damage vehicles R 3,8 m; 2020/21 (R 2 m; 2019/20).

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

14. LOANS

	NOTE	2020/21	2019/20
		R'000	R'000
Private enterprises		-	205 731
Total		-	205 731
Analysis of Balance			
Opening balance		205 731	204 037
New Issues		-	14 399
Repayments		-	(12 705)
Transfer - out		(205 731)	-
Closing balance		-	205 731

The Department and the Development Bank of South Africa (DBSA) have concluded an agreement to transfer the assets and liabilities of the Green Fund programme with effect from 1 April 2020. The DBSA took accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department.

14.1 Impairment of loans

	NOTE	2020/21	2019/20
		R'000	R'000
Estimate of impairment of loans		-	138 591
Total		-	138 591

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

15. VOTED FUNDS TO BE SURRENDERED TO THE REVENUE FUND

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		126 225	(389 657)
Prior period error		-	(98 100)
As restated		126 225	(487 757)
Transfer from statement of financial performance (as restated)		1 637 785	117 024
Add: Unauthorised expenditure for current year	10	-	132 685
Paid during the year		(126 225)	364 273
Closing balance		1 637 785	126 225

15.1 Reconciliation of Voted funds to be surrendered

	NOTE	2020/21	2019/20
		R'000	R'000
DEA Closing balance		1 637 785	126 225
Green Fund		-	-
Green Fund - amount utilised by GF		-	(51 172)
Green Fund - funds not requested/not received		-	9 200
Paid during the year		-	41 972
Closing balance		1 637 785	126 225

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

15.2 Prior period error

NATURE OF PRIOR PERIOD ERROR	2019/20
	R'000
Relating 2018/19 (affecting the opening balance)	25 385
Unauthorised expenditure incorrectly classified	(16 587)
DBSA: funds paid during the period	41 972
Relating to 2019/20	(123 485)
DBSA: funds not requested/not received	9 200
Unauthorised expenditure incorrectly classified	(132 685)
Total	(98 100)

16. DEPARTMENTAL REVENUE AND NRF RECEIPTS TO BE SURRENDERED TO THE REVENUE FUND

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		5 659	42 938
Prior period error		-	(51 172)
As restated		5 659	(8 234)
Transfer from Statement of Financial Performance (as restated)		77 535	108 517
Paid during the year		(77 961)	(94 624)
Closing balance		5 233	5 659

16.1 Reconciliation of NRF receipts to be surrendered.

	NOTE	2020/21	2019/20
		R'000	R'000
DEA closing balance		5 233	5 659
Green Fund		-	-
Green Fund - amount recognised by GF (balance brought forward)		-	41 972
Green Fund - amount recognised by GF		-	9 200
Revenue not received		-	(51 172)
Paid during the year		-	-
Closing balance		5 233	5 659

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

16.2 Prior period error

NATURE OF PRIOR PERIOD ERROR	2019/20
	R'000
Relating 2019/20	(51 172)
DBSA: Revenue not received	(51 172)
Total	(51 172)

17. PAYABLES – CURRENT

	NOTE	2020/21	2019/20
		R'000	R'000
Other payables	17.1	80 242	76 976
Total		80 242	76 976

Included in 2020/21 and 2019/20 is an amount of R41, 9m received for operational payments made directly by DBSA. These amounts are not appropriated but expensed as DBSA incurs the expense for the Green Fund. National Treasury refunded the Department for the expense incurred and already paid.

17.1 Other payables

	NOTE	2020/21	2019/20
	17	R'000	R'000
Salary Related Payables		1 049	225
Departmental Suspense Account		78 701	76 678
Control Account		492	73
Total		80 242	76 976

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

18. NET CASH FLOW AVAILABLE FROM OPERATING ACTIVITIES

	NOTE	2020/21	2019/20
		R'000	R'000
Net surplus/(deficit) as per Statement of Financial Performance		1 734 254	222 831
Add back noncash/cash movements not deemed operating activities		572 822	981 387
(Increase)/decrease in receivables		460 575	207 316
(Increase)/decrease in prepayments and advances		396	69
Increase/(decrease) in payables – current		3 266	25 758
Proceeds from sale of capital assets		(2 087)	(120)
Expenditure on capital assets		314 858	478 715
Surrenders to Revenue Fund		(204 186)	269 649
Net cash flow generated by operating activities		2 307 076	1 204 218

Surrenders to Revenue Fund consists of amounts from the previous year not requested, however received in the current year.

19. RECONCILIATION OF CASH AND CASH EQUIVALENTS FOR CASH FLOW PURPOSES

	NOTE	2020/21	2019/20
		R'000	R'000
Consolidated Paymaster General account		1 658 562	134 327
Cash receipts		9	-
Disbursements		-	48
Cash on hand		264	222
Cash with commercial banks (Local)		15 508	14 452
Total		1 674 343	149 049

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

20. CONTINGENT LIABILITIES AND CONTINGENT ASSETS

20.1 Contingent liabilities

		NOTE	2020/21	2019/20
			R'000	R'000
Liable to	Nature			
Housing loan guarantees	Employees	Annex 3A	-	111
Claims against the department	Other	Annex 3B	665 363	67 356
Intergovernmental payables (unconfirmed balances)	Departments and Entities	Annex 5	472	1 378
Total			665 835	68 845

The Labour Appeal Court (LAC) declared the salary increases for the 2020/21 financial year unlawful and invalid. The LAC ruling has been appealed and referred to the Constitutional Court. The ruling by the Constitutional Court will confirm if the department will be obligated to pay the salary increases in dispute.

20.2 Contingent assets

	NOTE	2020/21	2019/20
		R'000	R'000
Nature of contingent asset			
Section 24G Administration fines		3 325	2 200
Claims against a service provider/employee		10 867	10 642
Total		14 192	12 842

Section 24G Administration fines reflect rectification fines issued in terms the NEMA for the unlawful commencement of activities requiring an environmental authorisation. Claims against a service provider/employee mainly consist of amounts paid but the service providers did not meet their contractual obligations viz., R3.8m and R2m for the Mamelodi buy-back centre and Buna constructing projects, respectively.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

21. CAPITAL COMMITMENTS

	NOTE	2020/21	2019/20
		R'000	R'000
Asset classification			
Transport assets		16 440	23 476
Computer equipment		381	174
Furniture and office equipment		82	-
Other machinery and equipment		737	2 468
Software		12 444	9 989
Dwellings		2 186	38 119
Non-residential buildings		19 267	45 435
Other fixed structures		31 006	53 059
Total		82 543	172 720

The majority of the capital commitments relates to the infrastructure projects related to the Expanded Public Works Programme comprising of R52,5 m (R101,1m; 2019/2020) classified as dwellings, non-residential buildings and other fixed structures.

Software comprises of commitments in respect of Design, develop and implement systems amounting R12,4m (R14,8m; 2019/2020)

Previous financial year, other machinery and equipment commitments comprises of R2, 2m in respect of emergency procurement related to Video and audio-conferencing equipment as a response to the Covid-19 threat in SA.

22. ACCRUALS AND PAYABLES NOT RECOGNISED.

22.1 Accruals

			2020/21	2019/20
			R'000	R'000
Listed by economic classification	30 Days	30+ Days	Total	Total
Goods and services	45 467	22 822	68 289	109 937
Capital assets	13 989	-	13 989	-
Other	32	-	32	878
Total	59 488	22 822	82 310	110 815

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

		NOTE	2020/21	2019/20
			R'000	R'000
Listed by programme level				
Administration			18 732	12 060
Regulatory Compliance and Sector Monitoring			9 875	3 219
Oceans and Coasts			7 385	1 118
Climate Change, Air Quality and Sustainable Development			485	1 750
Biodiversity and Conservation			1 709	3 692
Environmental Programmes			15 376	62 533
Chemicals and Waste Management			25 948	25 565
Other (Assets & Liabilities)			32	878
Forestry Management			2 587	-
Fisheries Management			181	-
Total			82 310	110 815

22.2. Payables not recognised.

			2020/21	2019/20
			R'000	R'000
Listed by economic classification	30 Days	30+ Days	Total	Total
Goods and services	2 543	43 246	45 789	20 650
Capital assets	35	1	36	13 346
Other	-	71	71	-
Total	2 578	43 318	45 896	33 996

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

	NOTE	2020/21	2019/20
		R'000	R'000
Listed by programme level			
Administration		353	18 683
Regulatory Compliance and Sector Monitoring		442	1 099
Oceans and Coasts		28	165
Climate Change, Air Quality and Sustainable Development		7	606
Biodiversity and Conservation		47	528
Environmental Programmes		18 876	2 518
Chemicals and Waste Management		25 683	10 397
Forestry Management		71	-
Fisheries Management		335	-
Other (Assets & Liabilities)		54	-
Total		45 896	33 996

	NOTE	2020/21	2019/20
		R'000	R'000
Included in the above totals are the following:			
Confirmed balances with other departments	Annex 5	5 446	9 307
Total		5 446	9 307

23. EMPLOYEE BENEFITS

	NOTE	2020/21	2019/20
		R'000	R'000
Leave entitlement		165 010	67 176
Service bonus		50 729	30 550
Performance awards		9 457	15 451
Capped leave		82 989	10 808
Other		3 389	2 874
Total		311 574	126 859

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

Other represents the portion of long service awards and long service recognition as follows:

1. 57 employees qualified for 20 years continued service cash award of R10 899 each (R621 243).
2. 107 employees qualified for 30 years continued service cash award of R21 797 each (R2, 3m).
3. 15 employees qualified for 40 years continued service cash award of R29 064 each (R435 960).

A negative amount of R464 000 was offset against leave entitlement. The amount was as a result of pro-rata calculation of leave taken by employees as of 31 March 2021 over and above their entitlement.

24. LEASE COMMITMENTS

24.1 Operating leases

2020/21	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	35 721	34 088	2 629	72 438
Later than 1 year and not later than 5 years	-	96 066	44 026	1 467	141 559
Later than five years	-	-	-	-	-
Total lease commitments	-	131 787	78 114	4 096	213 997

2019/20	SPECIALISED MILITARY EQUIPMENT	LAND	BUILDINGS AND OTHER FIXED STRUCTURES	MACHINERY AND EQUIPMENT	TOTAL
	R'000	R'000	R'000	R'000	R'000
Not later than 1 year	-	35 660	11 771	3 895	51 326
Later than 1 year and not later than 5 years	-	91 611	12 348	2 547	106 506
Later than five years	-	-	-	-	-
Total lease commitments	-	127 271	24 119	6 442	157 832

The escalation clauses of the buildings leased by the department range from 5.5% to 10% and 5% to 11% for Temporary Storage and Pre-processing of Waste Tyre Facilities. The department continues a month-to-month basis for building leases, while the Department of Public Works is embarking on the process of renewing. The potential commitment amount of R1, 045 million per year. The department continues a month-to-month basis.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

25. ACCRUED DEPARTMENTAL REVENUE.

	NOTE	2020/21	2019/20
		R'000	R'000
Fines, penalties, and forfeits		50	50
Interest, dividends and rent on land		23 517	25 666
Total		23 567	25 716

Accrued departmental revenue comprises of amounts owed by implementing entities for interest earned on Departmental funds, interest earned on the Nedbank account and eco-furniture.

25.1 Analysis of accrued departmental revenue

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		25 716	8 743
Less: Amounts received		20 043	6 895
Add: Amounts recognised		17 894	23 868
Closing balance		23 567	25 716

26 IRREGULAR EXPENDITURE

26.1 Reconciliation of irregular expenditure

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		3 350 108	496 323
Prior period error		-	681 730
As restated		3 350 108	1 178 053
Add: Irregular expenditure – relating to prior year		766 528	1 096 727
Add: Irregular expenditure – relating to current year		263 430	1 075 328
Closing balance		4 380 066	3 350 108
Analysis of closing balance			
Current year		263 430	1 075 328
Prior years		4 116 636	2 274 780
Total		4 380 066	3 350 108

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

26.2 Details of current and prior year irregular expenditure – added current year (under determination and investigation)

INCIDENT	DISCIPLINARY STEPS TAKEN/CRIMINAL PROCEEDINGS	2020/21
		R'000
Natural Resource Management: Implementing Entities		156 090
Supply Chain Management objectivity		615 284
Recycling Enterprise Support Programme		2 749
Waste Bureau		251 246
Environmental Protection and Infrastructure Programme		526
SCM non-compliance		4 063
Total		1 029 958

26.3 Details of irregular expenditures under assessment (not included in the main note)

INCIDENT	2020/21
	R'000
SCM objectivity	123 316
Total	123 316

At the conclusion of the 2019/20 financial year audit process, there were 14 transactions identified by the audit team to the value of R3 574 719,00 which were flagged non-compliant to the Preferential Procurement Policy Framework Act (Act No. 5 of 2000). This was on the basis that during the evaluation of quotations, the Department did not accept copies of B-BBEE certificates and Sworn Affidavits which were not originally certified or those that were submitted electronically. This was an organisation-wide practice which at the time, was considered correct by the Department. In addressing the audit findings, the Department consulted further on the issue and engaged with the Department of Trade Industry and Competition for a Workshop on B-BBEE Compliance as alluded to in the Preferential Procurement Regulations 2017. The Workshop provided clarity on salient issues that indicated that the Departmental application of the Preferential Procurement Regulations 2017 was incorrect when it came to non-acceptance of emailed copies of bidders' B-BBEE Status Level of Contributor Certificates.

This then necessitated a population review of 2020/21 (871 Transactions to the value of R123 316 146) and 2019/20 (to be determined) to identify those affected by the incorrect application. Affected transactions will thereafter be subjected to assessment, determination and/or investigation in line with National Treasury Instruction Note No.2 of 2019/2020.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

26.4 Prior period error

	NOTE	2019/20
		R'000
Nature of prior period error		
Relating to 2018/19 (Affecting the opening balance)		681 730
NRM, SCM and EPIP expenditure		681 730
Total		681 730

27 FRUITLESS AND WASTEFUL EXPENDITURE

27.1 Reconciliation of fruitless and wasteful expenditure

	NOTE	2020/21	2019/20
		R'000	R'000
Opening balance		92 169	11 103
Prior period error		-	5 440
As restated		92 169	16 543
Fruitless and wasteful expenditure – relating to prior year	27.2	2 452	73 680
Fruitless and wasteful expenditure – relating to current year	27.2	656	2 561
Less: Amounts recoverable	13.6	(5 048)	(100)
Less: Amounts written off	27.4	(13)	(515)
Closing balance		90 216	92 169

27.2 Details of current and prior year fruitless and wasteful expenditure – added current year (under determination and investigation)

INCIDENT	DISCIPLINARY STEPS TAKEN/CRIMINAL PROCEEDINGS	2020/21
		R'000
NRM – Implementing Agents	Transferred to receivables for recovery	12
Waste Bureau- Overpayment of supplier	Transferred to receivables for recovery	3 016
SCM – No shows	Transferred to receivables for recovery	80
Total		3 108

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

27.3 Details of fruitless and wasteful expenditure recoverable

INCIDENT	2020/21
	R'000
No show	27
NRM – Implementing Entities	5 021
Total	5 048

27.4 Details of fruitless and wasteful expenditure written off

INCIDENT	2020/21
	R'000
No show accommodation	13
Total	13

27.5 Prior period error

	NOTE	2019/20
		R'000
Relating to 2018/19 (Affecting the open balance)		5 440
NRM -Implementing Entities		5 440
Relating to 2019/20		-
Total prior period errors		5 440

27.6 Details of fruitless and wasteful expenditure under investigation (not included in the main note)

INCIDENT	2020/21
Implementing entity not in line with MOA	113 436
Waste Management Bureau - matter under investigation	25 068
Total	138 504

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

28. RELATED PARTY TRANSACTIONS

PAYMENTS MADE	NOTE	2020/21	2019/20
		R'000	R'000
Compensation of employees		277 972	-
Goods and services		68 504	457
Transfers and subsidies		753	-
Total		347 229	457

The Department of Environmental Affairs portfolio consists of 5 public entities, although transfers, grants and goods and services transactions occur between the entities, only those transactions at less than market value should be disclosed in terms of MCS as described below:

Schedule 3A: South African Biodiversity Institute (SANBI) – Hiring of conference facilities & accommodation at discounted rates.

Schedule 3A: MLRF – Rental of building, Rates & taxes paid by the department on behalf of Fisheries, in-kind services (compensation of employees) and leave gratuity payment (transfers; social contributions).

Schedule 3A: South African National Parks (SANParks) – None.

Schedule 3A: South African Weather Service (SAWS) – None.

Schedule 3A: iSimangaliso Wetland Park Authority – None.

29. KEY MANAGEMENT PERSONNEL

	NO. OF INDIVIDUALS	2020/21	2019/20
		R'000	R'000
Political office bearers (provide detail below)	2	4 379	8 113
Officials:			
15-16	21	26 320	29 341
14	62	80 975	78 271
Total		111 674	115 725

Key management personnel include the Minister and Deputy Minister responsible for the department, as well as the Director-General, the Deputy Director-General, the Chief Financial Officer (CFO) and all other officials who have the authority and responsibility for planning, directing, and controlling the activities of the department from salary level 14 upwards.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

30. PUBLIC PRIVATE PARTNERSHIP

	NOTE	2020/21	2019/20
		R'000	R'000
Unitary fee paid		172 049	163 747
Fixed component		164 278	157 489
Indexed component		7 771	6 258
Analysis of indexed component		7 771	6 258
Goods and services (excluding lease payments)		7 771	6 258

30.1 Future obligation

	NOTE	2020/21	2019/20
		R'000	R'000
Buildings and other fixed structures			
Not later than 1 year		170 939	164 893
Later than 1 year and not later than 5 years		972 641	955 004
Later than five years		3 548 956	4 023 224
Total commitments		4 692 536	5 143 121

The Department entered into a Public Private Partnership with Imvelo Concession Company (Pty) Ltd (RF) (the company) to erect a Green Building over a two-year period ending June 2014. The company manages and maintains the facility for a period of 25 years.

In terms of the agreement the Department contributed R366 million to reduce the unitary payment for the 2012/13 and 2013/14 financial years.

The commencement of the PPP accounting term was 1 August 2014, the date from which the Department was entitled to exercise its right to use the asset.

The unitary payment for the remaining 18 years 4 months till 31 July 2039 is R4,7 billion with an annual escalation at the rate of Consumer Price Index (CPI). The CPI as per Statistical Release P0141 index May 2021 for March 2021 at 3,2% for the 2021/22 financial year was utilised. For the 2022/23 financial year the CPI of 4,4% and from 2023/2024 onwards the CPI of 4,5% per National Treasury ENE 2021 Allocation Letter was utilised to determine the future obligation disclosed.

The fixed component of the unitary payment amounted to R164,3m for the 2020/21 financial year (R157,5m; 2019/20) and the variable component to R7,8m (R6,3m; 2019/20).

The Imvelo Concession Company (Pty) Ltd consists of:

Grinakar/Aveng LTD	30%,
Old Mutual PLC	30%,
Wiphold LTD	20%, and
Kagiso/Tiso Holdings	20%.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

31. PROVISIONS

	NOTE	2020/21	2019/20
		R'000	R'000
Claims		16 425	125
EPIP Retentions		27 187	22 049
Total		43 612	22 174

31.1 Reconciliation of movement in provisions – 2020/21

	NALEDI OFFICE AUTOMATION	BHYAT MOTORS	H KUHN	VALUE ADDED INDUSTRIES (VAI)	IMPLEMENTING AGENTS	TOTAL PROVISIONS
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	53	40	32	-	22 049	22 174
Increase in provision	-	-	-	16 300	13 215	29 515
Settlement of provision	-	-	-	-	(5 275)	(5 275)
Unused amount reversed	-	-	-	-	(2 802)	(2 802)
Closing balance	53	40	32	16 300	27 187	43 612

Naledi Office Automation: A claim for outstanding rental on various Photostat machines (Letter of Demand). The Department has agreed to pay an amount of R52 928 in full and final settlement of the claim. The plaintiff has accepted. The plaintiff was requested to revise certain invoices. However, no response has been received from the claimant.

Bhyat Motors: Claim for outstanding rental. Plaintiff's Attorney acknowledged receipt of the settlement offer; however, no response has been forthcoming regarding either acceptance or rejection of offer. Matter has been dormant with no new developments and a provision has been raised.

H Kuhn: Claim for damages resulting from motor vehicle accident between a plaintiff and Government official. A consultation with the official was held and her written statement furnished. A memo is being prepared for settlement offer.

Value Added Industries (VAI): The Close-out of the VAI project necessitated the allocation of a contingent reserve in the amount of R16,3 million to safeguard the assets.

Implementing Entities: Project retentions in respect of Implementation Agents for Expanded Public Works Programme (EPWP) infrastructure projects, amounts are settled once the project is signed off as completed and accounted for as Payments for Capital Expenditure when settled.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

31.2 Reconciliation of movement in provisions – 2019/20

	NALEDI OFFICE AUTOMATION	BHYAT MOTORS	H KUHN	IMPLEMENTING AGENTS	TOTAL PROVISIONS
	R'000	R'000	R'000	R'000	
Opening balance	53	40	32	40 307	40 432
Increase in provision	-	-	-	4 226	4 226
Settlement of provision	-	-	-	(10 965)	(10 965)
Unused amount reversed	-	-	-	(11 519)	(11 519)
Closing balance	53	40	32	22 049	22 174

32. NON-ADJUSTING EVENTS AFTER REPORTING DATE

	NOTE	2020/21
		R'000
Working-on-Fire		350 000
Total		350 000

Subsequent to 31 March 2021, the Department extended a material contract with an Implementing Agent's contract by 6 months. The extension was concluded after obtaining the necessary and relevant approval through National Treasury. The amount of this deviation was R350 000 000 which translated into 6.7% of the original contract value. As the custodian of the National Veld and Forest Fires Act (NVFFA) the extension was necessary to ensure continuity in providing mandatory and critical services and avoid environmental consequences whilst the competitive bidding process to appoint a new implementer is being finalised.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

33. MOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
HERITAGE ASSETS	-	-	472	-	472
Heritage assets	-	-	472	-	472
MACHINERY AND EQUIPMENT	2 213 899	-	161 413	14 500	2 360 812
Transport assets	1 718 850	-	102 900	5 336	1 816 414
Computer equipment	99 490	-	22 614	5 361	116 743
Furniture and office equipment	20 497	-	6 849	2 026	25 320
Other machinery and equipment	375 062	-	29 050	1 777	402 335
BIOLOGICAL ASSETS	-	156 987	784 749	181 069	760 667
Biological assets	-	156 987	784 749	181 069	760 667
Total movable tangible capital assets	2 213 899	156 987	946 634	195 569	3 121 951

DFFE is still under measurement period, which will provide the department with reasonable time to obtain the information necessary to identify and measure the assets acquired and liabilities assumed; the consideration transferred, if any; the resulting excess of the purchase consideration paid over the assets acquired and liabilities assumed. Therefore, for this transfer of the forestry function, the measurement period ends on 31 March 2022.

After the measurement period ends, the department will revise the accounting for a transfer of functions to correct any errors in accordance with the Chapter 4 on Accounting Policies, Estimates and Errors. The Accounting Officer will then sign off Section 42 Certificate after the completion of verification and reconciliation process finalised.

As a result of the above, the transfer of functions is incomplete for movable, immovable and intangible assets and the amounts recognised/recorded in the financial statements for the transfer of functions amounts to R86 million is in line with paragraph 47 of Chapter 19: Transfer of functions.

Only assets that are in a good condition will be accepted. Redundant, damaged/broken assets not verified will be written off including assets missing due to theft. There are practical challenges of verifying the forestry capital assets due to their complexity, location, and speciality.

Regarding the biological assets, the Department manages approximately 110 000 hectares of commercial forestry plantations which comprises of approximately 64 000 hectares of commercial and approximately 46 000 hectares of non-commercial forestry areas. These plantations are located in 5 (five) different provinces, i.e. KwaZulu-Natal (KZN); Eastern Cape (EC); Limpopo (LP); Mpumalanga (MP) and Western Cape (WC).

Upon receipt of the transfer letter from DALRRD, the Department was in a process of appointing Microforest (which is utilised for data capturing and calculating the value of these assets). The calculation of the year end value for the biological assets includes the verification of the existence of the assets. Due to the country being placed on Level 5 lockdown as a result of COVID-19 at the end of March 2020, the verification process proved challenging (due to complex geographic locations, poor road conditions, limited resources (e.g. suitable vehicles to travel to the plantations, Forestry Planners), and lack of security to safeguard the plantations. As a result, there has been increased illegal harvesting taking place which poses a safety risk to officials in accessing these plantations. This has been evident in Mpumalanga Province, Foresters including the Working on Fire teams have been threatened and assaulted by illegal harvesters.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

Movement in Value adjustments are as a result of trees yielding or not yielding the desired volume/value at a specific age, and therefore, have to be reduced/increased from its original value to a lower/higher value due to biological asset transformation, poor management of the crop, fire damage, pests and diseases, late or no thinning, etc.

33.1 Additions

ADDITIONS TO MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	CASH*	NON-CASH**	(CAPITAL WORK IN PROGRESS CURRENT COSTS AND FINANCE LEASE PAYMENTS)	RECEIVED CURRENT, NOT PAID	TOTAL
	R'000	R'000	R'000	R'000	R'000
HERITAGE ASSETS	275	197	-	-	472
Heritage assets	275	197	-	-	472
MACHINERY AND EQUIPMENT	82 258	78 403	-	752	161 413
Transport assets	55 225	47 675	-	-	102 900
Computer equipment	10 301	12 358	-	(45)	22 614
Furniture and office equipment	994	5 208	-	647	6 849
Other machinery and equipment	15 738	13 162	-	150	29 050
BIOLOGICAL ASSETS	-	784 749	-	-	784 749
Biological assets	-	784 749	-	-	784 749
Total additions to movable tangible capital assets	82 533	863 349	-	752	946 634

Regarding the biological assets, additions are made up of newly planted Pine and Eucalyptus compartments from month 1 (one) up to month 12 (twelve). The inflation variation based on the Production Price Index from Statistics SA is also a factor that contributes to the final value of additions at a point in time when valuating biological assets. Included in R784,7 million are (closing balance of R775,7 million, current additions amounting to R6 million and the prior period error of R3 million as a result of a typing error when inserting the tariff. There was a variance of R10,00 in the tariff rate, which resulted in the R3 million understatement.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

33.2 Disposals

DISPOSALS OF MOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021				
	SOLD FOR CASH	NON-CASH DISPOSAL	TOTAL DISPOSALS	CASH RECEIVED ACTUAL
	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	5 314	9 186	14 500	2 246
Transport assets	301	5 035	5 336	158
Computer equipment	5 013	348	5 361	135
Furniture and office equipment	-	2 026	2 026	1 953
Other machinery and equipment	-	1 777	1 777	-
BIOLOGICAL ASSETS	-	181 069	181 069	-
Biological assets	-	181 069	181 069	-
Total disposal of movable tangible capital assets	5 314	190 255	195 569	2 246

Regarding the biological assets, non-cash disposals of R181 million relates to compartments that have been harvested legally (sale) / illegally (timber theft), fire burnt compartments, pests and diseases, poor survival of seedlings after planting or compartments affected by climatic conditions such as drought / wind falls and hail damage. These compartments are listed on the forestry compartment register as Temporarily Unplanted areas (TU).

33.3 Movement for 2019/20

MOVEMENT IN TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
MACHINERY AND EQUIPMENT	1 978 877	29 115	217 313	11 406	2 213 899
Transport assets	1 601 840	30 062	87 028	80	1 718 850
Computer equipment	90 798	(1 077)	21 075	11 306	99 490
Furniture and office equipment	14 761	3 513	2 229	6	20 497
Other machinery and equipment	271 478	(3 383)	106 981	14	375 062
Total movable tangible capital assets	1 978 877	29 115	217 313	11 406	2 213 899

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

33.3.1 Prior period error

	NOTE	2019/20
		R'000
Nature of prior period error		
Relating to 2019/20		29 115
Additions		29 115
Total		29 115

33.4 Minor assets

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS A 31 MARCH 2021						
	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	114	-	25 580	-	25 694
Value adjustments	-	-	-	6 404	-	6 404
Additions	-	68	-	1 615	-	1 683
Disposals	-	-	-	192	-	192
Total minor assets	-	182	-	33 407	-	33 589

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
Number of R1 minor assets	-	-	-	290	-	290
Number of minor assets at cost	-	91	-	15 160	-	15 251
Total number of minor assets	-	91	-	15 450	-	15 541

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

MINOR ASSETS

MOVEMENT IN MINOR ASSETS PER THE ASSET REGISTER FOR THE YEAR ENDED AS AT 31 MARCH 2020						
	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000
Opening balance	-	107	-	23 940	-	24 047
Prior period error	-	(1)	-	247	-	246
Additions	-	8	-	1 933	-	1 941
Disposals	-	-	-	540	-	540
Total minor assets	-	114	-	25 580	-	25 694

	SPECIALISED MILITARY ASSETS	INTANGIBLE ASSETS	HERITAGE ASSETS	MACHINERY AND EQUIPMENT	BIOLOGICAL ASSETS	TOTAL
Number of R1 minor assets	-	-	-	286	-	286
Number of minor assets at cost	-	75	-	14 286	-	14 361
Total number of minor assets	-	75	-	14 572	-	14 647

33.4.1 Prior period error

	NOTE	2019/20
		R'000
Nature of prior period error		
Relating to 2019/20		246
Movement in minor assets intangible and machinery and equipment		246
Total		246

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

34. INTANGIBLE CAPITAL ASSETS

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	68 395	-	26 748	-	95 143
Patents, Licences, Copyright, Brand Names, Trademarks	114	-	-	-	114
Total intangible capital assets	68 509	-	26 748	-	95 257

34.1 Additions

ADDITIONS TO INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	CASH	NON-CASH	(DEVELOP-MENT WORK IN PROGRESS – CURRENT COSTS)	RECEIVED CURRENT YEAR, NOT PAID	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	12 860	16 986	(3 098)	-	26 748
Total additions to intangible capital assets	12 860	16 986	(3 098)	-	26 748

34.2 Movement for 2019/20

MOVEMENT IN INTANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
Software	16 560	-	51 835	-	68 395
Patents, Licences, Copyright, Brand Names, Trademarks	114	-	-	-	114
Total intangible capital assets	16 674	-	51 835	-	68 509

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

35. IMMOVABLE TANGIBLE CAPITAL ASSETS

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	OPENING BALANCE	VALUE ADJUSTMENTS	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	270 455	-	206 506	53	476 908
Dwellings	44 688	-	37 200	-	81 888
Non-residential buildings	-	-	46 788	53	46 735
Other fixed structures	225 767	-	122 518	-	348 285
Total immovable tangible capital assets	270 455	-	206 506	53	476 908

35.1 Additions

ADDITIONS TO IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	CASH	NON-CASH	(CAPITAL WORK IN PROGRESS CURRENT COSTS AND FINANCE LEASE PAYMENTS)	RECEIVED CURRENT, NOT PAID	TOTAL
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	55 187	206 506	(55 187)	-	206 506
Dwellings	20 241	37 200	(20 241)	-	37 200
Non-residential buildings	11 364	46 788	(11 364)	-	46 788
Other fixed structures	23 582	122 518	(23 582)	-	122 518
Total additions to immovable tangible capital assets	55 187	206 506	(55 187)	-	206 506

Only R55 187m on project additions relate to payment made during the financial year to the implementers and non-cash is equal to the transfers of capital project spending (WIP towards assets) less the capital portion paid towards the implementers during the year (BAS expenditure). The capital expenditure on building and other fixed structures to the amount of R219m include the PPP building unitary cost of R164m and infrastructure project cost of R55m.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

35.2 Disposals

DISPOSALS OF IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2021					
	SOLD FOR CASH	NON-CASH DISPOSAL	TOTAL DISPOSALS		CASH RECEIVED ACTUAL
	R'000	R'000	R'000		R'000
BUILDINGS AND OTHER FIXED STRUCTURES	-	53	53	-	-
Non-residential buildings	-	53	53	-	-
Total disposal of immovable tangible capital assets	-	53	53	-	-

35.3 Movement for 2019/20

MOVEMENT IN IMMOVABLE TANGIBLE CAPITAL ASSETS PER ASSET REGISTER FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	PRIOR PERIOD ERROR	ADDITIONS	DISPOSALS	CLOSING BALANCE
	R'000	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	7	61 700	208 748	-	270 455
Dwellings	7	(155)	44 836	-	44 688
Non-residential buildings	-	(3 546)	3 546	-	-
Other fixed structures	-	65 401	160 366	-	225 767
Total immovable tangible capital assets	7	61 700	208 748	-	270 455

35.3.1 Prior period error

	NOTE	2019/20
		R'000
Nature of prior period error		
Relating to 2019/20 [affecting the opening balance]		61 700
Asbestos and EPIP contact deliverables completed but transfer certificates are being completed.		61 700
Total		61 700

Once the contractual obligations are fulfilled and final costing can be done, the information in the asset register, will be updated and used to initiate a transfer of the asset to the owning entity complying with PFMA S42 requirements. Therefore, the reversal of disposals amounting to R270m was done and disposal will be accounted for once the PFMA S42 requirements are met and the transferring and receiving Accounting Officer signs off.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

35.4 Capital Work-in-progress

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2021					
	NOTE	OPENING BALANCE 1 APRIL 2020	CURRENT YEAR WIP	READY FOR USE (ASSETS TO THE AR) / CONTRACTS TERMINATED	CLOSING BALANCE 31 MARCH 2021
	ANNEXURE 7	R'000	R'000	R'000	R'000
Buildings and other fixed structures		548 851	86 618	(205 614)	429 855
Intangible assets		38 256	12 860	(15 969)	35 147
Total		587 107	99 478	(221 583)	465 002

The amount of R86,618m represent the current year capital WIP per project expenditure inclusive of projects still under planning (R79,989m + R6,629m). R53 386 (retention) from the R205,614m ready for use has not been included in Assets as the project was already transferred in a prior year.

AGE ANALYSIS ON ONGOING PROJECTS	NUMBER OF PROJECTS		2020/21
	PLANNED, CONSTRUCTION NOT STARTED	PLANNED, CONSTRUCTION STARTED	TOTAL R'000
0 to 1 Year	1	1	993
1 to 3 Years	12	18	131 729
3 to 5 Years	2	13	209 598
Longer than 5 years	3	10	122 682
Total	18	42	465 002

The projects under planning were delayed by a number of issues some of which can be summarised as follows: The community feuds, changes and delays in finalizing the project scope, challenges with the quality of works delivered by the implementer. Some of the projects are currently under implementation having resolved the issues and others are still in a process of being resolved with the stakeholders.

CAPITAL WORK-IN PROGRESS

CAPITAL WORK-IN-PROGRESS AS AT 31 MARCH 2020						
	NOTE	OPENING BALANCE	PRIOR PERIOD ERROR	CURRENT YEAR WIP	READY FOR USE (ASSETS TO THE AR) / CONTRACTS TERMINATED	CLOSING BALANCE 31 MARCH
	ANNEXURE 7	R'000	R'000	R'000	R'000	
Buildings and other fixed structures		578 421	(2 346)	182 645	(209 869)	548 851
Intangible assets		56 267	-	33 824	(51 835)	38 256
Total		634 688	(2 346)	216 469	(261 704)	587 107

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

AGE ANALYSIS ON ONGOING PROJECTS	NUMBER OF PROJECTS		2020/21
	PLANNED, CONSTRUCTION NOT STARTED	PLANNED, CONSTRUCTION STARTED	TOTAL R'000
0 to 1 Year	11	6	52 084
1 to 3 Years	-	17	234 952
3 to 5 Years	-	9	146 889
Longer than 5 years	4	13	153 182
Total	15	45	587 107

36. PRINCIPAL-AGENT ARRANGEMENTS

DEPARTMENT ACTING AS THE PRINCIPAL.		
	2020/21	2019/20
	R'000	R'000
Postbank	1 997	3 895
Nedbank	33	-
Total	2 030	3 895

Postbank

The Department appointed Postbank as the financial institution that disburses funds to EPWP participants for 3 years, extended by 6 months in a form of wage allowance. In consideration for service to be rendered by Postbank the Department shall pay Postbank R30 per transition as commission which shall be reviewed and considered by both parties every 12 months depending on number of participants and inflation.

Department would be required to pay the EPWP persons individually or obtain a similar service provider who can pay these suppliers on our behalf at a similar cost, however, should the Department fail to pay any charges to Postbank or fail to comply with any other obligation in terms of the agreement, Postbank have a right to claim all arrear payments due to them for services rendered.

Nedbank

The Department appointed Nedbank as the financial institution that disburses funds to EPWP participants for a period of 5 years in a form of wage allowance. In consideration for services rendered by Nedbank the Department pays Nedbank R1,50 per transaction as commission (Charges). The Department also pays Nedbank a fixed charge per account opened in the name of the Department (Charges). Currently, there is the main account where funds are directly deposited by the Department for the payment of stipends. Once funds are deposited into the main account, the funds are transferred into sub-accounts (User accounts, such as EPIP – Account; Waste Bureau – Account) for the payment of stipends. Each sub-account is linked to a charges account where the commission is accrued.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

37. PRIOR PERIOD ERRORS

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2019/20	2019/20	2019/20
		R'000	R'000	R'000
Expenditure:				
Goods and services:	5	3 454 254	(2 810)	3 451 444
Expenditure for Capital assets	9	475 905	2 810	478 715
Net effect		3 930 159	-	3 930 159

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2019/20	2019/20	2019/20
		R'000	R'000	R'000
Assets:				
Immovable Tangible Capital Assets	35	7	270 448	270 455
Prepayments (expensed)	12.1	170 601	(1 752)	168 849
Advances (expensed)	12.2	1 029 307	3 509	1 032 816
Capital WIP: Buildings and Other fixed structures	35.4	589 453	(2 346)	587 107
Impairment of Receivables	13.7	18 012	2 027	20 039
Accrued departmental Revenue	25	7 967	17 749	25 716
Receivables	13	507 348	5 125	512 473
Contingent Assets	20.2	8 061	4 781	12 842
Movable Tangible Capital Assets	33	2 184 784	29 115	2 213 899
Net Assets		4 515 540	328 656	4 844 196

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2019/20	2019/20	2019/20
		R'000	R'000	R'000
Liabilities:				
Accruals	22.1	112 004	(1 189)	110 815
Payables not recognised	22.2	32 102	1 894	33 996
Provisions	31	23 739	(1 565)	22 174
Contingent Liabilities	20.1	46 439	22 406	68 845
Voted funds to be surrendered	15	224 325	(98 100)	126 225
NRF receipts to be surrendered	16	56 832	(51 173)	5 659
Employee Benefit	23	86 243	40 616	126 859
Net effect		581 684	(87 111)	494 573

	NOTE	AMOUNT BEFORE ERROR CORRECTION	PRIOR PERIOD ERROR	RESTATED AMOUNT
		2019/20	2019/20	2019/20
		R'000	R'000	R'000
Other:				
Irregular expenditure	26	2 668 378	681 730	3 350 108
Fruitless expenditure	27	86 729	5 440	92 169
Unauthorised Expenditure	10	149 273	(149 273)	-
Net effect		2 904 380	537 897	3 442 277

38. BROAD BASED BLACK ECONOMIC EMPOWERMENT PERFORMANCE

Information on compliance with the B-BBEE Act is included in the annual report under the section titled B-BBEE Compliance Performance Information.

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

39. COVID 19 RESPONSE EXPENDITURE

	NOTE	2020/21	2019/20
	ANNEXURE 11	R'000	R'000
Compensation of employees		-	-
Goods and services		14 390	-
Transfers and subsidies		-	-
Expenditure for capital assets		-	8 197
Other		-	-
Total		14 390	8 197

COVID-19 related expenditure includes a system to support the virtual platform for meetings, computer equipment to enable officials to work from home, other expenditure included purchasing masks, sanitisers, thermometers and procuring cleaning service providers to deep clean buildings in case of infections.

40. TRANSFER OF FUNCTIONS AND MERGERS

40.1 Transfer of Functions

Funds received from Department of Agriculture, Forestry and Fisheries (DAFF):

In 2019, the President announced the reorganisation of Departments. A proclamation specifying the reorganisation of the State process was issued in June 2019. In terms of the reorganisation, Forestry and Fisheries functions were to be transferred from the Department of Agriculture, Forestry and Fisheries (DAFF) to Department of Environmental Affairs to form the Department of Environment, Forestry and Fisheries (DEFF). The actual transfer date was 1 April 2020.

DEFF is still under measurement period, which will provide the department with reasonable time to obtain the information necessary to identify and measure the assets acquired and liabilities assumed; the consideration transferred, if any; the resulting excess of the purchase consideration paid over the assets acquired and liabilities assumed. Therefore, the transfer of function is incomplete for particular assets, liabilities or any consideration and the amounts recognised/recorded in the financial statements for the transfer of functions in line with paragraph 47 of Chapter 19. For this transfer of the forestry function, the measurement period ends on 31 March 2022.

In March 2021, the Accounting Officer of the Department of Agriculture, Land Reform and Rural Development (DALRRD) signed the Section 42 Certificate for the transfer of assets relating to the transfer of the forestry function to DEFF. This certificate with substantiating records containing 20 884 assets (with a value of R86 million) and biological assets (with a value of R775,7 million) was submitted to the Accounting Officer of DEFF. This happened 17 months ago (between 8 July 2019 to 30 August 2019) after a joint asset verification between DEFF and DALRRD took place. As a result of this, the department took a decision to re-verify the existence of these assets to ensure validity, accuracy and completeness prior to facilitating sign off of the transfer certificate by the DEFF Accounting Officer.

In view thereof, DEFF and DALRRD had several meetings in which there were several disagreements on how to disclose these assets in the Annual Financial Statements (AFS). This impasse could not be resolved and resulted in a National Treasury intervention who advised on how best this matter could be resolved. National Treasury responded in a letter dated 28 May 2021 which stated that on the transfer date, the acquirer shall recognise and record all the assets acquired in a transfer of functions measured at their carrying amounts. The transferor shall derecognise / remove from its financial statements, all the assets transferred in a transfer of functions at their carrying amounts. As a result of this statement, the department disclosed the assets at their carrying amounts in the AFS.

The National Treasury letter further stated that in terms of MCS, Chapter 19 acknowledges the challenges that the acquirer may encounter when measuring acquired assets. The measurement relief period is included to allow the acquirer to make the classifications or designations of the assets (and liabilities) on the basis of the terms of the binding arrangement, economic conditions, its operating or accounting policies and other relevant conditions that exist at the transfer date. Therefore, the measurement relief period shall not exceed two years from the transfer date. Thus, for this transfer of the forestry function, the measurement period ends on 31 March 2022.

The Acquirer / DEFF can use the measurement relief period to resolve any identified value discrepancies.

Funds transferred to the Development Bank of South Africa (DBSA):

The Department and the DBSA have concluded an agreement to transfer the assets and liabilities of the Green Fund programme with effect from 1 April 2020. The DBSA took accountability and responsibility for the balances and transactions as well as the recoverability of the loans made to beneficiaries. The DBSA will be responsible to attract funding for the Green Economy with the guidance of the Department

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

40.1.1 Statement of Financial Position

	NOTE	BALANCE BEFORE TRANSFER/RECEIVE DATE	FUNCTIONS TRANSFERRED DBSA	FUNCTIONS RECEIVED: DAFF	BALANCE AFTER TRANSFER/ RECEIVE DATE
	R'000	R'000	R'000	R'000	R'000
ASSETS					
Current Assets		661 173	(396 018)	309	265 464
Unauthorised expenditure		-	-	-	-
Cash and cash equivalents		149 049	-	-	149 049
Prepayments and advances		475	-	-	475
Receivables		478 548	(396 018)	309	82 839
Aid assistance receivable		33 101	-	-	33 101
Non-current Assets		239 656	(205 731)	-	33 925
Receivables		33 925	-	-	33 925
Loans		205 731	(205 731)	-	-
Total assets		900 829	(601 749)	309	299 389
LIABILITIES					
Current Liabilities		208 860	-	-	208 860
Voted funds to be surrendered to the Revenue Fund		126 225	-	-	126 225
Department revenue and NRF Receipts to the Revenue Fund		5 659	-	-	5 659
Payables		76 976	-	-	76 976
Total liabilities		208 860	-	-	208 860
Net assets		691 969	(601 749)	309	90 529

NOTES TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

40.1.2 Notes

	BALANCE BEFORE TRANSFER/ RECEIVE DATE3	FUNCTIONS TRANSFERRED DBSA	FUNCTIONS RECEIVED: DAFF	FUNCTION (TRANSFERRED/RECEIVED DEPT NAME SPECIFY)
	R'000	R'000	R'000	R'000
Contingent liabilities	68 845	-	6 516	75 361
Contingent assets	12 842	-	-	12 842
Capital commitments	172 720	-	197	172 917
Accruals	110 815	-	4 876	115 691
Payables not recognised	33 996	-	5 650	39 646
Employee benefits	126 859	-	153 005	279 864
Lease commitments – operating lease	157 832	-	474 466	632 298
Lease commitments – finance lease	-	-	2 983	2 983
Accrued departmental revenue	25 716	-	-	25 716
Irregular expenditure	3 350 108	-	-	3 350 108
Fruitless and wasteful expenditure	92 169	-	-	92 169
Impairment	158 630	-	-	158 630
Provisions	22 174	-	4 238	26 412
Movable tangible capital assets	2 213 899	-	852 947	3 066 846
Immovable tangible capital assets	270 455	-	892	271 347
Intangible capital assets	68 509	-	1 017	69 526

41. STATEMENT OF CONDITIONAL GRANTS AND OTHER TRANSFERS PAID TO MUNICIPALITIES

NAME OF MUNICIPALITY	2020/21							2019/20		
	GRANT ALLOCATION				TRANSFER			DIVISION OF REVENUE ACT	ACTUAL TRANSFER	
	DORA AND OTHER TRANSFERS	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	FUNDS WITHHELD	RE-ALLOCATIONS BY NATIONAL TREASURY OR NATIONAL DEPARTMENT			
R'000	R'000	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	
Various Municipalities – Licences	-	-	-	-	101	-	-	-	-	152
Total	-	-	-	-	101	-	-	-	-	152

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 1A

STATEMENT OF TRANSFERS TO DEPARTMENTAL AGENCIES AND ACCOUNTS

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION				TRANSFER		2019/20
	ADJUSTED APPROPRIATION	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
South African Weather Service	340 038	-	-	340 038	340 038	100%	287 589
iSimangaliso Wetland Park Authority	149 786	-	-	149 786	149 786	100%	147 372
South African National Parks	1 235 339	-	-	1 235 339	1 235 339	100%	477 951
South African National Biodiversity Institute	358 865	-	-	358 865	358 865	100%	448 623
Marine Living Resources Fund	193 767	-	-	193 767	193 767	100%	-
National Regulator for Compulsory Specifications	12 743	-	-	12 743	12 743	100%	12 020
Compensation fund	-	-	-	-	-	-	5 053
EPWP Work: Entities							
iSimangaliso Wetland Park Authority	35 638	-	-	35 638	35 481	100%	101 680
South African National Parks	144 500	-	-	144 500	144 500	100%	349 542
South African National Biodiversity Institute	47 032	-	-	47 032	46 179	98%	53 827
Eastern Cape Parks and Tourism Agency	-	-	-	-	-	-	95 705
Ezemvelo KZN Wildlife	-	-	-	-	-	-	15 000
Presidential Stimulus Programme							
iSimangaliso Wetland Park Authority	73 000	-	-	73 000	73 000	100%	-
South African National Parks	193 000	-	-	193 000	171 000	89%	-
South African National Biodiversity Institute	71 000	-	-	71 000	71 000	100%	-
South African Weather Service	3 000	-	-	3 000	3 000	100%	-
Total	2 857 708	-	-	2 857 708	2 834 698	99%	1 994 362

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 1B

STATEMENT OF TRANSFERS/SUBSIDIES TO PUBLIC CORPORATIONS AND PRIVATE ENTERPRISES

DEPARTMENTAL AGENCY/ ACCOUNT	TRANSFER ALLOCATION				EXPENDITURE				2019/20
	ADJUSTED APPRO-RIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	CAPITAL	CURRENT	
	R'000	R'000	R'000	R'000	R'000	%	R'000	R'000	R'000
Public Corporations									
Transfers	33 343	-	-	33 343	5 206	15,6%	-	5 206	4 810
Green Fund DBSA	28 137	-	-	28 137	-	-	-	-	4 810
Forest Sector Charter Council	5 206	-	-	5 206	5 206	100.0%	-	5 206	-
Total	33 343	-	-	33 343	5 206	15.6%	-	5 206	4 810
Private Enterprises									
Transfers	5 873	-	-	5 873	2 749	46.8%	-	2 749	28 172
Eco Care Holdings Pty Ltd	2 550	-	-	2 550	-	-	-	-	2 450
Ppng Trading	320	-	-	320	-	-	-	-	2 322
Bagodumo Trading Enterprise Cc	-	-	-	-	-	-	-	-	1 177
Okuhle Waste Management Pty Ltd	40	-	-	40	-	-	-	-	2 615
Ore2value Pty Ltd	-	-	-	-	-	-	-	-	1 729
W37 Group Pty Ltd	114	-	-	114	-	-	-	-	1 899
Baclan Energy T/A E-waste Africa	50	-	-	50	-	-	-	-	1 595
Dymancap Pty Ltd	50	-	-	50	-	-	-	-	1 944
Tulsaspark Pty Ltd	350	-	-	350	350	100.0%	-	350	1 471
Zaba Waste Solutions Pty Ltd	-	-	-	-	-	-	-	-	1 315
Eco compliance Pty Ltd	-	-	-	-	-	-	-	-	2 055
Waste Aside Cc	1 345	-	-	1 345	1 345	100.0%	-	1 345	3 654
New Earth Recycling (Pty) Ltd	1 054	-	-	1 054	1 054	100.0%	-	1 054	3 946
Subtotal: Private enterprises	5 873	-	-	5 873	2 749	46.8%	-	2 749	28 172
Total	39 216	-	-	39 216	7 955	20.3%	-	7 955	32 982

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 1C

STATEMENT OF TRANSFERS TO FOREIGN GOVERNMENT AND INTERNATIONAL ORGANISATIONS

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	ADJUSTED APPRO-PRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
Global Environmental Fund (GEF)	23 500	-	-	23 500	23 500	100%	23 500
International Bodies (Membership Fees)	12	-	9 388	9 400	9 388	100%	11 272
Total	23 512	-	9 388	32 900	32 888	100%	34 772

ANNEXURE 1D

STATEMENT OF TRANSFERS TO NON-PROFIT INSTITUTIONS

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	ADJUSTED APPRO-PRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
National Association for Clean Air	1 400	-	-	1 400	1 400	100%	1 400
KwaZulu-Natal Conservation Board	1 358	-	-	1 358	1 287	95%	1 287
African World Heritage Fund	1 055	-	-	1 055	1 000	95%	1 000
Environmental Assessment Practitioner Association of South Africa	2 583	-	-	2 583	2 583	100%	4 809
Total	6 396	-	-	6 396	6 270	98%	8 496

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 202

ANNEXURE 1E

STATEMENT OF TRANSFERS TO HOUSEHOLDS

FOREIGN GOVERNMENT/ INTERNATIONAL ORGANISATION	TRANSFER ALLOCATION				EXPENDITURE		2019/20
	ADJUSTED APPRO-PRIATION ACT	ROLL OVERS	ADJUSTMENTS	TOTAL AVAILABLE	ACTUAL TRANSFER	% OF AVAILABLE FUNDS TRANSFERRED	FINAL APPROPRIATION
	R'000	R'000	R'000	R'000	R'000	%	R'000
Transfers							
Social Benefit	7 158	-	6 897	14 055	9 882	70%	8 140
Other transfers	493	-	366	859	859	100%	47 402
Total	7 651	-	7 263	14 914	10 741	72%	55 542

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 202

ANNEXURE 1F

STATEMENT OF AID ASSISTANCE RECEIVED

NAME OF DONOR	PURPOSE	OPENING BALANCE	ADJUSTMENT	REVENUE	EXPENDITURE	PAID BACK ON/BY 31 MARCH	CLOSING BALANCE
		R'000	R'000	R'000	R'000	R'000	R'000
Received in cash							
Botswana	Transfrontier Conservation Areas (TFCA Strategy)	(376)	-	376	-	-	-
Germany (GIZ)	Climate Support Programme	(8 300)	(30)	4 272	1 857	-	(5 915)
Germany (GIZ)	Strengthening – Based Adaptation Project	-	-	650	1 172	-	(522)
Australia	Funding Agreement in Relation to South Africa Land Sector Measurement	(24)	-	24	-	-	-
United Nations (UNEP)	Implementation of the Stockholm Convention on POPs	(654)	-	654	-	-	-
United Nations (UNEP)	Strengthening Law Enforcement Capabilities to Combat Wildlife Crime for Conservation and Sustainable Use of Species in South Africa: target: Rhinoceros	(1 172)	-	1 172	-	-	-
United Nations (UNEP)	Benguela Current Large Marine Ecosystem Programme	(1 142)	-	1 195	-	-	53
United Nations (UNEP)	Payment for Watershed Services	24	-	-	-	24	-
United Nations (UNEP)	Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions	(790)	444	-	-	-	(346)
United Nations (UNEP)	South Africa: Enabling Activities for the Preparation of Third National Communications (TNC) and Biennial Update Report (BUR)	(18 597)	7 155	11 442	-	-	-
United Nations (UNEP)	6th Session of the AEWA Meeting of Parties	4	-	-	-	4	-
United Nations (UNEP)	Climate Change Support Programme	(30)	30	-	-	-	-
Norway	Capacity Development within the South African National Greenhouse Gas Inventory Unit	(1 587)	6	1 581	-	-	-
Flanders	Adaptive Capacity Facility	(59)	59	2 549	2 544	-	5
United Nations (UNEP)	Strengthening Institutions, Information Management and Monitoring to reduce rate of Illegal Wildlife Trade in South Africa	(150)	279	11 798	11 927	-	-
United Nations (UNEP)	Capacity Building Initiative for Transparency (CBIT) Project	(248)	-	-	839	-	(1 087)
United Nations (UNEP)	6th National Report to the Convention on Biodiversity	-	-	1 604	1 604	-	-
European Union (EU)	iThemba Phakama People PPP Model for Human Development and Inclusive Environmental Economic Growth	-	-	-	6 355	-	(6 355)
Total		(33 101)	7 943	37 317	26 298	28	(14 167)

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

The opening balance of R33,101m was presented by payables of R27 611,35 to the RDP account and R33 128 398,50 as a receivable from the RDP account. The amount of R27 611,35 was paid to the RDP Account during the 2020/2021 financial year.

Funds with regards to the receivables to the amount of R20 716 834,51 was requested and received to enable the outstanding projects accounts to be closed. Funds with regards to other projects requested and received amounts to R16 601 271,00.

Therefore, a total amount of R37 318 105,51 was requested and received from the RDP Account during the 2020/2021 financial year.

Corrections to the value of R7 941 395,07 was made to allocate expenditure not funded by Donor Funded agreements.

The EU funded iThemba Phakama project originated from bids requested from departments. The funds were allocated as per allocation letter received over the MTEF for the 2019/20, 2020/21 and 2021/22 financial years for R7,443m, R7,758m and R8,073m, respectively. The project was delayed due to planning and processes and the first expenditure incurred during the 2020/21 financial year to the amount of R6 354 366,38. This amount was requested and only received during May 2021.

The funds disclosed as payables 31 March 2021 to the amount of R57 945,34 was surrendered to the RDP Account during May 2021. Two projects namely UNEP funded Synergies Workshop among the Basel, Stockholm and Rotterdam Conventions and the GIZ funded Climate Change Project still needs to be finalised for closure.

ANNEXURE 1G

STATEMENT OF GIFTS, DONATIONS AND SPONSORSHIPS MADE

NATURE OF GIFT, DONATION OR SPONSORSHIP	2020/21	2019/20
	R'000	R'000
Made in kind		
SEEDS SYMPOSIUM	-	60
Bereaved family of NRM	-	10
Land Rehabilitation Society of Southern Africa	-	95
Community outreach programmes	-	30
Hosting of World Environment Day	-	100
Community outreach programmes	-	60
Co-hosting 5th NBBN Indaba 2020	-	100
Commemoration of 2020 World Wetlands Day	200	-
2020 Nelson Mandela International Month Intervention	100	-
Implementation of National Good Green Deeds Programme	100	-
Total	400	455

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 3A

STATEMENT OF FINANCIAL GUARANTEES ISSUED AS AT 31 MARCH 2021 – LOCAL

GUARANTOR INSTITUTION	GUARANTEE IN RESPECT OF	ORIGINAL GUARANTEED CAPITAL AMOUNT	OPENING BALANCE 1 APRIL 2020	GUARANTEES DRAW DOWNS DURING THE YEAR	GUARANTEES REPAYMENTS/ CANCELLED/ REDUCED DURING THE YEAR	REVALUATION DUE TO FOREIGN CURRENCY MOVEMENTS	CLOSING BALANCE 31 MARCH 2021	REVALUATIONS DUE TO INFLATION RATE MOVEMENTS	ACCRUED GUARANTEED INTEREST FOR YEAR ENDED 31 MARCH 2021
		R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
Standard Bank		-	24	-	24	-	-	-	-
ABSA Bank		-	87	-	87	-	-	-	-
Total		-	111	-	111	-	-	-	-

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 3B

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2021

NATURE OF LIABILITY	OPENING BALANCE 1 APRIL 2020	LIABILITIES INCURRED DURING THE YEAR	LIABILITIES PAID/CANCELLED/ REDUCED DURING THE YEAR	LIABILITIES RECOVERABLE (PROVIDE DETAILS HEREUNDER)	CLOSING BALANCE 31 MARCH 2021
	R'000	R'000	R'000	R'000	R'000
Claims against the department					
C Vermeulen	22	-	-	-	22
Motoradio Air Touch Cellular	95	-	(95)	-	-
High Point Trading 1001CC	896	-	-	-	896
H&H Consultation CC	372	-	-	-	372
Garona Holdings (Pty) Ltd (510072017)	5 895	-	-	-	5 895
Garona Holdings (Pty) Ltd (51551/2017)	1 259	-	-	-	1 259
Nonhlanhla Portia Lubisi	113	-	-	-	113
Redefine Properties Limited	34 219	-	-	-	34 219
Blac Guru	2 079	-	-	-	2 079
Anderea Franco Puggia	-	112 091	-	-	112 091
Lavender Moon Trading 391	-	3 867	-	-	3 867
REDISA 21970/2019	-	181 492	-	-	181 492
Hibiscus Coast Investment.	-	49 764	-	-	49 764
DK Carriers Pty Ltd	-	1 737	-	-	1 737
Madzivhandila Rembulalwine	-	590	-	-	590
Nicholas Tweedie Fraser	-	28	-	-	28
Waste Beneficiation (Pty) Ltd	-	9 615	-	-	9 615
Ovenstone Agencies (Pty) Ltd	-	18	-	-	18
Martin Jimmy Mbuyazi	-	24 050	-	-	24 050
S Cele	116	-	-	-	116
Petrus Johannes Barnard	21 526	-	-	-	21 526
Amathole Forestry Company	764	-	-	-	764
MTO Forestry (Proprietary) Limited	-	214 850	-	-	214 850
Total	67 356	598 102	(95)	-	665 363

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 3B (CONTINUED)

STATEMENT OF CONTINGENT LIABILITIES AS AT 31 MARCH 2021

NATURE OF LIABILITIES RECOVERABLE	OPENING BALANCE 1 APRIL 2020	DETAILS OF LIABILITY AND RECOVERABILITY	MOVEMENT DURING YEAR	CLOSING BALANCE 31 MARCH 2021
	R'000		R'000	R'000
LS 134192 C Vermeulen (Brolink)	22	Claim for damages resulting from motor collision. Combined summonses have been issued against Mr C Vermeulen (defendant) on 23 September 2014. DEA provided the discovery affidavit. Feedback from state attorney awaited.		22
Motoradio Air Touch Cellular	95	This is a summons matter from the plaintiff claiming damages for a motor-vehicle accident on 4 December 2014, with a vehicle allegedly driven by an official of the Department named. The amount being claimed as damages is R95 034.00.	(95)	-
LS164081 High Point Trading 1001CC	896	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two of the KZN Nkandla Land Rehabilitation project ("project").		896
LS164639 H&H Consultation CC	372	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two of the NC-Joe Morolong Greening and Open Space Management Project ("project")		372
Garona Holdings (Pty) Ltd (51007/2017)	5 895	Claim against the Department for the outstanding project management fee as well as the expenditure costs incurred in relation to Phase two (implementation) of the Barberton Nature Reserve Conservation Programme.		5 895
Garona Holdings (Pty) Ltd (51551/2017)	1 259	Claim is a result of the alleged repudiation of a contract by the Department, the loss of expended capital amount, loss incurred due to repudiation and further seek reimbursement of the Sterkfontein Project payment used to remedy Ngwathe Project.		1 259
Nonhlanhla Portia Lubisi	113	Combined summons received for service rendered to the Department for clearing Alien Invasive Plants under the MPU Barberton Working for Water Project.		113
Redefine Properties Limited	34 219	Claim to the Lessee as a result of items damaged or defective on termination of lease agreement and loss of revenue due to delay conducting the exit inspection as well as the time it took for the building to be marketable		34 219
Blac Guru	2 079	Claim against the Department for the outstanding project management fee in relation to phase two (implementation) of the Mhinnga Crocodile capture and Breeding Programme.		2 079

NATURE OF LIABILITIES RECOVERABLE	OPENING BALANCE 1 APRIL 2020	DETAILS OF LIABILITY AND RECOVERABILITY	MOVEMENT DURING YEAR	CLOSING BALANCE 31 MARCH 2021
	R'000		R'000	R'000
Andrea Franco Puggia	-	The plaintiff claims delictual damages allegedly caused by a veldfire that ignited from the land controlled, managed, and owned by Department of Environment Forestry, Fisheries.	112 091	112 091
Lavender Moon Trading 391	-	The Plaintiff seeks relief on a submitted tender proposal to the Department for the recycling enterprise support programme.	3 867	3 867
REDISA 21970/2019	-	"The provisional liquidators" claim for damages against Waste Bureau	181 492	181 492
Hibiscus Coast Investment.	-	The Department terminated a contract awarded. It is on the basis of this breach of contract that the plaintiff issued summons against the Department.	49 764	49 764
DK Carriers Pty Ltd	-	a claim in respect of waste tyre transportation services rendered by in terms of an agreement.	1 737	1 737
Madzivhandila Rembulalwine	-	" an employee of DFFE claims for pay progression debt.	590	590
Nicholas Tweedie Fraser	-	A City of Tshwane employee caused a motor vehicle collusion, while acting in the scope of his employment and furthering the interest of the Department.	28	28
Waste Beneficiation (Pty) Ltd	-	Summons against Waste Management Bureau regarding allegedly rendered in terms of Service level agreement	9 615	9 615
Ovenstone Agencies (Pty) Ltd	-	a claim against the Department due to fish that was allegedly unlawfully confiscated and disposed.	18	18
Martin Jimmy Mbuyazi	-	The Applicants are claiming for money held by Kabelo Trust	24 050	24 050
S Cele	116	Summons issued to the Department for damages caused to the vehicle of Mr S Cele	-	116
Petrus Johannes Barnard	21 526	A claim for damages against the Department caused by the use of herbicide to eradicate alien invasive species.	-	21 526
Amathole Forestry Company	764	claiming damages suffered due to the defendants' alleged negligent acts or omissions in relation to veldfires.	-	764
MTO Forestry (Proprietary) Limited	-	The plaintiff claims delictual damages allegedly caused by a veldfire that ignited from the land controlled, managed, and owned by Department of Environment Forestry, Fisheries.	214 850	214 850
Total	67 356		598 007	665 363

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 4

CLAIMS RECOVERABLE

GOVERNMENT ENTITY	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL		CASH IN TRANSIT AT YEAR END 2020/21	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	RECEIPT DATE UP TO SIX (6) WORKING DAYS AFTER YEAR END	AMOUNT
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Department of International Relations and Cooperation	-	-	126	853	126	853	-	-
Department of Agriculture Forestry and Fisheries	-	-	3 966	3 966	3 966	3 966	-	-
Western Cape Premier	-	-	-	2	-	2	-	-
Office of the Public Service Commission	-	-	-	72	-	72	-	-
Government Communications (GCIS)	-	-	926	-	926	-	-	-
Department of Minerals Resource & Energy	-	-	67	-	67	-	-	-
Gauteng Treasury	-	-	12	-	12	-	-	-
Eastern Cape Premier	-	-	30	-	30	-	-	-
Sub total	-	-	5 127	4 893	5 127	4 893	-	-
OTHER GOVERNMENT ENTITIES								
National Research Foundation	-	-	9 638	9 638	9 638	9 638	-	-
City of Johannesburg	-	-	5 179	5 179	5 179	5 179	-	-
UNDP	-	-	562	114	562	114	-	-
Isimangaliso Wetland Park Authority	-	-	1 725	-	1 725	-	-	-
Marine Living Resources Fund	-	-	4 265	-	4 265	-	-	-
Sub total	-	-	21 369	14 931	21 369	14 931	-	-
Total	-	-	26 496	19 824	26 496	19 824	-	-

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 5

INTER-GOVERNMENT PAYABLES

GOVERNMENT ENTITY	CONFIRMED BALANCE OUTSTANDING		UNCONFIRMED BALANCE OUTSTANDING		TOTAL		CASH IN TRANSIT AT YEAR END 2020/21	
	31/03/2021	31/03/2020	31/03/2021	31/03/2020	31/03/2021	31/03/2020	RECEIPT DATE UP TO SIX (6) WORKING DAYS AFTER YEAR END	AMOUNT
	R'000	R'000	R'000	R'000	R'000	R'000		R'000
DEPARTMENTS								
Current								
Department of Justice and Constitutional Development	5 375	6 467	-	-	5 375	6 467	-	-
Department: Government Printing Works	-	41	-	-	-	41	-	-
Department of International Relations and Cooperation	-	2 379	-	-	-	2 379	-	-
Gauteng: e-government	-	420	-	-	-	420	-	-
Gauteng Provincial Treasury	-	-	-	1 378	-	1 378	-	-
Dept of Agriculture Land Reform & Rural Development	71	-	472	-	543	-		-
Total intergovernment payables	5 446	9 307	472	1 378	5 918	10 685	-	-

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 6

INVENTORIES

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2021	CHEMICALS	CLOTHING: UNIFORMS & PROTECTIVE CLOTHING	INSERT MAJOR CATEGORY OF INVENTORY	INSERT MAJOR CATEGORY OF INVENTORY	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	16 393	432	-	-	16 825
Add: Additions/Purchases - Cash	13 679	1 822	-	-	15 501
(Less): Disposals	1	-	-	-	1
(Less): Issues	(10 149)	(2 016)	-	-	(12 165)
Add/(Less): Received current, not paid (Paid current year, received prior year)	(2 143)	-	-	-	(2 143)
Add/(Less): Adjustments	16	-	-	-	16
Closing balance	17 797	238	-	-	18 035

INVENTORIES FOR THE YEAR ENDED 31 MARCH 2021	CHEMICALS	CLOTHING: UNIFORMS & PROTECTIVE CLOTHING	INSERT MAJOR CATEGORY OF INVENTORY	INSERT MAJOR CATEGORY OF INVENTORY	TOTAL
	R'000	R'000	R'000	R'000	R'000
Opening balance	14 373	1 981	-	-	16 354
Add: Additions/Purchases - Cash	17 569	1 752	-	-	19 321
(Less): Disposals	(17 102)	(3 301)	-	-	(20 403)
(Less): Issues	1 861	-	-	-	1 861
Add/(Less): Received current, not paid (Paid current year, received prior year)	(308)	-	-	-	(308)
Closing balance	16 393	432	-	-	16 825

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 7

MOVEMENT IN CAPITAL WORK-IN-PROGRESS

MOVEMENT IN CAPITAL WORK-IN-PROGRESS FOR THE YEAR ENDED 31 MARCH 2021				
	OPENING BALANCE	CURRENT YEAR CAPITAL WIP	READY FOR USE (ASSET REGISTER) / CONTRACT TERMINATED	CLOSING BALANCE
	R'000	R'000	R'000	R'000
BUILDINGS AND OTHER FIXED STRUCTURES	548 851	86 618	(205 614)	429 855
Dwellings	203 109	29 698	(37 200)	195 607
Non-residential buildings	123 312	18 634	(46 788)	95 158
Other fixed structures	222 430	38 286	(121 626)	130 090
COMPUTER SOFTWARE	38 256	12 860	(15 969)	35 147
Computer Software	38 256	12 860	(15 969)	35 147
Total	587 107	99 478	(221 583)	465 002

MOVEMENT IN CAPITAL WORK-IN-PROGRESS FOR THE YEAR ENDED 31 MARCH 2020					
	OPENING BALANCE	PRIOR PERIOD ERROR	CURRENT YEAR CAPITAL WIP	READY FOR USE (ASSET REGISTER) / CONTRACT TERMINATED	CLOSING BALANCE
	R'000	R'000	R'000	R'000	
BUILDINGS AND OTHER FIXED STRUCTURES	578 421	(2 346)	182 645	(209 869)	548 851
Dwellings	178 478	1	69 466	(44 836)	203 109
Non-residential buildings	100 045	891	26 279	(3 903)	123 312
Other fixed structures	299 898	(3 238)	86 900	(161 130)	222 430
COMPUTER SOFTWARE	56 267	33 824	(51 835)	-	38 256
Computer Software	56 267	33 824	(51 835)	-	38 256
Total	634 688	31 478	130 810	(209 869)	587 107

ANEXTURE TO THE ANNUAL FINANCIAL STATEMENTS FOR THE YEAR ENDED 31 MARCH 2021

ANNEXURE 11

COVID 19 RESPONSE EXPENDITURE PER QUARTER AND IN TOTAL

EXPENDITURE PER ECONOMIC CLASSIFICATION	APRIL 2020	MAY 2020	JUNE 2020	SUB TOTAL Q1	JUL 2020	AUG 2020	SEPT 2020	SUB TOTAL Q2	OCT 2020	NOV 2020	DEC 2020	SUB TOTAL Q3	JAN 2021	FEB 2021	MAR 2021	SUB TOTAL Q4	2020/21 TOTAL	2019/20 TOTAL
	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000	R'000
Compensation of employees Goods services	-	-	495	495	906	55	4 732	5 693	5 273	1 319	1 005	7 597	182	98	325	605	14 390	-
Administrative Fees: Payments	-	-	-	-	-	-	154	154	10	-	-	10	-	-	197	197	361	-
Minor Assets	-	-	-	-	-	-	460	460	-	-	-	-	-	-	-	-	460	-
Contractors	-	-	-	-	-	-	-	-	-	46	1	47	-	-	-	-	47	-
Agency & Support / Outsourced Services	-	-	-	-	-	-	2 114	2 114	4 990	1 265	449	6 704	-	(197)	-	(197)	8 621	-
Consumable Supplies	-	-	495	495	885	2	938	1 825	142	2	434	578	43	225	95	363	3 261	-
Property Payments	-	-	-	-	21	53	1 066	1 140	131	6	121	258	139	70	33	242	1 640	-
Expenditure for capital assets	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	8 197
Computer Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	1 172
Audio-visual Equipment	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	-	7 025
Closing balance	-	-	495	495	906	55	4 732	5 693	5 273	1 319	1 005	7 597	182	98	325	605	14 390	8 197

Department of Forestry, Fisheries and the Environment

Environment House, 473 Steve Biko Road,

Arcadia Pretoria, 0083

South Africa

Private Bag X447

Pretoria

0001

Call centre: 086 111 2468

E-mail: callcentre@dfre.gov.za

Website: www.dfre.gov.za

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